

FILED
U.S. DISTRICT COURT
EASTERN DISTRICT OF LOUISIANA

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WILLIAM W. BLEVINS
CLERK

SEALED

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF LOUISIANA

FELONY

INDICTMENT FOR CONSPIRACY TO COMMIT HEALTH CARE FRAUD, HEALTH CARE FRAUD, CONSPIRACY TO PAY HEALTH CARE KICKBACKS, CONSPIRACY TO FALSIFY RECORDS IN A FEDERAL INVESTIGATION, AND FORFEITURE

UNITED STATES OF AMERICA

CRIMINAL DOCKET NO.

VERSUS

SECTION:

GEOFFREY RICKETTS

VIOLATIONS:

MARLA RICKETTS

SUNYUP KIM

SAMUEL KIM

15-153
SECT. 1 MAG. 5
18 U.S.C. § 1349

18 U.S.C. § 371

18 U.S.C. § 1347

18 U.S.C. § 2

18 U.S.C. § 982(a)(7)

* * *

The Grand Jury Charges that:

COUNT ONE

Conspiracy to Commit Health Care Fraud (18 U.S.C. § 1349)

A. AT ALL TIMES MATERIAL HEREIN:

The Medicare Program

1. The Medicare program (Medicare) was a federal health care program providing benefits to persons who were over the age of 65 or disabled. Medicare was administered by the

Fee _____
Process _____
X Disc _____
CFM/Dep _____
Doc No. _____

United States Department of Health and Human Services through its agency, the Centers for Medicare & Medicaid Services (CMS). Individuals who received benefits under Medicare were referred to as “beneficiaries.”

2. Medicare was a “health care benefit program,” as defined by Title 18, United States Code, Section 24(b).

3. **Durable Medical Equipment (DME)**: Medicare Part B helped pay for certain DME that was both medically necessary and ordered by a licensed medical doctor or other qualified health care provider. DME was designed to be used repeatedly for a medical purpose and included certain supplies for diabetics, such as glucose monitors, glucose test strips and glucose lancets, to help people with diabetes manage their blood sugar levels.

4. For Louisiana beneficiaries, CMS contracted with CIGNA Government Services (CIGNA) to receive, adjudicate, and pay the DME claims submitted to them by Medicare beneficiaries, physicians, or suppliers of health care items. CMS also contracted with Palmetto GBA (Palmetto) and the National Supplier Clearinghouse to manage the processing of Medicare enrollment forms for all DME suppliers. Medicare, through CIGNA, paid a substantial portion of the cost of the DME or related health care item if it was medically necessary and ordered by a licensed, qualified health care provider. Medicare only paid for DME provided to an eligible Medicare beneficiary.

5. DME suppliers, physicians, and other health care providers that sought to participate in Medicare Part B and bill Medicare for the cost of DME and related benefits, items, and services were required to apply for and receive a “supplier number.” When a supplier enrolled with Medicare, the supplier agreed to abide by all rules and regulations prescribed by

the federal government. Upon approval of the application, the DME supplier was issued a supplier number that was used to submit claims for payment to Medicare for the cost of DME supplied to eligible beneficiaries. Medicare, through Palmetto and CIGNA, generally paid a substantial portion of the cost of the DME or related health care benefit, item, or service if it was medically necessary and ordered by a licensed, qualified health care provider.

6. **Billing Codes for Durable Medical Equipment:** DME suppliers submitted claims to Medicare using the Healthcare Common Procedure Coding System (HCPCS), a series of five-digit codes that corresponded to various types of goods and items. HCPCS code E2100 was the code for a blood glucose monitor with integrated voice synthesizer, often referred to as a talking glucose monitor. For suppliers to be reimbursed by Medicare for supplying the E2100 monitor, the beneficiary had to meet the following criteria:

- a. the beneficiary had diabetes;
- b. the beneficiary's physician concluded that the beneficiary (or the Medicare beneficiary's caregiver) had sufficient training using the particular device prescribed as evidenced by providing a prescription for the appropriate supplies and frequency of blood glucose testing; and
- c. the treating physician certified that the beneficiary had a severe visual impairment defined as best corrected visual acuity of 20/200 or worse in both eyes that required use of this special monitoring system.

7. A Medicare beneficiary was required to meet all the above criteria in order to qualify for an E2100 monitor. Medicare would not reimburse suppliers who supplied E2100 monitors to Medicare beneficiaries who did not meet all these criteria. Instead, Medicare beneficiaries who met criteria (a) and (b) but not (c), were eligible to receive blood glucose

monitors that did not have an integrated voice synthesizer, and utilized different HCPCS codes, such as E0607, a standard home glucose monitor.

8. The Medicare reimbursement rate for the two different glucose monitors differed greatly. DME suppliers received significantly less money for providing monitors without voice synthesizers than they received for providing the E2100 device.

9. When seeking reimbursement from Medicare, a DME supplier used its assigned Medicare provider number to submit a health insurance claim form, known as a CMS-1500. The CMS-1500 required DME companies to provide

- a. The beneficiary's name;
- b. the beneficiary's identification number;
- c. the name and identification number of the physician or other qualified health care provider who ordered the item or service that was the subject of the claim;
- d. the health care item that was supplied or provided to the beneficiary;
- e. the HCPCS code for the item; and
- f. the date on which the item was provided.

When the claim was submitted, the DME provider certified that the contents of the form were true, correct, and complete, and that the form was prepared in compliance with the laws and regulations governing the Medicare program.

10. Payments under Medicare Part B were often made directly to the DME provider. For this to occur, the beneficiary assigned the right of payment to the DME provider or other health care provider. Once an assignment took place, the DME provider assumed the responsibility for submitting claims to, and receiving payments from, Medicare.

11. Approved claims submitted to Medicare Part B were paid at 80% of the approved amount for each claim. Unless a Medicare beneficiary held supplemental or secondary insurance, Medicare required that the beneficiary be responsible for paying the remaining 20% of the claim, known as the co-pay. Waiver of this co-pay was ordinarily not permitted under Medicare billing procedures.

12. **Prohibited Telemarketing:** Section 1834(a)(17)(B) of the Social Security Act, 42 U.S.C. § 1395m(a)(17), prohibited suppliers of DME from making unsolicited telephone calls to Medicare beneficiaries in an attempt to sell them items covered by Medicare Part B, except where:

- a. the beneficiary gave written permission to the supplier to make contact by telephone;
- b. the contact was regarding a covered item the supplier had already furnished the beneficiary; or
- c. the supplier had furnished at least one item to the beneficiary during the preceding 15 months.

13. Section 1834(a)(17)(B) of the Social Security Act, 42 U.S.C. § 1395m(a)(17), specifically prohibited payment to a supplier who knowingly submitted a claim generated pursuant to a prohibited telephone solicitation.

14. Care Concepts, LLC (Care Concepts) was incorporated under the laws of Louisiana and did business in Metairie, Louisiana. Care Concepts was a DME provider who provided DME products nationwide.

15. Care Concepts Louisiana was also incorporated under the laws of California and did business in Chatsworth, California as Choice Home Medical Equipment and Supplies, Inc. (Choice).

16. Care Concepts supplied a variety of DME and related equipment and services to Medicare beneficiaries, including talking glucose monitors.

The Defendants

17. **GEOFFREY RICKETTS** was the owner, President and CEO of Care Concepts and Choice.

18. **MARLA RICKETTS** was the Registered Agent and a director/officer of Care Concepts.

19. **SUNYUP KIM** was the Director of Operations for Care Concepts.

20. **SAMUEL KIM** was the Chief Operating Officer of Care Concepts.

B. THE CONSPIRACY:

21. Beginning in or around January 2009, and continuing through the present, in the Eastern District of Louisiana, and elsewhere, defendants, **GEOFFREY RICKETTS, MARLA RICKETTS, SUNYUP KIM, and SAMUEL KIM** and others known and unknown to the Grand Jury, knowingly and willfully did combine, conspire, confederate and agree together and with each other to knowingly and willfully execute and attempt to execute a scheme and artifice to defraud a health care benefit program affecting commerce, as defined in Title 18, United States Code, Section 24(b), that is, Medicare, and to obtain by means of materially false and fraudulent pretenses, representations, and promises, money and property owned by and under the custody and control of Medicare in connection with the delivery of and payment for health care benefits, items, and services, in violation of Title 18, United States Code, Section 1347.

C. PURPOSE OF THE CONSPIRACY:

22. It was a purpose of the conspiracy for defendants **GEOFFREY RICKETTS, MARLA RICKETTS, SUNYUP KIM, SAMUEL KIM**, and their co-conspirators to unlawfully enrich themselves by, among other things,

- a. submitting and causing the submission of false and fraudulent claims to Medicare, and
- b. diverting and causing the diversion of the proceeds of the fraud for the personal use and benefit of the defendants and their co-conspirators.

D. MANNER AND MEANS:

23. The manner and means by which **GEOFFREY RICKETTS, MARLA RICKETTS, SUNYUP KIM, SAMUEL KIM**, and their co-conspirators sought to accomplish the object and purpose of the conspiracy included, among others, the following:

24. **GEOFFREY RICKETTS** maintained two valid Medicare provider numbers as owner and operator of Care Concepts and Choice. **RICKETTS** used the two Medicare numbers to submit claims for DME that was medically unnecessary and, in some cases, not provided to Medicare beneficiaries.

