

American College of Occupational and Environmental Medicine

# **Shoulder Disorders**

## Effective: February 6, 2023

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## **1. SUMMARY OF RECOMMENDATIONS**

The following summary tables contain recommendations for evaluating and managing Shoulder Disorders from the Evidence-Based Shoulder Disorders Panel.

These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

## 2. WORKFLOWS

- <u>Master Algorithm.</u> Care of Acute and Subacute Shoulder Disorders
- <u>Algorithm 1</u>. Initial Evaluation of Shoulder Disorders
- Algorithm 2. Initial and Follow-up Management of Shoulder Disorders
- <u>Algorithm 3</u>. Evaluation of Slow to Recover Patients with Shoulder Disorders (Symptoms >4 weeks)
- <u>Algorithm 4.</u> Surgical Considerations for Patients with Anatomic and Physiologic Evidence of Shoulder Instability, Complete Rotator Cuff Tear, or Impingement Syndrome Coupled with Persistent Symptoms
- Algorithm 5. Further Management of Shoulder Disorders
- <u>Algorithm 6.</u> Management of Osteoarthrosis in the Shoulder
- Algorithm 7. Management of Osteonecrosis
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- <u>Algorithm 9.</u> Thoracic Outlet Syndrome

## **3. INTRODUCTION**

## **3.1. SUMMARY OF RECOMMENDATIONS**

#### *Effective: February 6, 2023*

The following summary table contains general recommendations for evaluating and managing Shoulder Disorders from the Evidence-Based Shoulder Disorders Panel. See also the recommendations for specific conditions, which are listed in their respective sections:

- Rotator Cuff Tendinopathies
- Bicipital Tendinopathy
- Shoulder Osteoarthrosis
- Shoulder Osteonecrosis
- Adhesive Capsulitis
- Thoracic Outlet Syndrome
- Pectoral Strains and Tears
- Shoulder Dislocations and Instability
- Labral Tears
- Acromioclavicular Sprains and Dislocations
- Shoulder Fractures

- Clavicular Fractures
- Brachial Plexus Injuries
- Trigger Points and Myofascial Pain

These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

Recommendation	Evidence
Education for Shoulder Disorders	Recommended, Insufficient Evidence (I)
Ergonomic Interventions for Shoulder Disorders	Recommended, Insufficient Evidence (I)
Typing Posture for Prevention of Shoulder Disorders	Not Recommended, Evidence (C)
Typing Posture for Treatment of Shoulder Disorders	Not Recommended, Insufficient Evidence (I)
Keyboarding Breaks for Patients with Shoulder Disorders and for Primary Prevention	Recommended, Insufficient Evidence (I)
Forearm Support for Typing to Prevent Neck/Shoulder Symptoms	Recommended, Insufficient Evidence (I)
Ergonomics Training in Moderate- or High-risk Manufacturing Settings	Recommended, Insufficient Evidence (I)
Ergonomics Training for Prevention of Musculoskeletal Disorders in Office Settings	No Recommendation, Insufficient Evidence (I)
Return-to-work Programs for Treatment of Subacute or Chronic Shoulder Disorders	Recommended, Insufficient Evidence (I)

## **3.2. OVERVIEW**

This clinical practice guideline presents recommendations on assessing and treating adults with shoulder disorders. It is critical to note that "shoulder pain" may often be a symptom of another disorder in another body part, especially of the cervical spine and thorax. Thus, careful evaluation to determine the diagnosis and origin of the pain is critical in order to be able to form a well-founded, evidence-based approach to treatment (see also, e.g., the ACOEM Cervical and Thoracic Spine Disorders Guideline).

This guideline addresses the following shoulder disorders that commonly present to physicians: acromioclavicular arthrosis and glenohumeral arthrosis; acromioclavicular sprain, separation or dislocation; adhesive capsulitis; bicipital tendinitis and tears; brachial plexus injuries; calcific tendinitis; degenerative joint disease (including osteoarthrosis); dislocation (glenohumeral); fractures; instability; labral tear; non-specific shoulder pain; osteonecrosis; rotator cuff syndromes; rotator cuff tears; thoracic outlet syndrome; and trigger points/myofascial pain.

Topics include: the initial assessment and diagnosis of patients with acute, subacute, and chronic shoulder disorders with particular emphasis on work-related factors; identification of red flags that may indicate the presence of a serious underlying medical condition; diagnostic considerations and special studies for identifying clinical pathology; work-relatedness, return-to-work planning (including work restrictions, modified duty, and activity level); clinical management; occupational and physical therapy indications; surgical indications; rehabilitation; and the management of delayed recovery.

Algorithms for patient management are included. The guideline's master algorithm schematizes a recommended approach by which practitioners may generally manage patients with shoulder disorders. The following text, tables, and numbered algorithms expand upon the master algorithm.

#### Acromioclavicular (AC) Arthrosis, Glenohumeral Arthrosis

Arthroses in the acromioclavicular and glenohumeral joints are common, although less common than those of the hands, knees, and hips. Radiographs show degenerative joint disease and may suggest an underlying etiology. Etiologies for arthroses include osteoarthrosis (also known as osteoarthritis), developmental anomalies, rheumatoid arthritis, other inflammatory rheumatological disorders, crystal diseases, post-infectious complications, and systemic factors. Most cases are assumed to be degenerative osteoarthroses with a genetic component.

## Acromioclavicular (AC) Sprain, Separation, Dislocation

Sprains involve high-force falls and any type of trauma that produce a disruption of the ligaments about a joint. Commonly, these injuries occur by direct blow, typically from falling laterally onto the shoulder, or a fall on an outstretched hand, or direct trauma to the joint. AC joint separation ("shoulder separation") and dislocation are more severe than a Grade I AC joint sprain.

#### Adhesive Capsulitis (Frozen Shoulder)

Adhesive capsulitis<sup>a</sup> involves a reduction in passive range of motion (ROM) of the shoulder in three or more directions. To fully assess, the affected shoulder's ROM should be compared with the unaffected side. Frozen shoulder can be classified as idiopathic adhesive capsulitis, or secondary to trauma, or underlying shoulder pathology. The most common cause is idiopathic and associations with diabetes mellitus, hypothyroidism, and female gender have been reported. Glenohumeral contracture can also occur after traumatic injury, in association with rotator cuff disorders, or after shoulder surgery.

#### **Bicipital Tendinitis and Tears**

Anterior shoulder pain may be caused by bicipital tendinitis. Bicipital tears are believed to result from pathophysiological mechanisms similar to rotator cuff tears. Many are thought to be a result of chronic tendinopathy followed by tears while others are a result of an acute traumatic event typically occurring in the context of a prior degenerative tear, which often has been asymptomatic. They generally occur in conjunction with rotator cuff pathology. Another sometimes related but infrequent entity is biceps subluxation and dislocation.

#### **Brachial Plexus Injuries**

Brachial plexopathies are caused by forceful stretching or compression of the nerves that travel from the spine to the upper extremity and are thought to occur after relatively severe or high-force accidents, falls from heights, and sports (e.g., "stingers"). However, reliable etiological and epidemiological data are not available. Idiopathic brachial plexopathy occurs infrequently, and Parsonage Turner Syndrome should be considered in the differential diagnosis.

#### **Calcific Tendinitis**

There is no consensus as to why calcific tendinitis occurs but some hypotheses include underlying transformation of the tenocytes into chondrocytes inducing subsequent calcification within the tendon; abnormal activity of the thyroid gland; metabolic diseases (e.g., diabetes), and genetic predisposition (993). These calcium deposits are generally found inside or around the rotator cuff tendons, with the supraspinatus tendon being the most common location for such deposition. These patients may either be asymptomatic or have course of clinical onset that is similar to adhesive capsulitis in those with chronic non-severe pain. It can also present as acute severe onset of atraumatic shoulder pain, an unusual presentation for rotator cuff syndromes. The risk factors, evaluation, diagnosis, and some treatments tend to be similar to rotator cuff tendinopathies although there are some specific differences.

#### **Degenerative Joint Disease (including Osteoarthrosis)**

Degenerative joint disease is a term which includes any age-related changes in any joint from any cause. Joints in the body are typically synovial fluid-filled, synovium-lined, ligamentously encapsulated joints that allow for low friction movement between adjacent bones. Common causes are osteoarthrosis, inflammatory disorders (e.g., rheumatoid arthritis, systemic lupus erythematosus, and psoriasis) and crystalline arthropathies (e.g., gout, pseudogout, apatites). Osteoarthrosis (OA) is the more precise name for osteoarthritis, as there is no overt inflammation with redness, swelling, or palpable warmth. OA, a degenerative disorder in the joint which primarily affects the cartilage on the articular surface, is marked by thinning of that cartilage, osteophyte formation, and subchondral sclerosis. Pain on movement and stiffness develop. Post-traumatic OA may develop in a joint after a significant injury (e.g., fracture), in which case it is often delayed by many years. If there is asymmetric disease in the shoulders on x-ray and this injury was occupational, then the subsequent osteoarthrosis is also typically considered, at least in part, occupational. As inflammatory and crystalline arthropathies are non-occupational, they are not included in detail in this guideline.

#### **Dislocation (Glenohumeral)**

Shoulder dislocation occurs when a supramaximal force is applied to the shoulder musculature and joint capsule, which definitionally are unable to resist, resulting in stretching and partial rupture of the joint capsule. Labral tearing also usually accompanies this injury. As this injury involves disrupting ligaments, it is technically a sprain. Frequently, the shoulder will require a closed reduction by a medical professional, although sometimes the patient accomplishes this prior to seeking medical care. Once dislocated, the shoulder ligaments will become more lax, generating more instability and potentially causing recurrent dislocations. Older patients with dislocations frequently have associated rotator cuff tears and fractures.

#### Fractures

Fractures occur due to high-force trauma including falls, sports, and motor vehicle accidents. Pathologic fractures are the primary exception as minimal force may be required for those fractures.

#### Instability

Shoulder instability is associated with a tendency to sublux or dislocate the shoulder. Instability is a frequent sequela of dislocation. It can also occur due to developmental abnormalities. Instability can be classified as traumatic, atraumatic instability, or multi-directional instability.

#### Labral Tear

The labrum is a wedge-shaped fibrocartilaginous structure at the rim of the glenoid that is a transitional tissue from the articular cartilage of the glenoid to the capsuloligamentous tissue/structures of the glenohumeral joint. The two commonly reported types of tears are along the superior labrum (SLAP) and the anterior inferior portion (Bankart), although the labrum may tear at any point. The long head of the biceps attaches to the superior labrum, and therefore biceps pathology may coexist with superior labral tears. The labrum is intimately involved in mechanisms of shoulder stability. The labrum is susceptible to age-related degeneration and acute injuries can occur superimposed on these degenerative processes. A labral tear may be associated with shoulder instability or dislocation.

#### Non-Specific Shoulder Pain

Some cases of shoulder pain do not clearly fit diagnostic criteria and are considered non-specific. These cases most commonly resolve prior to identifying a clear diagnosis, but otherwise a specific diagnosis usually becomes clear with time.

#### Osteonecrosis

Osteonecrosis (avascular necrosis) is particularly likely to occur in areas of tenuous blood supply that lack collateral blood flow. The hip joint is most commonly affected, followed by the humeral head. It can progress to degeneration and ultimately humeral head collapse. Reported risk factors for osteonecrosis in any region of the body include male sex (994), diabetes mellitus, glucocorticosteroid treatment or excess (994), sickle cell anemia or trait, alcohol, organ transplantation (995), and multiple myeloma (994). The most prominent occupational risk factors are proximal humeral fractures and barotrauma ("the bends"), which may occur both in underwater diving, as well as working in compressed air environments (e.g., certain types of tunneling projects through unstable sediments requiring compressed air to maintain the workspace).

#### **Rotator Cuff Disorders**

(Includes rotator cuff tendinopathies, rotator cuff tendinosis/tendinitis, supraspinatus tendinosis/tendinitis, rotator cuff partial tears, impingement syndrome, bursitis)

In general, rotator cuff-related tendinopathy and related disorders such as rotator cuff partial tears, impingement syndrome, and bursitis, can be considered the same degenerative condition (996,997,998,999,1000,1001,1002,1003,1004,1005,1006,484,1007,1008,535,1009,1010,1011,1012). There has long been evidence of insufficient blood supply in the typical area(s) of rupture (1013,1014,1015,1016,1017,1018) and recent evidence points to numerous atherosclerotic disease

(423,1019,251,252,253,416,866,417,418,419,421,1020) strongly risk factors suggesting а pathophysiological mechanism of atherosclerosis and/or small vessel disease of the arterial supply to the tendons.<sup>b</sup> The other primary competing theory, first described in the 1920s by Meyer (1021,1022,1023) and advanced by Neer (1008,1024), is biomechanical, particularly with impingement of the acromion that develops as a consequence of the age-related degenerative processes (1025,1026,1027,1028,928,126). Both theories may play a role, although the atherosclerotic vascular supply mechanism has the largest statistical associations and so appears of primary importance (423,250,1029). Patients with tendon pathology often have shoulder pain that radiates to the upper arm and deltoid region, and some even report more distal radiation without paresthesias. Bursitis tends to have non-radiating shoulder joint pain, although it too may present with deltoid region pain. Partial-thickness tears cannot reliably be clinically distinguished from the other rotator cuff entities. Many of the symptoms and examination maneuvers used to assign a diagnosis of "rotator cuff syndrome" are not specific to a cause. The supraspinatus tendon is the most commonly affected tendon in the rotator cuff. Tendon pathology most commonly progresses posteriorly to the infraspinatus. Tendinopathies are generally considered the most important of the occupational shoulder disorders based on high prevalence (1030,1031).

#### **Rotator Cuff Tears**

(including supraspinatus, other full-thickness tears and bicipital tears)

Rotator cuff tears appear to occur over years of degenerative rotator cuff tendinopathy, culminating in a full-thickness rotator cuff tear. Presentations vary from severe symptoms to asymptomatic, despite presence of a tear (1032). It is not clear if, or to what extent, tears are caused by trauma. Most rotator cuff tears develop at the anterior aspect of the midsubstance of the supraspinatus tendon and progress in all directions, but especially posteriorly to eventually involve tears of the infraspinatus and teres minor. Involvement of the subscapularis is less common, but should be considered. The prevalence of rotator cuff tears is 6-51% for full-thickness tears in asymptomatic patients over age 50 (998,999,1002,1005,252,416,1024,1025,1026,1031,1033,1034,1035,1036,1037,1038,1039,1040,104 1,1042,1043,1044,1045).

## **Thoracic Outlet Syndrome**

Thoracic outlet syndrome (TOS) involves compression of the neurological and/or vascular supply to the upper extremity. A few cases involve discrete compression by the first thoracic rib or cervical rib. Scalene muscle tightness has been described as a cause. There are other causes of what could be termed physiologic TOS however, there is controversy regarding whether there is true compression of structures.

#### Trigger Points/Myofascial Pain, Muscle Tension Syndrome

Myofascial pain syndrome involves trigger points, which are tender areas that may feel dense with palpation and can elicit pain locally and distally. Patients with muscle tenderness are typically diagnosed with "myofascial pain." Prolonged muscular pain is often linked to underlying psychosocial issues and affective disorders are common. Physical inactivity is common and there is a propensity towards dependence on passive modalities and pharmacologic interventions. Most randomized control trials (RCTs) have not distinguished between tender points (typically found with fibromyalgia; see the Chronic Pain Guideline) and trigger points, though they frequently note pain limited to muscles of a body region. However, trigger points have been distinguished by some practitioners from tender points in that trigger points can "give rise to characteristic referred pain, referred tenderness, motor

dysfunction, and autonomic phenomena [such as muscle twitching or spasm]," and tender points do not (1046).

<sup>a</sup> Nomenclature has long been problematic and the term *periarthritis* has also been used (1047,1048).

<sup>b</sup> This does not rule out contributing mechanical factor(s).

#### **3.2.1. IMPACT**

Shoulder disorders are the fourth most common reason patients seek health care treatment for musculoskeletal pain (1049,1050,1051,1052,1053,1054,1055,58). These disorders are also among the five most common causes of reported work-related musculoskeletal disorders (MSDs) in workers' compensation claims in the United States (1056,1057,1058,1059). In 2000, annual health care costs for shoulder pain in the United States have been estimated at more than \$7 billion (1060). Arm/shoulder disorders in 2016-17 cost an average of \$24,736 in medical costs and \$20,729 in indemnity for a total of \$45,465 per worker's compensation case (1061). Musculoskeletal shoulder disorders account for approximately 3–5% of total lost workdays and 10–18% of claims and costs in workers' compensation, ranking them in the top five for financial severity (1056,1062,1063). Workers' compensation status is associated with higher costs, worse prognosis, and worse outcomes than patients without workers' compensation status or involving litigation (1064,1065,1066,1067,1068,1069,1070). In general, shoulder disorders are prone to recur (866,1071,438,1072,1073,1074,1075) and are often associated with actual or perceived worse general health status (250,1020,1076,1077,1078,1079,1080).

## **3.3. BASIC PRINCIPLES AND DEFINITIONS**

**Acute, Subacute, or Chronic Pain:** For purposes of identifying interventions at different stages of diseases, acute pain is defined as pain for up to 1 month; subacute is pain from 1 to 3 months; and chronic is pain lasting more than 3 months duration (see Chronic Pain Guideline for additional information).

Active Therapy: The term "active therapy" is commonly used to describe treatment that requires the patient to assume an active role in rehabilitative treatment. Although there is no one specific treatment defined by this term, it most commonly includes therapeutic exercises (particularly aerobic), functional activities, and muscle reconditioning (weightlifting or resistance training) (1). Some studies have included active stretching and treatment with psychological, social, and/or educational components requiring active participation from the patient (2).

Active Exercise Therapy: Active exercise therapy typically consists of cardiovascular training and muscle strengthening, (3,4) although it may also include progressive or occasionally active stretching, especially in patients with substantially reduced ranges of motion. Active exercise therapy is used as a primary treatment for chronic pain and after various surgeries. It is also frequently initiated in the course of treating subacute pain. The goal of active exercise therapy is to improve and/or restore function (3). The word "active" is used to differentiate individualized exercise programs designed to address and rehabilitate specific functional, anatomic, or physiologic deficits from passive treatment modalities or from forms of "exercise" that require little effort or investment on the part of the patient or provider.

**Brachial Plexus:** The nerves traveling from the C5 to T1 spinal cord levels' ventral rami to the upper extremity in aggregate are termed the brachial plexus. This includes subdivisions of these nerves that are anatomically labeled roots, trunks, divisions, cords, and branches. The anatomic region of the

plexus extends from the tissue adjacent to the spinal cord to the axilla. Injuries to these structures are frequently termed brachial plexopathy.

**Bursae**: Bursae are thin, lubricated, fluid-filled sacs located between bone and surrounding soft tissue, bones and tendons, and/or muscles around joints that reduce friction as movement occurs.

**Bursitis**: Bursitis is inflammation of a bursa and may be marked by pain when the proximate tissue is used or the bursa is compressed.

**Delayed Recovery:** Delayed recovery is an increase beyond the expected time prior to returning to work or to usual activities, based on reasonable expectations, disorder severity, age, and treatments provided.

**Functional Capacity Evaluation (FCE):** A comprehensive battery of performance-based tests used to attempt to assess an individual's ability for work and activities of daily living (5). A job-specific FCE may be done to attempt to identify an evaluee's ability to perform specific job tasks associated with a job while a general FCE may be done to attempt to identify an evaluee's ability to perform specific activities associated with any job. Results should be interpreted with caution, as validity is unproven. The testing should be preferably conducted by someone well-experienced in dealing with patients who may self-limit due to pain (e.g., occupational or physical therapist).

**Functional Improvement (especially objective evidence):** Functional improvement entails tracking and recording evidence of making progress toward increasing a patient's functional state. This is best measured by objective evidence such as returning to work and/or lessening of work limitations. Additionally, use of validated tools such as QuickDASH is often helpful and preferred to non-validated tools.

**Functional Restoration:** Functional restoration (sometimes referred to as "interdisciplinary rehabilitation with a focus on improving function") often refers to a blend of various techniques and programs (both physical and psychosocial), rather than one specific set of active exercises, processes, or therapies. The basic principle for all of these individually tailored programs is to help patients cope with pain and return to the functional status required for their daily needs and work activities (6). The term "Functional Restoration Program" frequently refers to a full-day multidisciplinary, medically-directed program typically lasting from 3 to 6 weeks, employing an interdisciplinary team often consisting of therapists, psychologists, case managers, and nurses (7).

**Pain Behavior:** Pain behavior includes verbal and non-verbal actions (e.g., grimacing, groaning, limping, using pain relieving or support devices/slings, requesting pain medications, etc.) which communicate the concept of pain.

**Passive Modality:** Passive modality refers to various types of provider-given treatments in which the patient is not an active participant. These treatments include medication, injection, surgery, allied health therapies (e.g., massage, acupuncture, and manipulation), and various physical modalities such as hydrotherapy (e.g., whirlpools, hot tubs, spas, etc.), ultrasound, TENS, other electrical therapies, heat, and cryotherapies.

**Rehabilitation**: The term "rehabilitation" is used in these *Guidelines* to mean physical medicine, therapeutic and rehabilitative evaluations, and procedures. Rehabilitation services are delivered under the direction of trained licensed individuals such as physicians, occupational therapists, or physical therapists. Mental health professionals may also be incorporated in the treatment team, particularly for select chronic pain patients.

**Shoulder Impingement**: Shoulder impingement is a theoretical construct advanced especially over the past 40 years, proposing that the supraspinatus tendon is compressed between the acromion and humeral head, resulting in pain, degenerative tendinopathy, and tears.

**Shoulder Joint**: The shoulder (glenohumeral) joint is a shallow synovial ball-and-socket joint based on the articulation of the head of the humeral head and glenoid fossa of the scapula. The supraspinatus,

infraspinatus, teres minor, and subscapularis muscles and their tendons comprise the rotator cuff and contribute to attachment and movement of the humeral head in the glenoid fossa.

**Tendinitis**: Tendinitis is inflammation within the tendon or tendon insertion with the clinical signs of redness, heat, and swelling, accompanied by pain and decreased range of movement. While "tendinitis" is a widely used term for many cases of shoulder pain diagnostically, most patients do not demonstrate cardinal signs of inflammation and more typically may have serological markers of low-grade inflammation.

**Tendinosis**: Tendinosis is a chronic degenerative tendon injury, unaccompanied by redness or heat. It is associated with pain and limited movement (8). Tendinosis may be due to an interaction of individual and physical factors (especially cardiovascular disease risk factors), which may include vocational and avocational activities. Previously, there was a theory of "micro-injuries" that was widely used; that theory has been largely discarded as the accumulated evidence is increasingly demonstrating atherosclerotic changes in the small vessels supplying tendons with already poor blood supplies.

**Sprain:** A sprain is the disruption of a ligament and is caused by high forces that exceed ligament tolerances. Sprains are typically graded I-III, ranging from modest ligamentous tears but no laxity (I) to complete disruption of the ligament (III).

**Strain:** Strain is the disruption of a myotendinous junction or a muscle, usually from a high-force unaccustomed exertion. It may also occur during an accident. This term is occasionally used to describe non-specific muscle pain in the absence of knowledge of an anatomic pathophysiological correlate.

- Grade I: overstretching or slight tearing.
- Grade II: incomplete tearing.
- Grade III: complete tear or rupture.

## **3.4. INITIAL ASSESSMENT**

Thorough medical and work histories and a focused physical examination (see General Approach to Initial Assessment and Documentation) are sufficient for the initial assessment of most workers with potentially work-related shoulder symptoms. The medical history and physical examination include evaluations for serious underlying conditions, red flags, and consideration for possible referred shoulder pain due to a disorder in another part of the body (most commonly from the cervical spine and sometimes viscera). The absence of red flags largely rules out the need for special studies, referral, or inpatient care during the first 4 to 6 weeks for most patients, during which spontaneous recovery is typically expected. Shoulder disorders may be classified into one of three somewhat arbitrary categories:

- **Potentially serious conditions:** including fractures, glenohumeral dislocation, infection, or neurological or circulatory conditions, including referred cervical, cardiac, or intra-abdominal pain. Glenohumeral dislocations are considered potentially serious until it is confirmed there is not concomitant fracture or nerve damage.
- Specific shoulder disorders: including full-thickness rotator cuff tears, rotator cuff tendinopathies/syndromes (impingement syndrome, rotator cuff tendinosis, rotator cuff tendinopathy, supraspinatus tendinosis, partial-thickness rotator cuff tears, bursitis), bicipital tendinosis, acromioclavicular (AC) joint sprain or separation, labral tears, thoracic outlet syndrome (TOS), brachial plexus injury, adhesive capsulitis (frozen shoulder), calcific tendinitis, and instability.
- Nonspecific shoulder disorders: suggesting neither internal derangement nor referred pain including trigger points/myofascial pain (including muscle tension syndrome), fibromyalgia

(see Chronic Pain Guideline), degenerative joint disease (including osteoarthrosis), and nonspecific pain.

## **3.5. MEDICAL HISTORY**

The initial evaluation of patients with shoulder pain should include a thorough medical history, as the vast majority of data to successfully evaluate and treat these patients is found in the history. A complete occupational history is necessary to assist the patient with successful accommodation and rehabilitation, as well as to determine work-relatedness (see General Approach to Initial Assessment and Documentation Guideline; see Work-relatedness Guideline). Standardized questionnaires assessing functional loss and disability, often called functional patient-reported outcome measures (fPROMS), are recommended to routinely measure shoulder function and disability in clinical practice (e.g., Disability of the Arm, Shoulder and Hand (DASH) questionnaire, Shoulder Pain and Disability Index (SPADI) questionnaire) (9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28,29,30,31).

