

**State of California, Department of Industrial Relations  
Division of Workers' Compensation  
Workers' Compensation Information System (WCIS)**

**Table 9: FROI and SROI Data Summary, by Year of Injury, 2000 - 2016**

TABLE	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	TOTAL
TABLE 10 - FROI, TOTAL REPORTED INJURIES	759,453	963,977	925,769	880,285	834,841	783,681	778,037	748,885	691,831	606,342	604,861	597,747	610,706	611,340	629,670	632,496	621,196	12,281,117
TABLE 11 - SROI, TOTAL REPORTED MEDICAL ONLY	179,238	300,886	301,022	267,976	276,134	276,313	271,353	265,022	230,657	212,984	218,960	215,979	212,662	214,895	233,044	239,125	234,047	4,150,297
TABLE 12 - SROI, TOTAL REPORTED INDEMNITY	116,183	217,610	219,139	214,337	185,173	163,919	155,797	149,626	141,917	127,533	131,949	130,862	133,363	131,877	131,526	129,497	116,539	2,596,847
TABLE 12a - SROI Indemnity Claims, No Medical	24,620	33,169	27,406	28,733	25,356	20,649	20,647	21,445	19,710	13,940	14,786	15,040	16,788	15,642	14,556	14,169	17,443	344,099
TABLE 12b - SROI Indemnity Claims, With Medical	91,563	184,441	191,733	185,604	159,817	143,270	135,150	128,181	122,207	113,593	117,163	115,822	116,575	116,235	116,970	115,328	99,096	2,252,748
TABLE 13 - TOTAL DENIED CLAIMS	28,654	47,974	53,694	58,392	55,086	54,014	53,788	57,444	58,249	58,992	59,622	61,671	62,391	65,823	68,997	74,587	67,178	986,556
TABLE 14 - TOTAL OTHER CLAIMS (NOC)	435,378	397,507	351,914	339,580	318,448	289,435	297,099	276,793	261,008	206,833	194,330	189,235	202,290	198,745	196,103	189,287	203,432	4,547,417

**First Report of Injury (FROI)** -- Injured workers' claims based upon the reported calendar year of injury from 2000 - 2016 using DN 31 (date of injury). These are unique counts of Jurisdiction Claim Numbers (DN5) processed in the Workers' Compensation Information System (WCIS).

**Subsequent Report of Injury (SROI), Medical Only** -- These are claims with the following benefit type codes (DN 95 - Paid To Date/Reduced Earnings/Recoveries): Payments to Physicians (350), Hospital Cost (360), Other Medical Cost (370), Unallocated Prior Medical (440), Compromised Medical (501), Pharmaceutical (450), and Physical Therapy (460). Medical-only SROI counts exclude both denials and SROI claims with indemnity payments (\$ > 0). Medical-only claims include those with reported benefit payments (\$ > 0).

**Subsequent Report of Injury (SROI), Indemnity** -- These claims are defined as those with the following benefit type codes (DN 85 - Payment/Adjustment):

- **Specific Permanent Disability (PD) Claims** -- Permanent Total (020), Permanent Total Supplemental (021), Permanent Partial Scheduled (030), Permanent Partial Unscheduled (040), Permanent Partial Disfigurement (090), and Fatal (010);
- **Specific Temporary Disability (TD) Claims** -- Temporary Total (050), Temporary Total Catastrophic (051), Temporary Partial (070), Employers Liability (080), and Employer Paid (240);
- **Supplemental Job Displacement Benefit (SJDB) Claims** -- Vocational Rehabilitation Maintenance (410); Vocational Rehabilitation Evaluation Paid to Date (380), Vocational Rehabilitation Education Paid to Date (390), and Other Vocational Rehabilitation Paid to Date (400); and
- **Compromised Indemnity Claims** -- Unspecified (500), Fatal (510), Permanent Total (520), Permanent Total Supplemental (521), Employer Paid (524), Permanent Partial Scheduled (530), Permanent Partial Unscheduled (540), Vocational Rehabilitation Maintenance (541), Temporary Total (550), Temporary Total Catastrophic (551), Temporary Partial (570), Employers Liability (580), and Permanent Partial Disfigurement (590).
- **NOTE** -- The italicized codes included in the lists above should no longer be sent to the WCIS. Examples are: Temporary Total Catastrophic (051) and (551); Employers Liability (080) and (580); As of 1/1/2005, Partial Unscheduled (040) and (540); As of 1/1/2009, Vocational Rehabilitation Maintenance (410) and (541).

**SROI Indemnity, No Medical** -- Medical--These indemnity claim counts (Table 12a) exclude those with medical claims and denied claims, and include claims with benefit payments (\$ > 0).

**SROI Indemnity, With Medical** -- These indemnity claim counts (Table 12b) include those claims with benefit payments (\$ > 0) and with medical costs, and exclude denials defined under Table 13.

**Denied Claims** -- The aggregate monthly count of denied claims is by year of injury. Claims based on the earliest denial date (DN 3 - MTC\_DATE) and SROI transaction record (DN 2 - Maintenance Type Code), ie. MTC = '04' (Denial).

**Other Claims, Not Otherwise Classified (NOC)** -- These are reported FROI claims that are not included in the categories of SROI Medical Only, SROI Indemnity, and Denials (Tables 11 thru 13). In other words, only a FROI has been reported for that claim.

A significant amount of variation across years results from noncompliance and late reporting of claims. DWC believes that its database is representative of claims in California's workers' compensation (WC) industry.