25. **GEOFFREY RICKETTS** and **MARLA RICKETTS** obtained and maintained signature authority for a corporate bank account of Care Concepts, Whitney Bank/Hancock Bank Account Number xxxxx498.

26. **GEOFFREY RICKETTS** and **SAMUEL KIM** obtained and maintained signature authority for a corporate bank account of Care Concepts, J.P. Morgan Chase Account Number xxxxx3769.

27. **GEOFFREY RICKETTS** obtained authorization for Medicare payments to Care Concepts to be deposited into Whitney Bank/Hancock Bank Account Number xxxxx498 and J.P. Morgan Chase Account Number xxxxx3769.

28. **GEOFFREY RICKETTS** and **SAMUEL KIM** purchased contact and Medicare billing information from various sources as "leads" for potential "sales" of glucose monitors and other DME.

29. **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** instructed call center operators in California and South Carolina to call Medicare beneficiaries to obtain their protected health information (PHI), including their names, addresses and telephone numbers, social security numbers, Medicare numbers, and physicians' information so that medically unnecessary talking glucose monitors and other DME could be billed to Medicare.

30. **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** paid and caused to be paid illegal kickbacks to the operators of call centers in exchange for the referral of talking glucose monitors and other DME orders for Medicare beneficiaries who the call center operators fraudulently manipulated into providing PHI.

31. **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** paid and caused to be paid illegal kickbacks to call center operators for medically unnecessary items subsequently billed to Medicare on behalf of ineligible Medicare beneficiaries.

32. **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** instructed Care Concepts employees and contractors to fraudulently complete order forms for the signature of Medicare beneficiaries' doctors. These falsified forms did not specify that talking glucose monitors would be ordered and, instead, usually indicated that a large display glucose monitor

was being provided. The forms were deceptively used to support fraudulent billings for upcoded talking glucose monitors and other DME for ineligible beneficiaries.

33. **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** instructed Care Concepts employees and contractors to bill Medicare for talking glucose monitors and other DME even if Medicare beneficiaries did not want, need, or qualify for these items.

34. **GEOFFREY RICKETTS, MARLA RICKETTS, SUNYUP KIM, and SAMUEL KIM** fraudulently submitted, and caused the submission of, Medicare claims for talking glucose monitors and other medically unnecessary DME that falsely represented that the Medicare beneficiaries met Medicare's criteria for talking glucose monitors and other DME.

35. From around December 2007, through in or about March 2015, **GEOFFREY RICKETTS, MARLA RICKETTS, SAMUEL KIM, and SUNYUP KIM** submitted and caused the submission of more than \$38.2 million in fraudulent claims for talking glucose monitors, other DME, and related accessories and Medicare paid Care Concepts more than \$22.3 million.

All in violation of Title 18, United States Code, Section 1349.

COUNT TWO

Conspiracy to Pay Health Care Kickbacks (18 U.S.C. § 371)

A. AT ALL TIMES MATERIAL HEREIN:

36. The allegations contained in paragraphs 1 through 20 above are re-alleged and incorporated as if fully set forth in this paragraph.

B. THE CONSPIRACY:

37. Beginning in about January 2009, and continuing through in or about March 2015, in the Eastern District of Louisiana, and elsewhere, defendants, **GEOFFREY**

RICKETTS, SUNYUP KIM, and SAMUEL KIM did knowingly and willfully combine, conspire, confederate and agree with each other and with others known and unknown to the Grand Jury, to:

- a. knowingly and willfully solicit and receive remuneration, specifically kickbacks and bribes, directly and indirectly, overtly and covertly, in return for referring individuals for the furnishing and arranging for the furnishing of any item and service for which payment may be made in whole or in part by Medicare; and for the purchasing, leasing, ordering, and arranging for and recommending the purchasing, leasing, and ordering of any good, item, and service for which payment may be made in whole and in part by a Federal Health Care program, that is, Medicare, in violation of Title 42, United States Code, Section 1320a-7b(b)(1);
and;
- b. knowingly and willfully offer and pay remuneration, specifically kickbacks and bribes, directly and indirectly, overtly and covertly, in return for referring individuals for the furnishing and arranging for the furnishing of any item and service for which payment may be made in whole or in part by Medicare; and for the purchasing, leasing, ordering, and arranging for and recommending the purchasing, leasing, and ordering of any good, item, and service for which payment may be made in whole and in part by a Federal Health care program, that is,

Medicare, in violation of Title 42, United States Code, Section 1320a-7b(b)(2).

C. PURPOSE OF THE CONSPIRACY:

38. It was a purpose of the conspiracy for **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** and their co-conspirators to unlawfully enrich themselves by paying and receiving illegal kickbacks and bribes in exchange for providing Medicare beneficiary information used by Care Concepts to submit fraudulent Medicare claims.

D. MANNER AND MEANS:

39. The manner and means by which **GEOFFREY RICKETTS, SUNYUP KIM, and SAMUEL KIM** and their co-conspirators accomplished the object and purpose of the conspiracy included, among others, the following

40. The allegations contained in paragraphs 22 through 35 above are re-alleged and incorporated as if fully set forth in this paragraph.

E. OVERT ACTS:

41. On about November 23, 2011, a call center operator from Sumter, South Carolina, sent a facsimile "Diabetic Supplies" order form to a physician for Medicare beneficiary R.W. The form indicated that a "Glucose Monitor Kit" would be ordered for R.W. The form did not, however, indicate that R.W. had any visual loss or required a talking glucose monitor. The physician returned the signed form to the call center operator on or about November 23, 2011.

42. On about November 28, 2011, a call center operator in Sumter, South Carolina, sent and caused the order form signed by the physician for R.W. to be sent to Care Concepts in

Metairie, Louisiana, for Medicare beneficiary R.W. so that a glucose monitor could be billed to Medicare.

43. On or about December 2, 2011, Care Concepts submitted a claim to Medicare for an E2100 talking glucose monitor.

44. On or about December 2, 2011, **GEOFFREY RICKETTS**, on behalf of Care Concepts, wrote a check to the manager of the call center in Sumter, South Carolina, in the amount of \$2,525 in illegal kickbacks for the referral of medically unnecessary DME fraudulently billed to Medicare.

All in violation of Title 18, United States Code, Section 371.

COUNTS THREE THROUGH SEVEN
Health Care Fraud (18 U.S.C. §§ 1347 & 2)

A. AT ALL TIMES MATERIAL HEREIN:

45. The allegations set forth in paragraphs 1 through 20 and 23 through 35 above, are re-alleged and incorporated as though fully set forth herein.

B. THE HEALTH CARE FRAUD:

46. Beginning in or around January 2009, and continuing through the present, including on or about the dates listed below, within the Eastern District of Louisiana and elsewhere, the defendants listed below, together with others known and unknown to the Grand Jury, did knowingly and willfully execute and attempt to execute a scheme and artifice to defraud Medicare, a health care benefit program, as defined in Title 18, United States Code, Section 24(b), and to obtain, by means of materially false and fraudulent pretenses, representations and promises, money and property owned by, and under the custody and control of, the Medicare

program, in connection with the delivery of, and payment for, the following health care benefits, items, and services:

Count	Defendant	Beneficiary	Claim Date	HCPCS DME Codes	Claim Amount
3	GEOFFREY RICKETTS	J.H.	08/01/11	E2100 A4253 A4256 A4258 A4259	\$840.00
4	GEOFFREY RICKETTS	R.W.	12/02/11	E2100 A4253 A4256 A4258 A4259	\$840.00
5	GEOFFREY RICKETTS	P.D.	03/01/12	E2100 A4253 A4256 A4258 A4259 L1902	\$1,030.00
6	GEOFFREY RICKETTS	B.B.	03/01/12	E2100 A4253 A4256 A4258 A4259	\$840.00
7	GEOFFREY RICKETTS	C.S.	03/28/12	E2100 A4253 A4256 A 4258 A4259	\$965.00

All in violation of Title 18, United States Code, Sections 1347 and 2.

COUNT EIGHT

Conspiracy to Falsify Records in a Federal Investigation (18 U.S.C. § 371)

A. AT ALL TIMES MATERIAL HEREIN:

47. The allegations contained in paragraphs 1 through 20, and paragraphs 41 through 44, above, are re-alleged and incorporated as if fully set forth in this paragraph.

48. Zone Program Integrity Contractors (ZPICs) are private companies contracted by CMS to investigate and conduct audits for Medicare overpayments. ZPICs also detect, investigate and gather evidence of suspected fraud and abuse to be turned over to the HHS-OIG for criminal or civil prosecution.

B. THE CONSPIRACY:

49. From in or around August 2012, and continuing through the present, in the Eastern District of Louisiana, and elsewhere, defendant **MARLA RICKETTS** did knowingly and willfully combine, conspire, confederate and agree with others known and unknown to the Grand Jury, to knowingly alter, destroy, mutilate, conceal, cover up, falsify, and make false entries in the records, documents, and tangible objects relating to Care Concepts, with the intent to impede, obstruct and influence the investigation and proper administration of ZPIC investigations and in relation to and contemplation of any such ZPIC investigation within the jurisdiction of a department and agency of the United States, specifically, the Medicare program and its agents, in violation of Title 18, United States Code, Section 1519.

C. PURPOSE OF THE CONSPIRACY:

50. It was a purpose of the conspiracy for defendant **MARLA RICKETTS** and co-conspirators known and unknown to the Grand Jury, to create false documentation requested by the ZPICs to fraudulently misdirect the ZPICs into believing that the claims they were investigating related to medically necessary DME provided to eligible beneficiaries.

