

ANNUAL WORKERS' COMPENSATION INFORMATION SYSTEM ADVISORY COMMITTEE MEETING



2016 First Reports of Injury and Subsequent Reports of Injury Update
Oakland, CA
October 24, 2016

Discussion Outline

- FROI Reporting Update
- SROI Reporting Update
- Proposed Version 3.1 Regulations

System Basics

As of October 2016:

12 Million Claims

Completeness (2015)*

FROI: 91-92%

SROI: 64%

Denials: 88%

Data Quality (2015)

FROI: 74% Transactions Accepted (TA) without error

SROI: 86% Transactions Accepted (TA) without error

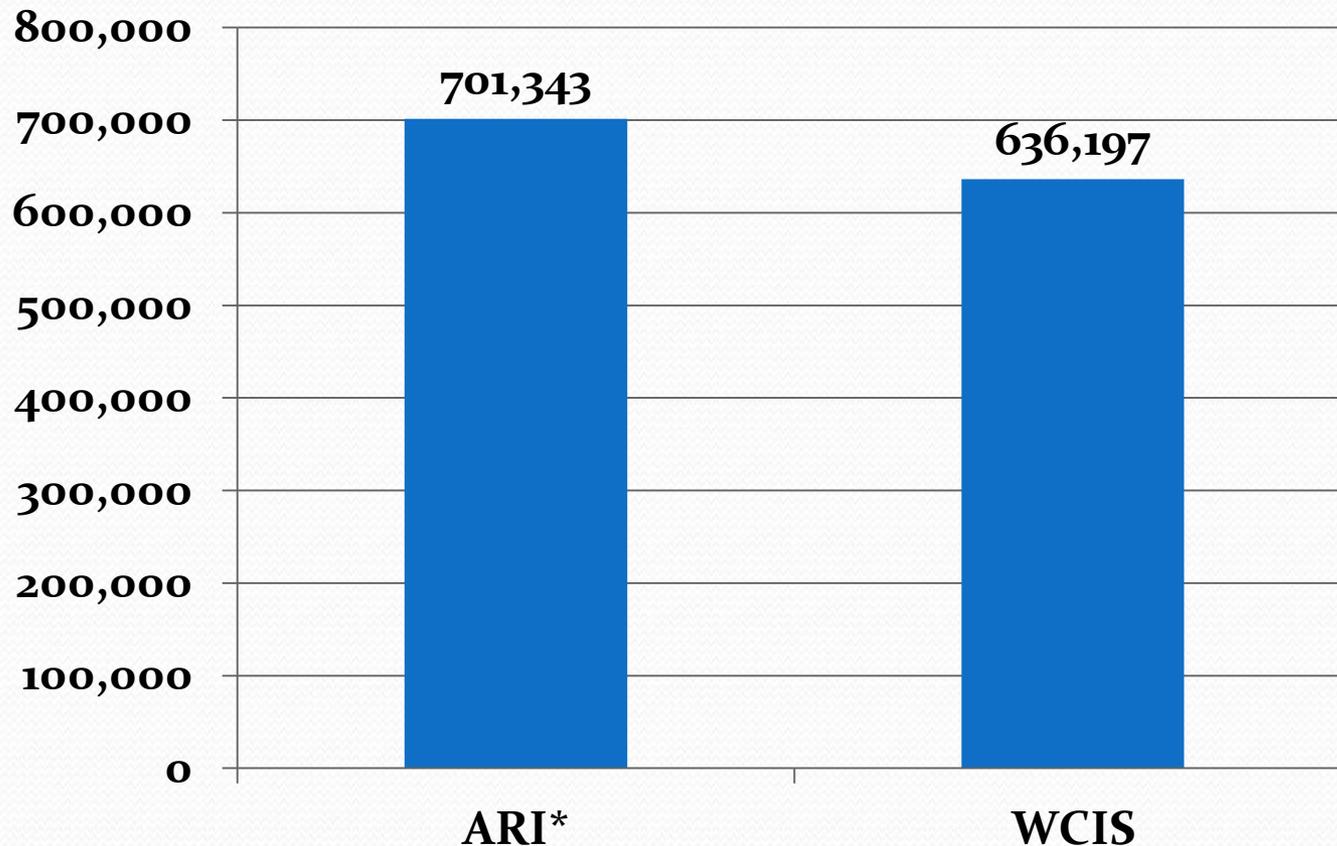
Timeliness of FROI (2013-2015) : 76% to 84%

