### **Information & Assistance Unit guide 5**

# How to file a declaration of readiness to proceed

File a declaration of readiness to proceed (DOR) to request a conference at your local Workers' Compensation Appeals Board (WCAB) office.

A conference will be set only if you filed an application for adjudication of claim and a WCAB case number has been set up. If you don't have a WCAB case number, you will also need to file an application for adjudication of claim, which opens a WCAB case for you (see I&A guide 4).

Complete the form following the attached sample. Provide the specific information requested about how you tried to resolve the issues. This form can also be completed at

https://www.dir.ca.gov/dwc/FORMS/EAMS%20Forms/ADJ/DWCCAForm10250\_1.pdf

When you file the DOR, you should also file all relevant medical reports and records, and all letters from the insurance company about the issues in dispute.

Send the original to your local WCAB office and copies to all the parties.

Submit the following documents with your form filing in the order shown:

- ✓ Document Cover Sheet
- ✓ Document Separator Sheet (for Declaration of Readiness to Proceed)
- ✓ Declaration of Readiness To Proceed
- ✓ Document Separator Sheet (for Proof of Service By Mail)
- ✓ Proof of Service By Mail

Keep copies of your filings for your records.

The WCAB will review the DOR. All parties will be notified by mail when a conference is set.

All documents filed with the WCAB must include a document cover sheet and document separator sheet. Please see I&A guides 17 and 18 to learn how to complete these forms. In addition all forms must be typed or handwritten in block letters to insure legibility. Additional form instructions can be found on the EAMS OCR handbook at

https://www.dir.ca.gov/dwc/eams/SampleFiles/EAMS\_OCR%20handbook.pdf.

### **Information & Assistance Unit guide 5**

If you need help, call an <u>Information and Assistance (I&A) office</u>, or attend a <u>workshop for injured workers</u>. The local I&A phone numbers are attached to this guide. You can get information on a local workshop from the I&A office or on the Web at <u>www.dwc.ca.gov</u>.

If you do not have the name and address of your insurance company to complete a form, please link to <a href="https://www.dir.ca.gov/DWC/EAMS/EAMS-LC/EAMSClaimsAdmins.asp">https://www.dir.ca.gov/DWC/EAMS/EAMS-LC/EAMSClaimsAdmins.asp</a>.

The information contained in this guide is general in nature and is not intended as a substitute for legal advice. Changes in the law or the specific facts of your case may result in legal interpretations different than those present here.

When sending documents to a district office, please make sure they are not folded or stapled. Send them in a large manila envelope. Please see the EAMS OCR forms handbook for further instructions.

#### WORKERS' COMPENSATION APPEALS BOARD DISTRICT OFFICES

#### **ANAHEIM, 92806-2131**

1065 North Link, Suite 170 Information & Assistance Unit (714) 414-1801

BAKERSFIELD, 93301-1929 1800 30th Street, Suite 100 Information & Assistance Unit (661) 395-2514

### FRESNO, 93721-2219

2550 Mariposa Street, Suite 4078 Information & Assistance Unit (559) 445-5355

#### LODI, 95240-6936

3021 Reynolds Ranch Parkway, Suite 130 Information & Assistance Unit (209) 948-7759

#### LONG BEACH, 90810-1870

1500 Hughes Way, Suite C203 Information & Assistance Unit (424) 450-2565

#### LOS ANGELES, 90013-1105

320 W 4th Street, 9th Floor Information & Assistance Unit (213) 576-7389

#### **MARINA DEL REY, 90292-6902**

4720 Lincoln Boulevard, 2nd and 3rd Floors Information & Assistance Unit (310) 482-3820

#### OAKLAND, 94612-1499

1515 Clay Street, 6th Floor Information & Assistance Unit (510) 622-2861

#### OXNARD, 93030-7912

1901 N Rice Avenue, Suite 100 Information & Assistance Unit (805) 485-3528

#### POMONA, 91768-1653

732 Corporate Center Drive Information & Assistance Unit (909) 623-8568

#### **REDDING, 96002-0940**

250 Hemsted Drive, 2nd Floor, Suite B Information & Assistance Unit (530) 225-2047

#### **RIVERSIDE, 92501-3337**

3737 Main Street, Suite 300 Information & Assistance Unit (951) 782-4347

#### **SACRAMENTO**, 95834-2962

160 Promenade Circle, Suite 300 Information & Assistance Unit (916) 928-3158

### **SALINAS, 93906-2204**

1880 N Main Street, Suites 100 & 200 Information & Assistance Unit (831) 443-3058

SAN BERNARDINO, 92401-1411 464 W Fourth Street, Suite 239 Information & Assistance Unit (909) 383-4522

