

WORKERS' COMPENSATION INFORMATION SYSTEM
ANNUAL ADVISORY COMMITTEE MEETING
INTRODUCTION



Oakland: October 21, 2013

The Goals of WCIS

- Help DWC manage WC system
- Facilitate Evaluation of Benefit Delivery
- Measure Benefit Adequacy
- Provide statistical data for research on trends, emerging conditions and problems

Why do we need WCIS

- Understanding the injuries and claims
 - Who is being injured
 - Gender, age, industry, location, tenure,
 - What are the causes and nature of injury
 - What types of injury are occurring
- Understanding areas of medical care

How was WCIS used this year

- Ongoing
 - Standard reports compiled and posted
 - *Compare reports of fatalities with DOSH*
 - Medical Access Study
- Ad hoc Data extracts
 - Investigate Valley Fever outbreaks
 - Data request on deaths of firefighters and peace officers
 - Inquiries on Taxi-related claims
 - Inquiries on Temp Help claims and on injuries to workers in new jobs
 - Provided data related to university study on athletic trainers
 - Production of system for JCN lookup for assistance on IMR requests
 - Extracts for project to determine injury incidence rates
- Trading Partner Requests
 - Detailed claims data requested consistent with their respective ARI-WCIS comparison reports, data quality reports, and timeliness of FROI reporting

Initiatives on Reporting

- Assure electronic reporting from all claims administrators
- Follow First Reports with Subsequent Information and Medical Transactions
- Move from partial to full reporting of subsequent information and medical care transactions

Why

- Research data base with gaps forces making assumptions about missing data
- Linking up medical and claims information gives greater ability to see how reforms are working

Current Regulations on Reporting- General

- **CCR 9702. Electronic Data Reporting**
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- (a) Each claims administrator shall transmit data elements, by electronic data interchange in the manner set forth in the California EDI Implementation Guide for First and Subsequent Reports of Injury and the California EDI Implementation Guide for Medical Bill Payment Records, to the WCIS by the dates specified in this section. Each claims administrator shall, at a minimum, provide complete, valid, accurate data for the data elements set forth in this section.

Current Regulations on Reporting – Supplemental Report

- CCR 9702(d) Each claims administrator shall submit to the WCIS within fifteen (15) business days the following data elements, whenever indemnity benefits of a particular type and amount are started, changed, suspended, restarted, stopped, delayed, or denied, or when a claim is closed or reopened, or when the claims administrator is notified of a change in employee representation. Submissions under this subsection are required only for claims with a date of injury on or after July 1, 2000, and shall not include data on routine payments made during the course of an uninterrupted period of indemnity benefits.

Current Regulations on Reporting – Medical Services

- CCR 9702(e) On and after September 22, 2006, claims administrators handling one hundred and fifty (150) or more total claims per year shall submit to the WCIS on each claim with a date of service on or after September 22, 2006, the following data elements for all medical services for which the claims administrator has received a billing or other report of provided medical services. The California EDI Implementation Guide for Medical Bill Payment Records sets forth the specific California reporting requirements.
- ...The claims administrator shall submit the data within ninety (90) calendar days of the medical bill payment or the date of the final determination that payment for billed medical services will be denied.

SB 826 (2011)

- Labor Code section 138.6 (d) (1)
 - *The administrative director shall assess an administrative penalty against a claims administrator for a violation of data reporting requirements adopted pursuant to this section. The administrative director shall promulgate a schedule of penalties...*
- Labor Code section 138.7(b)(1)(B)
 - *The administrative director may publish the identity of claims administrators in the annual report disclosing the compliance rates of claims administrators pursuant to subdivision (d) of Section 138.6.*

SB 826

- Legislative Bill Analysis at passage
 - “According to the sponsor of this bill, Small Business California, the bill is designed to address the lack of enforcement authority to ensure that appropriate data is submitted to the WCIS. ... The bill is structured so that minor errors on marginally relevant data fields do not result in penalties, while at the same time establishing an incentive to accurately provide substantive data.

Going Forward

- The future holds 3 major development projects for WCIS
 - Transition to Medical Data Release 2.0
 - Promulgation of Regulations and implementation of Penalties and Reporting required under LC 138.6 and 138.7
 - Transition to FROI/SROI Release 3 in alignment with other states and IAIABC

Ongoing Work

- Improvements in Data Quality
 - Cleaning Specific Data Quality Issues
 - Trading Partner Education Program
- Linking information in WCIS with other DWC information

Agenda for today

- WCIS Update
 - FROI/SROI
 - Medical
- Policy and Rulemaking Changes
 - Next WCIS Regulatory Rounds
 - Electronic Medical Billing Regs
- Technical Update and Submitted Questions