***Estimated against DWC-Audit Report of Inventory (ARI)**

FROI UPDATE

FROI Completeness (92% for 2015)

2015 ARI-WCIS Comparison



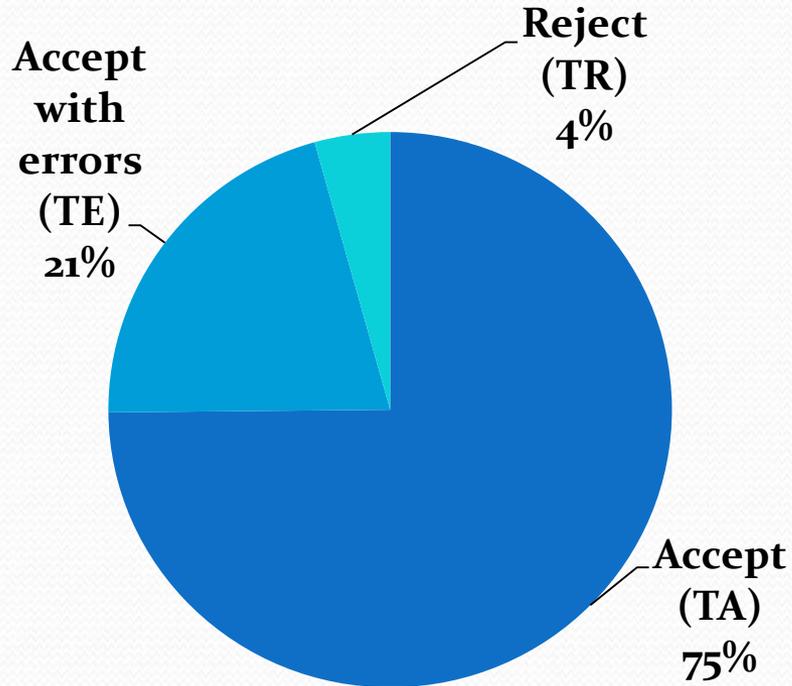
Changing requirements and uses of FROIs Foundational Documents will effect completeness

1. Employers First Report (EFR)
2. Doctors First Report (DFR)
3. Application for Adjudication (WCAB case)
4. Any information that the injury requires medical care. (Bill, etc.)

* SB 1160 requires to be collected electronically.

** Matching FROI-WCAB integral part of measuring lien claimant impact.

FROI Data Quality 2015



Top Rejection (TR) Reason

- Duplicate FROI

Top Error (TE) Reasons

- **Industry Code** (NAICS, SIC)*
- **Wage Period Code** (6,1,2,4 only)
- **Class Code** (WCIRB Only)
- **Postal Code Injury Site** (USPS)

Discussion on FROI Quality

How do we improve employer information reporting and coding? Including the reporting of FEINs, Names, Industry Codes and Class codes