## **3.5.1. MEDICAL HISTORY QUESTIONNAIRE**

#### Download a PDF version of the Shoulder Disorders Medical History Questionnaire here.

Asking the patient open-ended questions, such as those listed below, allows the physician to gauge the need for further discussion or specific inquiries to obtain more detailed information. Start eliciting a history with open-ended questions, such as: "What may I do for you today?" This approach helps to frame the discussion towards what the patient feels is the main purpose of the visit. Elicitation of the patient's concerns may initially include seemingly tangential issues, but may prove important later and helps ensure that the physician is able to address issues important to quality clinical care and patient experience.

#### 1. SYMPTOM ONSET

- What are your symptoms?
- When did your symptoms begin?
- Where did your symptoms first occur? Were there symptoms primarily in the shoulder joint, down the arm, hand, and/or up in the neck?
- What do you think caused the problem? How did it occur? Do you recall a specific inciting event?
- How do you think it is related to work? (It is important to obtain all information necessary to document the circumstances and biomechanical factors of injury to assist the patient in obtaining compensation, where appropriate.)
- Was there acute or gradual onset of pain or limitation of motion? For traumatic injuries: was the area deformed?
- What is the day pattern to your pain? When is it worst? Do you have a problem sleeping?
- How does having this pain affect your life?

#### 2. PROGRESS OF SHOULDER CONDITION

- Since these symptoms began, have your symptoms changed? How?
- Have your activities been limited? How long have your activities of daily living been limited? For how long?
- What tests or imaging have you had?
- Have you had specialist consultations?

• What treatments have you had so far, including over-the-counter and prescription medication?

#### 3. PRESENT SYMPTOMS

- What are your symptoms currently? How does the worker act when describing them (may help to ascertain the expression of and meaning of pain to the worker, while simple hand gestures and postures taken while describing the pain are often highly useful for diagnosis)?
- Are you experiencing pain, weakness, or limited motion (stiffness) in your shoulder?
- Are you experiencing popping, clicking, or catching in your shoulder?
- Does your shoulder feel unstable?
- Are your symptoms currently located primarily in the shoulder joint?
- Is your shoulder pain associated with pain, numbness, tingling, swelling, or color change in the hand or arm?
- Are your symptoms constant or intermittent?
- What makes the problem worse or better?
- Do you have pain or other symptoms elsewhere (e.g., neck, chest, or abdomen)? Do you have fever, night sweats, or weight loss?

#### 4. PRESENT SHOULDER CAPABILITIES

- Can you move your arm over your head?
- Can you tuck in your shirt, reach your back pocket, or put on a jacket?
- Can you do overhead activities or work? For how long?
- Can you wash your hair?
- How much weight can you lift? What could you lift before?
- Can you move your shoulder without pain?
- Can you sleep on the affected shoulder?
- Does wearing a bra, suspenders, or tool belt harness make your shoulder pain worse or cause pain?
- How heavy is your purse/shoulder bag? Have you changed purses/bag (lightened) or changed how you carry it (to the other shoulder or rolling bag)?
- Do you have weakness in your hand, arm, or shoulder?
- Have you noticed any loss of muscle mass?

## 5. PATIENT GOALS

- What are your goals in relation to this shoulder problem?
- What are you currently unable to do that you want to be able to get back to?
- What are your goals for work? Non-work activities? Hobbies? Sports?
- What could we measure as goals to track your progress?

## 6. PREVIOUS SHOULDER PROBLEMS

- Have you had similar episodes previously?
- Have you had previous testing or treatment? What treatment (medication, surgery, therapy, etc.)? What were the results? With whom?
- How was your recovery?
- Did this previous shoulder problem resolve completely?
- How long did it take to get back to light duty work? To full duty work?

• If didn't return back to original occupation: were you determined to have a disability?

#### 7. JOB REQUIREMENTS

- What are your specific job duties? Do you rotate jobs?
- What does your work require you to do with your shoulder?
- What postures and activities are required at work? How much do you lift at work as a maximum lift? How heavy is a usual lift? Do you work with your hands at or above chest height?
- Do you have assistance of other people or lifting devices?
- How often are shoulder activities required?

#### 8. OFF-THE-JOB ACTIVITIES (AVOCATIONAL ACTIVITIES)

- What other activities (hobbies, workouts, sports) do you engage in at home or elsewhere (outside of work)?
- Do you use your shoulder to perform these activities?
- Do you do any overhead arm actions? How? How often?
- Can you perform activities of daily living (e.g., dressing, bathing, grooming, etc.) or instrumental activities of daily living (e.g., shopping, food preparation, housekeeping, etc.)?

#### 9. DO YOU HAVE OTHER MEDICAL PROBLEMS?

- Osteoarthrosis, rheumatoid arthritis or other arthritides or auto-immune disorders (e.g., lupus, psoriasis)?
- Fractures, upper extremity surgeries?
- Cardiovascular disease? Heart disease risk factors?
- Pulmonary disease? Do you smoke? Did you smoke? How much?
- Gastrointestinal problems or liver disorder?
- Diabetes mellitus? Thyroid disorder?
- Do you have neck pain or history of neck trauma?
- Neurological disorders (including neuropathies, radiculopathies, headaches)?
- Psychophysiological disorders (e.g., irritable bowel syndrome, chronic fatigue syndrome, sick building syndrome, fibromyalgia, or multiple chemical sensitivities)?
- Do you have symptoms of infection? Fever, chills, symptoms of infection elsewhere?
- Have you ever had cancer?
- What medications do you take? Over-the-counter medications? Prescription medications?
- 10. Is there any psychological, psychiatric, mental health, substance use, or alcohol history?
  - Have you ever had a substance use problem? Driving while under the influence of alcohol? Detoxification?
  - Have you ever had an alcohol problem? (CAGE or MAST screening especially required for possible osteonecrosis)
  - Is there use of other drugs? (Current and prior use)

- 11. What is the occupational psychosocial context?
  - Do you like your job?
  - What is your relationship with your co-workers and supervisor and how do they treat you?

12. Assess whether there are problems at home/social life. Does the patient feel in control of most situations? Is there support?

- How do your family members get along with each other?
- How do they help and support you, including assistance with chores?
- Does your family treat you differently now that you are in pain? Have your roles at home changed because of your injury?
- How do your friends treat you differently?
- Do you get increased symptoms when you are dealing with problems with your family and friends? How often? When? Why?

13. As billing is different, and rules regarding treatment often differ, it is important to know if there is worker's compensation, or other compensation? Are there advocagenic<sup>a</sup> (litigious) influences?

• Do you have a lawsuit or other legal action involving this pain problem?

<sup>a</sup> The term advocagenic is analogous to iatrogenic, however, it is related to influences involving the litigation processes with examples including: compensation dependent on symptom severity and duration, advice to limit functional activity, work-limitation advice that conflicts with medical opinion, advice contrary to medical plan, and symptom recurrence/exacerbation after contact with an attorney (32).

## **3.6. PHYSICAL EXAMINATION**

The objective of the physical examination of the shoulder is to define physical abnormalities, narrow the diagnostic considerations, and focus the treatment plan (33,34,35,36,37,38,39,40,41,42,43,44,45,46,47,48,49,50,51,52,53). Physical examination data, including vital signs, should be reviewed for potential inferences regarding infectious or neoplastic origins.

The physical examination should begin the moment the physician sees the patient. Observing how the patient holds the shoulder (particularly during a history), uses the shoulder, sits, walks, and moves is of major importance, often more important than any other aspect of the exam. It also helps to have the patient demonstrate what positions seem to provoke or cause the symptoms, as the demonstration is invariably of greater help than verbal descriptions.

Guided by the medical history, the physical examination includes:

- General observation of the patient;
- General level of fitness and physical condition;
- Upper quadrant screen for neck involvement, and other upper extremity disorders, including elbow;
- Neurovascular screening;
- Testing for various specific shoulder disorders as appropriate to the history; and
- Monitoring for pain behavior during range of motion, changing postures as a clue to origin of the problem.

## **3.6.1. REGIONAL SHOULDER EXAMINATION**

The entire shoulder girdle should be visible and viewed from all angles. Asking the patient to point to the area of discomfort may be helpful for discrete entities such as AC joint or long head biceps pathology. Pointing helps determine if the discomfort is at the shoulder joint or if the patient is referring to the "shoulder" in general (e.g., the upper trapezius). Many shoulder disorders present with pain that is too diffuse to point to with one finger.

Observe asymmetry or deformity at rest and during movement. Atrophy of the deltoid or scapular muscles is an objective finding, only arising after weeks to months of symptoms; atrophy of the supraspinatus muscle is the most clinically relevant. Deformities due to acromioclavicular separation are visible (e.g., scapular winging at rest, shoulder girdle ptosis), as are many signs of infection (e.g., elevated temperature, redness, heat, fluctuance) or gross tumor (e.g., visible vessels, palpable mass). Palpate neck, shoulder and arm structures, noting patient's underlying tenderness.

Shoulder range of motion (ROM) should be determined actively and passively. Active ROM should be performed first to determine how far the patient can move prior to applying pressure to assess passive ROM. Essential active motions to assess are shoulder elevation in flexion and abduction, external rotation, and internal rotation with the arm at the side and at 90° of abduction (1080). Passive ROM should be performed for the same motions. Passive motion is best assessed with the patient supine. The examiner may also determine passive ROM by eliminating gravity with overpressure, having the patient in the pendulum position, or by having the patient use the other arm to aid elevation. While checking ROM, watch for scapular mobility and stability. Movement of the scapula should be observed for winging or dysrhythmia during active elevation in flexion and/or abduction (1081,1082). Both can be enhanced by fatiguing the shoulder with repeated active range of elevation and lowering the arm. Strength should be assessed, resisting isometric contractions of the same essential motions for ROM

The choice of which specific tests to use (see Table 1) may be guided by the synthesis of the information obtained from the history and physical examination. However, many examination maneuvers have not been validated in quality clinical trials, and do not have well established sensitivities and specificities. Many exam maneuvers are also reportedly non-specific and of questionable value (866,58,54,1083,670,1084,1085,1086,1087,96). It is important to correlate data from history (e.g., demographics, type and location of symptoms, mechanism of injury) with findings on physical examination. For example, findings of instability maneuvers are irrelevant if instability is not the problem. If certain shoulder problems (e.g., pain) are sufficiently severe, other diagnostic tests may not be helpful; for example, in the presence of substantial joint stiffness and capsulitis, impingement maneuvers are invalid.

## **3.6.2. NEUROLOGIC AND VASCULAR SCREENING**

C5 or C6 radiculopathy may present as shoulder pain or dysfunction. Soft tissue disorders of the neck can also present as shoulder pain. Examine the neck and cervical nerve root function with palpation, reflexes, strength (motor), and sensitivity to touch (sensory), guided by history and previous exam findings. Assess the vascular status of the shoulder, proximal upper extremity, and neck by checking peripheral pulses in neutral and stress positions, and edema and/or color changes. Thoracic outlet syndrome (TOS) has varying signs and symptoms depending on whether it is primarily arteriol, venous, or neurogenic. Symptoms may include scalene tenderness and positive maneuvers that provoke neurovascular signs and symptoms; for example, Hofmann-Tinel's sign may be positive over the brachial plexus. Once all other diagnoses have been ruled out and TOS is suspected, non-operative

measures should generally be attempted; referral to a surgeon is recommended for those individuals with confirmed vascular TOS or those with neurogenic TOS who have failed to improve with 3-6 months of conservative management.

## **3.6.3. ASSESSING RED FLAGS**

Physical examination evidence of septic arthritis, neurologic compromise, cardiac disease, or intraabdominal pathology that correlates with the medical history and test results may indicate a need for immediate consultation depending on the physician's skills and abilities. Consultation may further reinforce or reduce suspicions of tumor, infection, fracture, or dislocation. A medical history that suggests pathology originating in a part of the body other than the shoulder might warrant examining the cardiovascular and respiratory systems, abdomen, or other areas. Painless full ROM of the shoulder suggests referred pain.

## **3.7. DIAGNOSTIC CRITERIA**

The cause of the patient's shoulder symptoms should be determined as accurately as clinically possible at the time the patient presents. Some imaging may be appropriate acutely – e.g., x-ray in trauma cases and other conditions outlined in the Special Studies section below. Consensus recommendations for imaging can be found on the <u>American College of Radiology Appropriateness Criteria</u> website. If red flags are present, enact or arrange definitive care or treatment. If no red flags for serious conditions are present, then develop a plan of care. As many patients will have significant and sufficient improvement in the first weeks, only some will need additional examination and imaging to confirm or refine the diagnosis, prognosis, surgery or further treatment, or MRI showing a labral or rotator cuff tear. The criteria presented in Table 3 follow the clinical thought process for non-red flag conditions, from the mechanism of illness or injury to unique symptoms and signs of a particular disorder, to test results, if any tests are needed to guide treatment at this stage. It is helpful to know and apply the sensitivity, specificity, and likelihood ratios of examination maneuvers and diagnostic studies to compare the value of various diagnostic approaches.

## **3.7.1. SPECIAL STUDIES**

For most patients with non-traumatic shoulder problems (absent red flags), special studies are not needed, unless a 4- to 6-week period of non-operative care and observation fails to improve symptoms. Most patients improve quickly, provided red-flag conditions are ruled out. There are a few exceptions:

- X-ray is required for most traumatic situations to rule out fracture. There may be exceptions involving minor trauma.
- Stress films of the AC joints (views of both shoulders, with and without the patient holding 15-lb weights) are typically not needed because the disorder is usually clinically obvious. Stress films may help differentiate between Grade 1 and 2, but have little utility as both are treated non-operatively. It may be indicated if the clinical diagnosis is AC joint separation and examination, and standard radiographs are inconclusive.
- If an initial or recurrent shoulder dislocation presents in the dislocated position, shoulder films before and after reduction are indicated. Post reduction films (lateral axillary view) must clearly demonstrate that the humeral head is reduced.

- Persistent shoulder pain, associated with neurovascular compression symptoms (particularly with abduction and external rotation), may indicate the need for an AP cervical spine radiograph to identify a cervical rib and electrodiagnostic testing for nerve injury.
- The threshold for obtaining x-rays whenever there is an unusual clinical presentation should also be particularly low. This includes symptoms suggestive of potential intra-abdominal or cardiac problems presenting as shoulder problems, as well as neoplasias.

Subsequent, additional indications include:

- Traumatic injury with shoulder weakness suggesting rotator cuff tear.
- Traumatic shoulder dislocation in patients over age 40 high incidence of concomitant rotator cuff tear.
- Physiologic evidence of tissue insult or neurovascular dysfunction (e.g., cervical root problems presenting as shoulder pain, weakness from a massive rotator cuff tear, or presence of edema, cyanosis or Raynaud's phenomenon).
- Failure to respond to treatment as expected.
- Failure to progress in a strengthening program intended to avoid surgery.
- Clarification of the anatomy prior to an invasive procedure (e.g., a full thickness rotator cuff tear not responding to non-operative treatment).

There are considerable methodological weaknesses among the studies of diagnostic tests that include small sample sizes, incomplete assessments of the patients with all tests under consideration, frequent use of retrospective methods, utilization of arthrography for gold standard comparison, and inclusion of patients who had previously been evaluated with the same test or procedure (58). These weaknesses provide substantial concerns about the accuracy of reported test performance characteristics such as sensitivity, positive predictive value, and likelihood ratios. Quality, head-to-head comparisons of diagnostic tests are extremely rare, making quality comparisons between the available diagnostic tests difficult (58). Lastly, relying solely on imaging studies to evaluate the source of shoulder symptoms carries a significant risk of diagnostic confusion, especially false-positive test results, since there is a high probability of identifying a finding that was present before symptoms began (for example, degenerative partial thickness rotator cuff tears), and therefore may have no temporal association with the symptoms.

Routine testing (e.g., laboratory tests, plain-film radiographs of the shoulder) and more specialized imaging studies are not recommended during the first 4 to 6 weeks of activity limitation due to non-traumatic shoulder symptoms, except when a red flag noted on history or examination raises suspicion of a serious shoulder condition, calcific tendinitis, or referred pain. Cases of impingement syndrome are similarly managed.

MRI is especially indicated for imaging soft tissues, particularly including rotator cuff and labral structures. CT is typically more helpful for imaging bony in the shoulder beyond that which is assessable by radiographs, e.g., select patients with osteonecrosis and shoulder dislocation. Earlier imaging with MRI is indicated among those with suspected acute tears of the rotator cuff, especially among younger workers and/or those with functional deficits.

Laboratory studies, such as liver or gallbladder function tests and tests for pelvic disease, may be useful to determine if pain is being referred to the shoulder from a subdiaphragmatic source. Electrocardiography and possibly cardiac enzyme studies may be needed to clarify apparent referred cardiac pain. Chest radiographs may be needed to elucidate shoulder pain that could be the result of pneumothorax, apical lung tumor, or other apical disease such as tuberculosis. An erythrocyte sedimentation rate (ESR), complete blood count (CBC), and tests for autoimmune diseases (such as rheumatoid factor) can be useful to screen for inflammatory or autoimmune sources of joint pain.

## **3.8. WORK-RELATEDNESS**

A determination of work-relatedness is straightforward among those with a significant traumatic workplace event (e.g., substantial slip, trip, fall, or accident). Acute occupational shoulder injuries are related to a specific acute traumatic event – these are non-controversial if the effects are immediate and visible. Physicians should nevertheless clearly document those events and injuries to help support the claim for worker's compensation. The remainder of this section considers those without an acute, significant event. Please also see the Work-relatedness Guideline.

A thorough work history is important to help establish work-relatedness (see General Approach to Initial Assessment and Documentation Guideline for components of work history). Most jurisdictions request an expert opinion as to whether a disease or disorder should be considered work-related for the purpose of workers' compensation. The physician's role is to supply opinion based on medical evidence. The "medical/scientific" answer and the "legal" answer as determined by regulations and case law precedents in a particular jurisdiction (workers' compensation system) often differ. However, in most jurisdictions, the level of evidence is preponderance (more likely than not or over 50%). The best medical decisions are made with support from medical literature and decisions are more supportable with the assignment of a clear diagnosis. Physicians have an ethical responsibility not to simply advocate for their patients (1128). Although most physicians should not be expected to know case law in their state/jurisdiction, they should know that most non-traumatic shoulder disorders involve underlying chronic disease conditions and work-relatedness is often unclear. Lack of clarity and inconsistency in epidemiologic studies decreases the certainty as to whether a workers' compensation claim is supported by medical evidence.

Most epidemiological studies of shoulder disorders are retrospective and either include body regions beyond the shoulder (such as the interscapular region) (1062,1129,1130,1131,1132,1133,1134,1135,1136,1137,1138,1139,1140,1141,1142,1143,1144,114 5,1146,1147,1148,1149,1150,1151,1152,1153,1154,1155,1156,1157,1158), combine shoulder pain with neck pain (866,1020,1130,1131,1139,1141,1144,1145,1151,1156,1159,1160,1161,1162,1163,1164,1165,1166, 1167,1168,1169,1170,1171,1172,1173,1174,1175,1176,1177,1178,1179,1180,1181,1182,1183,1184, 1185,1186,1187,1188,1189,1190,1191,1192,1193,1194,1195,1019,1196,1197,1198), rely solely on subjective data (such as questionnaires for disease and/or exposure data) (253,409,1199,1200,1201,1202,1203), or fail to measure the physical factors associated with the job (1150,1204,1205,1206,1207,1208,1209,1210). This produces considerable uncertainty in these data; statements referable to or actions resulting from these studies should reflect the weakness of the evidence. For most disorders, there is insufficient evidence to conclude causal occupational associations.

No quality ergonomic assessment tools have been developed and validated to establish workrelatedness. For the distal upper extremity, the Strain Index (1211,1212,1213) appears to be the most reliable tool. It has been reported to have some predictive power for shoulder disorders (1213,1214) despite including some components such as hand/wrist posture that are presumably irrelevant. Force is believed to be the major risk for shoulder disorders (1200,1215,61,62,63,1216), which may provide some basis for ergonomic assessments of jobs. The lack of quality ergonomic-epidemiological studies combined with the lack of quality ergonomic job assessment tools is markedly limiting for purposes of both prevention of disorders as well as assessments of work-relatedness of individual cases.

#### **Rotator Cuff-related Disorders**

(including tendinoses, partial- and full-thickness tears, impingement syndrome, and subacromial bursitis)

Risk factors for rotator-cuff related disorders are not well-defined. There are no large prospective cohort studies that include physical examinations and detailed job physical exposure measurements to compare, contrast, or quantify purported job physical factor risks. There also are no quality studies of bursitis and few of impingement syndrome. In the absence of other evidence or disorders (e.g., rheumatoid arthritis), it is suggested the following discussion of shoulder tendinopathies applies to those conditions (i.e., impingement syndrome, subacromial bursitis).

Shoulder tendinopathies were increased in a cross-sectional study of shipyard welders (1217) and another study of shipyard plate workers (1218). However, both studies were limited by retrospective methods without adjustments for potential confounders. EMG evidence of supraspinatus fatigue was found with overhead shipyard welding (1219). A small case-control study of shoulder tendinitis cases found elevated risks among those with hand use at or above the shoulder (1220). Another case-control study which measured job physical factors found elevated risks among those with frequent activity and abduction or forward flexion more than  $60^{\circ}$  (1172). Another found force to be associated with increased risk (1209). A moderately large cross-sectional study reported 5-fold increased risks for a composite of multiple shoulder disorders (e.g., rotator cuff tendinosis, frozen shoulder, acromioclavicular and glenohumeral degenerative joint disease) among those with using high force or high repetition (1221).

A problem with many studies is that factors such as force and repetitiveness are not clearly specified and infrequently measured. Two studies that did specify and measure (252,1131) defined repetitive shoulder use as tasks that entail cycle times of four seconds or less ( $\leq$ 4s), and forceful shoulder use as the application of at least 10 pounds or at least 10% of maximal voluntary contraction force.

Other cross-sectional studies found elevated risks of rotator cuff syndrome among sewing machine operators (1130), grocery checkers (1222), and fish processing workers (23). A population-based registry study of fishery workers found elevated risks for rotator cuff syndrome (1223). A cross-sectional study from a retrospective cohort found elevated risks of shoulder impingement syndrome among meat processing workers (1210). Another large cross-sectional study that included ergonomic assessments found high force and repetition to be associated factors of up to 3- to 4-fold magnitudes (1224). Workers with higher force requirements appear to have increased risk of shoulder tendinosis, rotator cuff tears, and impingement syndrome when identified in large administrative databases (1056,1225,1226).

One prospective cohort study suggested high-hand force was associated with an increased risk of rotator cuff tendinosis (252,1079,1215). However, not all data support that supposition (253,1034). High force and high repetition, and repetition alone (94,1227) are reported risk factors (1041,1216,1224,1228,1229). Other data suggest working with the hands above the shoulder is a risk factor (416). Other data suggested either long duration of shoulder flexion (252) or arm abduction are risk factors (1229). However, these results are not consistent among studies. Other studies have not found elevated risks of shoulder tendinitis, including one of assembly line packers (1230) and others of manufacturing workers (1231), sewing machine workers (1231), heavy work (1232), bricklayers (419), rockblasters (419), and data entry workers (1233).<sup>a</sup> A prospective cohort study to evaluate risks of shoulder postures found large within-group variance in exposures and an inability to detect postural risks for shoulder disorders (1224). Another prospective cohort study evaluating working postures found postural variations were associated with musculoskeletal symptoms throughout the body (1160). A prospective cohort of baggage handlers found longer cumulative years of employment was associated with subacromial shoulder disorders (1234). One small cohort found increased risk of shoulder pain among those with increased measured arm elevation (1235). Unaccustomed use is believed to be a risk factor, particularly involving forceful use that the individual does not normally perform.

Psychosocial factors have been associated with the presentation of rotator cuff tendinitis, including self-perception of poor health (1020,1236,1237). However, most studies of psychosocial factors

evaluated combined neck-shoulder disorders or shoulder girdle pain. These studies found risks that included (1020,1132,1238), somatization (1239, 1240),demand stress job (1040,1131,1176,1200,1229,1241,1242), high distress (1131,1243,1244,1245), high psychological demand (1200,1216,1229,1246,1247,1248), low job control (252,418,1040,1131), job strain (1148,1249,1193), low social support (1020,1131,1248,1250), job dissatisfaction (1239,1250,1251), depressive symptoms, effort-reward imbalance (1252,1253), low job security (252,1040), smoking (421,1020,1254), living alone with children (1020), low socioeconomic status (1236), and work organizational issues (1255,1256). Risks of disability were higher among foreign-born workers and women in a Swedish population-based prospective cohort study (1257). Reduction in risk of shoulder and neck pain has been reported with regular leisure time physical activity (1242). However, another study suggested inconclusive evidence of the relationship between physical capacity and risk of shoulder pain (1258). A Finnish study reported increased risk of early retirement particularly among those with both heavy physical work combined with low cardiorespiratory fitness (1259).

*Non-occupational risks for rotator cuff-related disorders:* Rotator cuff disorders are not characterized by frank inflammation; however, inflammatory mediators may be present in rotator cuff tear, tendinitis, and impingement patients. These include increased: interleukin-1 (109,117,112), interleukin-6 (112,1260), interleukin-8 (1261), tumor necrosis factor-alpha (109,112), basic fibroblast growth factor (109,116), transforming growth factor (109,116), metalloproteinases (112), CD2-positive T-lymphocytes (113), tenascin-C (114), substance P (110), and vascular endothelial growth factor (115). It is unknown whether these factors precede or are a consequence of the disease processes. Associations have been found between severity of musculoskeletal disorders (MSDs) and inflammatory mediators (1262).

Some factors increase risk for shoulder pain and rotator cuff-related disease including obesity (251,252,253,866,417,1247,1263,1264), smoking (251,418,419,421,1020,1265,1266,1267,1268), hypercholesterolemia (1269), hyperlipidemia (1270,1271,1272,1273), diabetes mellitus (416,1229,1247,1264,1270,1274,1275,1276), and atherosclerotic disease risks (250,417). These factors may be reduced with active exercise and lipid-lowering therapy (253,1273). Genetic factors are also reported risks (1277,1278,1279). Evidence increasiOngly supports cardiovascular disease as a mechanism for the development of rotator cuff tendinitis and tears (423,1280).