D. OVERT ACTS:

51. In furtherance of the conspiracy, and to accomplish its object and purpose, **MARLA RICKETTS** and co-conspirators known and unknown to the Grand Jury, committed

and caused to be committed, in the Eastern District of Louisiana and elsewhere, the following overt acts:

52. In or around August 2012, in response to audits by the Medicare program and its agents, **MARLA RICKETTS** instructed employees and agents of Care Concepts and Choice to alter, fabricate and make false entries in patient records, business records, and other computer media for purpose of impeding, obstructing and influencing the investigation and proper administration of the Medicare program.

All in violation of Title 18, United States Code, Section 371.

NOTICE OF HEALTH CARE FRAUD FORFEITURE

1. The allegations contained in Counts 1 through 7 are hereby realleged and incorporated by reference for the purpose of alleging forfeiture to the United States pursuant to the provisions of Title 18, United States Code, 982(a)(7) and the procedures outlined at Title 21, United States Code, Section 853.

2. As a result of the offenses alleged in Counts 1 through 7, defendants **GEOFFREY RICKETTS, MARLA RICKETTS, SUNYUP KIM, and SAMUEL KIM**, shall forfeit to the United States, pursuant to Title 18, United States Code, Section 982(a)(7), any and all property, real and personal, that constitutes or is derived, directly or indirectly, from gross proceeds traceable to the commission of the offense as a result of the violations of Title 18, United States Code, Sections 371, 1347, and 1349 which are Federal Health Care offenses within the meaning of Title 18, United States Code, Section 24.

3. If any of the property described above as being subject to forfeiture, as a result of any act or omission of the defendants:

- a. cannot be located upon the exercise of due diligence
- b. has been transferred, sold to, or deposited with, a third person;
- c. has been placed beyond the jurisdiction of the Court;
- d. has been substantially diminished in value; or
- e. has been commingled with other property which cannot be subdivided without difficulty;

it is the intent of the United States, pursuant to Title 18, United States Code, Section 982(b) to seek forfeiture of any other property of said defendants up to the value of the above forfeitable property.

All in violation of Title 18, United States Code, Section 982(a)(7).

A TRUE BILL:



KENNETH ALLEN POLITE, JR.
UNITED STATES ATTORNEY

Handwritten signature of Patrice Harris Sullivan in black ink.

PATRICE HARRIS SULLIVAN
Assistant United States Attorney
Louisiana Bar Roll No. 14987

Handwritten signature of Jordan Ginsberg in black ink.

JORDAN GINSBERG
Assistant United States Attorney
Illinois Bar Roll No. 6282956

Handwritten signature of William G. Kanellis in black ink.

WILLIAM G. KANELIS
Trial Attorney
United States Department of Justice
Virginia State Bar Number 40770

New Orleans, Louisiana
June 11, 2015

No. _____

UNITED STATES DISTRICT COURT

Eastern District of Louisiana
Criminal Division

THE UNITED STATES OF AMERICA

vs.

**GEOFFREY RICKETTS, MARLA RICKETTS,
SUNYUP KIM, and SAMUEL KIM**

INDICTMENT

**INDICTMENT FOR CONSPIRACY TO COMMIT HEALTH CARE FRAUD, HEALTH
CARE FRAUD, CONSPIRACY TO PAY HEALTH CARE KICKBACKS, CONSPIRACY TO
FALSIFY RECORDS IN A FEDERAL INVESTIGATION, AND FORFEITURE**

**VIOLATIONS: 18 U.S.C. § 1349, 18 U.S.C. § 371, 18 U.S.C. § 1347,
18 U.S.C. § 2 and 18 U.S.C. § 982(a)(7)**

A true bill.



Filed in open court this _____ day of _____
A.D. 2015.

Clerk

Bail, \$ _____

PATRICE HARRIS SULLIVAN, Assistant United States Attorney

SEALED

PER 18 U.S.C. 3170

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: INFORMATION INDICTMENT

Matter Sealed: Juvenile Other than Juvenile
 Pre-Indictment Plea Superseding Defendant Added
 Indictment Charges/Counts Added
 Information

Name of District Court, and/or Judge/Magistrate Location (City)
 UNITED STATES DISTRICT COURT EASTERN
 DISTRICT OF LOUISIANA Divisional Office

Name and Office of Person Furnishing Information on THIS FORM J. Chauvin
 U.S. Atty Other U.S. Agency
 Phone No. (504) 680-3000
 Name of Asst. U.S. Attorney (if assigned) Patrice Harris Sullivan

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)
U.S. Dept. of Health & Human Services, SA Matt Presley

person is awaiting trial in another Federal or State Court (give name of court)

this person/proceeding transferred from another district per (circle one) FRCrP 20, 21 or 40. Show District

this is a re prosecution of charges previously dismissed which were dismissed on motion of:

U.S. Atty Defense

this prosecution relates to a pending case involving this same defendant. (Notice of Related Case must still be filed with the Clerk.)

prior proceedings or appearance(s) before U.S. Magistrate Judge regarding this defendant were recorded under

SHOW DOCKET NO.

MAG. JUDGE CASE NO.

Place of offense Orleans Parish County

CASE NO. 15-153
 USA vs. SECT. 1 MAG. 5
 Defendant: GEOFFREY RICKETTS

Address: _____

Interpreter Required Dialect: _____

Birth Date 1968 Male Alien
 Female (if applicable)

Social Security Number xxx-xx-9388

DEFENDANT

Issue: Warrant Summons

Location Status:

Arrest Date _____ or Date Transferred to Federal Custody _____

Currently in Federal Custody

Currently in State Custody

Writ Required

Currently on bond

Fugitive

Defense Counsel (if any): Walter F. Becker, Jr.

FPD CJA RET'D

Appointed on Target Letter

This report amends AO 257 previously submitted

OFFENSE CHARGED - U.S.C. CITATION - STATUTORY MAXIMUM PENALTIES - ADDITIONAL INFORMATION OR COMMENTS

Total # of Counts 8

Set	Title & Section/Offense Level (Petty = 1 / Misdemeanor = 3 / Felony = 4)	Description of Offense Charged	Count(s)
4	18 U.S.C. § 1349	Conspiracy to Commit Health Care Fraud	1
4	18 U.S.C. § 371	Conspiracy to Pay Health Care Kickbacks	2
4	18 U.S.C. § 1347	Health Care Fraud	3-7

SEALED

PER 18 U.S.C. 3170

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: INFORMATION INDICTMENT

Matter Sealed: Juvenile Other than Juvenile
 Pre-Indictment Plea Superseding Defendant Added
 Indictment Charges/Counts Added
 Information

Name of District Court, and/or Judge/Magistrate Location (City)
 UNITED STATES DISTRICT COURT EASTERN
 DISTRICT OF LOUISIANA Divisional Office

Name and Office of Person Furnishing Information on THIS FORM J. Chauvin
 U.S. Atty Other U.S. Agency
 Phone No. (504) 680-3000
 Name of Asst. U.S. Attorney (if assigned) Patrice Harris Sullivan

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)
U.S. Dept. of Health & Human Services, SA Matt Presley

person is awaiting trial in another Federal or State Court (give name of court)

this person/proceeding transferred from another district per (circle one) FRCrP 20, 21 or 40. Show District

this is a re prosecution of charges previously dismissed which were dismissed on motion of:
 U.S. Atty Defense

this prosecution relates to a pending case involving this same defendant. (Notice of Related Case must still be filed with the Clerk.)

prior proceedings or appearance(s) before U.S. Magistrate Judge regarding this defendant were recorded under

SHOW DOCKET NO.

MAG. JUDGE CASE NO.

Place of offense Orleans Parish County

CASE NO. 15-153
 USA vs. SECT. L MAG. 5
 Defendant: MARLA RICKETS
 Address:
 Interpreter Required Dialect: _____
 Birth Date 1977 Male Alien
 Female (if applicable)
 Social Security Number xxx-xx-6151

DEFENDANT

Issue: Warrant Summons

Location Status:
 Arrest Date _____ or Date Transferred to Federal Custody _____

Currently in Federal Custody
 Currently in State Custody
 Writ Required
 Currently on bond
 Fugitive

Defense Counsel (if any): _____
 FPD CJA RET'D
 Appointed on Target Letter

This report amends AO 257 previously submitted

OFFENSE CHARGED - U.S.C. CITATION - STATUTORY MAXIMUM PENALTIES - ADDITIONAL INFORMATION OR COMMENTS

Total # of Counts 8

Set	Title & Section/Offense Level (Petty = 1 / Misdemeanor = 3 / Felony = 4)	Description of Offense Charged	Count(s)
4	18 U.S.C. § 371	Conspiracy to Falsify Records in a Federal Investigation	8

SEALED

PER 18 U.S.C. 3170

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: INFORMATION INDICTMENT

Matter Sealed: Juvenile Other than Juvenile
 Pre-Indictment Plea Superseding Defendant Added
 Indictment Charges/Counts Added
 Information

Name of District Court, and/or Judge/Magistrate Location (City)

UNITED STATES DISTRICT COURT EASTERN
 DISTRICT OF LOUISIANA Divisional Office

Name and Office of Person Furnishing Information on THIS FORM J. Chauvin
 U.S. Atty Other U.S. Agency
 Phone No. (504) 680-3000
 Name of Asst. U.S. Attorney (if assigned) Patrice Harris Sullivan

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)
U.S. Dept. of Health & Human Services, SA Matt Presley

person is awaiting trial in another Federal or State Court (give name of court)

this person/proceeding transferred from another district per (circle one) FRCrP 20, 21 or 40. Show District

this is a re prosecution of charges previously dismissed which were dismissed on motion of:
 U.S. Atty Defense

this prosecution relates to a pending case involving this same defendant. (Notice of Related Case must still be filed with the Clerk.)

prior proceedings or appearance(s) before U.S. Magistrate Judge regarding this defendant were recorded under

SHOW DOCKET NO.