Timeliness: 2015 Statewide FROI Timeliness of Reporting

	FROIs Reported %
On-Time	84%
Late (> 10 business days)	16%

Median Days for All Statewide Reported FROIs = 3 Days

Mean (Average) Days for All Statewide Reported FROIs = 10

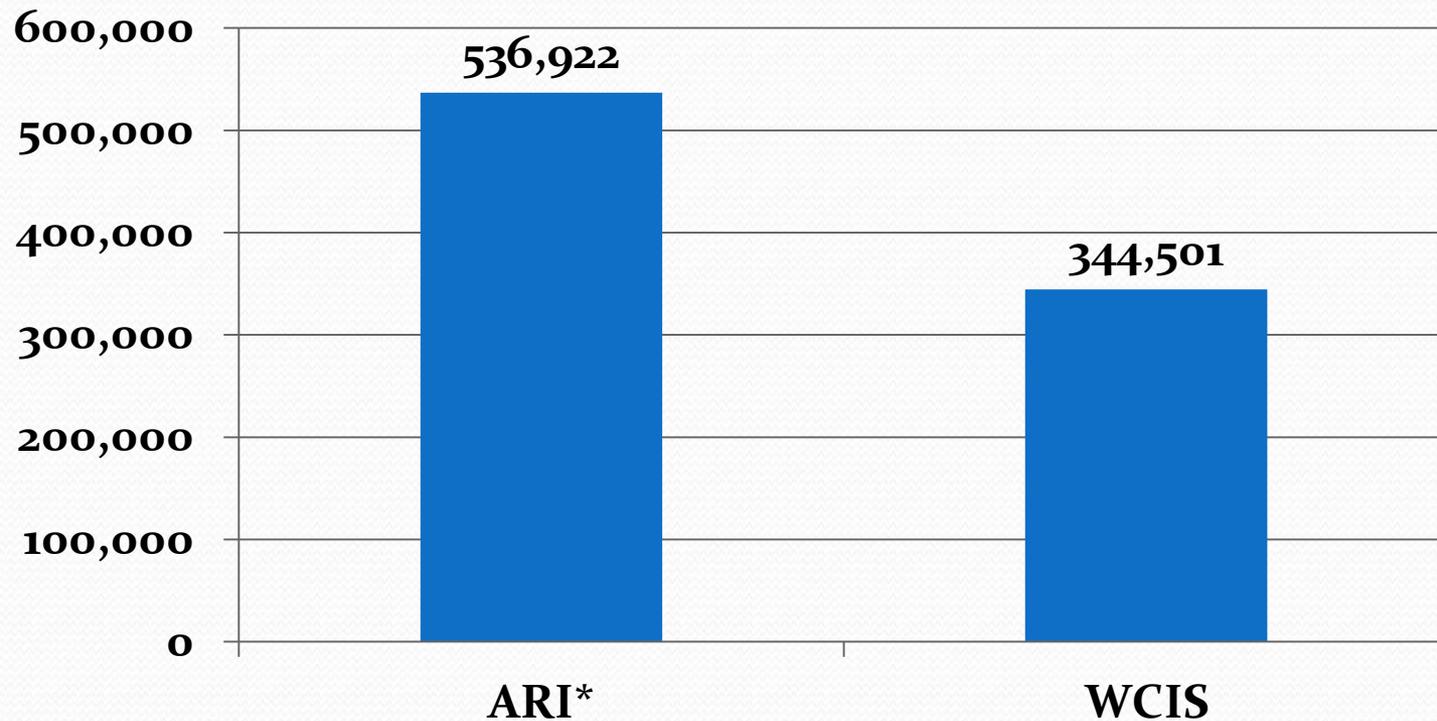
Aggregate Reports for Timeliness of Reporting and Data on other Items can be found on the WCIS Reports page:

http://www.dir.ca.gov/dwc/wcis/WCIS_Reports.html

SROI UPDATE

SROI Completeness (64% for 2015)