The prevalence of full-thickness rotator cuff tears in asymptomatic individuals over age 50 is reported to be 6 to 51% (1026,1033,1034). In cadavers, 23.1% had partial or full-thickness tears (1031). A systematic review (1031) exploring the frequencies of rotator cuff tears in asymptomatic and symptomatic persons resulted in aggregate findings, which are summarized in the table below. When comparing the frequency of symptomatic to asymptomatic rotator cuff tears revealed on diagnostic imaging, as many as half of tears, particularly if partial-thickness, may not be the pain generator.

The prevalence of any asymptomatic tear was approximately 40%, with symptomatic tears occurring from about the same to nearly double the frequency, depending on the method of detection used. Age is a major risk factor for tendinitis and full and partial-thickness rotator cuff tears (1109,999,1002,1005,252,416,1024,1025,1281,1031,1033,1034,1036,1037,1038,1039,1040,1041,10 42,1043,1044,1045,1282,677). One study suggests age, BMI, repetitive work, and diabetes are all associated with higher risk for bilateral rotator cuff tears compared to monolateral tears (677). Risk is greater on the dominant side (252,1034,1035,1283), although that is not a universal finding (1025). Smoking is a risk factor for accelerated speed of a degenerative tear (1284).

Tears of the supraspinatus tendon have been associated with tears of the remaining rotator cuff tendons, including the subscapularis (1038), as well as bicipital tendon tears (181,1285). The prevalence of Type II and III acromions rises with age and is associated with rotator cuff pathology and tears in asymptomatic (1026,1027) and symptomatic patients (1286). However acromion type may not impact rotator cuff repair (1287). Over age 70, the prevalence of Type II and III acromions is 80 to 93% (1025,1026). Evidence also suggests a relatively weak association between cuff tears and acromial

types. One study suggests there is a higher risk of rotator cuff tear for those with Type III acromions compared to Type I or II (1288). The reliability of classifying acromial type is poor, although large spurs have been associated with a higher risk of tear (1289).

Degenerative processes tend to occur in both shoulders (1043). Risk factors reported for degenerative processes include heredity (1278), ankylosing spondylitis (1290), rheumatoid arthritis, crystal diseases (e.g., gout, pseudogout, hydroxyapatite), trauma (1034), and sports activities (419).

There is evidence to suggest that preoperative expectations for rotator cuff issues are associated with surgical recovery outcomes (1291). Fear avoidant beliefs have been prospectively shown to be related to risk of sick leave for workers with musculoskeletal pain (1292). Sleep disturbance among workers with neck-shoulder pain predicted sickness absence at 5 years (1293) and was a risk for neck-shoulder-arm pain in a large cohort (1294).

#### Acromioclavicular (AC) Sprain, Separation, and Dislocation

AC joint sprains and separations are mostly reported in sports from blows to the shoulder or falls (1295,1296,1297,1298,1299,1300,1301,1302,1303,1304,1305,1306); predominately among young males in the second and third decades of life (1042). Some AC injuries may occur as a result of occupational injuries including falls. Shoulder separation should be visible, or at least documentable, by radiographic study.

#### Acromioclavicular (AC) and Glenohumeral Arthrosis

The shoulder may be affected by osteoarthrosis (716). In symmetrical cases, an occupational basis is difficult to identify. There are no consistent findings of one job type or class to be associated with shoulder arthroses involving either joint. There is also a strong propensity towards osteoarthrosis to develop in other joints in the body once an individual has already developed symmetrical arthrosis in another body region, likely signifying genetic or other systemic predispositions (systemic osteoarthrosis) (1307,1308,1309,1310,1311,1312,1313,1314,1315). Age is a clear osteoarthrosis risk (126,1316,1317). All joints are susceptible to involvement with systemic rheumatological conditions, also including rheumatoid arthritis (1318). Joints are also affected by crystal arthropathies including gout and pseudogout. Obesity may act through a systemic mechanism (1319,1320,1321). Anatomic evidence of AC joint arthrosis is common, with an estimated prevalence of 29% of cadavers that included apparent age-related effects (126), as well as more AC arthrosis on the right side (1317). Elevated risks of acromioclavicular arthrosis have been reported in fish-processing workers (23), bricklayers (1322), and those active in sports (419). Few epidemiological studies have reported quantified exposure-disease outcomes for glenohumeral arthroses and so work-relatedness is unclear; however, some cases may occur after work-related fractures and thus may more clearly be considered occupational. A history of shoulder dislocation and operative treatment are associated with increased risk for glenohumeral arthritis (1323).

#### **Adhesive Capsulitis**

Most cases of adhesive capsulitis are idiopathic. Although some persons may claim to develop pain or limited mobility after a minor injury and subsequently be assigned a diagnosis of adhesive capsulitis, there are currently no quality studies demonstrating this cause and effect. Adhesive capsulitis may occur due to systemic risk factors. Calcific tendinitis, rotator cuff tendinitis, bicipital tendinitis, impingement syndrome, fractures, dislocation, and osteoarthritis have been shown to be associated factors in a large population-based case-control study of 24,414 patients (1324). Some patients develop adhesive capsulitis based on systemic risks such as age, female sex (1324,1325), obesity (1326), lower BMI (1327), gout (1328), diabetes mellitus (1326,1327,1329,1330), high sensitivity C-

reactive protein (1329), and hypothyroidism (1331,1332). Shoulder contracture after surgery may present similarly to adhesive capsulitis.

#### Fractures

All shoulder fractures, except for pathologic fractures, are the result of trauma. Fractures are commonly due to sports, motor vehicle crashes, or occupational accidents (1333). Fractures in younger adults are more likely to involve higher energy trauma than those in the elderly, potentially due to osteoporotic changes with aging. Falls are the most common cause of shoulder fractures among the elderly (1334).

#### **Glenohumeral Dislocation, Instability**

A first-time occurrence of dislocation in the context of a discrete violently traumatic occupational event is work-related. Once a normal shoulder dislocates (i.e., there is an absence of a congenital anomaly), the joint capsule and ligaments are permanently stretched and the shoulder is prone to redislocate. Thus, in individuals with a prior history of dislocation, there is an increased risk of redislocation and/or instability. Redislocation in the absence of a significant work accident or event is non-occupational. There are fewer clear cases in which there is prior instability but an occupational event that sometimes results in the cases being considered work-related, depending on the magnitude of the event. Multiple studies show that recurrence of shoulder dislocation is common in multiple populations and clinical studies (1335,1336,1337,1338,1339,1340,1341,1342,1343), with some studies of shoulder dislocation showing the majority of persons who experienced shoulder dislocation had recurrence (1338,1340,1344,1345,1346), with re-dislocation rates up to 29% (1347), 62% (1344), and 68% (1345), depending on the population. Overall, the earlier (younger) the initial dislocation, the likelier re-dislocation (1335,1346,1347,1348,1349,1350). Depending upon the patient's age, glenohumeral dislocation can cause substantial rotator cuff injury. Proprioceptive (position-sense) deficits might contribute to shoulder instability and injury (1344,1345,1351). It is unknown whether proprioceptive deficits precede and dispose to injury or result from injury.

#### Labral Tears

There are no quality epidemiological studies on the causes of labral tears or the reasons labral tears become symptomatic. Labral tears frequently accompany glenohumeral dislocation (dislocated shoulder) (1352,1353). Shoulder and hip labral tears have been found to coexist with each other in patients (1354). A non-contrasted MRI study of 53 adults ages 45-60 with no shoulder pain history were interpreted as showing 55-72% of patients were consistent with labral tear(s) (1355). Another small prevalence study reported 20% of asymptomatic shoulders have MRI evidence of labral tears and 20% of those with prior shoulder pain have evidence of rotator cuff tears (1356). The prevalence of labral tears on MRI at the National Football League's Combine was 14.9% (1357). Aging is a strong risk factor (1355,1358,1359).

#### Trigger Points/Myofascial Pain/Muscle Tension Syndromes

No quality epidemiological studies demonstrate a work relationship for myofascial pain and trigger points. There is some evidence suggesting that certain cases of muscle tension syndrome may be occupational and that this disorder may be related to myofascial pain (1020,1144,1147,1151,1360,1361,1362). However, the quality of studies reported has been suboptimal. True risk factors are not well-defined (1363). Myofascial pain is often assigned as workrelated when the pain arises in a body part subject to a clear occupational injury or when there is an inciting event without prior history, the pain and signs are limited to one body region, and are not bilateral or disseminated. Myofascial pain syndrome has been reported to be related to years of sewing with higher prevalence in those inexperienced and those with long years on the job (i.e., a Ushaped relationship) (1020). Stress and anxiety have also been associated with myofascial pain syndrome (1020,1364), with a few studies specifically assessing computer workers in stressful situations (1365,1366). Myofascial trigger points are associated with migraine and tension-type headaches (1364,1367), endometriosis (1368), chronic pelvic pain (1369), temporomandibular joint pain (1370), and head/neck surgery (1371). Fibromyalgia is considered a non-occupational condition and is reviewed in the Chronic Pain Guideline.

#### **Thoracic Outlet Syndrome**

There are no quality studies that address thoracic outlet syndrome (TOS). TOS is commonly attributed to multiple underlying causes, including neurological compression, vascular compression, scalene muscle tightness, and compression by the first thoracic rib or a cervical rib (1372,1373). Thus, work-relatedness is unknown and cases without an identifiable cause of compression are controversial (1373,1374,1375,1376). Some cases occur due to neurovascular compression, including cervical ribs, and thus are congenital. Others occur due to sequelae of trauma (e.g., scar tissue) or secondary to another shoulder disorder. Many occur without a clear provoking cause, although some patients report worse symptoms at work (1375,1377). However, reported worsening with activities or at work does not show a cause-and-effect relationship.

#### **Nonspecific Shoulder Pain**

There are no quality studies documenting that non-specific shoulder pain is or is not an occupational condition. Non-specific pain has been typically studied in ergonomic-epidemiological investigations lacking a medical diagnostic component utilizing questionnaires to ascertain a case definition of shoulder or neck/shoulder pain. Using these methods, shoulder pain has been associated with keyboarding, lower educational achievement, poorer self-reported physical fitness, manual handling, working with hands above shoulder level, lifting above shoulder level, heavy lifting, and working with vibrating tools (1378,1180,1203,1236,1362,1379,1380,1381). In non-specific shoulder pain, psychosocial issues including depression and stress are more prevalent (416,1203,1380). There is evidence that non-specific shoulder pain is also commonly related to sports, particularly swimming (1382,1383,1384,1385,1386,1387,1388). Commonly, non-specific shoulder pain is medically diagnosable as having a specific diagnosis either with a thorough examination and/or with time.

## **3.9. TREATMENT RECOMMENDATIONS**

## **3.9.1. GENERAL APPROACH TO TREATMENT**

Assuring that there are no red flags is the first concern. Next, the patient's functional level should be assessed, as a function-based treatment strategy is helpful. Activity levels and simple exercises are often the next consideration as a central aim of a function-based treatment strategy. Ice/heat may be prescribed. Nonprescription analgesics may provide sufficient pain relief for most patients with shoulder pain. If treatment response is inadequate (i.e., if symptoms and activity limitations continue)

<sup>&</sup>lt;sup>a</sup> Many of the epidemiological studies are sufficiently old that the work tasks likely are no longer performed or are substantially different today. Regardless, these studies are included to provide the references of the exposures, not the job tasks, per se.

or the physician judges the condition limitations to be more significant, prescribed pharmaceuticals or physical methods may be added. Co-morbid conditions, invasiveness, adverse effects, cost, and physician and patient preferences guide the choice of treatment. Initial care and comfort items may include non-steroidal anti-inflammatory drugs (NSAIDs), acetaminophen, heat, exercises, and/or advice on activities. Education about shoulder pain begins at the first visit.

Initial treatment should be guided by implementing conservative care supported by the strongest evidence for treating the presumed diagnosis. For many disorders, there is no high-quality evidence to guide treatment. If there is also no moderate-quality evidence to guide treatment, the provider should consider including non-invasive, convenient, and inexpensive treatments that are widely accepted, but have not been subjected to RCTs or crossover trials (e.g., pendulum exercises for acute shoulder pain patients to facilitate recovery and prevent adhesive capsulitis). Careful consideration of the indications and limitations described in the rationale for each recommendation is critical to understanding the best application for each intervention. If treatment response is inadequate (that is, if symptoms and activity limitations continue), other recommended treatments may be considered. Physicians should consider the possibilities of diagnosed and previously undiagnosed medical diseases such as diabetes mellitus and various arthritides.

The principal recommendations for assessing and treating patients with shoulder disorders are as follows:

- The initial assessment focuses on detecting indicators of potentially serious disease, "red flags," and making an accurate diagnosis.
- In the absence of red flags, work-related shoulder disorders may generally be safely and effectively managed by non-operative means. The focus is on the initial use of the most efficacious treatment strategy or strategies, monitoring for progression and complications, modifying treatment to facilitate the healing process, and facilitating return to work in a modified- or full-duty capacity. Including patient's treatment preferences may be helpful (59).
- Nonprescription analgesics (NSAIDS and acetaminophen) may provide sufficient pain relief for most patients. If treatment response is inadequate (i.e., if symptoms and activity limitations continue), incrementally expand treatment to include prescription medications, treatment modalities such as physical or occupational therapy, steroid injections, and/or surgery. Pain relief may be accomplished by activity modification, commonly limiting shoulder activities to below shoulder level and limiting the weights lifted for those significant exposure activities.<sup>a</sup>
- Identifying the worker's job tasks and functional goals, including returning to work, can aid the formulation of an appropriate treatment plan and work restrictions.
- Patients recovering from work-related shoulder injuries are encouraged to return to modified work and normal activity levels as soon as their condition permits.
- Nonphysical factors such as psychosocial, workplace, or socioeconomic problems should be assessed early in and over the course of care and addressed in an effort to prevent or resolve delayed recovery (60).

<sup>a</sup>The most compromised biomechanical position for the shoulder in biomechanical experimental studies is 90 to 120° of abduction and forward flexion. Maintaining higher overhead height is less compromising to the shoulder than lowering to 90° if the object cannot be lowered substantially (61,62,63).

## **3.9.2. EDUCATION**

Education has been used to treat shoulder disorders (64,65,66,67,68,69,70,71,72,73,74,75,76,77,78)

#### **EDUCATION FOR SHOULDER DISORDERS**

#### Recommended

Education is recommended for patients with shoulder disorders. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Indications

All workers with shoulder disorders.

#### Benefits

Improved understanding of the disorder, which may improve compliance with the therapeutic plan.

#### Harms

Negligible

#### **Frequency/Dose/Duration**

One or 2 appointments for educational purposes, often performed in conjunction with treatment and/or therapy; may include information about self-care and rehabilitation; may teach adaptive techniques and use of adaptive equipment (as indicated) to facilitate continued participation in daily activities despite limitations. Additional appointments may be needed if education is combined with physical therapy or occupational therapy treatments. Follow-up educational visit(s) for more severe disorders as part of a progression towards normal functional use is sometimes helpful.

#### **Indications for Discontinuation**

Completion of 1-2 visits. Added appointments are occasionally needed for more severely affected individuals.

#### Rationale

One moderate-quality trial appears to have largely focused on educational interventions, although it also appears to have included exercises and have suffered a randomization failure that may have biased towards the null (De Bruijn et al., 2007). There are no other quality studies specifically evaluating efficacy of patient education for utility or necessity in the treatment of shoulder disorders. Yet for many disorders, education (e.g., importance of performing pendulum exercises, advancement of activity levels) appears essential. Some providers accomplish this in the course of extended patient visits, while others routinely refer patients to a physical or occupational therapist for education. Regardless of the approach, a few appointments for educational purposes are recommended as a low-cost treatment adjunct for many patients. The number of appointments is dependent on the diagnosis, severity of the condition, and co-existing conditions. Although education is usually incorporated as

part of the overall treatment plan, an additional 1 or 2 appointments for purely educational purposes may be helpful midway through a treatment course for the more severely affected patient.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar, without date limits using the following terms: Education; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 132 articles in PubMed, 19,071 in Scopus, 129 in CINAHL, 1305 in Cochrane Library, 67,500 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 9 from PubMed, 2 from Scopus, 4 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 17 articles considered for inclusion, 4 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens, then the remaining articles are not reviewed due to a lack of relevancy.

## **3.9.3. ERGONOMIC INTERVENTIONS**

Ergonomic interventions have been used to treat general shoulder disorders (1203, 1389, 1390, 1391, 1392, 1393, 1394, 1395, 1396, 1397, 1398, 1399, 1400, 1401, 1402, 1403, 1404, 14000, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 1400, 145,1406,1407,1408,1409,1410,1411,1412,1413). In order to facilitate recovery and prevent recurrence of shoulder disorders, the physician may recommend work and activity modifications and/or ergonomic redesign of the workplace (1414). The employer's role is crucial in facilitating the employee's return to full duty activity. The employer is responsible for accommodating activity limitations and preventing new or further injury through reassignment of tasks in the short-term and ultimately instituting ergonomic changes to how tasks are performed in the long-term. It may be desirable to conduct an ergonomic analysis of the activities that may be contributing to the symptoms. Keyboarding and computer (mousing) breaks have been used to treat shoulder disorders (1415,1416). Forearm supports have been used to prevent neck and shoulder symptoms (1417). Ergonomic training in moderate- or high-risk manufacturing settings has been used for shoulder disorders (1402,1418,1419). Ergonomic training in office settings have been used for musculoskeletal disorders (1420,1421,1391,1392,1393,1397,1399,1400,1422,1423,1424,1425,1426,1427,1428,1429). There are no quality validated ergonomic instruments available for evaluating shoulder exposures (61,62,63,1430,1431,1432). Evaluations of force (weights of parts and tools lifted, moment arms, torque), duration of exertion, and shoulder posture (forward flexion, abduction, horizontal reach) should be assessed (63,1433). Psychological factors such as organizational relationships and job satisfaction should also be assessed. Modifications of activity, workstation redesign, or organizational and management changes may be considered. Consultation with a certified ergonomist, occupational or physical therapist, human factors engineer, or occupational medicine physician is suggested.

## ERGONOMIC INTERVENTIONS FOR SHOULDER DISORDERS, PARTICULARLY ROTATOR CUFF TENDINOPATHIES

#### Recommended

Ergonomic interventions are recommended in settings with combinations of risk factors (e.g., high force combined with forward flexion and/or abduction and high repetition) to reduce risk factors for rotator cuff tendinopathies.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

#### Indications

Jobs with combinations of high force, high repetition, and forward flexion/abduction of 90+ degrees.

#### Benefits

Theoretical potential to reduce risk of (re)injury

#### Harms

Cost, job elimination, implementation of job changes that may not result in changes in injury rates

#### Rationale

There are limited quality studies of ergonomic interventions for purposes of assessing subsequent risks of specific shoulder injuries. Quality studies of ergonomics interventions have been reported only for office settings (Rempel et al., 2006, Verhagen et al., 2006, Rempel et al., 1999, Gerr et al., 2005, Tittiranonda et al., 1999). Nevertheless, in jobs with high ergonomic factors, particularly combined high force, shoulder postures between 90 and 120 degrees of forward flexion or abduction and high-repetition, interventions are recommended to reduce exposures (Garg et al., 2002, Garg et al., 2005, Garg et al., 2006, Herbert et al., 2000).

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ergonomic Interventions; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 56 articles in PubMed, 45 in Scopus, 11011 in CINAHL, 2 in Cochrane Library, 1,040 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 13 from PubMed, 7 from Scopus, 3 from CINAHL, 0 from Cochrane Library, 4 from Google Scholar, and 0 from other sources. Of the 27 articles considered for inclusion, 6 randomized trials and 9 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MANDATORY TYPING POSTURE FOR PREVENTION OF SHOULDER DISORDERS

#### Not Recommended

Mandating a traditional sitting posture at a keyboard or desk with elbows, hips, and knees at 90° of flexion is not recommended for prevention or treatment of shoulder/neck disorders. Mandating any specific typing posture is not recommended. Instead, allowing flexibility to choose comfortable typing posture(s) is recommended and may improve workplace satisfaction.

Strength of evidence Not Recommended, Evidence (C) Level of confidence Low

#### Rationale

Quality studies of ergonomics interventions have been reported only for office settings (Rempel et al., 2006, Verhagen et al., 2006)(Rempel et al., 1999, Gerr et al., 2005, Tittiranonda et al., 1999). Quality evidence has reported no beneficial effects of the 90-degree typing posture (seated erect; feet on floor; knees, hips, and elbow joints all at 90-degree angles), instead it has the same injury rates as a laid-back posture when examining distal upper extremity disorders of neck/shoulder symptoms (Gerr et al., 2005). Thus, a 90/90 typing posture is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Typing Posture for Prevention and Treatment; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 4 articles in PubMed, 0 in Scopus, 10,971 in CINAHL, 0 in Cochrane Library, 15,700 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 2 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MANDATORY TYPING POSTURE FOR TREATMENT OF SHOULDER DISORDERS

#### Not Recommended

Mandating a traditional sitting posture at a keyboard or desk with elbows, hips, and knees at 90° of flexion is not recommended for prevention or treatment of shoulder/neck disorders. Mandating any specific typing posture is not recommended. Instead, allowing flexibility to choose comfortable typing posture(s) is recommended and may improve workplace satisfaction. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

Quality studies of ergonomics interventions have been reported only for office settings (Rempel et al., 2006, Verhagen et al., 2006)(Rempel et al., 1999, Gerr et al., 2005, Tittiranonda et al., 1999). Quality evidence has reported no beneficial effects of the 90-degree typing posture (seated erect; feet on floor; knees, hips, and elbow joints all at 90-degree angles), instead it has the same injury rates as a laid-back posture when examining distal upper extremity disorders of neck/shoulder symptoms (Gerr et al., 2005). Thus, a 90/90 typing posture is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Typing Posture for Prevention and Treatment; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 4 articles in PubMed, 0 in Scopus, 10,971 in CINAHL, 0 in Cochrane Library, 15,700 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 2 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **KEYBOARDING BREAKS FOR PRIMARY PREVENTION OR TREATMENT OF SHOULDER DISORDERS**

#### Recommended

Keyboarding and computer (mousing) breaks are recommended for primary prevention and for patients with symptoms of shoulder disorders. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

All workers performing largely keyboarding work.

#### Benefits

Reduced fatigue. Theoretical possible reduction in injury.

#### Harms

Cost, wasted resources if ineffective.

#### Rationale

Quality studies of ergonomics interventions have been reported only for office settings (Verhagen et al., 2006, Rempel et al., 1999, Gerr et al., 2005, Tittiranonda et al., 1999).

Breaks from computer typing have been addressed in a low-quality study that reported reductions in symptoms, but no additional benefit from utilizing exercise during breaks (van den Heuvel et al., 2003). Various types of breaks have been utilized including stretching breaks and exercise programs (Lee et al., 1992, Galinsky et al., 2000, Carter et al., 1994, Silverstein et al., 1988, Feuerstein et al., 2004, Fenety et al., 2002, Balci et al., 2004, Henning et al., 1997). Quality evidence supporting the efficacy of breaks is weak, especially for symptomatic patients (van den Heuvel et al., 2003, Galinsky et al., 2000). One low-quality randomized study among an apparently asymptomatic population of temporary dataentry workers suggested fewer symptoms among those taking breaks; however, compliance was low (ranging from 25 to 39%). Breaks are not invasive, have no substantial adverse effects, are low cost, and do not appear to impair productivity (van den Heuvel et al., 2003, Balci et al., 2004, McLean et al., 2001, Henning et al., 1997, Balci et al., 2003, Floru et al., 1987, Sauter et al., 1992, Kopardekar et al., 1994). Widespread use of these programs has not been reported in quality studies; however, with no apparent significant cost impacts and studies suggesting potential benefits, breaks are recommended for both primary prevention and treatment of symptomatic patients.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Keyboarding Break for Prevention and Treatment, keyboarding break; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 0 in Scopus, 10,971 in CINAHL, 0 in Cochrane Library, 5,580 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 2 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### FOREARM SUPPORT FOR TYPING TO PREVENT NECK/SHOULDER SYMPTOMS

#### Recommended

Forearm support for frequent computer keyboard users is recommended for potential prevention of neck and/or shoulder symptoms.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Consider for all workers performing largely keyboarding work.

#### Benefits

Reduced fatigue. Theoretical possible reduction in injury.

#### Harms

Cost, wasted resources if ineffective.

#### Rationale

Quality studies of ergonomics interventions have been reported only for office settings (Rempel et al., 2006, Verhagen et al., 2006, Rempel et al., 1999, Gerr et al., 2005, Tittiranonda et al., 1999). Nevertheless, in jobs with high ergonomic factors, particularly combined high force, shoulder postures between 90 and 120 degrees of forward flexion or abduction and high-repetition, interventions are recommended to reduce exposures (Herbert et al., 2000, Garg et al., 2002, Garg et al., 2005, Garg et al., 2006). Some evidence suggests reductions in neck/shoulder symptoms might be realized through utilization of a forearm support (Rempel et al., 2006); thus, forearm support is recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Forearm Support for Typing and Prevention, forearm support; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 14 articles in PubMed, 31 in Scopus, 10,980 in CINAHL, 1 in Cochrane Library, 582 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **ERGONOMICS TRAINING IN MODERATE- OR HIGH-RISK MANUFACTURING SETTINGS**

#### Recommended

Ergonomics training is recommended in moderate- or high-risk manufacturing settings. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

All workers performing manual work, particularly with injury risks that include combined high force, repetition, and posture of 90+ degrees of abduction and/or forward flexion.

#### Benefits

Theoretical possible reduction in injury.

#### Harms

Cost, wasted resources if ineffective.