MAG. JUDGE CASE NO.

Place of offense Orleans Parish County

CASE NO. 15-153

USA vs.

Defendant: Sunyup Klm

SECT. L MAG. 5

Address:

Interpreter Required Dialect: _____

Birth Date 1976 Male Alien
 Female (if applicable)

Social Security Number xxx-xx-2499

DEFENDANT

Issue: Warrant Summons

Location Status:

Arrest Date _____ or Date Transferred to Federal Custody _____

- Currently in Federal Custody
- Currently in State Custody
- Writ Required
- Currently on bond
- Fugitive

Defense Counsel (if any): _____

- FPD CJA RET'D
- Appointed on Target Letter

This report amends AO 257 previously submitted

OFFENSE CHARGED - U.S.C. CITATION - STATUTORY MAXIMUM PENALTIES - ADDITIONAL INFORMATION OR COMMENTS

Total # of Counts 8

Set	Title & Section/Offense Level (Petty = 1 / Misdemeanor = 3 / Felony = 4)	Description of Offense Charged	Count(s)
4	18 U.S.C. § 371	Conspiracy to Pay Health Care Kickbacks	2

SEALED

PER 18 U.S.C. 3170

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: INFORMATION INDICTMENT

Matter Sealed: Juvenile Other than Juvenile
 Pre-Indictment Plea Superseding Defendant Added
 Indictment Charges/Counts Added
 Information

Name of District Court, and/or Judge/Magistrate Location (City)

UNITED STATES DISTRICT COURT **EASTERN**
 DISTRICT OF LOUISIANA Divisional Office

Name and Office of Person Furnishing Information on THIS FORM: J. Chauvin
 U.S. Atty Other U.S. Agency
 Phone No. (504) 680-3000
 Name of Asst. U.S. Attorney (if assigned): Patrice Harris Sullivan

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)
U.S. Dept. of Health & Human Services, SA Matt Presley

person is awaiting trial in another Federal or State Court (give name of court)

this person/proceeding transferred from another district per (circle one) FRCP 20, 21 or 40. Show District

this is a re prosecution of charges previously dismissed which were dismissed on motion of:
 U.S. Atty Defense

this prosecution relates to a pending case involving this same defendant. (Notice of Related Case must still be filed with the Clerk.)

prior proceedings or appearance(s) before U.S. Magistrate Judge regarding this defendant were recorded under

SHOW DOCKET NO.

MAG. JUDGE CASE NO.

Place of offense: Orleans Parish County

CASE NO.

USA vs.

15-153

Defendant: Samuel Kim

SECT. L MAG. 5

Address:

Interpreter Required Dialect: _____

Birth Date 1975 Male Alien
 Female (if applicable)

Social Security Number xxx-xx-7607

DEFENDANT

Issue: Warrant Summons

Location Status:

Arrest Date _____ or Date Transferred to Federal Custody _____

- Currently in Federal Custody
- Currently in State Custody
- Writ Required
- Currently on bond
- Fugitive

Defense Counsel (if any): _____

- FPD CJA RET'D
- Appointed on Target Letter

This report amends AO 257 previously submitted

OFFENSE CHARGED - U.S.C. CITATION - STATUTORY MAXIMUM PENALTIES - ADDITIONAL INFORMATION OR COMMENTS

Total # of Counts 8

Set	Title & Section/Offense Level (Petty = 1 / Misdemeanor = 3 / Felony = 4)	Description of Offense Charged	Count(s)
4	18 U.S.C. § 371	Conspiracy to Pay Health Care Kickbacks	2

SEALED

TO: DOCKET CLERK

15-153

SECT. L MAG. 5

MAGISTRATE CASE NUMBER

OR

XNO MAGISTRATE PAPERS WERE FOUND

for

NAME: GEOFFREY RICKETTS, MARLA

RICKETTS, SUNYUP KIM, SAMUEL KIM

Initials: TBL

If you receive this note without any initials,
please return the entire packet to criminal desk .

Thank you

UNITED STATES DISTRICT COURT

Eastern District of Louisiana

UNITED STATES OF AMERICA

v.

GEOFFREY RICKETTS

JUDGMENT IN A CRIMINAL CASE

Case Number: 15-153 "L"

USM Number: 69167-112

Walter Becker, Jr

Defendant's Attorney

THE DEFENDANT:

pleaded guilty to count(s) 1 of the Indictment

pleaded nolo contendere to count(s) _____
which was accepted by the court.

was found guilty on count(s) _____
after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

<u>Title & Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
18:1349	CONSPIRACY TO COMMIT HEALTH CARE FRAUD		1

The defendant is sentenced as provided in pages 2 through 8 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

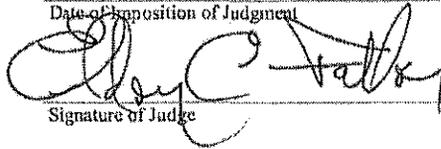
The defendant has been found not guilty on count(s) _____

Count(s) 2-7 of Indictment is are dismissed on the motion of the United States.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

11/2/2017

Date of Imposition of Judgment



Signature of Judge

Eldon E. Fallon, U.S. District Judge

Name and Title of Judge

Date

11/6/17

DEFENDANT: GEOFFREY RICKETTS
CASE NUMBER: 15-153 "L"

IMPRISONMENT

The defendant is hereby committed to the custody of the Federal Bureau of Prisons to be imprisoned for a total term of:

Forty-Six (46) months.

The court makes the following recommendations to the Bureau of Prisons:

That defendant be placed in USP Lompoc in Lompac, California.

The defendant is remanded to the custody of the United States Marshal.

The defendant shall surrender to the United States Marshal for this district:

at _____ a.m. p.m. on _____

as notified by the United States Marshal.

The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

before 12:00 Noon on 1/8/2018

as notified by the United States Marshal.

as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to _____

at _____, with a certified copy of this judgment.

UNITED STATES MARSHAL

By _____

DEPUTY UNITED STATES MARSHAL

DEFENDANT: GEOFFREY RICKETTS
CASE NUMBER: 15-153 "L"

SUPERVISED RELEASE

Upon release from imprisonment, you will be on supervised release for a term of :

Two (2) years.

MANDATORY CONDITIONS

1. You must not commit another federal, state or local crime.
2. You must not unlawfully possess a controlled substance.
3. You must refrain from any unlawful use of a controlled substance. You must submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.
 - The above drug testing condition is suspended, based on the court's determination that you pose a low risk of future substance abuse. *(check if applicable)*
4. You must make restitution in accordance with 18 U.S.C. §§ 3663 and 3663A or any other statute authorizing a sentence of restitution. *(check if applicable)*
5. You must cooperate in the collection of DNA as directed by the probation officer. *(check if applicable)*
6. You must comply with the requirements of the Sex Offender Registration and Notification Act (42 U.S.C. § 16901, *et seq.*) as directed by the probation officer, the Bureau of Prisons, or any state sex offender registration agency in the location where you reside, work, are a student, or were convicted of a qualifying offense. *(check if applicable)*
7. You must participate in an approved program for domestic violence. *(check if applicable)*

You must comply with the standard conditions that have been adopted by this court as well as with any other conditions on the attached page.

DEFENDANT: GEOFFREY RICKETTS
CASE NUMBER: 15-153 "L"

STANDARD CONDITIONS OF SUPERVISION

As part of your supervised release, you must comply with the following standard conditions of supervision. These conditions are imposed because they establish the basic expectations for your behavior while on supervision and identify the minimum tools needed by probation officers to keep informed, report to the court about, and bring about improvements in your conduct and condition.

1. You must report to the probation office in the federal judicial district where you are authorized to reside within 72 hours of your release from imprisonment, unless the probation officer instructs you to report to a different probation office or within a different time frame.
2. After initially reporting to the probation office, you will receive instructions from the court or the probation officer about how and when you must report to the probation officer, and you must report to the probation officer as instructed.
3. You must not knowingly leave the federal judicial district where you are authorized to reside without first getting permission from the court or the probation officer.
4. You must answer truthfully the questions asked by your probation officer.
5. You must live at a place approved by the probation officer. If you plan to change where you live or anything about your living arrangements (such as the people you live with), you must notify the probation officer at least 10 days before the change. If notifying the probation officer in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
6. You must allow the probation officer to visit you at any time at your home or elsewhere, and you must permit the probation officer to take any items prohibited by the conditions of your supervision that he or she observes in plain view.
7. You must work full time (at least 30 hours per week) at a lawful type of employment, unless the probation officer excuses you from doing so. If you do not have full-time employment you must try to find full-time employment, unless the probation officer excuses you from doing so. If you plan to change where you work or anything about your work (such as your position or your job responsibilities), you must notify the probation officer at least 10 days before the change. If notifying the probation officer at least 10 days in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
8. You must not communicate or interact with someone you know is engaged in criminal activity. If you know someone has been convicted of a felony, you must not knowingly communicate or interact with that person without first getting the permission of the probation officer.
9. If you are arrested or questioned by a law enforcement officer, you must notify the probation officer within 72 hours.
10. You must not own, possess, or have access to a firearm, ammunition, destructive device, or dangerous weapon (i.e., anything that was designed, or was modified for, the specific purpose of causing bodily injury or death to another person such as nunchakus or tasers).
11. You must not act or make any agreement with a law enforcement agency to act as a confidential human source or informant without first getting the permission of the court.
12. If the probation officer determines that you pose a risk to another person (including an organization), the probation officer may require you to notify the person about the risk and you must comply with that instruction. The probation officer may contact the person and confirm that you have notified the person about the risk.
13. You must follow the instructions of the probation officer related to the conditions of supervision.