2015 ARI-WCIS Comparison



SROI Completeness not the same for all Carriers

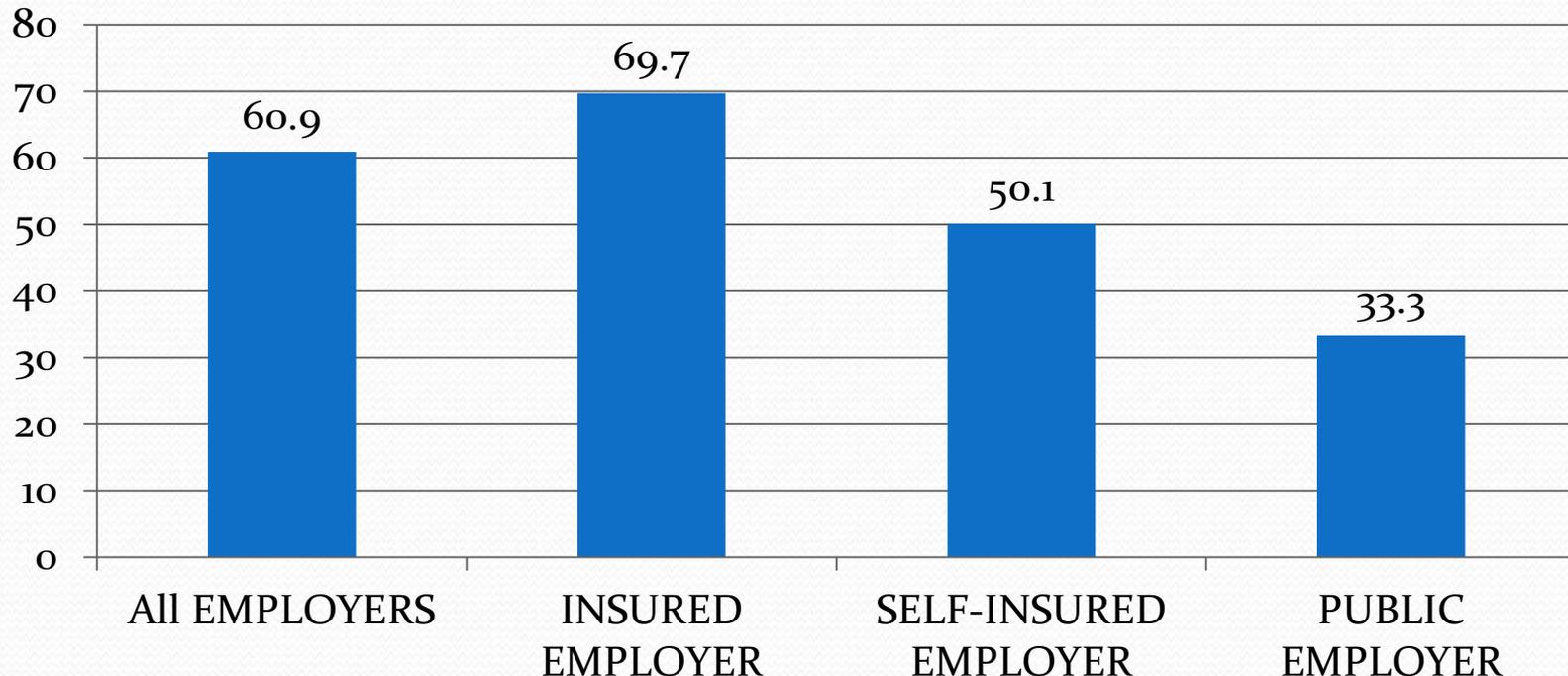
Levels of Reporting

1. Reporting All SROI
2. Reporting Most/Some SROI
 - A. Missing a component of SROI (AN reporting, Medical Only Reporting, Settlements)
 - B. Missing an element: Date Disability Began, Permanent Impairment Rating)
3. Reporting No SROI
4. Reporting No FROI or SROI

SROI completeness issues not the same across employer types

Self-insured and self-administered employers (Public and Private) struggle to report when compared to large multistate carriers.

SROI percentage of FROI (not excluding Denials)



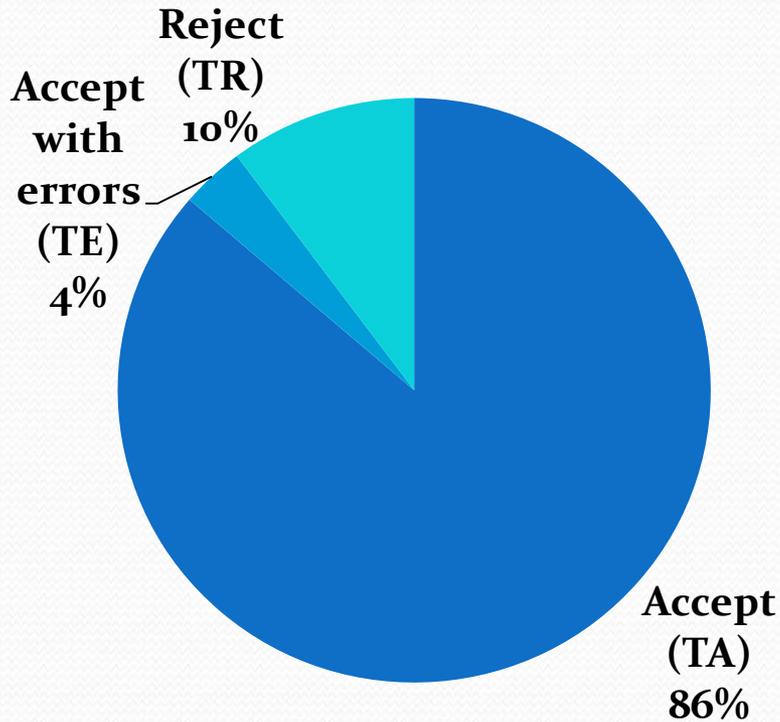


Discussion on SROI Completeness

How can we get reporters of FROI to start reporting SROI?