#### Indications for Discontinuation

Able to demonstrate knowledge

#### Rationale

Quality studies of ergonomics interventions have been reported only for office settings (Verhagen et al., 2006, Rempel et al., 1999, Gerr et al., 2005, Tittiranonda et al., 1999). Nevertheless, in jobs with high job physical demands for the shoulder, particularly combined high force, shoulder postures between 90 and 120 degrees of forward flexion or abduction and high-repetition, interventions are recommended to reduce exposures (Herbert et al., 2000, Garg et al., 2002, Garg et al., 2005, Garg et al., 2006).

There is no quality evidence regarding the use of ergonomics training, it is thought to be beneficial in high-risk settings. One study suggested that training is inferior to a combination of other interventions in an office setting (Rempel et al., 2006) and another found benefits for the neck, but not distal upper extremity (Ketola et al., 2002). An RCT comparing wrist splinting with ergonomic education found splinting superior (Werner et al., 2005). If there is a benefit of ergonomic training, it may be modest. Training should consist of quality information.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ergonomic Training in Manufacturing Settings, ergonomic training, manufacturing, ergonomics; shoulder, shoulder pain, shoulder disorders, manufacturing facilities; controlled clinical trial, controlled trials, randomized controlled trials, randomized trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 40 articles in PubMed, 0 in Scopus, 10,980 in CINAHL, 1 in Cochrane Library, 144 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 3 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ERGONOMICS TRAINING FOR PREVENTION OF MUSCULOSKELETAL DISORDERS IN OFFICE SETTINGS

#### No Recommendation

There is no recommendation for or against the use of ergonomics training for the prevention of MSDs in office settings. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

While quality evidence is lacking regarding the use of ergonomics training, it is thought to be beneficial in high-risk settings. One study suggested that training is inferior to a combination of other interventions in an office setting (Rempel et al., 2006) and another found benefits for the neck, but not distal upper extremity (Ketola et al., 2002). An RCT comparing wrist splinting with ergonomic education found splinting superior (Werner et al., 2005). If there is a benefit of ergonomic training, it may be modest. Training should consist of quality, evidence-based information (e.g., force is the most important risk factor, not rotely prescribing a 90/90 typing posture).

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: ergonomic training, ergonomics, office, ergonomic intervention; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 54 articles in PubMed, 238 in Scopus, 11,500 in CINAHL, 1 in Cochrane Library, 5,930 in Google Scholar, and 7 from other sources<sup>+</sup>. We considered for inclusion 11 from PubMed, 6 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 7 from other sources. Of the 26 articles considered for inclusion, 17 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **3.9.4. RETURN-TO-WORK PROGRAMS**

Return-to-work programs have not been well studied among patients with shoulder disorders. Generally, these programs include gradual increase in shoulder use, especially focusing on strength, repetition, and endurance. Several studies suggest that a job's physical demands, lack of job accommodation, and psychosocial conditions are the most important factors in predicting work

disability (79,80,81). Return-to-work interventions have been used for those diagnosed with a shoulder disorder (82,83,84,85,86,87,88,89,90).

## RETURN-TO-WORK PROGRAMS FOR TREATMENT OF SUBACUTE OR CHRONIC SHOULDER DISORDERS

#### Recommended

Return-to-work programs are recommended for treatment of subacute or chronic shoulder disorders, particularly in patients with significant lost time.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Patients with subacute or chronic shoulder disorders who have completed acute treatment. Generally, should have attempted at least 1 trial of return to work that was unsuccessful. May also have trialed a second, more graded return to work, both of which were unsuccessful. (Acute pain patients generally resolve and do not require a formal return to work program.)

#### Benefits

Earlier return to work (RTW).

#### Harms

Negligible

**Indications for Discontinuation** 

Achievement of RTW status

#### Rationale

There are no quality studies that review the types of return-to work programs typically found in the United States. There is one quality study from Spain (Abasolo et al., 2007); however, the patients had spine disorders and the program otherwise may have limited applicability due to longstanding, early active management of these issues in the United States. Thus, this study has limited if any applicability to the United States. These programs are thought to reduce morbidity and improve function. They are not invasive, have minimal potential for adverse effects, and are not costly. Return-to-work programs are recommended for management of select patients with shoulder disorders with lost time and may be helpful for proactive emphases on functional recovery.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Return to Work, RTW, Job Re-Entry; shoulder, shoulder pain, shoulder disorders; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 55 articles in PubMed, 1,607 in Scopus, 35 in CINAHL, 3 in Cochrane Library, 51,700 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 6 from PubMed, 3

from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 9 articles considered for inclusion, 2 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **3.10. WORK ACTIVITY MODIFICATIONS**

Work activity modifications are often necessary during the treatment course for patients with acute, subacute, and chronic shoulder pain, regardless of cause. Advice on work and non-work-related limitations should be specific, rather than "light duty." A paradigm of risk, capability, and tolerance has been published by American Medical Association, and while risk and capability may be relatively well understood, tolerance is difficult and often integrally connected with behavioral attributes (91). When the worker's tolerance is too low, and especially when tolerance does not improve with time and progress, work limitations are needed to be advanced beyond the usual "as tolerated" prescription.

Advice on how to avoid exacerbating activities that at least temporarily increase pain includes a review of work duties. Continuing some activities helps prevent weakness, atrophy and mobility loss. Sometimes, workers have sufficient self-directed flexibility in their work duties such that formal limitations may not be needed, as they can adapt and incorporate the medical advice on limitations into their daily work tasks. Most of the time, modifications in limitations must be written out for the employer. Slings should be avoided for anything other than for clear indications (e.g., AC separation, AC high-grade sprain). Gentle ROM exercises (e.g., pendulum) should be performed at least twice daily, even when a sling is provided. Patients should avoid work activities that precipitate or significantly increase symptoms during the acute phase of treatment, but should continue general activities of daily living as tolerated (see caveat regarding tolerance above, whereby insufficient tolerances should result in replacement of the words "as tolerated" with specific activities to perform, modify, or not perform). Every attempt should be made to maintain patients at the maximal levels of activity, including work, hobbies, and sports activities as it is in the patient's best interest (92). Poorer prognosis with longer persistence of pain has been associated with slower onset of pain, higher pain severity at presentation, and longer duration of symptoms (93,94).

The first step in determining whether work-activity modifications are required usually involves a discussion with the patient regarding the nature of job tasks and the overall job physical demands (95). In such cases where the worker can make modifications, e.g., reduce shoulder torque by lifting of a box after pulling the box to the shelf edge or receive assistance to lift a box or reduce reaching, there may be no requirement to write any restrictions even if strength, ROM, or pain are limiting. In some situations, it may be advisable to confirm this report with the patient's supervisor to signal that the person is under treatment.

In most cases, specified limitations may be a better treatment strategy. Assessment of work activities and potential for modifications may also be facilitated by a worksite visit and analysis by a health care provider with appropriate training (e.g., physician, occupational therapist, physical therapist, or ergonomist). Despite their limitations, ergonomic guidelines should be considered when assigning activity limitations.

Work limitations should be tailored by considering the following factors: 1) job physical requirements; 2) the safety of the tasks in consideration of the diagnosed condition, age, and relevant biomechanical limitations; 3) severity of the problem; 4) work organizational issues (overtime, work allocation, wage incentives); and 5) the patient's understanding of the condition. Sometimes it is necessary to write limitations or to prescribe activity levels that are above what the patient is comfortable doing, particularly when the patient wants to avoid all activity. In such cases, the physician should be careful not to overly restrict the patient; education about the problem of pain itself causing disability and the need to remain active should be provided.

It is best to communicate early in the treatment that limitations will be progressively reduced as the patient progresses. Experienced physicians communicate the intended changes in restrictions for the coming week (similar to forecasting increases in exercise program components) at the current visit to reduce the element of surprise and help actively facilitate the patient's most important elements of an active, functional restoration program. Tailoring restrictions is required in nearly all patients with chronic shoulder pain as there is great variability in symptoms and dysfunction. The employer should also be consulted when developing strategies to expedite and support integrating the patient back into the workplace (see Low Back Disorders). The physician can make it clear to patients and employers that:

- Patients sometimes have increased pain performing almost any function (even light duty) early in rehabilitation;
- Increases in symptoms should be heard with -empathy, and factors which are associated with significant increases in pain should be addressed;
- Increases in pain do not equate to injury;
- Any restrictions are intended to allow for time to build activity tolerance through exercise and work reconditioning; and
- Where appropriate, it may help to mention to the patient that this rehabilitative plan will also help the patient regain normal non-occupational activities.

The following are common limitations that may be needed for acute shoulder pain patients:

- No lifting more than 10 pounds (this may require adjusting up or down based primarily on the patient's pre-morbid capabilities and the severity of the condition).
- Avoid more than 60° abduction or forward flexion. Although not necessarily anatomically correct, this is sometimes described as avoiding lifting with the hands above shoulder height to facilitate implementation.
- Some additionally required limitations such as avoiding static use or highly repetitive use.

The physician may also need to educate the employer that:

- Even moderately heavy (more than 20 pounds) unassisted lifting or repeated work at "shoulder level" (90° forward or sideways) or overhead may increase shoulder symptoms due to rotator cuff tendinopathy, rotator cuff tears, inflammatory conditions, ligament sprains, or impingement syndrome.
- Any restrictions are intended to allow for spontaneous recovery or time to (re)build activity tolerance through graded exercise.

As rehabilitation progresses, a gradual reduction in activity limitations is recommended to facilitate full recovery. This generally involves progressive advancement such as no lifting more than 15 pounds for 1 to 2 weeks, then no lifting more than 20 pounds, etc., until the patient returns to normal activities. This is often accomplished in concert with supervised physical or occupational therapy, use

of functional activities and/or home exercise program(s). MDGuidelines provides recommended durations for activity modification after an initial injury. They are targets to provide a guide from the perspective of physiologic recovery and may assist in focusing on return of function (82). Orthopedic surgeons and other specialists often see patients who have failed initial non-operative management and thus might have more patients who fall outside expected targets. For example, post-operative shoulder patients often require greater initial limitations, such as no lifting of any weight and no use of the arm with gradual increased activity.

# **4. ROTATOR CUFF TENDINOPATHIES**

# **4.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing Shoulder Disorders from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# 4.2. OVERVIEW

Degenerative tendinopathy is the primary pathology underlying this closely related group of disorders, whether these conditions are primarily related to aging, insufficient vascular supply to the tendon (248) (249) (250) (251) (252) (253) (416) (417) (418) (419) (420) (421) (422) (423)], and/or mechanical impingement (1024). True myotendinous junction strains are exceedingly rare, estimated at 0.47% of cases (1434). Some have also reported that the symptomatic tears have neovascularization in the critical zone area that has poor blood supply to the tendon which is susceptible to tears (1017), some have reported this in calcific tendinitis (1435), although others have failed to confirm this finding (1436). The majority of rotator cuff tears initiate in the supraspinatus tendon. They can extend posteriorly into the infraspinatus and teres minor or be associated with subscapularis tears. Subscapularis tears can present in isolation. The supraspinatus tendon is prone to degeneration such that it appears that most people develop degenerative tendons over a lifetime (252) (416) (1024)(1030) (1031) (1026) (1033) (1036) (1037) (1038) (1034) (1042) (1043) (999) (1044) (1025) (1045) (1109) (1002)(1005). Among those without shoulder problems, 15% reportedly had full- and 20% had partial-thickness rotator cuff tears with the frequency of tears increasing with age (1033). Another study (1036) found asymptomatic rotator cuff tears overall in nearly one-quarter of the subjects with tears in 13% of the youngest (50 to 59 years), 20% (60 to 69) and 31% (70 to 79) of the middle-aged, and 51% of the oldest (age >80 years); that study concluded that rotator cuff tears should be regarded as "normal' degeneration, not necessarily causing pain and functional impairment." A systematic review exploring the frequencies of rotator cuff tears in asymptomatic and symptomatic persons resulted in aggregate findings are summarized in Table 5 (1031). The prevalence of any asymptomatic tear was approximately 40%, with symptomatic tears occurring from about the same to nearly double the frequency, depending on the method of detection used.

Inflammatory biomarkers have been identified, including interleukin 1 $\beta$ , interleukin 6, cyclooxygenase 2, matrix metalloproteinase (MMP) 9, and vascular endothelial growth factor, and correlate with size of a rotator cuff tear (1437) (1438) (1439) (1440) (1441) (1442) (1443) (1444) (1445). An inheritability index of 18% has been calculated with shared environment of 44% and unique environment of 38% (1446). The supraspinatus tendon has been thought to be susceptible to mechanical impingement within the subacromial space between the head of the humerus and the acromion process. Thus, the term impingement syndrome is also popular, particularly when symptoms are elicited with overhead use (1024), but might not be primary cause of pathology in many rotator cuff syndromes. Tendon and muscle overload on a background of vascular insufficiency is currently thought to be the primary cause of rotator cuff related pathologies. The subacromial and subdeltoid bursae overlie the rotator cuff tendons (1447). Consequently, bursitis or degenerative bursal changes often accompany these conditions.

# Table 5. Prevalence of Rotator Cuff Tears in Asymptomatic and Symptomatic Persons As Detected by Ultrasound and MRI

Technique	Asymptomatic/Symptomatic	Number of Scans	Prevalence of Tears (%)		
			Any	Partial	Full
Ultrasound	Asymptomatic	591	38.9	17.2	21.7
	Symptomatic	1038	41.4	6.7	34.7
MRI	Asymptomatic	271	26.2	15.9	10.3
	Symptomatic	490	49.4	8.6	40.8

Adapted from (1031).

Over a 5-year period, 51% of previously asymptomatic tears became symptomatic with a mean of 2.8 years to onset of symptoms in subjects who had documented bilateral rotator cuff tears with one side asymptomatic (1448). The age of the newly found, asymptomatic tears was unknown; however, the average time it took a tear to become symptomatic was over 2.8 years. The relationship between one symptomatic shoulder and the eventual occurrence of symptoms in the asymptomatic shoulder is unknown.

Among 123 patients with unilateral shoulder pain, it was found that nearly all of the findings on MRI in the symptomatic shoulder were also present in the asymptomatic shoulder, with only about 10% more full thickness tears and osteoarthrosis being more prevalent in the symptomatic shoulders (1449).

# **4.3. DIAGNOSTIC CRITERIA**

Patients with rotator cuff tendinopathies have varying clinical presentations; thus, there are no consensus diagnostic criteria that have proven highly accurate. Patients generally have gradual onset, non-radiating glenohumeral joint pain. There are no distal paraesthesias. Rotator cuff tears may present with either acute or gradual onset pain. Impingement signs are often positive.

Research case definitions have included glenohumeral joint pain plus a positive supraspinatus/empty can test (96) (97). Supraspinatus tendon is the most common rotator cuff tears, and tests potentially useful for this diagnosis include resisted external rotation, drop arm, painful arc, full can and empty can tests (98) (55)(99). Evidence to separate full- from partial-thickness tears is weak, with the separation being reportedly difficult and such determinations having poor reliability (100) (58); one

report suggested nearly all tests were unhelpful and only abduction strength was associated with partial tears (101).

Patients are clinically diagnosed based on their history and physical examination. Additional tests are frequently performed on initial evaluation for more severe presentations, but often are not required in mild cases. X-rays are recommended and may be needed of both shoulders, particularly if there is a bilateral injury or need for comparison with the unaffected shoulder. Other studies are often helpful, including MRI and ultrasound, especially for evaluation of potential rotator cuff tears (MRI or US) or SLAP tears (especially MRI).

# **4.4. WORK LIMITATIONS**

Patients with shoulder pain related to tendinopathies should generally be encouraged to perform work activities within limitations of pain. However, some explicit limitations are often needed, especially for more physically demanding work activities. Such limitations are gradually reduced as recovery progresses and most commonly include limitations in heavy lifting and forward flexion and abduction, especially beyond 60 degrees.\* As the condition improves, limitations should be reduced or eliminated. Patients with clinically significant rotator cuff tears may need either surgery, or if non-operative management is planned (102) (103) (104). longer duration of workplace limitations to allow for sufficient pain reduction and recovery of sufficient strength. If surgery is performed, there is a similar need for workplace limitations that are gradually reduced.

\*It may be necessary to describe this as not lifting the hand above the shoulder or most commonly no "overhead use." Also, 90 to 120° of abduction and forward flexion is the most compromised biomechanical position for the shoulder in biomechanical experimental studies. Maintaining higher overhead height is less compromising to the shoulder than lowering to 90° if the object cannot be lowered substantially (105) (106) (59).

# **4.5. DIAGNOSTIC RECOMMENDATIONS**

# **4.5.1. ANTIBODIES**

Numerous antibodies are markers for specific rheumatic diseases (e.g., rheumatoid factor, antinuclear antibodies, anti-Sm, anti-Ro, anti-La for rheumatoid arthritis, systemic lupus erythematosus, Sjogren's, mixed connective tissue disorder, etc.). Patients with rheumatic disorders are at increased risk for degenerative joint disease of the shoulder as well as subacromial bursitis. Antibodies have been used for the diagnosis of rotator cuff tendinopathies (107)(108). However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

## **ANTIBODIES TO CONFIRM SPECIFIC DISORDERS**

## **Sometimes Recommended**

Antibody levels are selectively recommended to evaluate and diagnose patients with shoulder pain that have reasonable suspicion of rheumatological disorders including inflammatory arthropathies. Antibody levels are strongly recommended as a screen to confirm specific rheumatological disorders when there are indications (e.g., symptoms and/or signs suggestive of rheumatoid arthritis), but are generally not indicated for most patients with other specific soft tissue musculoskeletal disorders, such as rotator cuff tendinopathies due to high false positive rates in that non-specific diagnostic setting. Consultation with a rheumatologist may be helpful when there is a known or suspected disorder. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Shoulder pain and a presumptive diagnosis of an inflammatory rheumatological disorder. May include pain that fails to respond as would be expected, with or without findings in other joints. Findings in other joints increases the probability that testing will be positive. Testing is generally not indicated for most patients with rotator cuff tendinopathies. Testing is also not generally indicated at initial symptoms presentation unless symptoms have been present for at least a few weeks and/or are severe; otherwise, e.g., negative test results are more likely as insufficient time is likely to have passed and may mislead.

#### Benefits

Secure an accurate diagnosis, which should then focus the treatment plan to more efficacious treatments.

#### Harms

Potential for false-positive tests; however that is generally minimal unless the pre-test probability is low.

#### Frequency/Dose/Duration

Generally only ordered one time. However, if the testing was performed early and there is further disease persistence or progression, a second test is reasonable as more time may be required for the antibody tests to become positive.

#### Rationale

Elevated antibody levels are highly useful for confirming clinical impressions of inflammatory rheumatological diseases. However, routine use of these tests in shoulder pain patients is not recommended, especially as wide-ranging, non-focused test batteries are likely to result in inaccurate diagnoses due to false positives and low pre-test probabilities. Providers should also be aware that false-negative results occur. Measurement of antibody levels is minimally invasive, unlikely to have substantial adverse effects, and is low to moderately costly depending on the specific test ordered. They are recommended for focused testing of a limited number of diagnostic considerations. However, ordering of a large, diverse array of antibody levels without targeting a few specific disorders diagnostically is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Antibodies; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 9 articles in PubMed using Most Recent tab, and we did a

secondary search in PubMed using Best Match tab to find and review 9 articles, 676 in Scopus, 2 in CINAHL, 1 in Cochrane Library, 119 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.5.2. NONSPECIFIC INFLAMMATORY MARKERS**

There are many markers of inflammation that may be measured serologically in patients (109) (110) (111) (112) (113) (114) (115). These include C-reactive protein (CRP), erythrocyte sedimentation rate (ESR), interleukins, cyclooxygenase 2, matrix metalloproteinases, vascular endothelial growth factor, ferritin, and an elevated total protein-albumin gap. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

# NON-SPECIFIC INFLAMMATORY MARKERS FOR SCREENING FOR INFLAMMATORY DISORDERS IN SUBACUTE OR CHRONIC SHOULDER PAIN

#### Sometimes Recommended

Serum measures of erythrocyte sedimentation rate, C-reactive protein, creatine kinase muscle, aldolase, hyaluronic acid, and other inflammatory markers are selectively recommended for screening either inflammatory disorders with reasonable suspicion of inflammatory disorder in patients with subacute or chronic shoulder pain or osteoarthrosis. They are generally not indicated for patients with non-specific disorders, such as rotator cuff tendinopathies.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

## Indications

Shoulder pain and a presumption of an inflammatory process. Pain that fails to respond as would be expected, with or without findings in other joints. Findings in other joints increases the probability that testing will be positive. Testing is generally not indicated for most patients with rotator cuff tendinopathies. Testing is also not generally indicated at initial symptoms presentation unless symptoms have been present for at least a few weeks and/or are severe; otherwise, e.g., negative test results are more likely as insufficient time is likely to have passed and may mislead.

## Benefits

Identify whether an inflammatory process is likely, which may help focus on the need for further testing to secure an accurate diagnosis.

## Harms

Potential for false-positive tests; however, that is generally minimal unless the pre-test probability is low.

# Frequency/Dose/Duration

Generally only ordered one time. However, if the testing was performed early, and there is further disease persistence or progression, a second test is reasonable as the inflammatory mediators may have needed additional time to become positive.

# Rationale

Erythrocyte sedimentation rate (ESR) is the most commonly used systemic marker for non-specific inflammation. It is elevated in numerous inflammatory conditions including rheumatological disorders as well as infectious diseases. C-reactive protein (CRP) is a marker of systemic inflammation that has been associated with an increased risk of coronary artery disease. It is also a non-specific marker for other inflammation. Both ESR and CRP are also markers of infection. Numerous inflammatory markers have been found to be elevated in patients with musculoskeletal disorders but because it is not known whether these factors precede or are a consequence of the disease processes, their utility in patient management is unclear. Other non-specific markers of inflammation include elevated ferritin and an elevated protein-albumin gap, neither of which have known clinical roles. Serological studies for non-specific inflammatory markers are minimally invasive, have low risk of adverse effects, and are low cost. They are recommended as a reasonable screen for systemic inflammatory conditions especially if the patient also has other pain without clear definition of a diagnosis or those with fibromyalgia or myofascial pain syndrome, although specificity is not high. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

A large study found elevated biomarkers (C-reactive protein, creatine kinase muscle, aldolase) are associated with osteoarthrosis compared with normal controls (Ganguly, 2019). Another study found elevated serum hyaluronic acid levels among both those with either rheumatoid arthritis or osteoarthrosis, although the HA levels were higher among those with rheumatoid arthritis (Goldberg RL, 1991) and TNF alpha, IL-1B, IL-10 and IL-17 (Hussein et al., 2008). However, clear distinctions between these measures among those with osteoarthrosis and inflammatory arthropathies is not apparent in the available literature. Thus, the utility of these tests may be as potential screening for arthropathies irrespective of inflammatory arthroses.

A high-quality, 7-year study of 880 elderly subjects evaluated impacts of IL-6 and CRP on both crosssectional associations with morbidity and long-term mortality (Taaffe DR, 2000). CRP and IL-6 were higher among smokers at baseline and those with higher body mass indexes (BMIs). IL-6 and CRP were also higher among those with hypertension, myocardial infarction, stroke, glycosylated hemoglobin levels, HDL, and number of chronic conditions. Both IL-6 and CRP were inversely related to quartiles of moderate and strenuous physical activity. CRP and/or IL-6 were associated with incidence of hypertension, myocardial infarction, diabetes, and incident cases of chronic conditions. Physical performance measures of changes in grip strength, signature time, chair-rise and 6-m fast walk all were not significant for IL-6 or CRP.

Serological studies for non-specific inflammatory markers are minimally invasive, have low risk of adverse effects, and are low cost. They are recommended as a screen for systemic inflammatory and osteoarthrosis conditions especially if the patient also has other pain without clear definition of a diagnosis, although specificity is not high and these measures tend to be elevated in both osteoarthrosis and inflammatory disorders, with higher levels among those with inflammatory disorders. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: blood sedimentation, c reactive protein, procalcitonin, nonspecific inflammatory markers; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 6 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6 articles, 756 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 11,000 in Google Scholar, and 0 from other sources. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 6 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.<sup>†</sup>

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cytokines; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 12 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 12 articles, 1030 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 12,000 in Google Scholar, and Ofrom other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.<sup>†</sup>

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: C-Reactive Protein, Erythrocyte Sedimentation Rate, Non-Specific Inflammatory Markers; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 35 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 37 articles, 61 in Scopus, 10 in CINAHL, 3 in Cochrane Library, 171 in Google Scholar, and 0 from other sources<sup>†</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.5.3. CYTOKINES**

Cytokines have been used to attempt to diagnose problems with the rotator cuff (116) (117) (118) (119) (120) (121) (122) (123) (124) (125).

# CYTOKINE TESTING FOR CHRONIC SHOULDER PAIN, INCLUDING ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Routine testing with or the use of batteries of cytokine tests is not recommended to diagnose chronic shoulder pain, including rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

Cytokines purportedly determine whether a patient is experiencing pain or has suffered a toxicological insult. However, there are no quality studies that address this premise. Available studies suggest that these markers may be elevated in chronic pain conditions, but these studies did not have adequate control groups and did not control for potential confounders. The range of disorders in which cytokines may be elevated also needs definition, as the current range of conditions appears large (Taaffe DR, 2000, Martelletti, 1999, Perini, 2005, Covelli, 1991, Gratt, 2005, Alexander, 1998, Chen, 2004, Gur, 2002, Madson, 1994), suggesting they are not specifically isolated to patients with chronic pain, and thus the specificity of these tests seems likely to be quite low.

A high-quality, 7-year study of 880 elderly subjects evaluated impacts of IL-6 and CRP on both crosssectional associations with morbidity and long-term mortality (Taaffe DR, 2000). CRP and IL-6 were higher among smokers at baseline and those with higher body mass indexes (BMIs). IL-6 and CRP were also higher among those with hypertension, myocardial infarction, stroke, glycosylated hemoglobin levels, HDL, and number of chronic conditions. Both IL-6 and CRP were inversely related to quartiles of moderate and strenuous physical activity. CRP and/or IL-6 were associated with incidence of hypertension, myocardial infarction, diabetes, and incident cases of chronic conditions. Physical performance measures of changes in grip strength, signature time, chair-rise and 6-m fast walk all were not significant for IL-6 or CRP. Cytokines need to be rigorously studied to ascertain if there is a place for them in the evaluation and/or management of chronic pain conditions, including stratification for occupationally-relevant diseases.