U.S. Probation Office Use Only

A U.S. probation officer has instructed me on the conditions specified by the court and has provided me with a written copy of this judgment containing these conditions. For further information regarding these conditions, see *Overview of Probation and Supervised Release Conditions*, available at: www.uscourts.gov.

Defendant's Signature _____

Date _____

DEFENDANT: GEOFFREY RICKETTS
CASE NUMBER: 15-153 "L"

SPECIAL CONDITIONS OF SUPERVISION

The defendant shall provide complete access to financial information, including disclosure of all business and personal finances, to the United States Probation Officer.

The defendant shall not apply for, solicit or incur any further debt, included but not limited to loans, lines of credit or credit card charges, either as a principal or cosigner, as an individual or through any corporate entity, without first obtaining written permission from the United States Probation Officer.

The defendant shall pay any restitution that is imposed by this judgment.

The defendant shall maintain full-time, legitimate employment and not be unemployed for a term of more than 30 days unless excused for schooling, training, or other acceptable reasons. Further, the defendant shall provide documentation including, but not limited to pay stubs, contractual agreements, W-2 Wage and Earning Statements, and other documentation requested by the United States Probation Officer. If unemployed, the defendant shall participate in employment readiness programs, as approved by the probation officer.

The defendant shall obtain prior written approval from the Court before entering into any self-employment.

The defendant shall not own, directly or indirectly, or be employed, directly or indirectly, in any health care business or service, which submits claims to any private or government insurance company, without the Court's approval.

DEFENDANT: GEOFFREY RICKETTS
CASE NUMBER: 15-153 "L"

ADDITIONAL TERMS FOR CRIMINAL MONETARY PENALTIES

Payments shall be made payable to the Clerk, United States District Court, and are to be forwarded to the following address:

U.S. CLERK'S OFFICE
ATTN.: FINANCIAL SECTION
500 POYDRAS STREET
ROOM C151
NEW ORLEANS, LOUISIANA 70130

DEFENDANT: GEOFFREY RICKETTS
 CASE NUMBER: 15-153 "L"

SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

- A Lump sum payment of \$ _____ due immediately, balance due
 - not later than _____, or
 - in accordance with C, D, E, or F below; or
- B Payment to begin immediately (may be combined with C, D, or F below); or
- C Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after the date of this judgment; or
- D Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or
- E Payment during the term of supervised release will commence within _____ (e.g., 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or
- F Special instructions regarding the payment of criminal monetary penalties:

The payment of restitution shall begin while the defendant is incarcerated. Upon release, any unpaid balance shall be paid at a rate of \$200 per month. The payment is subject to increase or decrease, depending on the defendant's ability to pay.
 The special assessment of \$100.00 is due immediately.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during the period of imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

- Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- The defendant shall pay the cost of prosecution.
- The defendant shall pay the following court cost(s):
- The defendant shall forfeit the defendant's interest in the following property to the United States:

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) JVT A assessment, (8) penalties, and (9) costs, including cost of prosecution and court costs.

MULTI,PROTO

**U.S. District Court
Eastern District of Louisiana (New Orleans)
CRIMINAL DOCKET FOR CASE #: 2:15-cr-00153-EEF-MBN-1**

Case title: USA v. Ricketts et al

Date Filed: 06/11/2015

Date Terminated: 11/02/2017

Assigned to: Judge Eldon E. Fallon
Referred to: Magistrate Judge Michael
North

Defendant (1)**Geoffrey Ricketts***TERMINATED: 11/02/2017*

represented by **Walter Francis Becker , Jr.**
Chaffe McCall LLP (New Orleans)
Energy Centre
1100 Poydras St.
Suite 2300
New Orleans, LA 70163-2300
504-585-7000
Email: becker@chaffe.com
TERMINATED: 11/29/2017
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Peter G. Strasser
Chaffe McCall LLP (New Orleans)
Energy Centre
1100 Poydras St.
Suite 2300
New Orleans, LA 70163-2300
504-585-7231
Fax: 504-585-7075
Email: strasser@chaffe.com
TERMINATED: 11/29/2017
ATTORNEY TO BE NOTICED
Designation: Retained

Pending Counts

18:1349 - CONSPIRACY TO
COMMIT HEALTH CARE FRAUD
(1)

Disposition

Sentenced 11/2/17.

Highest Offense Level (Opening)

Felony

Terminated Counts

18:371 - CONSPIRACY TO PAY
HEALTH CARE KICKBACKS
(2)

18:1347 AND 18:2 - HEALTH CARE
FRAUD AND AIDING AND
ABETTING
(3-7)

Disposition

Dismissed 11/2/17.

Dismissed 11/2/17.

Highest Offense Level (Terminated)

Felony

Complaints

None

Disposition**Plaintiff**

USA

represented by **Patrice Harris Sullivan**
U. S. Attorney's Office (New Orleans)
650 Poydras St.
Suite 1600
New Orleans, LA 70130
504-680-3000
Email: patrice.sullivan@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Assistant U.S. Attorney

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New Orleans, LA 70130
(504) 680-3121
Fax: (504) 589-4510
Email: jordan.ginsberg@usdoj.gov
ATTORNEY TO BE NOTICED
Designation: Assistant U.S. Attorney

William G. Kanellis
U. S. Department of Justice

Asset Forfeiture Office
 1400 New York Ave., N.W.
 Washington, DC 20005
 202-674-5661
 Email: william.kanellis2@usdoj.gov
ATTORNEY TO BE NOTICED
 Designation: Assistant U.S. Attorney

Date Filed	#	Docket Text
06/11/2015	<u>1</u>	INDICTMENT as to Geoffrey Ricketts (1) count(s) 1, 2, 3-7, Marla Ricketts (2) count(s) 1, 8, Sunyup Kim (3) count(s) 1, 2, Samuel Kim (4) count(s) 1, 2. (Attachments: # <u>1</u> Criminal Defendant Information Sheet, # <u>2</u> Criminal Magistrate Case Sheet) (plh) (Entered: 06/15/2015)
06/11/2015	<u>3</u>	EXPARTE/CONSENT MOTION to Seal Indictment by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (plh) (Entered: 06/15/2015)
06/11/2015	<u>4</u>	ORDER granting <u>3</u> Motion to Seal Indictment as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4). Signed by Magistrate Judge Karen Wells Roby on 6/11/15. (plh) (Entered: 06/15/2015)
06/17/2015	<u>6</u>	EXPARTE/CONSENT MOTION to Unseal Case by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion(s) referred to Joseph C. Wilkinson, Jr. (bbc) (Entered: 06/17/2015)
06/17/2015	<u>7</u>	ORDER granting <u>6</u> Motion to Unseal Case as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4). Signed by Magistrate Judge Joseph C. Wilkinson, Jr on 6/16/15. (bbc) (Entered: 06/17/2015)
07/02/2015	<u>33</u>	Minute Entry for proceedings held before Magistrate Judge Michael North:Initial Appearance as to Geoffrey Ricketts held on 7/2/2015. Appearance entered by Walter Francis Becker, Jr for Geoffrey Ricketts. Defendant released. (Court Reporter Magistrate Clerical.) (plh) (Entered: 07/02/2015)
07/02/2015	<u>34</u>	Minute Entry for proceedings held before Magistrate Judge Michael North:Arraignment as to Geoffrey Ricketts (1) Count 1,2,3-7 held on 7/2/2015. Defendant released. Pretrial Conference set for 8/31/2015 01:30 PM before Judge Eldon E. Fallon. Jury Trial set for 9/8/2015 08:30 AM before Judge Eldon E. Fallon. (Court Reporter Magistrate Clerical.) (plh) (Entered: 07/02/2015)
07/06/2015	<u>35</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Jury Trial set for 9/8/2015 08:30 AM before Judge Eldon E. Fallon. Pretrial Conference set for 8/31/2015 01:30 PM before Judge Eldon E. Fallon. (dno) (Entered: 07/06/2015)
07/07/2015	<u>36</u>	EXPARTE/CONSENT MOTION to Amend/Correct <i>Pre-Trial Release Reporting Instructions</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 2, # <u>4</u> Exhibit 3, # <u>5</u> Exhibit 4)(Becker, Walter) (Entered: 07/07/2015)