How can we help increase the reporting of public employers and self-insured employers?

SROI Data Quality 2015



Top Rejected (TRs) Reasons

- Invalid Payment/Adjustment Code
- Invalid Sequencing
- Event Criteria not Met

Top Error (TE) Reason

- Reporting number of Permanent Impairments



Discussion on SROI Complexity and Reporting Burden

How can we reduce the SROI reporting burden and make it easier to send data while maintaining the structure of the IAIABC system?

Proposed California FROI/SROI Guide (Release 1, version 3.1)

Proposed FROI/SROI Guide

2nd 15 day comment period coming soon.

[Sign-up for E-News](#)

[See Rule Making website](#)

37 Proposed changes (for complete listing see appendices A and B of the proposed)

12 Month implementation schedule

Highlights of the Current Proposal

- **Changes in how to transmit data (FTP, File Naming Convention)**
- **Control over JCN assignments with new Matching Criteria**

Changes to how FROI/SROI Receives Data

SFTP Transmission and Account

- Will no longer allow FTPS, or FTP over SSL. Will only allow SFTP known as SSH (Secure Shell).
- More direct account management by WCIS

New File Naming Convention.

- FROI/SROI Naming Convention will match medical billing naming convention

New File Naming Convention

FROI/SROI Naming Convention will match medical billing naming convention

- **FILE TYPE (148,A49, AK1) , followed by Underscore**
- **Sender 9 Digit FEIN, followed by Underscore**
- **Sender 9 digit Zip Code, followed by Underscore**
- **8 Digit Date Stamp- Formatted as (CCYYMMDD) , followed by Underscore**
- **6 Digit Time Stamp- Formatted As (HHMMSS), followed by Underscore**
- **T or P for Testing or Production, followed by Underscore**
- **Unique 3 digit (148 or A49) or 9 digit counter for (AK1) files , followed by Underscore**
- **Followed by .txt**

Control over JCN

For better management of JCNs and avoidance of duplicate claims, WCIS proposes:

- Make JCN Mandatory on all FROI non-original transactions and all SROI
- Establish new match criteria.
 - Current Criteria uses the same secondary match attempt on all FROI transactions.
 - This criteria relies on easily inconsistent data elements like part of body and nature of injury for all different types of transactions

Proposed New Matching

- Uses a set of common more static elements:
 - Data elements (JCN, Insurer FEIN, Claim Admin FEIN, Claim Admin Claim Number, DOI, DOB, Employee First Name, Employer FEIN, TOI).
- Tailored specifically to each transmission type:
 - The 00, the 01, the 04, and CO/02 have their own matching criteria
 - All SROIs have the same matching criteria

Other Proposed Changes of Note:

Proposed Changes	
Time of Injury now conditionally required on non-CT claims	All Industry Codes must be NAICS
Claim Administrator FEIN now required on all transactions.	TPA FEIN and Name changed to Claim Admin FEIN and Claim Admin Name
Corrections must be sent in 60 days.	Reduction in sequencing requirements for Date of Maximum Medical Improvement

RESOURCES AVAILABLE

Where to get informed...

Sign-up for Aggregate and Detail WCIS FROI Reports at WCIS@DIR.CA.GOV

Check your Mapping, Event and Validation Tables

- Download from <http://www.dir.ca.gov/dwc/WCIS.htm>
- FROI and SROI Valid Values Table (Release 1, Version 3.0)
- 2013 - 2015 WCIRB Class Codes (DN 59)