Documentation that the discovery of elevated cytokine levels results in changes in evaluation and/or clinical management is also necessary. Alternatively, this testing may be useful if the absence of elevated cytokine levels would warrant concluding that a patient does not have a remediable physical cause of shoulder pain. While cytokine testing is minimally invasive, and has a low risk of adverse effects, these tests are high cost, with no evidence that they alter the clinical management of patients with chronic shoulder pain. Their place in the evaluation of patients with chronic shoulder pain is yet to be determined and cytokine testing is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cytokines, Interleukins, Chemokines and lymphokines; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value,

predictive value of tests, efficacy, efficiency. We found and reviewed 56 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 76 articles, 545 in Scopus, 12 in CINAHL, 1 in Cochrane Library, 218 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 2 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 0 diagnostic studies and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.4. X-RAYS

X-rays show bony structures and are the initial test for evaluation and diagnosis of many cases of shoulder pain (126) (127) (128) (129) (130) (131) (132) (133) (134) (135) (136).

# X-RAYS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN, INCLUDING ROTATOR CUFF TENDINOPATHIES

#### Recommended

X-rays are recommended for evaluation of acute, subacute, or chronic shoulder pain. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

Most patients with shoulder pain are candidates for x-rays, especially for significant trauma, pain without trending towards improvement, impaired use, and those with red flags. Most patients with rotator cuff tendinopathies do not require x-rays, although ongoing symptoms warrant x-rays, especially to ascertain calcific tendinitis which has some differences in management. Age has been found to be a potent predictor of increased degenerative changes found on x-ray in the acromioclavicular joint (Bonsell et al., 2000). Reportedly, x-ray has been helpful for diagnosing os acromiale in shoulder pain patients who were otherwise thought to not have the condition (Burbank et al., 2007).

## Benefits

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

## Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

## Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. For patients with chronic shoulder pain, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

# Rationale

X-ray studies do not generally assess the value of x-rays in the diagnosis and management of patients. Instead, most comparative studies including x-rays compare with MRI or US and generally found the other diagnostic studies superior, especially for MRI. A few quality studies suggest x-rays are helpful in the evaluation of rotator cuff tears (Zhang et al., 2016, Hussain et al., 2018) and to evaluate most patients with shoulder pain, both to diagnose and to assist with the differential diagnostic possibilities such as tendinopathies and arthroses. However, x-rays are not necessary to manage most patients with rotator cuff tendinopathies as they do not change the initial management; yet, x-rays are particularly helpful for diagnosis of calcific tendinitis, which results in different treatment options if the pain persists. They may also help to suggest soft tissue pathology, including large chronic rotator cuff tears.

As x-ray has been performed for more than 120 years as a diagnostic procedure, it is unsurprising that there is little quality evidence to support its use. The threshold for also ordering x-rays of the cervical spine and/or elbow joint should be low, particularly if the findings on shoulder x-ray are either normal or do not readily explain the degree of abnormality. Patients with shoulder pain might show greater tuberosity osteopenia, cystic degenerative changes, and spurring, thought to be a marker of chronicity of rotator cuff tears (Cadet et al., 2008). Glenohumeral arthrosis is also more likely if there is a full-thickness rotator cuff tear (Gartsman et al., 1997). Plain radiographic findings are used to stage disease involvement in osteonecrosis or humeral avascular necrosis. Early x-rays are usually normal or have less distinct trabecular patterns since the living part of the bone does not image (Harreld et al., 2009, Ficat, 1985). As the disease progresses, x-rays begin to show osteoporotic areas, progressing to sclerotic areas and finally flattening and bony collapse (Ficat, 1985, Bryant et al., 2002). X-rays are non-invasive, low to moderate costly, and have little risk of adverse effects, and therefore are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Roentgenograms; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 1649 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 2180 articles, 95 in Scopus, 104 in CINAHL, 57 in Cochrane Library, 96 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 7 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources studies and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.5. DIAGNOSTIC ARTHROSCOPY

Arthroscopy has been used for diagnosis and as the initial part of a therapeutic surgical treatment procedure, including rotator cuff tendinopathies (137) (138) (139) (140) (58) (141) (142) (143) (144) (145).

# DIAGNOSTIC ARTHROSCOPIC SURGERY FOR SHOULDER PAIN, INCLUDING ROTATOR CUFF TENDINOPATHIES

#### Recommended

Diagnostic arthroscopy is recommended for evaluation of select patients with shoulder pain (see indications), including subsequent, definitive operative approaches including rotator cuff tendinopathies.

Strength of evidence Recommended, Evidence (C) Level of confidence High

#### Indications

Rotator cuff tear with surgical indications and the expectation that surgical treatment will immediately follow arthroscopy in the same procedure. This is commonly performed for full-thickness rotator cuff tears which are thought to be acute and have accompanying significant functional deficits. When there are fewer functional deficits and/or for partial thickness tears, it is generally not performed until after at least 1 trial of physical or occupational therapy (emphasizing exercises) and at least one glucocorticosteroid injection. Arthroscopy is also commonly performed for: 1) labral tear with surgical indications (see below); 2) impingement syndrome with surgical indications (see below); 3) glenohumeral instability, 4) recurrent dislocations, 5) labral tears, 6) other moderate or severe shoulder joint pain, acromioclavicular arthritis, or mechanical symptoms with substantially reduced ROM or functional impairment.

## Benefits

Diagnostic confirmation and the opportunity for definitive treatment

#### Harms

Infections, operative complications

#### Frequency/Dose/Duration

Arthroscopy would rarely be repeated other than for new Indications

#### Rationale

There are quality studies including arthroscopy, however the literature usually utilizes arthroscopy as the gold standard for comparison. Arthroscopy is performed nearly universally in a context of a preoperative diagnosis, such as rotator cuff tendinopathy, that is thought to be a treatable abnormality, rather than merely for diagnostic purposes (Dinnes et al., 2003, Fouse et al., 2007, Abrams, 2006, Baker et al., 2003, Ahmad et al., 2004, Boszotta et al., 2004). If a specific diagnosis such as rotator cuff tendinitis is not suggested by and supported by the evaluation with history, physical examination, and imaging studies, then surgical intervention is much less likely to be successful and caution should be taken in doing a purely diagnostic arthroscopy. Arthroscopy has been shown to be superior to MRI especially subscapularis tears (Ward et al., 2018), and ultrasound for diagnosing partial thickness rotator cuff tears and infraspinatus and subscapularis tears (Singisetti et al., 2011, Teefey et al., 2000, Ward et al., 2018, Ostor et al., 2013). Arthroscopy has been used to evaluate glenohumeral arthrosis (Guyette et al., 2002) (see below). Some caution is indicated because intrasubstance tears are not well visualized arthroscopically. There are no quality studies of arthroscopy for diagnostic purposes due to many methodological weaknesses in the available literature (Dinnes et al., 2003). It appears helpful for diagnosis and subsequent operative approaches (Baumann et al., 2008, Bishop et al., 2003). Diagnostic arthroscopy is invasive, has adverse effects and is high cost. However, in select patients there may be no other option for addressing the condition if a patient is not responding to conservative care. Additionally, it is highly useful for operative planning and to help determine whether arthroscopic repair is an appropriate approach for a rotator cuff tear repair or instability surgery. Thus, arthroscopy is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shoulder Arthroscopy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 173 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 213 articles, 780 in Scopus, 55 in CINAHL, 90 in Cochrane Library, 304 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 3 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 5 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.6. BONE SCANS

Bone scans involve intravenous administration of Technetium Tc-99m that is preferentially concentrated in areas of boney metabolic activity. There are many causes for abnormal radioactive uptake; thus, positive bone scans are not highly specific. Bone scans have been used for diagnosis of early osteonecrosis of the humeral head prior to findings on x-ray, among other uses.

# BONE SCANNING FOR ROTATOR CUFF TENDINOPATHIES

Not Recommended

Bone scanning is not recommended for evaluation of typical rotator cuff tendinopathies. There are other uses for bone scans, particularly osteonecrosis, and other conditions with increased bone metabolism.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence High

## Rationale

Bone scanning may be a helpful diagnostic test to evaluate suspected metastases (multiple sites), infected bone (osteomyelitis), inflammatory arthropathies, and trauma (e.g., occult fractures), particularly if MRI is not available or is contra-indicated. It may be helpful in those with suspected, early osteonecrosis (avascular necrosis) without x-ray changes. In cases where the diagnosis is felt to be secure, there is no indication for bone scanning as it does not alter the treatment or management. There is no clear indication for bone scanning for typical rotator cuff tendinopathies. Bone scanning is minimally invasive, has minimal potential for adverse effects (essentially equivalent to a blood test), but is high cost.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Bone scans, Skeletal Scintigraphy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 60 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 202 articles, 532 in Scopus, 8 in CINAHL, 8 in Cochrane Library, 1230 in Google Scholar, and 0 from other sources<sup>+</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.5.7. COMPUTED TOMOGRAPHY (CT)**

Computerized tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most patients have issues with soft tissue rather than bony abnormalities in the shoulder; thus on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is inferior for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear (146).

# **CT FOR EVALUATION OF ROTATOR CUFF TENDINOPATHIES**

#### Not Recommended

Computerized tomography is not recommended for the evaluation of rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Rationale

MRI is considered superior to computerized tomography for imaging most shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern. This is especially so with rotator cuff tendinopathies. However, where imaging calcified structures is required, CT is considered superior. This includes complex proximal humeral and glenoid/scapular fractures. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear, especially if there is a contraindication to MRI. A contrast CT study is minimally invasive, has few, if any, adverse effects but is costly. It is not recommended for evaluation of rotator cuff tendinopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Computerized Tomography; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 9680 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 12504 articles, 2845 in Scopus, 43 in CINAHL, 284 in Cochrane Library, 446 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **4.5.8. ELECTROMYOGRAPHY**

See the Cervical and Thoracic Spine Disorders and Hand, Wrist, and Forearm Disorders for discussions regarding use of electrodiagnostic studies for evaluation of cervical spine and distal upper extremity-related disorders that may present as shoulder pain. Electrodiagnostic studies have also been used to confirm diagnostic impressions of other peripheral nerve entrapments, brachial plexopathies, and neurologic component of thoracic outlet syndrome (147) (148).

# **4.5.9. FUNCTIONAL CAPACITY EVALUATIONS**

Functional capacity evaluations (FCEs) consist of a comprehensive battery of performance-based tests to attempt to determine an individual's ability for work and activities of daily living (149) (150) (151)

(152) (153) (154) (155) (156) (157) (158) (159) (160) (161) (149) (162) (163) (164) (165) (166) (167) (168) (169) (170) (171)(172). The goals of FCEs include:

- Determining an individual's readiness to work after injury or illness at Maximum Medical Improvement (MMI),
- Assisting with goal-setting and treatment planning for rehabilitation or to monitor the progress of a patient in a rehabilitation program,
- Estimating the potential vocational status and providing a foundation for effective vocational rehabilitation,
- Providing information to assist in disability determinations,
- Providing information for hiring decisions (post-offer or fit-for-duty testing),
- Providing information for developing work restrictions
- Assessing the extent of disability in litigation cases, and
- Providing information regarding a patient's level of effort and consistency of performance.

# FUNCTIONAL CAPACITY EVALUATIONS FOR CHRONIC DISABLING SHOULDER PAIN

#### Recommended

Functional capacity evaluations (FCEs) are recommended as an option for evaluation of disabling chronic shoulder pain where the information may be helpful to attempt to objectify worker capability, function, motivation, and effort vis-à-vis either a specific job or general job requirements. There are circumstances where a patient is not progressing as anticipated at 6 to 8 weeks and an FCE may help evaluate functional status and patient performance in order to match performance to specific job demands, particularly in instances where those demands are medium to heavy. If a provider is comfortable describing work ability without an FCE, there is no requirement to do this testing. Recordings or observation for signs of mismatch between effort and self-reported abilities may be particularly helpful.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Patients with moderate to severe chronic shoulder pain that has ongoing functional impairments and need to attempt to identify and quantify limitations. There are circumstances where a patient is not progressing as anticipated at 6 to 8 weeks and an FCE can evaluate functional status and patient performance in order to match performance to specific job demands, particularly in instances where those demands are medium to heavy. More typically, FCEs are useful after a healing plateau is established whether surgery was performed or not. If a provider is comfortable describing work ability without an FCE, there is no requirement to do this testing. Recordings or observation for signs of mismatch between effort and self-reported abilities may be particularly helpful.

## Benefits

Identification and enumeration of limitations. Assess functional abilities and may facilitate greater confidence in return to work.

## Harms

Inappropriately low estimates of abilities, self-limitation of efforts, excessive disability, inappropriately precluding the performance of tasks and activities the person could safely perform. Medicalization, worsening of shoulder pain with testing; may have misleading results that understate capabilities.

# Frequency/Dose/Duration

Generally, only one test is needed. A repeat FCE may be needed if there are substantial changes in the person's condition or status, or if there is a need to assess projected performance against a different set of job criteria.

# Rationale

There are no quality studies of FCEs to evaluate ability to perform work and/or work limitations. Yet, FCEs are one of the few means to attempt to objectify limitations and are frequently used in workers' compensation systems, particularly as the correlation between clinical pain ratings and functional abilities appears weak (Brouwer et al., 2005, Gross et al., 2003, Reneman et al., 2002, Reneman et al., 2007, Schiphorst Preuper et al., 2008, Smeets et al., 2007, Eriksen et al., 2006). However, obtaining objective data regarding shoulder problems is somewhat more challenging than for distal upper extremity-related impairments due to the degree of reliance on the patient's subjective willingness to exert or sustain major activities that are critical for job performance. Because their reliability and validity have not been proven, FCEs should be utilized to evaluate work ability about what a patient was willing to do on a given day. They should be carefully performed and interpreted, but FCEs should not be used to override the judgment about the work ability of a patient with a shoulder problem.

Many commercial FCE models are available. There is research regarding inter-and intra-rater reliability for some of the models (complete discussion is beyond the scope of this guideline). The validity of FCEs, particularly predictive validity, is more difficult to determine, since factors other than physical performance may affect return to work (Pransky et al., 2004, Gouttebarge et al., 2004). An FCE may be done for one or more reasons, including identifying an individual's ability to perform specific job tasks associated with a job (job-specific FCE) and physical activities associated with any job (general FCE), or to assist in the objectification of the degree(s) of impairment(s). The type of FCE needed, and any other issues the FCE evaluator needs to address, should be specified when requesting an FCE.

The term "capacity" used in FCE may be misleading, since an FCE generally measures an individual's voluntary performance rather than his or her capacity. Physical performance is affected by psychosocial as well as physical factors. The extent of an individual's performance should be evaluated as part of the FCE process through analysis of his or her level of physical effort (based on physiological and biomechanical changes during activity) and consistency of performance. Perhaps more importantly, the objective findings identified in the musculoskeletal evaluation should correlate with any identified functional deficits. The individual's performance level, especially as it relates to stated levels of performance, should be discussed in the FCE report. A properly performed and well-reported FCE will highlight such discrepancies. This is particularly important in shoulder evaluations where there may be greater degrees of impairments at stake and where there are somewhat fewer metrics available than for the distal upper extremity.

FCE test components may vary depending on the model used, but most contain the following:

Patient interview including: informed consent, injury/illness and medical history, current symptoms, activities and stated limitations, pain ratings/disability questionnaires

• Musculoskeletal examination (e.g., including analogues of Waddell's non-organic signs for the shoulder such as non-anatomic pain)

- Observations throughout the session (e.g., demonstrated sitting tolerance, pain modifying behaviors)
- Material handling tests (lifting, carrying, pushing, pulling)
- Movement tests (walking, crouching, kneeling, reaching, etc.)
- Positional tolerance tests
- Dexterity/hand function
- Static strength (varies among models)
- Aerobic fitness (usually submaximal test-also variable among models)
- Job-specific activities as relevant
- Reliability of client reporting (e.g., non-organic signs, pain questionnaires, placebo tests, etc.)
- Physical effort testing (e.g., Jamar Dynamometer maximum voluntary effort, bell curve analysis, rapid exchange grip, competitive test performance, heart rate, observation of clinical inconsistencies, etc.)

FCE test length may vary between FCE models, although most 1-day FCEs are completed in 3 to 4 hours. Two-day tests, where the patient is seen on 2 consecutive days, may be recommended when there are problems with fatigue (e.g., chronic fatigue syndrome), delayed onset of symptoms, unusually complex job demands to simulate, and questions about symptom validity. Test length for 2-day tests is generally 3 to 4 hours on the first day, and 2 to 3 hours on the second day.

Interpretation of FCE results is complicated in that it is a measure of voluntary performance. Before beginning testing, the patient is counseled to avoid doing anything to knowingly reinjure him or herself. Thus, "fear avoidance" may cause testing to seriously underestimate actual ability and result in a report that the patient had "self-limited performance due to pain," suggesting a low pain tolerance, when in reality the patient was doing what he or she was instructed.

By analogy, the best studies on the ability of FCEs to predict safe re-entry to the workplace following rehabilitation of work-related back pain/injury suggest that FCEs are not able to predict safe return to work (concurrent validity) (Gross et al., 2005, Gross et al., 2004, Gross et al., 2004). In a prospective cohort study of 1,438 consecutive work-related back patients, all underwent an FCE prior to return to work. In the control group, the FCE was used to write return-to-work guidelines, while in the study group it was ignored and the worker was returned usually to full duty. Ignoring the FCE improved outcome (Hall et al., 1994).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Functional Capacity Evaluations; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 6 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 34 articles, 42 in Scopus, 8,289 in CINAHL, 11 in Cochrane Library, 334 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.5.10. MAGNETIC RESONANCE IMAGING (MRI)**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for rotator cuff tendinopathies and many other shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (591) (592) (184) (593) (594) (595) (57) (596) (597) (598) (599) (600) (601) (58) (1450) (1451) (1452) (1453) (1454) (1455) (1456) (1457) (1458) (1459) (1460) (1461) (1462) (1463) (1464) (1465) (1466) (55) (1467) (1468) (1469) (274) (1470) (552) (1471) (1472) (1473) (1474) (185) (1475) (1476) (1477) (1478) (1479) (1480) (1481) (1482) (1483) (1484).

#### **MRI FOR DIAGNOSING ROTATOR CUFF TENDINOPATHIES**

#### Recommended

MRI is strongly recommended for patients suspected of having acute, clinically significant rotator cuff tears. It is also recommended for select patients with subacute or chronic shoulder pain thought to potentially have a symptomatic rotator cuff tear.

**Strength of evidence** Strongly Recommended, Evidence (A) **Level of confidence** High

#### Indications

Patients thought to have an acute, clinically significant rotator cuff tear or subacute or chronic shoulder pain suspected of having a clinically meaningful rotator cuff tear. MRI may also be helpful with chronic rotator cuff tendinopathies, and impingement syndrome. If there is significant rotator cuff weakness, immediate imaging may be indicated. Exceptions include elderly patients, those who would not undergo surgical repair, or those who have substantial signs of pre-existing large/massive rotator cuff tear. It is also reasonable to wait for 1 or 2 weeks to ascertain whether the condition is likely to resolve with conservative care without obtaining an MRI. Most acute tears without significant weakness should wait 2+ weeks prior to imaging as some patients with acute pain and limited ROM resolve clinically. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise, and injection(s).

#### Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives for rotator cuff tears.

#### Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

There is strong evidence, with many high-quality studies having compared ultrasound (US) to MRI. Although a few have reported comparable detection of full-thickness tears (lannotti et al., 2005), studies have consistently reported superiority of MRI to US for the detection of partial-thickness tears (Ardic et al., 2006). One moderate-guality study compared MRI with arthrography, suggesting MRI is superior to arthrography (Blanchard et al., 1999); however, arthrography alone has been largely replaced by other procedures. MRI has also been compared with arthroscopy in 57 patients with shoulder pain of unclear cause (Torstensen et al., 1999). MRI was found to be accurate in detecting 68% of rotator cuff (RC) tears and 62% accurate in detecting labral injuries. MRI sensitivity for RC tears was 96% and specificity 49% (for labral tears, 73% sensitive, 58% specific). MRI was compared with arthroscopic findings among 16 patients with trauma (Kirkley et al., 2003). The authors found moderate correlation for superior labral lesions (k = 0.60), fair agreement for rotator cuff tear (k =(0.355), Hill-Sachs (k = 1.0), and moderate for size (k = 0.44). A consecutive case series of 104 patients with shoulder problems were evaluated and randomized to MRI first versus arthrography first. There were modestly fewer changes in diagnostic categories with MRI (30%) than arthrography (37%), p >0.5. MRI led to slightly more changes in planned therapy (36% vs. 25%, p >0.3). MRI was found to be 79% accurate, 81% sensitive and 78% specific for full-thickness rotator cuff tears. Arthrography was found to be 82% accurate, 50% sensitive and 96% specific (Blanchard et al., 1999). A cross-sectional comparison of MRI (1.5T loop-gap resonator surface coil), double contrast arthrography, high resolution sonography and surgery among 38 patients with suspected rotator cuff tears did not include all patients receiving all tests or surgery (other than MRI and arthrography) and reported a sensitivity of MRI of 100% (Burk et al., 1989). Ultrasound detected 9/15 (60%) of tears. However, the study population was small and biased in favor of overestimating the tests' sensitivity.

MRI has shown increased changes in the rotator cuff and tears with increased age (Needell et al., 1996, Sher et al., 1995), as well as a high prevalence of bony and peritendinous shoulder abnormalities among those without symptoms (Needell et al., 1996). MRI has reasonably good operant characteristics for full-thickness tears, although it does not have good sensitivity for partial thickness tears (Dinnes et al., 2003). Fatty infiltration of the rotator cuff tendons is also found on MRI and thought to signify chronicity as well as portending a poorer surgical outcome (Berhouet et al., 2009). A comparative assessment of T-2 weighted fast spin-echo technique with vs. without fat-suppression MRI for assessment of rotator cuff tears among 177 patients thought to have tears found no differences in assessments of complete tears, but differed in interpretations of partial tears (Singson et al., 1996). Compared with surgery, sensitivity was 100% for full-thickness tears and specificity for intact tendons was 86%. Fat suppression was felt helpful for partial tears. MRI demonstrates acromial abnormalities and there is a higher prevalence of Type 3 acromion processes among those with either rotator cuff tear or impingement syndrome (Epstein et al., 1993). It has been suggested increased T2 signal in the distal clavicle may be an indication for surgical resection.

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (Mulyadi et al., 2009, Chang et al., 2006, Ardic et al., 2006, Tuite et al., 2000, Connell et al., 1999, McFarland et al., 2009, Pandya et al., 2008, Cartland et al., 1992, Chang et al., 2008, Tirman et al., 1994, Wnorowski et al., 1997, Tung et al., 2000, Reuss et al., 2006). Although studies are not heterogeneous, pooled estimates of the sensitivity for full-thickness tears has been calculated and is 89% with specificity 93%, while for partial thickness tears, these estimates are only 44% sensitivity and 90% specificity (Dinnes et al., 2003). Similarly, accuracy is lower for smaller than larger tears. There are concerns that MRI is

inferior to MR arthrography for evaluating the labrum (Schmerl et al., 2005); thus, MRA is recommended for evaluation of the joint.

MRI is not invasive, has potential adverse effects from issues of claustrophobia or complications of medication, and is costly. MRI is not recommended for routine shoulder imaging, but it is recommended for evaluation of rotator cuff tears.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic resonance Imaging; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 1860 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 2297 articles, 7290 in Scopus, 231 in CINAHL, 47 in Cochrane Library, 937 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 18 from PubMed, 6 from Scopus, 4 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 9 from other sources. Of the 40 articles considered for inclusion, 12 diagnostic studies and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.11. MAGNETIC RESONANCE ARTHROGRAM (MRA)

Magnetic resonance (MR) arthrography combines and MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is needed as well (173) (174). MR arthrography is particularly thought to be effective for imaging labral pathology (175) (176) (177) (178) (179) (180) (43) (181). Magnetic resonance arthrogram has been used to diagnose rotator cuff tendinopathies (182) (183).

#### MR ARTHROGRAM (MRA) FOR SELECT DIAGNOSIS OF ROTATOR CUFF TENDINOPATHIES

#### Recommended

MR arthrography is recommended for diagnosing articular side partial-thickness rotator cuff tears, subscapularis tears, and labral tears in select patients with subacute or chronic shoulder pain. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Patients with subacute or chronic shoulder pain with symptoms or clinical suspicion of rotator cuff tendinopathies or tears, impingement, and subacromial bursitis or other concerns about the shoulder joint requiring MR imaging. MR arthrograms are generally not necessary for uncomplicated rotator

cuff tendinopathies; however, they are indicated if there are concerns regarding concomitant labral tears. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise and injection(s).

## Benefits

Secure a diagnosis.

# Harms

False positives and false negatives; however, arthrography improves the accuracy, especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

# Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

# Rationale

MR arthrograms have not been evaluated in large-scale quality studies to assess their utility for the diagnosis of rotator cuff tendinopathies. Although studies are heterogeneous, pooled estimates of the sensitivity for full-thickness tears have been estimated to be 95% with a specificity of 93% (Dinnes et al., 2003). There is high prevalence for labral injury with a first shoulder dislocation based on MR arthrography (MRA) (Antonio et al., 2007). One study suggested that stand-alone MRA is not sufficient for diagnosing calcific tendinitis (Zubler et al., 2007). Arthrography with low-field MR was found to be equivalent to high-field in a series of 38 patients (Loew et al., 2000). A comparison of high- versus low-field MR imaging for SLAP tears among symptomatic patients found high field superior for diagnosing SLAP (Tung et al., 2000). The sensitivity of high-field MRA was 90% and specificity 63%, while sensitivity for low field was 64% and 70% specificity. MRA was found superior to CT arthrography (CTA) and marginally better than MRI for identification of labral tears in a case series of patients with recurrent anterior instability, prior anterior dislocation or shoulder pain of unknown cause (Chandnani et al., 1993). MRA sensitivity for a labral tear was 96.4%, MRI was 92.9%, and CTA was 73.1%. Specificity was 100% for all three tests; however, this appears overstated as there were only two patients without a tear in this small case series.