07/13/2015	<u>37</u>	ORDER granting <u>36</u> Defendant's Motion to Amend the Pre-Trial Release Reporting Instructions to permit defendant to have contact with his co-defendants and withing the Continental United States with notice to U.S. Pre-Trial Services Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 7/10/15. (dno) (Entered: 07/13/2015)
07/15/2015	<u>38</u>	EXPARTE/CONSENT MOTION to Enroll Peter G. Strasser as Counsel of Record by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) (Entered: 07/15/2015)
07/16/2015	<u>39</u>	EXPARTE/CONSENT MOTION for Protective Order <i>Governing the Disclosure of Protected Health Information</i> by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Exhibit A, # <u>3</u> Proposed Order)(Sullivan, Patrice) (Entered: 07/16/2015)
07/17/2015	<u>40</u>	ORDER granting <u>38</u> Motion for Attorney Peter G. Strasser to Enroll as Additional Counsel of Record for Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 7/16/15. (dno) (Entered: 07/17/2015)
07/22/2015	<u>41</u>	EXPARTE/CONSENT Amended MOTION for Protective Order by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Exhibit A, # <u>3</u> Attachment A, # <u>4</u> Proposed Order)(Sullivan, Patrice) (Entered: 07/22/2015)
07/24/2015	<u>42</u>	PROTECTIVE ORDER <u>39</u> <u>41</u> as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4) regarding individually identifiable health information and protected medical information in the government's possession. Signed by Judge Eldon E. Fallon on 7/23/15. (Attachments: # <u>1</u> Attachment A) (dno) (Entered: 07/24/2015)
08/12/2015	<u>43</u>	Request for Subpoenas by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. 30 subpoenas issued (Sullivan, Patrice) (Entered: 08/12/2015)
08/12/2015	<u>44</u>	MOTION for Discovery <i>and Inspection</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion referred to Magistrate Judge Michael North. Motion set for 9/16/2015 11:00 AM before Magistrate Judge Michael North. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Exhibit 1, # <u>3</u> Notice of Hearing, # <u>4</u> Exhibit Local Criminal Rule 12 Certificate)(Becker, Walter) (Additional attachment(s) added on 8/13/2015: # <u>5</u> Proposed Order) (plh). Modified on 8/13/2015 (plh). (Entered: 08/12/2015)
08/12/2015	<u>45</u>	MOTION for Disclosure of <i>Jencks Act Material</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion referred to Magistrate Judge Michael North. Motion set for 9/16/2015 11:00 AM before Magistrate Judge Michael North. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Proposed Order, # <u>3</u> Notice of Hearing)(Becker, Walter) Modified on 8/13/2015 (plh). (Entered: 08/12/2015)
08/12/2015	<u>46</u>	MOTION for Disclosure <i>and Interview of Confidential Informants</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion referred to Magistrate Judge Michael North. Motion set for 9/16/2015 11:00 AM before

		Magistrate Judge Michael North. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Notice of Hearing)(Becker, Walter) Modified on 8/13/2015 (plh). (Entered: 08/12/2015)
08/12/2015	<u>47</u>	MOTION to Compel <i>Return of Privileged Documents</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion referred to Magistrate Judge Michael North. Motion set for 9/16/2015 11:00 AM before Magistrate Judge Michael North. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Exhibit 1, # <u>3</u> Proposed Order, # <u>4</u> Notice of Hearing)(Becker, Walter) Modified on 8/13/2015 (plh). (Entered: 08/12/2015)
08/13/2015	<u>48</u>	EXPARTE/CONSENT MOTION to Continue <i>Pre-Trial Conference and Trial</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) (Entered: 08/13/2015)
08/14/2015	<u>49</u>	ORDER SETTING ORAL ARGUMENT on <u>45</u> MOTION for Disclosure of <i>Jencks Act Material</i> , <u>46</u> MOTION for Disclosure and Interview of Confidential Informants, <u>47</u> MOTION to Compel <i>Return of Privileged Documents</i> , <u>44</u> MOTION for Discovery and Inspection for 9/16/2015 11:00 AM before Magistrate Judge Michael North. Signed by Magistrate Judge Michael North on 8/13/2015. (bwn) (Entered: 08/14/2015)
08/17/2015	<u>50</u>	ORDER TO CONTINUE - Ends of Justice as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. FURTHER ORDERED that the trial scheduled for 9/8/2015 at 8:30 a.m. be continued and that the pretrial conference currently scheduled for 8/31/2015 at 1:30 p.m. be converted into a Status Conference set for 8/31/2015 01:30 PM before Judge Eldon E. Fallon. Signed by Judge Eldon E. Fallon on 8/14/15. (plh) (Entered: 08/17/2015)
08/31/2015	<u>54</u>	Minute Entry for proceedings held before Judge Eldon E. Fallon: Status Conference as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim held on 8/31/2015. ORDERED: Telephone Status Conference set for 11/2/2015 01:30 PM before Judge Eldon E. Fallon. Pretrial Conference set for 4/4/2016 01:30 PM before Judge Eldon E. Fallon. Jury Trial set for 4/18/2016 08:30 AM before Judge Eldon E. Fallon. (blg) (Entered: 09/01/2015)
09/03/2015	<u>55</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Jury Trial set for 4/18/2016 08:30 AM before Judge Eldon E. Fallon. Pretrial Conference set for 4/4/2016 01:30 PM before Judge Eldon E. Fallon. (dno) (Entered: 09/03/2015)
09/08/2015	<u>56</u>	Response/Memorandum in Opposition by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim re <u>47</u> MOTION to Compel <i>Return of Privileged Documents</i> (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F, # <u>7</u> Exhibit G, # <u>8</u> Exhibit H, # <u>9</u> Exhibit I, # <u>10</u> Exhibit J, # <u>11</u> Exhibit K, # <u>12</u> Exhibit L)(Sullivan, Patrice) (Entered: 09/08/2015)
09/08/2015	<u>57</u>	Response/Memorandum in Opposition by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim re <u>46</u> MOTION for Disclosure and Interview of Confidential Informants (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4)(Sullivan, Patrice) (Entered: 09/08/2015)

09/08/2015	<u>58</u>	Response/Memorandum in Opposition by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim re <u>44</u> MOTION for Discovery and Inspection (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2)(Sullivan, Patrice) (Entered: 09/08/2015)
09/08/2015	<u>59</u>	Response/Memorandum in Opposition by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim re <u>45</u> MOTION for Disclosure of Jencks Act Material (Sullivan, Patrice) (Entered: 09/08/2015)
09/14/2015	<u>60</u>	EXPARTE/CONSENT MOTION for Leave to File <i>Defendants' Reply Memorandum to Government's Memorandum in Opposition to Defendants' Motion to Compel Return of Privileged Documents</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion(s) referred to Michael North (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Proposed Pleading)(Becker, Walter) Modified on 9/15/2015 (cml). (Entered: 09/14/2015)
09/16/2015	<u>61</u>	ORDER granting <u>60</u> Motion for Leave to File Reply Memorandum to Government's Memorandum in Opposition to Defendants' Motion to Compel Return of Privileged Documents as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4). Signed by Magistrate Judge Michael North on 9/15/2015. (bwn) (Entered: 09/16/2015)
09/16/2015	<u>62</u>	REPLY TO RESPONSE to Motion by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim re <u>47</u> MOTION to Compel <i>Return of Privileged Documents</i> . (bwn) (Entered: 09/16/2015)
09/16/2015	<u>64</u>	Minute Entry for proceedings held before Magistrate Judge Michael North: Motion Hearing as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim held on 9/16/2015 re <u>46</u> MOTION for Disclosure and Interview of Confidential Informants, <u>44</u> MOTION for Discovery and Inspection, <u>47</u> MOTION to Compel <i>Return of Privileged Documents</i> , <u>45</u> MOTION for Disclosure of Jencks Act Material. Order and Reasons shall be issued separately after todays proceedings are transcribed. (Court Reporter Jodi Simcox.) (bwn) (Entered: 11/16/2015)
11/02/2015	<u>63</u>	Minute Entry for proceedings held before Judge Eldon E. Fallon: A Status Conference as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim was held on 11/2/2015. The parties discussed the status of the case and discovery. IT IS ORDERED that the parties will contact the Court and request a status conference should the need for a conference arise. (dno) (Entered: 11/02/2015)
11/23/2015	<u>65</u>	TRANSCRIPT of Motion Hearing as to Geoffrey Ricketts held on September 16, 2015 before Judge Michael B. North. Court Reporter/Recorder Jodi Simcox, Telephone number 504-589-7780. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Recorder before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Parties have 21 days from the filing of this transcript to file with the Court a Redaction Request. Release of Transcript Restriction set for 2/22/2016. (rsg) (Entered: 11/23/2015)
11/30/2015	<u>66</u>	