#### **SAN DIEGO, 92108-4424**

7575 Metropolitan Drive, Suite 202 Information & Assistance Unit (619) 767-2082

### **SAN FRANCISCO, 94102-7014**

455 Golden Gate Avenue, 2nd Floor Information & Assistance Unit (415) 703-5020

# SAN JOSE, 95110-3718

224 Airport Parkway, Suite 600 Information & Assistance Unit (408) 277-1292

<u>SAN LUIS OBISPO, 93401-8736</u> 4740 Allene Way, Suite 100 Information & Assistance Unit (805) 596-4159

#### **SANTA ANA, 92707-7704**

2 MacArthur Place, Suite 600 Information & Assistance Unit (714) 942-7576

### **SANTA BARBARA, 93101-7538**

130 E Ortega Street Information & Assistance Unit (805) 568-1390

### **SANTA ROSA, 95404-4771**

50 "D" Street, Suite 420 Information & Assistance Unit (707) 576-2452

## **VAN NUYS, 91401-3370**

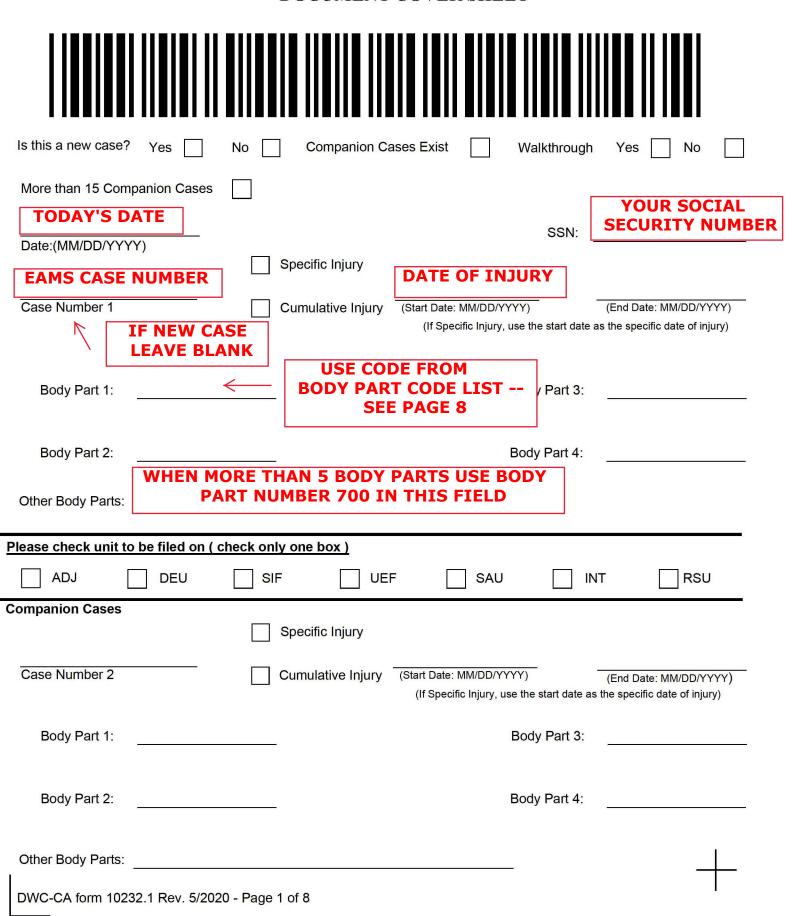
6150 Van Nuys Boulevard, Suite 105 Information & Assistance Unit (818) 901-5374



## STATE OF CALIFORNIA DWC DISTRICT OFFICE



#### **DOCUMENT COVER SHEET**



# District office codes for place of venue

Legend Abbreviation	Office	
AHM	Anaheim	
ANA	Santa Ana	
BAK	Bakersfield	
FRE	Fresno	
LAO	Los Angeles	
LBO	Long Beach	
LOD	Lodi	
MDR	Marina del Rey	
OAK	Oakland	
OXN	Oxnard	
POM	Pomona	
RDG	Redding	
RIV	Riverside	
SAC	Sacramento	
SAL	Salinas	
SBA	Santa Barbara	
SBR	San Bernardino	
SDO	San Diego	
SFO	San Francisco	
SJO	San Jose	
SLO	San Luis Obispo	
SRO	Santa Rosa	
VNO	Van Nuys	

Use this document to complete forms, but do not file this document with your forms.