Read the Guides, and FAQs

Sign up for E-news and Training Bulletins

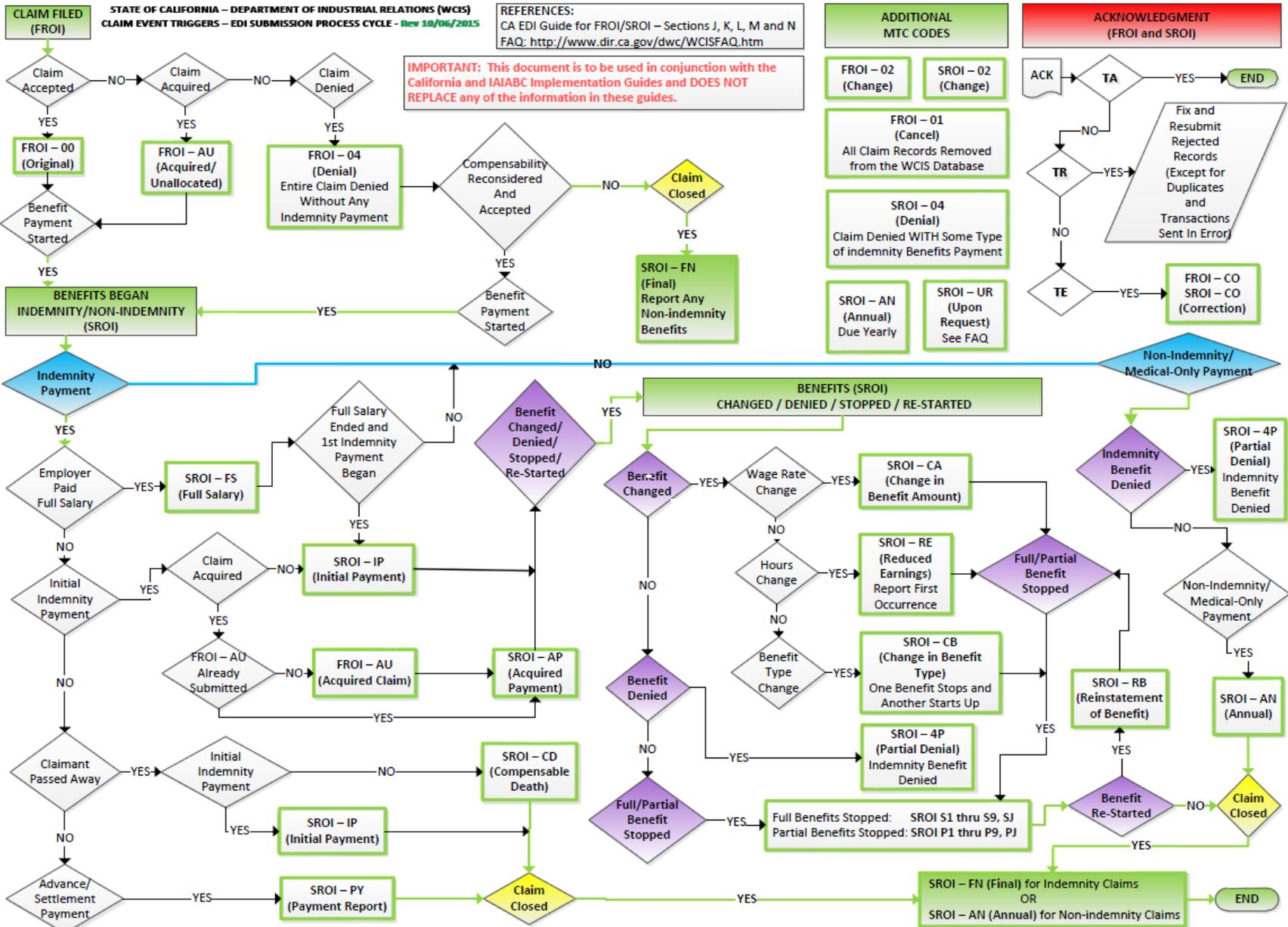
Contact Your Trading Partner EDI Contact or WCIS@DIR.CA.GOV

Contact Us for Consultations

Current Reports Available

- Timeliness of FROI Reporting-Sent Semi-Annually
- Data Quality Report to Claim Administrator-Sent Monthly
- ARI-WCIS Comparison Report-Sent Annually.
- Completeness and Timeliness of TD reporting on the IP-Sent Semi-Annually

All available by signing-up at WCIS@DIR.CA.GOV



* This Workflow Diagram covers a majority of Scenarios, but due to space limitations does not cover 100% of the scenarios in FROI/SROI

Acknowledgments before we begin

DIR Staff

- EDI Staff
- Programmers
- Reporting Team

Trading Partners

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- Johnny Lee
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Trading partner letters B, D-F, H, M-R, W-Y

Questions?