		EXPARTE/CONSENT MOTION to Adopt <i>Scheduling Order</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Proposed Pleading)(Becker, Walter) Modified text on 12/1/2015 (cml). (Entered: 11/30/2015)
12/02/2015	<u>67</u>	ORDER granting <u>66</u> Motion to Adopt a Scheduling Order as to Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 12/1/15. (dno) (Entered: 12/02/2015)
12/03/2015	<u>68</u>	SCHEDULING ORDER as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Signed by Judge Eldon E. Fallon on 12/2/15. (dno) (Entered: 12/03/2015)
12/29/2015	<u>69</u>	ORDER AND REASONS granting <u>44</u> Motion for Discovery as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4); denying <u>45</u> Motion for Disclosure as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4); denying <u>46</u> Motion for Disclosure as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4); granting <u>47</u> Motion to Compel as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4). Signed by Magistrate Judge Michael North on 12/29/2015. (cms) (Entered: 12/29/2015)
01/12/2016	<u>70</u>	EXPARTE/CONSENT MOTION to Continue <i>Deadline to Return Allegedly Privileged Documents (Filed Jointly)</i> by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (Attachments: # <u>1</u> Proposed Order) (Sullivan, Patrice) (Entered: 01/12/2016)
01/12/2016	<u>71</u>	EXPARTE/CONSENT MOTION for Extension of Time to File <i>Appeal of Magistrate Judge's Order (Doc. 69) (Filed Jointly)</i> by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (Attachments: # <u>1</u> Proposed Order)(Sullivan, Patrice) (Entered: 01/12/2016)
01/13/2016	<u>72</u>	ORDER ON MOTION re <u>70</u> Motion to Continue Deadline to Return Allegedly Privileged Document as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4). Signed by Magistrate Judge Michael North. (bwn) (Entered: 01/13/2016)
01/14/2016	<u>73</u>	ORDER granting <u>71</u> Motion for Extension of Time to File as to Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3), Samuel Kim (4). Signed by Judge Eldon E. Fallon. (cml) (Entered: 01/14/2016)
01/19/2016	<u>74</u>	MOTION for Hearing / <i>Status Conference</i> by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion(s) referred to Michael North Motion set for 2/3/2016 11:00 AM before Magistrate Judge Michael North. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Exhibit A, # <u>3</u> Exhibit B, # <u>4</u> Notice of Hearing)(Sullivan, Patrice) Modified on 1/20/2016 (cml). (Entered: 01/19/2016)
01/19/2016	<u>75</u>	EXPARTE/CONSENT MOTION to Expedite <i>Hearing on Motion Requesting Status Conference</i> by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Motion(s) referred to Michael North (Attachments: # <u>1</u> Proposed Order)(Sullivan, Patrice) Modified on 1/20/2016 (cml). (Entered: 01/19/2016)

01/21/2016	<u>76</u>	ORDER ON MOTION re <u>74</u> MOTION for Hearing / <i>Status Conference</i> ; GRANTED; <u>75</u> MOTION to Expedite <i>Hearing on Motion Requesting Status Conference</i> : DISMISSED AS MOOT. Signed by Magistrate Judge Michael North on 1/20/2016. (bwn) (Entered: 01/21/2016)
01/26/2016	<u>77</u>	Minute Entry for proceedings held before Magistrate Judge Michael North: Status Conference as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim held on 1/26/2016 (bwn) (Entered: 01/26/2016)
02/11/2016	<u>78</u>	EXPARTE/CONSENT MOTION to Continue <i>Pre-Trial Deadlines, Conference and Trial</i> by Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. (Attachments: # <u>1</u> Proposed Order)Attorney Brian J. Capitelli added to party Geoffrey Ricketts(pty:dft), Attorney Brian J. Capitelli added to party Marla Ricketts(pty:dft), Attorney Brian J. Capitelli added to party Sunyup Kim(pty:dft).(Capitelli, Brian) Modified on 2/12/2016 (gec). (Entered: 02/11/2016)
02/12/2016	<u>79</u>	Correction of Docket Entry by Clerk re <u>78</u> MOTION to Continue <i>Pre-Trial Deadlines, Conference and Trial</i> . Filing attorney incorrectly created association with Geoffrey Ricketts, Marla Ricketts, Sunyup Kim. When filing a joint motion, be sure to UNCHECK THE ASSOCIATION FOR ANY PARTY YOU DO NOT REPRESENT at the prompt, "The following attorney/party associations do not exist for the above case(s)...". Clerk took corrective action. (gec) (Entered: 02/12/2016)
02/17/2016	<u>80</u>	ORDERED that the parties participate in a telephone status conference on Tuesday, February 23, 2016, at 1:30 p.m. Counsel should be prepared to discuss the motions to continue recently filed in this matter. Counsel shall use the following call-in information: (877) 336-1839; Access code: 4227405; Security code: 022316. Signed by Judge Eldon E. Fallon on 2/12/2016. (cms) (Entered: 02/17/2016)
02/17/2016	<u>81</u>	ORDER - Due to a conflict in the court's schedule, IT IS ORDERED that the parties participate in a telephone status conference on Tuesday, February 23, 2016, at 3:30 p.m. The telephone status conference set for 1:30 p.m. on Tuesday, February 23, 2016, is therefore CONTINUED. Counsel should be prepared to discuss the motions to continue recently filed in this matter. Counsel shall use the following call-in information: (877) 336-1839; Access code: 4227405; Security code: 022316. Signed by Judge Eldon E. Fallon on 2/16/2016. (cms) (Entered: 02/17/2016)
02/24/2016	<u>82</u>	Minute Entry for proceedings held before Judge Eldon E. Fallon:Status Conference as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim held on 2/24/2016. ORDERED that the parties will attend a status conference on Tuesday, April 19,2016, at 1:30 p.m. IT IS FURTHER ORDERED that the trial and pretrial set for April 4, 2016, are hereby CONTINUED. Trial is set for October 17, 2016, at 8:30 a.m. The pretrial conference is set for October 11, 2016, at 1:30 p.m. FURTHER ORDERED that the clerk will publish an updated scheduling order appropriate for the new trial dates in this matter (cml) (Entered: 02/29/2016)
03/01/2016	<u>83</u>	

		NOTICE OF HEARING as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Jury Trial reset for 10/17/2016 08:30 AM before Judge Eldon E. Fallon. Pretrial Conference reset for 10/11/2016 01:30 PM before Judge Eldon E. Fallon. (dno) (Entered: 03/01/2016)
03/04/2016	<u>84</u>	ORDER ON STATUS CONFERENCE as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim re <u>77</u> Status Conference. Signed by Magistrate Judge Michael North. (bwn) (Entered: 03/04/2016)
03/14/2016	<u>85</u>	EXPARTE/CONSENT MOTION to Travel by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Exhibit 1-4)(Becker, Walter) (Entered: 03/14/2016)
03/15/2016	<u>86</u>	ORDER granting <u>85</u> Defendant's Motion to Travel as to Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 3/15/16. (dno) (Entered: 03/15/2016)
03/16/2016	<u>87</u>	EXPARTE/CONSENT MOTION to Travel to <i>Amend Order Granting Permission to Travel</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Exhibit 1)(Becker, Walter) (Entered: 03/16/2016)
03/17/2016	<u>88</u>	ORDER granting <u>87</u> Amended Motion to Travel as to Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 3/16/2016. (lag) (Entered: 03/17/2016)
03/31/2016	<u>89</u>	ORDER as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim - The Court finds it appropriate to grant the parties the opportunity to revise the scheduling order in this criminal matter, rather than formulaically updating the joint scheduling order submitted by the parties in December, 2015 <u>68</u> . IT IS ORDERED that the parties shall meet and confer regarding a proposed scheduling order on or before April 8, 2016. IT IS FURTHER ORDERED that the parties shall submit a proposed scheduling order to the Court on or before April 12, 2016. If the parties cannot agree, the parties shall instead move to set a status conference for the purpose of setting a scheduling order. Signed by Judge Eldon E. Fallon on 3/29/16. (dno) (Entered: 03/31/2016)
04/11/2016	<u>90</u>	EXPARTE/CONSENT MOTION To Adopt a Scheduling Order by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Proposed Pleading)(Becker, Walter) (Entered: 04/11/2016)
04/13/2016	<u>91</u>	SCHEDULING ORDER. Signed by Judge Eldon E. Fallon. (cml) (Entered: 04/13/2016)
04/13/2016	<u>92</u>	ORDER as to Geoffrey Ricketts - the <u>90</u> MOTION To Adopt a Scheduling Order filed by Geoffrey Ricketts is GRANTED. Signed by Judge Eldon E. Fallon on 4/13/16. (dno) (Entered: 04/13/2016)
04/18/2016	<u>93</u>	ORDER: The parties have alerted the Court that the status conference set for April 19, 2016, at 1:30 p.m. is no longer necessary. Therefore, IT IS ORDERED that the telephone status conference set for April 19, 2016, at 1:30 is hereby CANCELED. Signed by Judge Eldon E. Fallon. (cml) (Entered: 04/18/2016)
09/07/2016	<u>94</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Change of Plea Hearing set for 10/5/2016 02:00 PM before Judge Eldon E. Fallon. (dno) (Entered: 09/07/2016)