# **BODY PART CODES LIST**

Code Number	Description
100	Head - not specified
110	Brain
120	Ear - not specified
121	Ear - external
124	Ear - internal including hearing
130	Eye - including optic nerves and vision
140	Face - not specified
141	Jaw - including chin and mandible
144	Mouth - including lips, tongue, throat and taste
145	Teeth
146	Nose - including nasal passages, sinus and smell
148	Face - multiple parts any combination of above parts
149	Face - forehead, cheeks, eyelids
150	Scalp
160	Skull
198	Head - multiple injury any combination of above parts
200	Neck
300	Upper extremities - not specified
310	Arm - above wrist not specified
311	Arm - upper arm humerus
313	Arm - elbow head of radius
315	Arm - forearm radius and ulna
318	Arm - multiple parts any combination of above parts
319	Arm - not specified
320	Wrist
330	Hand - not wrist or fingers
340	Fingers
398	Upper extremities - multiple parts any combination of above parts
400	Trunk - not specified
410	Abdomen - including internal organs and groin
411	Hernia
420	Back - including back muscles, spine and spinal cord
430	Chest - including ribs, breast bone and internal organs of the chest
440	Hips - including pelvis, pelvic organs, tailbone, coccyx and buttocks
450	Shoulders - scapula and clavicle
498	Trunk - use for side; multiple parts any combination of above parts

Code Number	Description
500	Lower extremities - not specified
510	Legs - above ankles, not specified
511	Thigh femur
513	Knee Patella
515	Lower leg tibia and fibula
518	Leg - multiple parts any combination of above parts
519	Leg - not specified
520	Ankle malleolus
530	Foot not ankle or toe
540	Toes
598	Lower extremities - multiple parts any combination of above parts
700	Multiple parts more than five major parts use only in fifth position of listing of body parts
800	Body system - not specific
801	Circulatory system - heart - other than heart attack, blood, arteries, veins, etc.
802	Circulatory system - Heart attack
810	Digestive system - stomach
820	Excretory system - kidneys, bladder, intestines, etc.
830	Musculo-skeletal system - bones, joints, tendons, muscles, etc.
840	Nervous system - not specified
841	Nervous system - Stress
842	Nervous system - Psychiatric/psych
850	Respiratory system - lungs, trachea, etc.
860	Skin dermatitis, etc.
870	Reproductive systems
880	Other body systems
900	COVID-19
999	Unclassified - insufficient information to identify body parts



# **DOCUMENT SEPARATOR SHEET**



Produ	ıct Delivery Unit	ADJ	_
Docur	ment Type	LEGAL DOCS	_
Document Title	DECLARATION	N OF READINESS TO PROCEED	
Docun	nent Date	DATE YOU FILLED OUT THE FORM  MM/DD/YYYY	-
Author		YOUR NAME	-
		Office Use Only	
Receiv	/ed Date	MM/DD/YYYY	-



## **STATE OF CALIFORNIA DIVISION OF WORKERS' COMPENSATION WORKERS' COMPENSATION APPEALS BOARD DECLARATION OF READINESS TO PROCEED**



	NOTICE: Any objection to the proceedings requested by a Declaration of Readiness to proceed shall be filed and served within
EAMS CASE NUMBER	ten (10) days after service of the Declaration.
Case No.	
Applicant	
YOUR FIRST NAME	
First Name	MI
YOUR LAST NAME	
Last Name VS	
Employer Information	
NAME OF COMPANY YOU WERE WORKI	NG FOR AT TIME OF INJURY
Employer Name (Please leave blank spaces between	numbers, names or words)
COMPANY ADDRESS	
Employer Street Address/PO Box (Please leave blank	spaces between numbers, names or words)
COMPANY CITY	
City	State Zip Code
Declaranta, Diagga decignata vaur rela (Diagga Calast	(Coly One)
Declarants: Please designate your role (Please Select	
Employee Applicant	Defendant Lien Claimant
Declarant requests: (Please Select Only One)	SELECT THE TYPE OF HEARING YOU WANT (SEE PAGE 3, INSTRUCTION SHEET FOR DEFINITIONS)
Mandatory Settlement Conference Status	s Conference Rating MSC* Priority Conference
Lien Conference	
At the present time the principal issues are: (Check all	that apply)
Compensation Rate Rehabilitation/SJDB	
Permanent Disability Future Medical Trea	
Employment Other	intent
Declarant relies on the report(s) of:	DATE OF DEPOST
Doctors (s) NAME OF THE DOCTOR'S REP	
*For a Rating MSC, all ratable medical reports, including treating ph	MM/DD/YYYY