MR arthrography is invasive; has adverse effects including a low, but definite, risk of infection; and is painful. It is also costly, although MRA has been felt to provide better cost-effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). It is likely the best imaging procedure available for patients thought to have labral tears or patients with good strength in order to assess the labrum and rotator cuff with traumatic injury simultaneously and is recommended for select use.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: magnetic resonance arthrogram, MRA; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value

of tests, efficacy, efficiency. We found and reviewed 202 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 250 articles, 129 in Scopus, 9 in CINAHL, 19 in Cochrane Library, 590 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 3 articles considered for inclusion, 3 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.12. ULTRASOUND

Diagnostic ultrasound has been used for evaluating rotator cuff tears (184) (185) (186) (187) (188) (189) (190) (191) (192).

# **ULTRASOUND FOR DIAGNOSING ROTATOR CUFF TENDINOPATHIES**

#### **Sometimes Recommended**

Ultrasound is recommended for selective use on patients suspected of having full-thickness rotator cuff tears.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

## Indications

Ultrasound operators should have sufficient skill to obviate the need for MRI or CT scanning (Boykin et al., 2010, Hanchard et al., 2013); otherwise, the test introduces unnecessary redundancy. Patients with symptoms and signs of a clinically significant acute, full-thickness rotator cuff tear or subacute or chronic shoulder pain suspected of having a symptomatic rotator cuff tear (Ardic et al., 2006, Wall et al., 2012, Ianotti, 2005, Naredo et al., 1999). Patients thought to only have a partial-thickness tear are generally not good candidates for US as MRI is shown to be superior (Ardic et al., 2006, Wall et al., 2012, Roberts et al., 2001, Sipola et al., 2010, Naredo et al., 1999). Most clinical presentations should wait approximately 2 weeks prior to imaging because some patients with acute pain and limited range of motion resolve clinically; obvious tears are an exception to waiting 2 weeks. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAIDs, exercise, and injection(s) (Moosikasuwan et al., 2005, Ottenheijm et al., 2010). An MR arthrogram is recommended for suspected labral injury (see below) (Ardic et al., 2006).

## Benefits

Secure a diagnosis.

## Harms

False positives and false negatives.

#### Frequency/Dose/Duration

Repeat ultrasound should be based on significant change in symptoms and/or examination findings.

#### Rationale

Many high-quality studies have compared US to MRI. Although a few have reported comparable detection of full-thickness tears (Frei et al., 2008, Ianotti, 2005), studies consistently report superiority of MRI to US for the detection of partial thickness tears (Ardic et al., 2006, Wall et al., 2012, Roberts et al., 2001, Sipola et al., 2010, Naredo et al., 1999); thus, US is generally not indicated for use in patients thought to have a partial-thickness tear.

Ultrasound has been compared with physical examination findings, suggesting physical exam identified fewer abnormalities compared with ultrasound, although there was not clinical correlation with treatment outcomes (Kim et al., 2007). Ultrasound utilized to evaluate asymptomatic shoulders found increased prevalence of full-thickness tears with increased age (Sher et al., 1995, Tempelhof et al., 1999); with approximately 6% among 212 individuals (Schibany et al., 2004) and in 7.6% of 420 (Moosmayer et al., 2009). Asymptomatic tears increase in prevalence by age -50 to 59 years (2.1%) versus 60 to 69 years (5.7%) versus 70 to 79 years (15%) (Moosmayer et al., 2009). Ultrasound is thought to be relatively effective for identifying full-thickness tears (lannotti et al., 2005, Ottenheijm et al., 2010, Hedtmann et al., 1995, Zehetgruber et al., 2002, Brenneke et al., 1992, Furtschegger et al., 1988, Mack et al., 1988, Mack et al., 1988, Middleton et al., 1986, Smith et al., 2011, Awerbuch, 2008); however, it appears somewhat less effective for identifying partial-thickness tears (Naredo et al., 1999, Brenneke et al., 1992, Awerbuch, 2008, Buchbinder et al., 2013). A surgical case series of 42 patients attempted to determine the diagnostic accuracy of ultrasound. Ultrasound detected all fullthickness tears (100% sensitive, 97% specific), but only 6 of 13 of the partial-thickness tears (46% sensitive, 97% specific). One full-thickness tear was falsely diagnosed. Another study has suggested sensitivity for detection of tear size of 83 to 86% (lanotti, 2005). Ultrasound has advantages of being able to move the arm actively or passively during the examination; it is less expensive; and it may be available in most centers (Boykin et al., 2010). When conservative treatment failed, skilled physician's using ultrasound reportedly had high diagnostic accuracy identifying tendinopathy, calcifying tendonitis, and partial- and full- thickness tears (Ottenheijm et al., 2010). SLAP lesions cannot be well visualized using ultrasound (Hanchard et al., 2013). Impingement was felt to have been diagnosed in 27 of 34 cases (79% sensitive, 96% positive predictive value) (Read et al., 1998). A small study of ultrasound the day before surgery for shoulder arthritis in 20 patients suggested that ultrasound was accurate for evaluating hypertrophy of the bursa (93% sensitive, 83% specific), biceps tendon rupture (70% sensitive, 100% specific), and rotator cuff tear (83% sensitive, 57% specific) (Alasaarela et al., 1998). Ultrasound-guided MR arthrography was evaluated in an RCT with anterior versus posterior approaches and found equal ratings of discomfort (Koivikko et al., 2008).

Ultrasound is not invasive, is of low to moderate cost, and has little risk of adverse effects. However, high-quality evidence has consistently documented that US is less effective for the detection of partial-thickness tears (Ardic et al., 2006, Wall et al., 2012, Roberts et al., 2001, Sipola et al., 2010, Naredo et al., 1999). Thus, the indications for US are largely limited to the identification of full-thickness tears by skilled operators. The main disadvantage is the high dependency on the physician's/technician's skills (Boykin et al., 2010, Hanchard et al., 2013).

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: ultrasound, sonography, sonographic; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 9675 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 12498 articles, 4297 in Scopus, 277 in CINAHL, 298 in Cochrane Library, 1260 260 in Google Scholar, and 24 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 24 from other sources. Of the 27 articles considered for inclusion, 26 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.5.13. SINGLE PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

Single-proton emission computed tomography (SPECT) is a 3-dimensional imaging technique that can be used to help diagnose rotator cuff tendinopathies (193) (194) (195).

# **SPECT FOR SHOULDER DISORDERS**

#### **Not Recommended**

SPECT is not recommended for the evaluation of patients with shoulder disorders, including rotator cuff tendinopathies.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence with patient-related outcomes that SPECT is helpful in improving care of acute, subacute, or chronic shoulder pain compared with MRI, MRA or US. There are no quality studies that PET adds diagnostic benefits for rotator cuff tendinopathies above that achieved by MRI, MRA, US and/or arthroscopy, which are effective to highly effective, and thus SPECT is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Single Photon Emission Computed Tomography, Tomography, Emission-Computed, Single-Photon, Positron Emission Tomography, PET scan; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 27 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 30 articles, 456 in Scopus, 9 in CINAHL, 0 in Cochrane Library, 58 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0

from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.14. POSITRON EMISSION TOMOGRAPHY (PET)

Positron emission tomography (PET) is a method that can be used to identify issues with the rotator cuff tears in the shoulder (196) (197) (198).

## POSITRON EMISSION TOMOGRAPHY FOR DIAGNOSING ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

PET is not recommended for evaluation of rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality studies that PET adds diagnostic benefits for rotator cuff tendinopathies above that achieved by MRI, MRA, US and/or arthroscopy, which are effective to highly effective, and thus PET is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Positron Emission Tomography, PET scan; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 23 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 26 articles, 399 in Scopus, 8 in CINAHL, 0 in Cochrane Library, 25 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 2 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.5.15. ARTHROGRAPHY

Arthrography involves the injection of contrast into the joint. It was modified in the 1970s to include injection of air ("double contrast") (131). Arthrography under fluoroscopy in isolation has now been almost entirely replaced by other procedures, including MRI and MRA, primarily due to its low sensitivity for full-thickness tears and essentially no sensitivity for partial thickness tears (199). Most arthrograms including MR arthrogram and CT arthrogram are performed using fluoroscopy to localize the joint and inject the contrast agent.

# **4.5.16. DIAGNOSTIC INJECTIONS**

Diagnostic injections particularly of the subacromial space, glenohumeral joint and acromioclavicular joint are sometimes performed. However, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes (see Injections).

# **4.6. TREATMENT RECOMMENDATIONS**

# 4.6.1. INITIAL CARE

Initial care of rotator cuff tendinopathies nearly always involves non-operative treatment during which time it often becomes clearer whether a tear is present, and if so, how significant it is. Still, there should be early consideration as to whether there is a full-thickness complete rotator cuff tear > 1cm in younger patients, which then should result in earlier consideration of surgery as the outcomes in those patients are believed to be better with surgery (see Surgical Considerations).

It is recommended to educate the patient regarding the generally good long-term prognosis, as well as the need to continue use and ROM exercises to prevent potential adhesive capsulitis. For patients with significant pain, over-the-counter (OTC) analgesics (NSAIDs, acetaminophen) and self-applications of heat and ice are recommended. Slings and immobilizers are not recommended, and if used, should be used with daily range of motion exercises and for only a brief course and weaned off use by 3-5 days.

# **4.6.2. ACTIVITY MODIFICATION AND EXERCISE**

Exercises are among the most important therapeutic options for the treatment and rehabilitation of rotator cuff tendinopathies. While there are many ways to categorize and analyze exercise, this guideline evaluates exercise in three broad groupings:

- 1. range-of-motion exercise,
- 2. strengthening, and
- 3. aerobic exercise.

Exercise programs typically include combinations of exercises and are prescribed as self-directed, structured appointments with physical and/or occupational therapists, or often both. Subsequent sections include reviews of spa therapy and balneotherapy.

# **EXERCISE PRESCRIPTIONS FOR SHOULDER PAIN**

#### Recommended

An exercise prescription is moderately recommended for treatment of acute, subacute, chronic, postoperative shoulder pain. This prescription may either be for self-directed exercises (home program), formal in-clinic, or both.

Strength of evidence Recommended, Evidence (C) Level of confidence High

#### Indications

All patients with shoulder pain, including that due to rotator cuff tendinopathies appear to benefit from an exercise prescription.

#### Benefits

Improvement in shoulder pain, improved cardiovascular fitness.

#### Harms

None reported in quality studies. Theoretical risk of myocardial infarction, angina and musculoskeletal injury in a severely deconditioned patient.

#### **Frequency/Dose/Duration**

If a supervised program is felt to be needed, recommended frequency is 1 to 3 sessions a week for up to 4 weeks as long as objective functional improvement and symptom reduction is occurring. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

If self-directed, daily exercise is recommended. An exercise prescription should address specific treatment goals and be time limited with transition to an independent exercise program (no longer considered treatment). The purpose of supervised exercise therapy is symptom reduction, functional improvement, and educating the patient so that he or she can independently manage the program. Evaluation for an exercise prescription involves consideration of four critical components:

- Stage of (theoretical) tissue healing (acute, subacute, chronic),
- Severity of symptoms (mild, moderate, severe),
- Degree and type of deconditioning (flexibility, strength, aerobic, muscular endurance), and
- Psychosocial factors (e.g., medication dependence, fear-avoidance, secondary gain, mood disorders).

#### **Indications for Discontinuation**

Recovery, attainment of a functional recovery, complete independence to discharge from a formal program, non-compliance.

#### Rationale

There are quality studies of the value of exercises; however, there are weaknesses in these studies which limit the strength of the conclusions and the overall evidence base (see specific types of exercise). One trial found a higher dose exercise program superior to a lower dose exercise program, but was susceptible to a contact time bias (Osteras et al., 2010). Another trial found an activity-oriented program was superior (Horst et al., 2017).

Regarding general exercise approach for shoulder pain and rotator cuff tendinopathy, range-ofmotion and aerobic exercises are recommended. Strengthening exercises are typically delayed to later in the acute recovery stage or for subacute or chronic shoulder pain. Pain control modalities may be needed as a complement to exercise. The recommended frequency is 1 to 3 sessions a week for up to 4 weeks as long as objective functional improvement and symptom reduction are occurring.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: General Exercise; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 537 articles in PubMed, 4019 in Scopus, 7114 in CINAHL, 42 in Cochrane Library, 2013 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 10 from PubMed, 0 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **AEROBIC EXERCISES FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES**

#### Recommended

Aerobic exercises are recommended for the treatment of rotator cuff tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

All patients with all stages of shoulder pain. However, those with significant cardiac disease or significant potential for cardiovascular disease should be considered for evaluation prior to instituting vigorous exercises, following the American College of Sports Medicine's *Guidelines for Exercise Testing and Prescription*, 9th ed. (Pescatello, 2014) with regards to health screening and risk stratification.

## Benefits

Improved endurance and aerobic capacity. Potential for earlier improvement in range of motion due to use of the arm/arm swing. Improved cardiovascular fitness, improved health status.

#### Harms

Negligible. None reported in quality studies. Theoretical risk of myocardial infarction and angina in a severely deconditioned patient. Intolerance of weight bearing in severe lower extremity osteoarthrosis. Other musculoskeletal disorders possible (e.g., plantar heel pain).

# Frequency/Dose/Duration

There are no quality studies to address intensity. Prior studies for chronic low back pain patients that may be applicable to shoulder pain patients include walking at least 4 times a week at 60% of predicted maximum heart rate (220-age = maximum heart rate) is recommended (Chatzitheodorou D, 2007). Benchmarks were 20 minutes during Week 1, 30 minutes during Week 2, and 45 minutes after that point. Nearly all patients should be encouraged to maintain aerobic exercises on a long-term basis additionally to maintain optimal health.

For post-operative patients, a graded but more reduced walking program is generally desired, often using distance or time as minimum benchmarks – e.g., start with 10 to 50 feet depending largely on severity of the operative procedure. Gradually increasing distance and duration of walking. A reasonable eventual target after the operative recovery period is based on treatment of chronic shoulder pain analogized from low back pain patients as noted above and is walking at least 4 times a week at 60% of predicted maximum heart rate (Chatzitheodorou D, 2007).

#### **Indications for Discontinuation**

Transition to a self-directed program is advised for those who have recovered, do not require supervision and/or have been discharged from care. Discontinuation is rarely indicated and may be due to intolerance (rarely occurs), development of other disorders.

## Rationale

There are no quality studies addressing the singular utility of aerobic exercises for the treatment of any stage of rotator cuff tendinopathies patients. One moderate-quality RCT with subsequent publications assessed a mixture of types of exercise that included aerobic exercise found a higher dose program to be superior to a lower dose program for subacromial pain patients (Osteras, 2009, Østerås et al., 2008, Osteras et al., 2010).

Yet, many jobs have aerobic demands and thus debility can be harmful and delay return to work. As well, progressive walking involves graded increased use of the shoulder and thus may have some therapeutic value. Progressive aerobic exercises are thus recommended as part of a treatment strategy (typically including range of motion exercises and then strengthening exercises) for the treatment of for the treatment of patients with acute, subacute, chronic and post-operative shoulder pain due to rotator cuff tendinopathies.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Aerobic Exercises; cardiovascular exercises, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 650 articles in PubMed, 4,373 in Scopus, 8 in CINAHL, 1 in Cochrane Library, 6 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **RANGE-OF-MOTION EXERCISE FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES**

#### Recommended

Range-of-motion exercises are recommended for the treatment of rotator cuff tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

All patients with shoulder pain, including that due to rotator cuff tendinopathies appear to benefit from an exercise prescription that includes range-of-motion exercises.

## Benefits

Improvement in shoulder pain, range of motion and function.

#### Harms

May have worsened pain while performing the exercises.

#### Frequency/Dose/Duration

If a supervised program is felt to be needed, recommended frequency is 1 to 3 sessions a week for up to 4 weeks as long as objective functional improvement and symptom reduction is occurring. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

If self-directed, daily exercise is recommended. An exercise prescription should address specific treatment goals and be time limited with transition to an independent exercise program (no longer

considered treatment). The purpose of supervised exercise therapy is symptom reduction, functional improvement, and educating the patient so that he or she can independently manage the program. Evaluation for an exercise prescription involves consideration of four critical components:

- Stage of (theoretical) tissue healing (acute, subacute, chronic),
- Severity of symptoms (mild, moderate, severe),
- Degree and type of deconditioning (flexibility, strength, aerobic, muscular endurance), and
- Psychosocial factors (e.g., medication dependence, fear-avoidance, secondary gain, mood disorders).

Individualized, supervised programs are generally needed for post-operative care and the length of those prescriptions is typically longer than for non-operative patients. Therapy courses of up to 3 months in more severely affected patients are possible; nevertheless, progressive functional gain should be documented to warrant further batches of appointments. Individualization should be based on factors including age, pre-operative condition, immediate surgical results, contraindications, and other medical conditions; advancement of the program also must be individualized based on progress. Programs and protocols should be closely coordinated with the treating orthopedist, particularly as variability in patients is wide – although workers' compensation patients tend to be younger, in better condition, and able to advance conditioning exercises more rapidly than the elderly. Duration is based primarily on progress. Highly motivated patients may require only weekly sessions for advancement of home exercise program components and may achieve comparable outcomes to a supervised program (Roddey et al., 2002, Andersen et al., 1999). Others require more supervision, particularly if there is significant pain with use.

## **Indications for Discontinuation**

Recovery, attainment of a functional recovery, complete independence to discharge from a formal program, non-compliance.

## Rationale

There are six moderate-quality trials involving rotator cuff tendinopathy patients. The highest quality study followed patients for more than 2 years and compared a traditional group (active-assisted ROM on day of surgery, dynamic exercises for rotator cuff after 6 weeks, and strengthening after 8 weeks) versus progressive group (active-assisted ROM and dynamic RC exercises day of surgery, strengthening after 6 weeks) versus home exercise. Many outcome measures favored the progressive exercise group. Two moderate-quality trials suggested that weekly supervised appointments to advance a home-exercise program was equivalent to a traditional rehabilitation program (Roddey et al., 2002, Andersen et al., 1999).

There is one moderate-quality trial suggesting no benefits of continuous passive motion (CPM) postoperatively; however, this study appears underpowered (Raab et al., 1996) and thus there is no recommendation. Another moderate-quality trial suggested this CPM device may have benefits among patients living alone, concerns about adhesions or adhesive capsulitis, repeat rotator cuff repairs, and repair of massive tears (Lastayo et al., 1998).

There are other regimens utilized in quality surgical trials that demonstrate good surgical outcomes, yet there are considerable differences among the reported post-operative rehabilitation studies and trials. These include active-assisted ROM 5 times daily and restoration of rotator cuff muscles and scapular stabilizers after full flexibility is accomplished (Jackins, 2004); submaximal training begun 3 months after surgery (Rahme et al., 1998); active-assisted ROM immediately after surgery; and

eccentric and concentric, isokinetic and manual strengthening at 6 to 12 weeks (Wilk et al., 1993). "No prospective randomized studies have shown rehabilitation with graded exercises to be more effective than other interventions after arthroscopic subacromial decompression. Neither has different progression in workload intensity after this procedure" (Klintberg et al., 2008).

The highest quality surgical trial comparing detailed exercise with arthroscopic decompression for impingement syndrome utilized a regimen of exercise, hot and cold applications, and soft tissue treatments followed by active periscapular muscle training for strengthening the rotator cuff. There were 19 total sessions until discharge to a home-exercise program (Haahr et al., 2005). A second trial was not well described (Brox et al., 1993). Another trial included active and passive shoulder mobilization and stabilizing muscle training (Rubenthaler et al., 2003). Exercise programs are not invasive, have low potential for adverse effects, but generally involve at least moderate to high aggregate costs. They are recommended, although individualization appears necessary and supervised home-exercise programs may suffice for some patients.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Range of Motion Exercises; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1,276 articles in PubMed, 5,368 in Scopus, 24,860 in CINAHL, 4 in Cochrane Library, 30 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 7 articles considered for inclusion, 5 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## STRENGTHENING EXERCISES FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES

## Recommended

Strengthening exercises are recommended for the treatment of rotator cuff tendinopathies. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** High

## Indications

All patients with shoulder pain, including that due to rotator cuff tendinopathies appear to benefit from an exercise prescription that includes strengthening exercises.

## Benefits

Improvement in shoulder pain, strength and function.

# Harms

May have worsened pain while performing the exercises.

# Frequency/Dose/Duration

If a supervised program is felt to be needed, recommended frequency is 1 to 3 sessions a week for up to 4 weeks as long as objective functional improvement and symptom reduction is occurring. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

If self-directed, daily exercise is recommended. An exercise prescription should address specific treatment goals and be time limited with transition to an independent exercise program (no longer considered treatment). The purpose of supervised exercise therapy is symptom reduction, functional improvement, and educating the patient so that he or she can independently manage the program. Evaluation for an exercise prescription involves consideration of four critical components:

- Stage of (theoretical) tissue healing (acute, subacute, chronic),
- Severity of symptoms (mild, moderate, severe),
- Degree and type of deconditioning (flexibility, strength, aerobic, muscular endurance), and
- Psychosocial factors (e.g., medication dependence, fear-avoidance, secondary gain, mood disorders).

## Indications for Discontinuation

Recovery, attainment of a functional recovery, complete independence to discharge from a formal program, non-compliance.

## Rationale

There are multiple moderate-quality RCTs, although they include varying combinations of exercises, and reported evidence of efficacy of strengthening exercises (Holmgren et al., 2012, Maenhout et al., 2013, Mulligan et al., 2016, Turgut et al., 2017). An eccentric exercise program was not found to be superior (Dejaco et al., 2017). One trial suggested minimal differences between open-chain, closed-chain and minimally loaded range of motion exercises (Heron et al., 2017).

Trials of therapy compared with arthroscopic repair for small- to medium-sized rotator cuff tears have suggested surgery is superior as the tears tend to increase in size over time (Moosmayer et al., 2009, Moosmayer et al., 2010, Kukkonen et al., 2015).

Strengthening exercises have quality evidence of efficacy and thought to be important for the treatment and rehabilitation of acute, subacute, chronic and post-operative shoulder conditions especially of the rotator cuff, and thus are recommended. Exercises are also an option for those with small to medium-sized rotator cuff tears who opt for non-operative treatment.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Strengthening Exercises; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 50 articles in PubMed, 282 in Scopus, 46 in CINAHL, 6 in Cochrane Library, 336 in Google Scholar, and 5 from other sources<sup>+</sup>. We considered for inclusion 10 from PubMed, 3 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 5 from other sources. Of the 20 articles considered for inclusion, 12 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.3. REHABILITATION PROGRAMS**

Physical and occupational therapy are professional disciplines. Rehabilitation has been used as part of a treatment plan for the treatment of rotator cuff tendinopathies (200) (201) (202) (82) (203) (204) (205) (206) (207) in the form of a home exercise program delivered in 1 visit or supervised in-clinic program over multiple weeks/months.

# PHYSICAL AND/OR OCCUPATIONAL THERAPY FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES

## Recommended

Physical and occupational therapy are recommended for the treatment of rotator cuff tendinopathies, particularly for institution and advancement of quality exercise programs. See separate recommendations for each type of treatment and modality, including exercises, which critically have varying degrees of efficacy and inefficacy.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Generally useful for all phases of treatment for rotator cuff tendinopathy, with the greatest benefits being the need for institution of a quality exercise program, teaching home exercises and graded advancement of the program.

## Benefits

Earlier institution of an effective program and advancement of the program of exercise.

## Harms

Negligible, unless ineffective treatments are provided, which may then medicalize and prolong the case.

# Frequency/Dose/Duration

If a supervised program is felt to be needed, recommended frequency is 1 to 3 sessions a week for up to 4 weeks as long as objective functional improvement and symptom reduction is occurring. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached. If self-directed, daily exercise is recommended (see Exercise recommendations).

## Indications for Discontinuation

Functional recovery, independence in a home program, or non-compliance.

## Rationale

There are quality studies of specific treatments commonly used by physical and/or occupational therapists. Some of these have evidence of efficacy, and some have evidence of a lack of efficacy. There is one trial suggesting no differences between a supervised and an unsupervised program (Granviken et al., 2015). Please see individual treatment and/or modality recommendations.

There are limited studies addressing early vs. late physical therapy, and mostly assessing institution of early compared with late range of motion after arthroscopic repair of rotator cuff tears (Gallagher, 2015, Kluczynski, 2016, Mazzocca et al., 2017). Those studies do not show evidence of benefit of early range of motion. Regardless, studies of early vs. late institution of therapy are challenging to interpret, especially as they are potentially confounded by spectrum bias (earlier resolving cases would naturally be included in the early but not late groups) and thus typically precluding an evidence-based recommendation when there are studies which appear supportive.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Physical Therapy, Physical Therapy Modalities; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1280 articles in PubMed, 1189 in Scopus, 389 in CINAHL, 426 in Cochrane Library, 1180 in Google Scholar, and 4 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 4 from Scopus, 4 from CINAHL, 2 from Cochrane Library, 2 from Google Scholar, and 4 from other sources. Of the 17 articles considered for inclusion, 12 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If

relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Early Versus Late Physical Therapy, Early Versus Delayed Physical Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 9 articles in PubMed, 3,915 in Scopus, 286 in CINAHL, 0 in Cochrane Library, 451 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 2 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 3 articles considered for inclusion, 2 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# EXERCISE OR REHABILITATION PROGRAMS FOR POST-OPERATIVE ROTATOR CUFF REPAIR AND/OR SUBACROMIAL DECOMPRESSION

#### Recommended

A post-operative exercise or rehabilitation program is recommended for post-operative rotator cuff repair.