10/05/2016	<u>95</u>	Minute Entry for proceedings held before Judge Eldon E. Fallon:aRearraignment for Geoffrey Ricketts (1), Marla Ricketts (2), Sunyup Kim (3) Count 1 and Samuel Kim (4) as to Count 1 of the Indictment was held on 10/5/2016. Sentencing set for 1/5/2017 02:00 PM before Judge Eldon E. Fallon. (Court Reporter Mary Thompson.) (dno) (Entered: 10/05/2016)
10/05/2016	<u>96</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim. Sentencing set for 1/5/2017 02:00 PM before Judge Eldon E. Fallon. (dno) (Entered: 10/05/2016)
10/05/2016	<u>97</u>	PLEA AGREEMENT by USA as to Geoffrey Ricketts (cms) (Entered: 10/06/2016)
10/05/2016	<u>101</u>	Factual Basis by USA as to Geoffrey Ricketts, Marla Ricketts, Sunyup Kim, Samuel Kim (cms) (Entered: 10/06/2016)
12/01/2016	<u>103</u>	DRAFT PRESENTENCE INVESTIGATION REPORT as to Geoffrey Ricketts. NOTE: This document will only be accessible to the government attorney and the attorney for the applicable defendant. The judge in this case, other defendants and the general public will NOT be able to view this draft document. Parties should submit objections directly to the Probation Office not more than 14 days after the initial disclosure of the presentencing report. (Branch, Jason) (Entered: 12/01/2016)
12/13/2016	<u>106</u>	EXPARTE/CONSENT MOTION to Continue <i>Sentencing</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) (Entered: 12/13/2016)
12/19/2016	<u>111</u>	ORDER granting <u>106</u> Motion to Continue Sentencing as to Geoffrey Ricketts (1). Sentencing set for 4/6/2016 02:00 PM before Judge Eldon E. Fallon. Signed by Judge Eldon E. Fallon on 12/16/2016. (cms) (Entered: 12/20/2016)
12/22/2016	<u>117</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Sunyup Kim, Samuel Kim. Sentencing set for 4/6/2017 02:00 PM before Judge Eldon E. Fallon. (dno) (Entered: 12/22/2016)
03/15/2017	<u>125</u>	EXPARTE/CONSENT MOTION to Continue <i>Sentencing</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) (Entered: 03/15/2017)
03/17/2017	<u>130</u>	ORDER granting <u>125</u> Motion to Continue Sentencing as to Geoffrey Ricketts (1). Sentencing reset for 6/22/2017 at 2:00 PM before Judge Eldon E. Fallon. Signed by Judge Eldon E. Fallon. (bwn) (Entered: 03/17/2017)
03/17/2017	<u>132</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Samuel Kim. Sentencing set for 6/22/2017 02:00 PM before Judge Eldon E. Fallon. (dno) (Entered: 03/17/2017)
06/06/2017	<u>136</u>	EXPARTE/CONSENT MOTION to Continue <i>Sentencing</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) (Entered: 06/06/2017)
06/07/2017	<u>142</u>	ORDER granting <u>136</u> Motion to Continue Sentencing as to Geoffrey Ricketts (1). Sentencing continued for ninety (90) days until 9/7/2017 02:00 PM before

		Judge Eldon E. Fallon. Signed by Judge Eldon E. Fallon on 6/6/2017. (cms) (Entered: 06/07/2017)
06/07/2017	<u>144</u>	NOTICE OF HEARING as to Geoffrey Ricketts, Sunyup Kim, Samuel Kim. Sentencing set for 9/7/2017 02:00 PM before Judge Eldon E. Fallon. (dno) (Entered: 06/07/2017)
06/12/2017	<u>145</u>	EXPARTE/CONSENT MOTION to Amend/Correct <i>Order Setting Conditions of Release</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Exhibit)(Becker, Walter) (Entered: 06/12/2017)
06/19/2017	<u>146</u>	ORDERED that the conditions of bond for Geoffrey Ricketts will be amended to remove the affidavit of surety by the Defendant's sister with the full deeding of her home at 115 Waterview Street in Plaja Del Ray, California after the Defendant has perfected an amended bond with the Court which issued the original bond. Signed by Judge Eldon E. Fallon on 6/19/2017. (cms) (Entered: 06/19/2017)
06/30/2017	<u>148</u>	ORDER- As previously ordered, the Court finds it appropriate to issue new bond conditions for Geoffrey Ricketts. Specifically, the previous affidavit of surety by the Defendant's sister with the full deeding of her home at 115 Waterview Street in Plaja Del Ray, California is hereby removed as a condition of the bond. IT IS ORDERED that the conditions of Geoffrey Ricketts' bond will be amended as set forth in order. Signed by Judge Eldon E. Fallon on 6/29/2017. (cms) (Entered: 06/30/2017)
07/03/2017	<u>149</u>	ORDER-The Court is in receipt of the amended bond papers from Central District of California signed by defendant Geoffrey Ricketts in the amount of \$100,000.00 unsecured. The Court is also in receipt of the Conditions of Release signed by defendant. Signed by Magistrate Judge Karen Wells Roby on 7/3/2017. (cms) (Entered: 07/05/2017)
07/03/2017	<u>150</u>	Unsecured Appearance Bond Set & Executed as to Geoffrey Ricketts in amount of \$ 100,000.00. Defendant Released. (cms) (Entered: 07/05/2017)
07/03/2017	<u>151</u>	ORDER Setting Conditions of Release as to Geoffrey Ricketts (1). Signed by Magistrate Judge Karen Wells Roby on 7/3/2017. (cms) (Entered: 07/05/2017)
07/11/2017	<u>152</u>	ORDER-The Court is in receipt of the original amended bond papers (see Record Doc. No. 150 and 151) from the Central District of California signed by defendant Geoffrey Ricketts. Signed by Magistrate Judge Karen Wells Roby on 7/11/2017. (Attachments: # <u>1</u> Original Amended Bond Papers) (cms) (Entered: 07/12/2017)
08/25/2017	<u>155</u>	EXPARTE/CONSENT MOTION to Continue <i>Sentencing</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) Modified text on 8/28/2017 (cms). (Entered: 08/25/2017)
08/28/2017	<u>156</u>	Correction of Docket Entry by Clerk re <u>155</u> MOTION to Continue Sentencing; Filing attorney should have selected 'Yes' at the question 'Is this an Exparte/Consent Motion Y/N?'. Exparte/Consent motions are not set for hearing. Clerk has removed hearing date. (cms) (Entered: 08/28/2017)
08/29/2017	<u>157</u>	

		ORDER granting <u>155</u> Motion to Continue Sentencing as to Geoffrey Ricketts (1); Sentencing set for 11/2/2017 02:00 PM before Judge Eldon E. Fallon. Signed by Judge Eldon E. Fallon on 8/28/2017. (cms) (Entered: 08/29/2017)
08/29/2017	<u>161</u>	NOTICE OF HEARING as to Geoffrey Ricketts. Sentencing set for 11/2/2017 02:00 PM before Judge Eldon E. Fallon. (dno) (Entered: 08/29/2017)
10/24/2017	<u>174</u>	**WITHDRAWN PER DOC. #180**EXPARTE/CONSENT MOTION FOR A DOWNWARD VARIANCE by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 2, # <u>4</u> Exhibit 3, # <u>5</u> Exhibit 4, # <u>6</u> Exhibit 5, # <u>7</u> Exhibit 6)(Becker, Walter) Modified on 10/27/2017 (cms). (Entered: 10/24/2017)
10/25/2017	<u>175</u>	EXPARTE/CONSENT MOTION to Withdraw Document <i>and Substitute Motion for Downward Variance</i> by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Proposed Pleading, # <u>3</u> Proposed Order, # <u>4</u> Exhibit 1, # <u>5</u> Exhibit 2, # <u>6</u> Exhibit 3)(Becker, Walter) (Entered: 10/25/2017)
10/26/2017	<u>176</u>	FINAL PRESENTENCE INVESTIGATION REPORT as to Geoffrey Ricketts. NOTE: This document will only be accessible to the District Judge, the government attorney and the attorney for the applicable defendant. Other defendants in the case and the general public will NOT be able to view this document. (Coleman, Tracy) (Entered: 10/26/2017)
10/26/2017	<u>177</u>	SENTENCING RECOMMENDATION as to Geoffrey Ricketts. NOTE: This document will only be accessible to the District Judge. (Attachments: # <u>1</u> Surrender Order)(Coleman, Tracy) (Entered: 10/26/2017)
10/27/2017	<u>180</u>	ORDER granting <u>175</u> Motion to Withdraw Document re <u>174</u> Motion for Downward Variance as to Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 10/26/2017. (cms) (Entered: 10/27/2017)
11/02/2017	<u>187</u>	Minute Entry for proceedings held before Judge Eldon E. Fallon: Sentencing held on 11/2/2017 for Geoffrey Ricketts (1), Count(s) 1, Sentenced 11/2/17; Count(s) 2, 3-7, Dismissed 11/2/17. Defendant is ORDERED to self-surrender on or before 12:00 Noon on January 8, 2018. (Court Reporter Jodi Simcox.) (ecm) (Entered: 11/02/2017)
11/02/2017	<u>189</u>	ORDER TO SURRENDER as to Geoffrey Ricketts on or before 12:00 Noon on January 8, 2018. Signed by Judge Eldon E. Fallon on 11/2/17. (1cc: AUSA, 1cc: USM, 2cc: USP) (ecm) (Entered: 11/03/2017)
11/02/2017	<u>191</u>	JUDGMENT as to Geoffrey Ricketts (1), Count(s) 1, Sentenced 11/2/17; Count(s) 2, 3-7, Dismissed 11/2/17. Signed by Judge Eldon E. Fallon on 11/6/2017. (cms) (Entered: 11/07/2017)
11/27/2017	<u>193</u>	EXPARTE/CONSENT MOTION to Withdraw as Attorney by Walter F. Becker, Jr. and Peter G. Strasser. by Geoffrey Ricketts. (Attachments: # <u>1</u> Proposed Order)(Becker, Walter) (Entered: 11/27/2017)
11/29/2017	<u>194</u>	ORDER granting <u>193</u> Motion to Withdraw as Attorney. Walter Francis Becker, Jr and Peter G. Strasser withdrawn from case as to Geoffrey Ricketts (1). Signed by Judge Eldon E. Fallon on 11/28/2017. (cms) (Entered: 11/30/2017)

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