Readiness, unless they have been previously filed. A Rating MSC will be set only where the issues are limited to permanent disability and the need for future medical treatment.

	enalty perjury that he or she is present pecific, genuine, good faith efforts to re			es below and
LIST THE EFFC	RTS YOU HAVE MADE TO RE	SOLVE THE D	ISUPUTE	
	conference is requested, I have comp or control have been filed and served a			
Copies of this Declaration	have been served this date as shown	on the attached p	roof of service.	
Declarant's Signature Y	OUR SIGNATURE			
IF YOU DO NOT H.	AVE AN ATTORNEY, PRINT YO	OUR NAME		
Name of declarant or nar	ne of the law firm of the declarant (Prir	it or Type)		
YOUR MAILING A				
Address (Please leave bl	ank spaces between numbers, names	or words)		1
YOUR PHONE		Date	TODAY'S DATE	
Phone Number			MM/DD/YYYY	

#### **INSTRUCTIONS**

1. This Declaration must be completed and filed before any case will be set for hearing at the request of any party. A party may request a mandatory settlement conference hearing, status conference hearing, rating mandatory settlement conference hearing, priority conference hearing or a lien conference.

A mandatory settlement conference is held to assist the parties in resolving the dispute. If the dispute cannot be resolved at that time, the parties should be ready to frame issues, record stipulations, list exhibits, and list the witnesses who will testify at trial. A trial is set only at the discretion of the judge and is set for the purpose of receiving evidence.

A rating mandatory settlement conference is a mandatory settlement conference but ratings of the medical reports will be available at the time of the conference.

A status conference is not a mandatory settlement conference but a proceeding for which judicial attention is required. It can include, but is not limited to, a conference in a complicated case in which discovery is not complete and the parties need the judge's guidance.

A priority conference is a conference held under Labor Code section 5502(c) in which the injured worker is represented by an attorney and the issues include employment and/or injury arising out of and in the course of employment.

**A lien conference** is a proceeding for which judicial attention is required to resolve disputes on liens. If the dispute cannot be resolved at that time, the parties should be ready to frame issues, record stipulations, list exhibits, and list the witnesses who will testify at trial.

- 2. A lien claimant may file a declaration of readiness to proceed only after the underlying case has been resolved or where the applicant chooses not to proceed with his or her case. (Labor Code § 4903.6 (b).) A declaration of readiness filed by a lien claimant shall be accompanied by the verification required by section 10770.6 of title 8 of the California Code of Regulation. The failure to attach the verification or an incorrect verification may be a basis for sanctions.
- 3. Unless notified otherwise, no witness other than the applicant need attend conference hearings. Claims adjusters and lien claimants must be present or available by telephone.
- 4. The party requiring an interpreter must arrange for the presence of an interpreter, except that the defendant(s) must arrange for the presence of the interpreter if the injured worker is not represented by an attorney.
- 5. Continuances are not favored and none will be granted after the filing of this Declaration without a clear and timely showing of good cause.
- 6. The Workers' Compensation Appeals Board favors the presentation of medical evidence in the form of written reports.

Workers' Compensation Information and Assistance - 1 (800) 736-7401



# **DOCUMENT SEPARATOR SHEET**



Product Delivery Unit	ADJ
Document Type	LEGAL DOCS
Document Title PROOF OF SI	ERVICE
Document Date	DATE YOU FILLED OUT THE FORM  MM/DD/YYYY
Author	YOUR NAME
	Office Use Only
Received Date	MM/DD/YYYY



# Proof of Service by Mail

·
I declare that:
I am (resident of / employed in) the county of YOUR COUNTY , California
I am over the age of eighteen years, my (business / <u>residence</u> ) address is:
PUT YOUR HOME ADDRESS HERE
On TODAY'S DATE, I served the attached NAME OF DOCUMENT  on the parties listed below in said case, by placing a true copy thereof enclosed in
a sealed envelope with postage thereon fully paid, in the United State mail at  CITY WHERE YOU MAILED THIS  addressed as follows:
1) WORKERS' COMPENSATION APPEALS BOARD: ADDRESS 2) INSURANCE COMPANY: NAME, ADDRESS AND CLAIM NUMBER 3) DEFENSE ATTORNEY (IF KNOWN): NAME AND ADDRESS 4) ALL OTHER PARTIES INVOLVED IN YOUR CASE: NAME AND ADDRESS
I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that this declaration was executed on (date) TODAY'S DATE, at, California.
Type or print name PRINT YOUR NAME

Signature SIGN YOUR NAME