Strength of evidence Recommended, Evidence (C) Level of confidence High

#### Indications

All post-operative rotator cuff tendinopathy patients are candidates.

#### Benefits

Improved and earlier return of function

#### Harms

Negligible

## Frequency/Dose/Duration

Programs need to be individualized (see below). Generally, begin with appointments 2 or 3 times weekly and gradually taper as home exercises are instituted and the patient's recovery advances. Courses of up to 3 months in more severe cases may be needed, although most patients require 6 to

8 weeks of supervised programs. Patients should be tracked and show ongoing objective improvements to add additional batches of 6-8 appointments.

Programs need to be individualized based on factors including age, pre-operative condition, immediate surgical results, contraindications, and other medical conditions; advancement of the program also must be individualized based on progress. Programs and protocols should be closely coordinated with the treating orthopedist, particularly as variability in patients is wide – although workers' compensation patients tend to be younger, in better condition, and able to advance conditioning exercises more rapidly than the elderly. Duration is based primarily on progress. Highly motivated patients may require only weekly sessions for advancement of home exercise program components and may achieve comparable outcomes to a supervised program (Roddey et al., 2002, Andersen et al., 1999). Others require more supervision, particularly if there is significant pain with use.

## Indications for Discontinuation

Recovery of function, achievement of goals, resolution of pain, patient satisfaction with recovery, lack of ongoing incremental improvement, non-compliance.

## Rationale

There are many moderate-quality trials involving patients with rotator cuff tendinopathy. The highest quality study followed patients for more than 2 years and compared a traditional group (active-assisted ROM on day of surgery, dynamic exercises for rotator cuff after 6 weeks, and strengthening after 8 weeks) versus progressive group (active-assisted ROM and dynamic RC exercises day of surgery, strengthening after 6 weeks) versus home exercise. Many outcome measures favored the progressive exercise group. Two moderate-quality trials suggested that weekly supervised appointments to advance a home-exercise program was equivalent to a traditional rehabilitation program (Roddey et al., 2002, Andersen et al., 1999).

There is one moderate-quality trial suggesting no benefits of continuous passive motion (CPM) postoperatively; however, this study appears underpowered (Raab et al., 1996) and thus there is no recommendation. Another moderate-quality trial suggested this CPM device may have benefits among patients living alone, concerns about adhesions or adhesive capsulitis, repeat rotator cuff repairs, and repair of massive tears (Lastayo et al., 1998).

There are other regimens utilized in quality surgical trials that demonstrate good surgical outcomes, yet there are considerable differences among the reported post-operative rehabilitation studies and trials. These include active-assisted ROM 5 times daily and restoration of rotator cuff muscles and scapular stabilizers after full flexibility is accomplished (Jackins, 2004); submaximal training begun 3 months after surgery (Rahme et al., 1998); active-assisted ROM immediately after surgery; and eccentric and concentric, isokinetic and manual strengthening at 6 to 12 weeks (Wilk et al., 1993). "No prospective randomized studies have shown rehabilitation with graded exercises to be more effective than other interventions after arthroscopic subacromial decompression. Neither has different progression in workload intensity after this procedure" (Klintberg et al., 2008).

The highest quality surgical trial comparing detailed exercise with arthroscopic decompression for

impingement syndrome utilized a regimen of exercise, hot and cold applications, and soft tissue treatments followed by active periscapular muscle training for strengthening the rotator cuff. There were 19 total sessions until discharge to a home-exercise program (Haahr et al., 2005). A second trial was not well described (Brox et al., 1993). Another trial included active and passive shoulder mobilization and stabilizing muscle training (Rubenthaler et al., 2003). Exercise programs are not invasive, have low potential for adverse effects, but generally involve at least moderate to high aggregate costs. They are recommended, although individualization appears necessary and supervised home-exercise programs may suffice for some patients.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Post-Operative Exercise or Rehabilitation Program; exercise therapy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 231 articles in PubMed, 80 in Scopus, 29224 in CINAHL, 56 in Cochrane Library, 239 in Google Scholar, and 12 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 4 from Scopus, 0 from CINAHL, 4 from Cochrane Library, 0 from Google Scholar, and 12 from other sources. Of the 25 articles considered for inclusion, 17 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **MIRROR THERAPY FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHIES**

#### **No Recommendation**

There is no recommendation for mirror therapy for shoulder pain and rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

None specified.

## Rationale

There are no quality trials of mirror therapy for rotator cuff tendinopathies and shoulder pain. A case series has suggested potential efficacy for patients with shoulder pain with reduced range of motion (Louw et al., 2017). There also is evidence of efficacy for strokes and CRPS, and it has been used for adhesive capsulitis. However, in the absence of supportive evidence, there is no recommendation for rotator cuff tendinopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mirror Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 938 in Scopus, 4 in CINAHL, 3 in Cochrane Library, 413 in Google Scholar, and 0 from other sources<sup>†</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.4. ALLIED HEALTH INTERVENTIONS**

# 4.6.4.1. BALNEOTHERAPY

Balneotherapy has been used to treat rotator cuff tendinopathies (208) (209) (210) (211) (212) (213) (214).

## **BALNEOTHERAPY FOR ROTATOR CUFF TENDINOPATHIES**

## Not Recommended

Balneotherapy is not recommended for treatment of rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no high-quality, sham-controlled studies of balneotherapy for treatment of rotator cuff tendinopathies. Two RCTs compared balneotherapy added to multiple physiotherapy treatments resulting in likely contact time biases and an inability to readily determine benefits of balneotherapy (Tefner et al., 2015, Koç et al., 2021). Another RCT compared with usual care in France and components of care are unclear; as patients had chronic pain, whether the patients already had the usual care is not clear, and is a potentially fatal study flaw (i.e., more of the same bias) (Chary-Valckenaere et al., 2018). Balneotherapy or spa therapy is not invasive, has low adverse effects, is costly, and is without clear evidence of efficacy, and because it relies on a theory of beneficial effects of various salts without clear evidentiary support, is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Balneotherapy, Balneology; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation,

random<sup>\*</sup>, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 315 in Scopus, 0 in CINAHL, 3 in Cochrane Library, 6 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2from PubMed, 5 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 7 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Spa, Spa Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 347 in Scopus, 0 in CINAHL, 4 in Cochrane Library, 51 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.4.2. MASSAGE

Massage is a commonly used treatment for chronic muscular pain administered by multiple health care providers as well as family or friends. It is most typically used for treatment of spine and torso pain (see Chronic Pain and Low Back Disorders guidelines). It has been utilized for treatment of shoulder disorders, including myofascial pain (see Trigger Points and Myofascial Pain Syndrome). Alternatively, deep friction massage (DFM), a manual treatment intended for tendon disorders, purportedly has some evidence in a foreign language publication for the treatment of tendinopathy. However, there is a lack of supportive English-language publications or isolated evaluation of DFM as a treatment modality (214). Massage has been used to treat rotator cuff tendinopathies (215) (216) (217).

## MASSAGE FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against use of massage for rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There is one quality trial of massage for shoulder disorders, but it evaluated a long list of diagnoses including arthritis, precluding an assessment of benefits for treatment of specific shoulder pain or rotator cuff tendinopathy patients (van den Dolder et al., 2003). Thus, there is no recommendation for or against use of massage for treatment of shoulder tendinopathies. There are other indications for massage therapy.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: massage; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 37 articles in PubMed, 860 in Scopus, 48 in CINAHL, 38 in Cochrane Library, 239 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 2 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 4 articles considered for inclusion, 1 randomized trial and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.4.3. REFLEXOLOGY

Reflexology is a complementary or alternative treatment. It entails the physical act of applying pressure to the feet and hands with specific thumb, finger and hand techniques without the use of oil or lotion. Reflexology is based on a system of zones and reflex areas that reflect an image of the body on the feet and hands with a premise that such work effects a physical change to the body. Reflexology is an alternative medicine practice consisting of applications of pressure to specific points on the hands, feet, and ears (218) (219) (220).

# REFLEXOLOGY FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Reflexology is not recommended for treatment of shoulder pain including rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

## Rationale

There are no quality studies of reflexology. It also has not been shown to be efficacious for the treatment of chronic LBP in a moderate-quality study (Poole et al., 2007). Other treatments have been shown to be efficacious and a supportive mechanism for efficacy is inapparent; thus, it is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Reflexology, Zone Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 175 articles in PubMed, 25 in Scopus, 24 in CINAHL, 6 in Cochrane Library, 474 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **4.6.4.4. ACUPUNCTURE**

Acupuncture has been primarily used to treat myofascial (221) and shoulder girdle pain (see Trigger Points/Myofascial Pain). While it has also been used to treat rotator cuff tendinopathies (222) (223) (224) (225), a Cochrane review noted there were few trials of quality with "little can be concluded" (226), while one systematic review recommends acupuncture as a conservative treatment option (227). There are different techniques utilized, including acupuncture, superficial dry needling and deep dry needling (228). Acupuncture is further discussed in the Low Back Disorders and Chronic Pain Guideline. Acupuncture has been used to treat rotator cuff tendinopathies (229) (230) (231) (232) (233) (234).

## 4.6.4.5. MANIPULATION, MOBILIZATION, AND MANUAL THERAPY

Manual therapy, manipulation, and mobilization to the shoulder girdle and spine have been used to treat shoulder problems, mostly in patients with adhesive capsulitis, some with impingement syndrome (1485) (1486), (1487) (1488) (1489) (1490) (1491) (1492) (1493) and general shoulder pain (985). This has included thoracic spine thrust manipulation utilized for treatment of impingement syndrome (1494) (984) (983). Manual therapy, manipulation, and mobilization have been used to treat rotator cuff tendinopathies by using joint movements, soft tissue massage, and stretches (1495) (1496) (1497) (1498) (1499) (1500) (1501) (1502) (1503) (1504) (1505) (1506) (1507) (1452) (1508)

(1509) (1510) (1511) (1512) (1513) (1514) (1515) (1516) (1517) (1518) (241) (1519) (1520) (1521) (1498) (1522) (1523) (1524) (1525) (1526) (1527) (1528) (1529) (1530) (1531) (1532).

# MANIPULATION OF THE THORACIC SPINE FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

### Not Recommended

Manipulation of the thoracic spine is not recommended for rotator cuff tendinopathies. **Strength of evidence** Moderately Not Recommended, Evidence (B) **Level of confidence** Moderate

#### Rationale

Sham-controlled evidence in the higher quality studies consistently suggests that neither thoracic manipulation (Michener LA, 2015, Riley, 2015, Riley, 2015) nor cervical manipulation show evidence of efficacy (Cook, 2014). Thus, manipulation targeting the neck or thoracic spine to treat rotator cuff tendinopathies are not recommended. There are few studies of manipulation targeting the shoulder joint (Winters et al., 1997, Winters et al., 1999, Bergman et al., 2004), and these studies have considerable weaknesses that preclude an evidence-based recommendation. Thus, there is no recommendation for manipulation targeting the shoulder joint.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulation, and Mobilization; dynamic humeral centering, mulligan mobilization, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 162 articles in PubMed, 5719 in Scopus, 196 in CINAHL, 267 in Cochrane Library, 1238 in Google Scholar, and 11 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 3 from Scopus, 8 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 11 from other sources. Of the 51 articles considered for inclusion, 10 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANIPULATION OF THE CERVICAL SPINE FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### **Not Recommended**

Manipulation of the cervical spine is not recommended for rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Moderate

## Rationale

Sham-controlled evidence in the higher quality studies consistently suggests that neither thoracic manipulation (Michener LA, 2015, Riley, 2015, Riley, 2015) nor cervical manipulation show evidence of efficacy (Cook, 2014). Thus, manipulation targeting the neck or thoracic spine to treat rotator cuff tendinopathies are not recommended. There are few studies of manipulation targeting the shoulder joint (Winters et al., 1999, Winters et al., 1997, Bergman et al., 2004), and these studies have considerable weaknesses that preclude an evidence-based recommendation. Thus, there is no recommendation for manipulation targeting the shoulder joint.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulation, and Mobilization; dynamic humeral centering, mulligan mobilization, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 162 articles in PubMed, 5719 in Scopus, 196 in CINAHL, 267 in Cochrane Library, 1238 in Google Scholar, and 11 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 3 from Scopus, 8 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 11 from other sources. Of the 51 articles considered for inclusion, 36 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANIPULATION OF THE SHOULDER FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

## **No Recommendation**

There is no recommendation for or against manipulation of the shoulder for treatment of acute, subacute, or chronic rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

Sham-controlled evidence in the higher quality studies consistently suggests that neither thoracic manipulation (Michener LA, 2015, Riley, 2015, Riley, 2015) nor cervical manipulation show evidence of efficacy (Cook, 2014). Thus, manipulation targeting the neck or thoracic spine to treat rotator cuff tendinopathies are not recommended. There are few studies of manipulation targeting the shoulder joint (Winters et al., 1999, Winters et al., 1997, Bergman et al., 2004)[348, 349, 406], and these studies

have considerable weaknesses that preclude an evidence-based recommendation. Thus, there is no recommendation for manipulation targeting the shoulder joint.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulation, and Mobilization; dynamic humeral centering, mulligan mobilization, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 162 articles in PubMed, 5719 in Scopus, 196 in CINAHL, 267 in Cochrane Library, 1238 in Google Scholar, and 11 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 3 from Scopus, 8 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 11 from other sources. Of the 51 articles considered for inclusion, 36 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANUAL THERAPY OR MOBILIZATION OF THE SHOULDER FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

## **No Recommendation**

There is no recommendation for or against manual therapy or mobilization for treatment of acute, subacute, or chronic rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality sham-controlled trials of mobilization/manual therapy. As there is no evidence of efficacy, there is no recommendation.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulation, and Mobilization; dynamic humeral centering, mulligan mobilization, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 162 articles in PubMed, 5719 in Scopus, 196 in CINAHL, 267 in Cochrane Library, 1238 in Google Scholar, and 10 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 3

from Scopus, 8 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 10 from other sources. Of the 50 articles considered for inclusion, 35 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANUAL THERAPY OR MOBILIZATION OF THE THORACIC SPINE FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

## **Not Recommended**

Manual therapy or mobilization of the cervical spine and/or thoracic spine to target rotator cuff tendinopathies are not recommended.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

### Rationale

There are no quality sham-controlled trials of mobilization/manual therapy. One attempted shamcontrolled trial was of only 2 weeks duration and had no subsequent follow-up (Delgado-Gil, 2015). Trials targeting the neck suggest lack of efficacy (Cook, 2014). Multiple trials of mobilization had many co-interventions precluding assessment of efficacy (Satpute, 2015, Teys, 2013). Thus, there is no quality evidence and there is no recommendation for mobilization/manual therapy of the shoulder.

As some evidence suggests inefficacy of neck mobilization for shoulder disorders and there is a lack of plausibility, neck mobilization/manual therapy for rotator cuff tendinopathies is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulation, and Mobilization; dynamic humeral centering, mulligan mobilization, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 162 articles in PubMed, 5719 in Scopus, 196 in CINAHL, 267 in Cochrane Library, 1238 in Google Scholar, and 10 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 3 from Scopus, 8 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 10 from other sources. Of the 50 articles considered for inclusion, 35 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search,

and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MANUAL THERAPY OR MOBILIZATION OF THE CERVICAL SPINE FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Manual therapy or mobilization of the cervical spine to target rotator cuff tendinopathies is not recommended. **Strength of evidence** Not Recommended, Evidence (C)

Level of confidence Moderate

### Rationale

There are no quality sham-controlled trials of mobilization/manual therapy. One attempted shamcontrolled trial was of only 2 weeks duration and had no subsequent follow-up (Delgado-Gil, 2015). Trials targeting the neck suggest lack of efficacy (Cook, 2014). Multiple trials of mobilization had many co-interventions precluding assessment of efficacy (Satpute, 2015, Teys, 2013). Thus, there is no quality evidence and there is no recommendation for mobilization/manual therapy of the shoulder.

As some evidence suggests inefficacy of neck mobilization for shoulder disorders and there is a lack of plausibility, neck mobilization/manual therapy for rotator cuff tendinopathies is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulation, and Mobilization; dynamic humeral centering, mulligan mobilization, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 162 articles in PubMed, 5719 in Scopus, 196 in CINAHL, 267 in Cochrane Library, 1238 in Google Scholar, and 10 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 3 from Scopus, 8 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 10 from other sources. Of the 50 articles considered for inclusion, 35 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.4.6. CONTINUOUS PASSIVE MOTION

Continuous passive motion (CPM) has been used to treat rotator cuff tendinopathies (235) (236) (237) (238) (239) (240) (241) (242) (243).

# CONTINUOUS PASSIVE MOTION FOR ROTATOR CUFF TENDINOPATHY AFTER ROTATOR CUFF REPAIR

### Recommended

Continuous passive motion is recommended for the treatment of rotator cuff tendinopathy after rotator cuff repair.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

### Indications

Post-operative rehabilitation, typically for not longer than approximately 2-4 weeks during which time ROM exercises are instituted and advanced.

### Benefits

Improved and earlier range of motion, theoretically reduced risk of adhesive capsulitis.

### Harms

Reliance on an appliance rather than functional exercise, which may delay recovery

### Frequency/Dose/Duration

2 hours/day was used in the one trial suggesting efficacy (Garofalo et al., 2010).

#### **Indications for Discontinuation**

Recovery, non-compliance, intolerance

#### Rationale

One trial found CPM was associated with early benefits in ROM and pain relief, although there were no long term benefits (Garofalo et al., 2010). One trial found CPM was not associated with better outcomes, rather better outcomes were associated with active rehabilitation (Lee et al., 2012). CPM has conflicting and sparse evidence regarding efficacy, is often used to help assure some ROM is performed and thus is recommended; however, institution of active exercises appears beneficial and is recommended to be emphasized.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Continuous Passive Motion; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 115 articles in PubMed, 1462 in Scopus, 14 in CINAHL,

32 in Cochrane Library, 601 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 0 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 2 from other sources. Of the 10 articles considered for inclusion, 4 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.5. HOT AND COLD THERAPIES**

# **4.6.5.1. CRYOTHERAPY**

Cold and heat may have actual therapeutic benefits to modify the disease processes (e.g., cold to allegedly reduce acute inflammation and swelling, and heat to speed healing through increased blood supply) (244) (245). However, others propose that these various modalities are distractants that apparently do not materially alter the clinical course (246). Still others postulate that the distractants allow increased activity levels. Thus, even though distractants might not directly modify the disease processes, this theory supports using these modalities through indirect mechanism(s) of action (247). Many patients with pain report a temporary soothing effect from the application of heat or the use of ice packs in the home setting.

Cryotherapy is used to treat rotator cuff tendinopathies (248) (249) (250) (251) (252) (253) (254). Cold or cryotherapies involve applications of cold or cooling devices to the skin. They have been used for treatment of non-operative pain and post-operative pain (255).

# HOME USE OF CRYOTHERAPIES FOR ACUTE, SUBACUTE, CHRONIC, OR PERI-OPERATIVE SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHIES

#### Recommended

Cryotherapies are recommended for home use for the temporary relief of acute, subacute, chronic, or peri-operative shoulder pain or rotator cuff tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Acute, subacute, chronic, or peri-operative shoulder pain or rotator cuff tendinopathies.

## Benefits

Potential modest reduction in shoulder pain. Self-efficacy, although relying on a passive modality.

#### Harms

Cold injuries. Time may be devoted to passive modality instead of active exercises.

## Frequency/Dose/Duration

Applications may be periodic or continuous. Applications should be home-based as there is no evidence for superiority of provider-based heat treatments. Primary emphasis should generally be on functional restoration program elements, rather than on passive treatments in patients with chronic pain. Education regarding home cryotherapy application should be part of the treatment plan if heat has been effective for reducing pain. Self-applications 15-20 minutes, 3-5 times/day is a typical regimen. There are no quality trials to address frequencies.

### **Indications for Discontinuation**

Non-tolerance, including exacerbation of shoulder pain.

### Rationale

One comparative trial found equivalent results for either cryotherapy or a therapist-applied shoulder glide (Srivastava et al., 2018). Another comparative trial found no differences between gradual loading of isometric lateral rotation and abduction exercise program and cryotherapy (Dupuis et al., 2018). A low-quality trial found cryotherapy improved pain and range of motion (Parle et al., 2017). There is one moderate-quality trial for post-operative treatment; however, there were no clinical results (Osbahr et al., 2002). Education regarding home cryotherapy application may be part of the treatment if cold is effective in reducing pain. Self applications of cryotherapies using towels or reusable devices are non-invasive, minimal cost, and without complications. Other forms of cryotherapy, including trademarked devices have no evidence of superiority and can be considerably more expensive, including chemicals or cryotherapeutic applications in clinical settings and are not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cryotherapy; ice, cold temperature, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 10 articles in PubMed, 264 in Scopus, 28,840 in CINAHL, 15 in Cochrane Library, 2,756 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 8 articles considered for inclusion, 6 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.5.2. HEAT THERAPY

Many forms of heat therapy have been used to treat musculoskeletal pain including hot packs, moist hot packs, sauna, warm baths, infrared, diathermy, and ultrasound. The depth of penetration of some

heating agents is minimal since transmission is via conduction or convection, but other modalities have deeper penetration (256). A particular methodological problem with most studies of heat therapy is that despite occasional attempts at, and claims of successful blinding, it is essentially impossible to blind the patient from these interventions as they produce noticeable, perceptible tissue warming. Not surprisingly, some of these heat-related modalities have been shown to reduce pain ratings more than placebo for low back pain patients (see Low Back Disorders). It is less clear whether there are meaningful, long-term benefits. Heat therapies are passive treatments. In chronic pain settings, use of heat should be minimized to self-treatments of flare-ups with primary emphasis on functional restoration elements (e.g., exercises).

# HEAT THERAPY FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHIES

### Recommended

Self-application of low-tech heat therapy is recommended for acute, subacute, chronic or postoperative shoulder pain.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Acute, subacute, chronic, or post-operative shoulder pain or rotator cuff tendinopathies.

### Benefits

Potential modest reduction in shoulder pain. Self-efficacy, although relying on a passive modality.

#### Harms

Heat injuries. Time may be devoted to passive modality instead of active exercises.

## Frequency/Dose/Duration

Applications may be periodic or continuous. Applications should be home-based as there is no evidence for superiority of provider-based heat treatments. Primary emphasis should generally be on functional restoration program elements, rather than on passive treatments in patients with chronic pain. Education regarding home heat application should be part of the treatment plan if heat has been effective for reducing pain. Self-applications 15-20 minutes, 3-5 times/day is a typical regimen. There are no quality trials to address frequencies.

## **Indications for Discontinuation**

Intolerance, increased pain, development of a burn, other adverse event.

#### Rationale

There is no quality evidence using typical self-applied forms of heat therapy. Self applications of heat using towels or reusable devices are non-invasive, minimal cost and without complications. Heat is not commonly used in acute situations (first few days); however, evidence suggests heat is effective for acute LBP (see Low Back Disorders). Thus, efficacy for acute pain is unclear. Other forms of heat can be considerably more expensive, including chemical applications in clinical settings and are not

recommended. There is one moderate quality study suggesting hyperthermia is superior to ultrasound for patients with supraspinatus tendinopathies in athletes, although that did not involve self-application of heat (Giombini et al., 2006).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: heat therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 84 articles in PubMed, 433 in Scopus, 22 in CINAHL, 4 in Cochrane Library, 546 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 2 article considered for inclusion, 2 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.5.3. DIATHERMY AND INFRARED THERAPY

There are many commercial modalities used to deliver heat; these generally differ on how deeply the heat is felt. None of these modalities other than ultrasound have demonstrated major efficacy for any disorder, however, there have been limited uses for treatment of specific disorder with a specific intervention (see Hand, Wrist, and Forearm Disorders, Elbow Disorders, Low Back Disorders, and Chronic Pain Guideline). Diathermy and infrared therapy have been used to treat rotator cuff tendinopathies (257) (258) (259) (260) (261).

## DIATHERMY FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of diathermy for the treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

One RCT found a lack of efficacy of microwave diathermy compared with placebo (Akyol et al., 2012). Another RCT suggested efficacy of short-wave diathermy (Yilmaz Kaysin et al., 2018). While they are not invasive and have low complication rates, diathermy and infrared therapy are moderate to high cost depending on the number of treatments. With conflicting evidence of efficacy, there is no recommendation for or against their use to treat shoulder pain.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Diathermy and Infrared Therapy; hyperthermia, heat, microwave, tecar therapy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 158 articles in PubMed, 607 in Scopus, 29 in CINAHL, 41 in Cochrane Library, 60 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 1 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 6 articles considered for inclusion, 4 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# INFRARED THERAPY FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### No Recommendation

There is no recommendation for or against the use of infrared therapy for the treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

One RCT found a lack of efficacy of microwave diathermy compared with placebo (Akyol et al., 2012). Another RCT suggested efficacy of short-wave diathermy (Yilmaz Kaysin et al., 2018). While they are not invasive and have low complication rates, diathermy and infrared therapy are moderate to high cost depending on the number of treatments. With conflicting evidence of efficacy, there is no recommendation for or against their use to treat shoulder pain.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Diathermy and Infrared Therapy; hyperthermia, heat, microwave, tecar therapy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 158 articles in PubMed, 607 in Scopus, 29 in CINAHL, 41 in Cochrane Library, 60 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 1 from Scopus, 0 from CINAHL, 1 from

Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 6 articles considered for inclusion, 4 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.5.4. ULTRASOUND

Ultrasound has been used for treatment of rotator cuff tendinitis and calcific tendinitis (262) (263) (264) (265) (266) (267) (268) (269) (270) (271) (272) (273) (274) (275) (276) (277) (278) (279) (280) (281). Ultrasound has also been used to guide needling (see separate recommendation on ultrasound-guided needling).

# ULTRASOUND FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Ultrasound is not recommended for the treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathies.

Strength of evidence Moderately Not Recommended, Evidence (B) Level of confidence Moderate

## Rationale

The largest, highest quality blinded sham-controlled study of shoulder soft tissue disorders found a lack of efficacy of ultrasound vs. sham (van der Heijden et al., 1997). Most of the other trials found no benefits compared to sham or other active treatments (Johansson et al., 2005). One moderate-quality trial found efficacy for treatment of patients with calcific tendinitis (Ebenbichler et al., 1999). Another moderate-quality trial with a much smaller sample size that combined ultrasound with acetic acid iontophoresis found a lack of efficacy (Perron et al., 1997). Ultrasound is not invasive, has low adverse effects, but is moderate to high cost depending on the number of treatments. It is recommended for treatment of calcific tendinitis as the highest quality, largest sample sized-study documents efficacy. However, it is not recommended for shoulder pain to include tendinopathies other than calcific tendinitis. As there is not clear documentation of efficacy for other than patients with calcific tendinitis. As there is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasound; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 423 articles in PubMed, 4207 in Scopus, 143 in CINAHL, 88 in

Cochrane Library, 1200 in Google Scholar, and 7 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 4 from Scopus, 2 from CINAHL, 2 from Cochrane Library, 1 from Google Scholar, and 7 from other sources. Of the 20 articles considered for inclusion, 7 randomized trials and 6 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **ULTRASOUND FOR CALCIFIC TENDINITIS**

### Recommended

Ultrasound is recommended for the treatment of calcific tendinitis. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

### Indications

Symptomatic calcific rotator cuff tendinitis.

### Benefits

Improved pain control

#### Harms

Negligible

## **Frequency/Dose/Duration**

Ultrasound (0.89MHz, 2.5W/cm2) up to 24, 15-minute sessions, daily for 5 weeks, then 3 a week for 3 weeks (Ebenbichler et al., 1999).

## Indications for Discontinuation

Intolerance, adverse effect or resolution of pain.

#### Rationale

One moderate-quality trial found efficacy for treatment of patients with calcific tendinitis (Ebenbichler et al., 1999), although a 10-year outcomes study found comparable rates of resolution of the calcium deposits (Pieber, 2018). Another RCT also suggested efficacy (Shomoto, 2002). Ultrasound is not invasive, has low adverse effects, but is moderate to high cost depending on the number of treatments. It is recommended for treatment of calcific tendinitis as the highest quality, largest sample sized-study documents efficacy. As there is evidence of efficacy, but not for home units, there is no recommendation for or against use of home ultrasound units.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: ultrasound, ultrasonography; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 423 articles in PubMed, 4207 in Scopus, 143 in CINAHL, 88 in Cochrane Library, 1200 in Google Scholar, and 7 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 4 from Scopus, 2 from CINAHL, 2 from Cochrane Library, 1 from Google Scholar, and 7 from other sources. Of the 20 articles considered for inclusion, 3 randomized trials and 6 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.5.5. LOW-LEVEL LASER THERAPY

Low-level laser treatment (LLLT) usually involves laser energy that does not induce significant heating. It is theorized that the mechanism of action is through photoactivation of the oxidative chain and has been used for treatment of rotator cuff tendinopathies (264) (282) (283) (284) (285) (286) (287) (288) (289) (290) (291) (292) (293) (294) (295) (296) (297).

# LOW-LEVEL LASER THERAPY FOR ACUTE, SUBACUTE OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Low-level laser therapy is not recommended for treatment of rotator cuff tendinopathies. **Strength of evidence** Moderately Not Recommended, Evidence (B) **Level of confidence** Moderate

#### Rationale

There are six sham-controlled trials, nearly all assessing additive benefit to exercise programs (England et al., 1989, Vecchio et al., 1993, Dogan et al., 2010, Abrisham et al., 2011, Bingol et al., 2005, Yeldan et al., 2009). Four of the six found no benefits of the laser (Vecchio et al., 1993, Dogan et al., 2010, Bingol et al., 2005, Yeldan et al., 2009). One of the two studies suggesting benefits only followed patients for two weeks (Abrisham et al., 2011), and was therefore insufficient for producing a guideline recommendation on efficacy for chronic pain conditions. One trial suggested comparable (in)efficacy between LLLT and US (Yavuz et al., 2014). Thus, the literature largely suggests LLLT is ineffective for shoulder pain. LLLT is not invasive, has few adverse effects, but is costly. As most data suggest a lack of efficacy, LLLT is not recommended for treatment of rotator cuff tendinopathies.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Low-level laser Therapy, laser therapies; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 39 articles in PubMed, 610 in Scopus, 26 in CINAHL, 14 in Cochrane Library, 118 in Google Scholar, and 8 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 8 from other sources. Of the 13 articles considered for inclusion, 11 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.6. MEDICATIONS

# 4.6.6.1. NSAIDS AND ACETAMINOPHEN

Nonsteroidal anti-inflammatory drugs (NSAIDs) have been widely used to treat shoulder pain, including tendinoses (298) (203) (299), as well as post-operative patients. Acetaminophen and paracetamol are sometimes utilized to treat shoulder pain, although their effects on cyclooxygenase activity are minimal, they are not considered to have significant anti-inflammatory properties and the overall evidence suggests NSAIDs have superior efficacy. Nonsteroidal anti-inflammatory drugs are used to treat pain, fever, and inflammation (300) (301) (302) (303) (304) (305) (306) (307) (308) (309) (310) (311) (312) (313) (314) (315) (316) (317) (318) (319) (320) (321).

## NSAIDS FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES AND SHOULDER PAIN

## Recommended

NSAIDs are recommended for treatment of acute, subacute, and chronic shoulder pain, including rotator cuff tendinopathies. Acetaminophen is a reasonable alternative, although evidence indicates it is modestly less efficacious.

Generally, generic ibuprofen, naproxen or other older generation NSAIDs are recommended as firstline medications. Second-line medications should generally include one of the other generic NSAIDs. Options for those at increased risk of gastrointestinal complications (especially a history of gastrointestinal bleeding or prior history of peptic ulcer disease) include COX-2 selective agents, proton pump inhibitors, high-dose misoprostol, and sucralfate. **Strength of evidence** Strongly Recommended, Evidence (A)

Level of confidence High

## Indications

For acute, subacute, or chronic shoulder pain including rotator cuff tendinopathies, NSAIDs are recommended for treatment (Berry et al., 1980, Adebajo et al., 1990, Petri et al., 1987, Mena et al., 1986). Over-the-counter (OTC) agents may suffice and may be tried first.

## Benefits

Modest reduction in shoulder pain and earlier recovery. Pain improvements without impairments other medications cause.

### Harms

Generally negligible in young healthy patients. Gastrointestinal bleeding, other bleeding, and possible delayed fracture healing. Possible elevated cardiovascular risks including myocardial infarction, especially for high-dose COX-2 inhibitors and NSAIDS that have a moderate-degree of COX inhibition such as with diclofenac. Renal failure may occur particularly in the elderly or those with otherwise compromised function.

### Frequency/Dose/Duration

See manufacturer's recommendations. Generally, in acute shoulder pain patients, scheduled dosage rather than as needed is preferable. As needed prescriptions may be reasonable for mild or moderate pain, while scheduled usage, rather than as-needed, for treatment of more severe pain especially if there is consideration for adjunctive treatment with muscle relaxants, opioids, or other potentially impairing medications. Once the patient moves to a supportive long-term care plan for chronic shoulder pain, the patient may revert to selective use for "flare ups," with some patients also using NSAIDs to maintain work status and function.

## Indications for Discontinuation

Resolution of shoulder pain, lack of efficacy, or development of adverse effects that necessitate discontinuation.

## Rationale

The literature base for the treatment of musculoskeletal disorders (MSDs) is long and deep (mostly low back pain and arthroses). Thus, most literature on the use of NSAIDs to treat shoulder disorders consists of comparable efficacy studies and some studies also include mixtures of patient diagnoses. Still, there are a few high- and moderate-quality RCTs including a placebo arm, all of which show efficacy of NSAIDs compared with placebo for treatment of rotator cuff tendinitis, shoulder pain and shoulder bursitis (Petri et al., 2004, Adebajo et al., 1990, Mena et al., 1986).

Nearly all of the comparable efficacy literature for NSAIDs reported equivalency (Bertin et al., 2003, Vidal et al., 2001, Smith et al., 1986). One high-quality study found equivalency between celecoxib and naproxen for the treatment of acute shoulder tendinitis or bursitis patients (Bertin et al., 2003). Another high-quality study found equivalency between piroxicam and meloxicam for treatment of acute rotator cuff tendinitis, impingement syndrome and bicipital tendinitis (Vidal et al., 2001). Multiple moderate-quality studies found equivalency between various NSAIDs for treatment of diagnoses that included shoulder tendinitis or bursitis (Petri et al., 2004, Petri et al., 1987, Smith et al., 1986, Lecomte et al., 1994, Hayes et al., 1984, Wober, 1999, Huskisson et al., 1983, Duke et al., 1981,

Rhind et al., 1982). One trial found equivalence for piroxicam and naproxen for the treatment of chronic shoulder pain (Smith et al., 1986). There is one trial that is the exception, reporting piroxicam superior to naproxen (McIlwain et al., 1988).

One RCT found higher retear rates among celecoxib treated patients compared with ibuprofen or tramadol (Oh et al., 2018), and speculated this may be due to a Cox-2 inhibitory effect. Multiple RCTs found superiority of glucocorticosteroid injection to NSAID (Adebajo et al., 1990, Petri et al., 1987), while one found equivalence (White et al., 1986).

There are several classes of NSAIDs: 1) salicylates [aspirin, diflunisal, salicyl salicylate (salsalate)], 2) arylalkanoic acids (diclofenac, etodolac, ketorolac, nabumetone, sulindac, tolmetin), 3) 2-arylpropionic acids (ibuprofen, fenoprofen, ketoprofen, naproxen), 4) n-arylanthranilic acids (mefenamic acid), 5) oxicams (piroxicam, meloxicam), 6) COX-2 inhibitors (celecoxib, rofecoxib, etoricoxib), and 7) sulphonanilides (nimesulide). Acetaminophen is considered an analgesic that is not an anti-inflammatory agent. Acetaminophen blocks the activation of COX by another enzyme, peroxidase. Tissues with high levels of peroxidase (i.e., platelets and immune cells) are "resistant" to acetaminophen, but tissues with low levels of peroxidase (i.e., nerve and endothelial cells that participate in pain and fever) are "sensitive" to acetaminophen (Boutaud et al., 2002).

There are two isoenzymes of cyclooxygenase, COX-1 and COX-2. NSAIDs are (non) selective to different degrees. COX-2 selective agents were designed to reduce inflammation while not increasing risks for gastrointestinal bleeding. It appears that certain COX-2 selective agents may increase the risk of cardiovascular events.

There is a dearth of trials comparing the various NSAIDs, and the doses used are at times submaximal in some of the comparative arms of the trials, raising problems with direct comparability to help guide specific NSAID selection.

Cardiovascular risks of NSAIDs are somewhat controversial (Antman et al., 2007). Most studies have suggested elevated risks with high-dose rofecoxib, few have shown elevated risks with ibuprofen or naproxen, and there is some evidence for increasing risks with greater degrees of COX-2 inhibition (McGettigan et al., 2011, McGettigan et al., 2006, Bombardier et al., 2000, Fosbol et al., 2010, Fosbol et al., 2010, Nussmeier et al., 2005, Ott et al., 2003, Trelle et al., 2011). The sequence of NSAIDs from lowest COX-2 to highest varies somewhat between studies but is reportedly: flurbiprofen, ketoprofen, fenoprofen, tolmetin, aspirin, oxaprozin, naproxen, indomethacin, ibuprofen, ketorolac, piroxicam, nabumetone, etodolac, celecoxib, meloxicam, mefenamic acid, diclofenac, rofecoxib and nimesulide (Feldman et al., 2000).

There are few quality studies of acetaminophen as a single agent, and none exclusively for shoulder pain. Most of the literature has been developed for treatment of low back pain (see Low Back Disorders Guideline) (Chou et al., 2007, Dahners et al., 2004, Jirarattanaphochai et al., 2008, Krismer et al., 2007, Kroenke et al., 2009, Kuijpers et al., 2011, Last et al., 2009, Machado et al., 2009, Machado et al., 2015) or osteoarthrosis (see Knee Disorders Guideline). Paracetamol, a close analog, has also been studied for LBP and osteoarthrosis and has some evidence of mild efficacy in most trials (Davies et al., 2008), although a review concluded it lacks efficacy (Machado et al., 2015). The direct evidence of efficacy from the two available studies suggests paracetamol is not quite as successful at alleviating pain as diflunisal (Hickey, 1982), mefenamic acid (Evans et al., 1980), indomethacin (Evans et al., 1980),

or aspirin (Evans et al., 1980). It also has relieved pain less successfully than the muscle relaxants orphenadrine (McGuinness, 1983) and parazolidin (McGuinness et al., 1969). It is interesting that paracetamol appears more effective in combination with orphenadrine than as a single agent (Valtonen, 1975). Thus, while the evidence suggests efficacy of acetaminophen and paracetamol, it appears these medications are modestly less efficacious than NSAIDs (although safer).

NSAIDs are not invasive, have low side effect profiles in a healthy working-age patient population, and when generic medications are used are low cost. The potential for NSAIDs to increase the risk of cardiovascular events needs to be carefully considered in high-risk patients and requires additional quality studies to fully address. There is substantial, quality evidence that COX-2 selective NSAIDs reduce the risk of adverse GI effects (Baraf et al., 2007, Bensen et al., 2000, Chan, 2005, FitzGerald et al., 2001, Bombardier et al., 2000). Additionally, the four commonly used cytoprotective classes of drugs are proton pump inhibitors, misoprostol, sucralfate, and double-dose histamine-type 2 receptor blockers (see Hip and Groin Disorders Guideline for details).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Nonsteroidal anti-inflammatory drugs (NSAID) ; COX-2 inhibitors, ketorolac, ibuprofen, dexketoprofen, celecoxib, parecoxib, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 185 articles in PubMed, 599 in Scopus, 31 in CINAHL, 42 in Cochrane Library, 46 in Google Scholar, and 23 from other sources<sup>+</sup>. We considered for inclusion 8 from PubMed, 7 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 23 from other sources. Of the 41 articles considered for inclusion, 33 randomized trials and 8 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## NSAIDS FOR TREATMENT OF PERIOPERATIVE OR POSTOPERATIVE SHOULDER PAIN

#### Recommended

NSAIDs are recommended for treatment of perioperative and postoperative shoulder pain, including rotator cuff tendinopathies. Acetaminophen is a reasonable alternative, although evidence indicates it is modestly less efficacious.

Generally, generic ibuprofen, naproxen or other older generation NSAIDs are recommended as firstline medications. Second-line medications should generally include one of the other generic NSAIDs. Options for those at increased risk of gastrointestinal complications (especially a history of gastrointestinal bleeding or prior history of peptic ulcer disease) include COX-2 selective agents, proton pump inhibitors, high-dose misoprostol, and sucralfate. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** High

### Indications

For perioperative and postoperative shoulder pain including rotator cuff tendinopathies, NSAIDs are recommended for treatment (Berry et al., 1980, Adebajo et al., 1990, Petri et al., 1987, Mena et al., 1986). Over-the-counter (OTC) agents may suffice and may be tried first.

## Benefits

Modest reduction in shoulder pain and earlier recovery. Pain improvements without impairments other medications cause.

### Harms

Generally negligible in young healthy patients. Gastrointestinal bleeding, other bleeding, and possible delayed fracture healing. Possible elevated cardiovascular risks including myocardial infarction, especially for high-dose COX-2 inhibitors and NSAIDS that have a moderate-degree of COX inhibition such as with diclofenac. Renal failure may occur particularly in the elderly or those with otherwise compromised function.

### Frequency/Dose/Duration

See manufacturer's recommendations. Generally, in acute shoulder pain patients, scheduled dosage rather than as needed is preferable. As needed prescriptions may be reasonable for mild or moderate pain, while scheduled usage, rather than as-needed, for treatment of more severe postoperative pain especially if there is consideration for adjunctive treatment with muscle relaxants, opioids, or other potentially impairing medications. Generally, treat post-operative patients for 2 to 8 weeks post-op unless complications occur.

#### **Indications for Discontinuation**

Resolution of shoulder pain, lack of efficacy, or development of adverse effects that necessitate discontinuation.

#### Rationale

The literature base for the treatment of musculoskeletal disorders (MSDs) is long and deep (mostly low back pain and arthroses). Thus, most literature on the use of NSAIDs to treat shoulder disorders consists of comparable efficacy studies and some studies also include mixtures of patient diagnoses. Still, there are a few high- and moderate-quality RCTs including a placebo arm, all of which show efficacy of NSAIDs compared with placebo for treatment of rotator cuff tendinitis, shoulder pain, and shoulder bursitis (Petri et al., 2004, Adebajo et al., 1990, Mena et al., 1986).

There are few trials of NSAIDS specifically for peri- and post-operative shoulder pain. Regarding postoperative studies, one trial found ketoprofen superior to placebo (Hoe-Hansen et al., 1999). There is a dearth of trials comparing the various NSAIDs, and the doses used are at times submaximal in some of the comparative arms of the trials, raising problems with direct comparability to help guide specific NSAID selection.

NSAIDs are not invasive, have low side effect profiles in a healthy working-age patient population, and when generic medications are used are low cost. The potential for NSAIDs to increase the risk of cardiovascular events needs to be carefully considered in high-risk patients and requires additional quality studies to fully address.

## NSAIDS FOR PATIENTS AT RISK FOR CARDIOVASCULAR ADVERSE EFFECTS

### Recommended

Patients with known cardiovascular disease or multiple risk factors for cardiovascular disease should have the risks and benefits of NSAID therapy for pain discussed. Some NSAIDs appear to have substantially different levels of cardiovascular risk. Aspirin is likely the lowest risk, is cardioprotective, and available OTC, although it causes increased risk of GI bleeding.

See Hip and Groin Disorders guideline for details. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# PROTON PUMP INHIBITORS WITH NSAIDS FOR PATIENTS AT RISK FOR GI ADVERSE EFFECTS

#### Recommended

Concomitant prescriptions of cytoprotective medications are recommended for patients at substantially increased risk for gastrointestinal bleeding.

See Hip and Groin Disorders guideline for details. **Strength of evidence** Strongly Recommended, Evidence (A) **Level of confidence** High

## MISOPROSTOL WITH NSAIDS FOR PATIENTS AT RISK FOR GI ADVERSE EFFECTS

### Recommended

Concomitant prescriptions of cytoprotective medications are recommended for patients at substantially increased risk for gastrointestinal bleeding. **Strength of evidence** Strongly Recommended, Evidence (A) **Level of confidence** High

## SUCRALFATE WITH NSAIDS FOR PATIENTS AT RISK FOR GI ADVERSE EFFECTS

### Recommended

Concomitant prescriptions of cytoprotective medications are recommended for patients at substantially increased risk for gastrointestinal bleeding. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

## H2 BLOCKERS WITH NSAIDS FOR PATIENTS AT RISK FOR GI ADVERSE EFFECTS

### Recommended

Concomitant prescriptions of cytoprotective medications are recommended for patients at substantially increased risk for gastrointestinal bleeding. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

## ACETAMINOPHEN FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN

### Recommended

Acetaminophen is recommended for acute, subacute, or chronic shoulder pain, particularly for those with contraindications for NSAIDs.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Shoulder pain, including acute, subacute, or chronic. Generally used as supplemental to other treatments and particularly among those with reasons to avoid NSAIDs.

### Benefits

Addresses shoulder pain without increased risk of cardiovascular event.

#### Harms

Less effective than NSAID. Hepatotoxicity, especially beyond 3.5g/day and/or with other liver disease(s).

## Frequency/Dose/Duration

See manufacturer's recommendations; may be utilized on an as-needed basis. It has been suggested that 1gm doses are more effective than 650mg doses particularly in post-operative patients (listed, 2009, McQuay et al., 2002). However, this level is now above the maximum dose recommended by an FDA advisory committee of 650mg. Evidence of hepatic toxicity has been reported at 4gms a day in a few days particularly among those consuming excessive alcohol.

## Indications for Discontinuation

Resolution of pain, adverse effects, intolerance.

#### Rationale

There are no quality trials of acetaminophen for treatment of non-surgical shoulder tendinitis or shoulder disorders. A low quality RCT found acetaminophen was inferior to ibuprofen for rotator cuff related pain and had more dropouts the acetaminophen group (AlRuthia et al., 2019).

Acetaminophen is considered an analgesic that is not anti-inflammatory. Acetaminophen blocks the activation of COX by another enzyme, peroxidase. Tissues with high levels of peroxidase (i.e., platelets

and immune cells) are "resistant" to acetaminophen, but tissues with low levels of peroxidase (i.e., nerve and endothelial cells that participate in pain and fever) are "sensitive" to acetaminophen (Boutaud et al., 2002). The direct evidence of efficacy from the two available studies suggests paracetamol is not quite as successful at alleviating LBP as diflunisal (Hickey, 1982), mefenamic acid (Evans et al., 1980), indomethacin (Evans et al., 1980), or aspirin (Evans et al., 1980). There is one trial suggesting it is more efficacious than physiotherapy and manipulation (Doran et al., 1975), and worse than electroacupuncture (Hackett et al., 1988). Acetaminophen was worse than chlorzoxazone (Vernon, 1972) and was inferior to diflunisal even when combined with codeine (Brown et al., 1986).

There are quality trials of other MSDs, which document efficacy for acetaminophen, but inferiority to NSAIDs for treatment of pain (see Low Back Disorders guideline). Thus, by analogy, there is evidence of efficacy for acetaminophen, it has low adverse effects in employed populations, and it is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acetaminophen, paracetamol; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 38 articles in PubMed, 1810 in Scopus, 20 in CINAHL, 20 in Cochrane Library, 148 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 2 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ACETAMINOPHEN FOR PERIOPERATIVE OR POSTOPERATIVE SHOULDER PAIN

## Recommended

Acetaminophen is recommended for post-operative shoulder pain, particularly for those with contraindications for NSAIDs. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** High

## Indications

Postoperative shoulder pain. Generally used as supplemental to other treatments and particularly among those with reasons to avoid NSAIDs.

## Benefits

Addresses shoulder pain without increased risk of cardiovascular event.

## Harms

Less effective than NSAID. Hepatotoxicity, especially beyond 3.5g/day and/or with other liver disease(s).

### Frequency/Dose/Duration

See manufacturer's recommendations; may be utilized on an as-needed basis. It has been suggested that 1gm doses are more effective than 650mg doses particularly in post-operative patients (listed, 2009, McQuay et al., 2002). However, this level is now above the maximum dose recommended by an FDA advisory committee of 650mg. Evidence of hepatic toxicity has been reported at 4gms a day in a few days particularly among those consuming excessive alcohol.

### Indications for Discontinuation

Resolution of pain, adverse effects, intolerance.

### Rationale

There are no quality trials of acetaminophen for treatment of non-surgical shoulder tendinitis or shoulder disorders. A low quality RCT found acetaminophen was inferior to ibuprofen for rotator cuff related pain and had more dropouts the acetaminophen group (AlRuthia et al., 2019).

Regarding post-operative use, one moderate quality RCT found acetaminophen 1g every 6 hours the day before shoulder surgery and 1g every 8 hours for days 2-5 postoperatively to be superior pain control compared with (i) oxycodone 5mg every 6 hours as needed and/or acetaminophen 1g every 6 hours as needed and (ii) oxycodone 5mg every 6 hours as needed without any acetaminophen (Singh et al., 2021).

There are quality trials of other MSDs, which document efficacy for acetaminophen, but inferiority to NSAIDs for treatment of pain (see the Low Back Disorders guideline). Thus, by analogy, there is evidence of efficacy for acetaminophen, it has low adverse effects in employed populations, and it is recommended.

## **4.6.6.2. ANTIDEPRESSANTS**

# NOREPINEPHRINE REUPTAKE INHIBITING ANTI-DEPRESSANTS FOR SUBACUTE OR CHRONIC SHOULDER GIRDLE PAIN, INCLUDING MYOFASCIAL PAIN SYNDROME AND SELECT CASES OF ROTATOR CUFF TENDINOPATHY

#### Recommended

Norepinephrine reuptake inhibiting anti-depressants (including tricyclic antidepressants and SNRIs) are recommended for subacute or chronic shoulder pain and myofascial pain syndrome (see Chronic Pain Guideline), and a reasonable option for select rotator cuff tendinopathy patients. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Subacute and chronic shoulder pain and myofascial pain; may be particularly helpful if there is nocturnal sleep disruption, mild dysthymia, which may allow for nocturnal dosing of a mildly sedating TCA. May be helpful for select rotator cuff tendinopathy patients especially with moderate to severe pain that is ongoing, and/or sleep disrupting.

## Benefits

Improvements in shoulder pain. May improve sleep quality.

### Harms

Daytime somnolence, interference with work, dry mouth, cardiac risks, and other adverse effects.

### Frequency/Dose/Duration

Low dose at night, gradually increased (e.g., amitriptyline 25mg QHS, increase by 25mg each week) until a sub-maximal or maximal dose achieved, sufficient effects are achieved, or adverse effects occur. Lower doses (e.g., amitriptyline, 25 to 75mg a day) avoid adverse effects and the necessity of blood level monitoring, particularly as there is no evidence of increased pain relief at higher doses. Imipramine is less sedating, thus if carryover daytime sedation, it may be a better option. If patient cannot sleep, amitriptyline is the recommended initial medication in this class. Duration for patients with subacute and chronic shoulder pain may be indefinite, although most of these patients do not require indefinite treatment, particularly if they are compliant with elements of a functional restoration program.

## **Indications for Discontinuation**

Resolution of pain, intolerance, development of adverse effects.

## Rationale

There are no quality studies evaluating these agents for rotator cuff tendinopathies. However, there are multiple placebo-controlled trials evaluating efficacy of anti-depressants for treatment of low back pain, with nearly all studies evaluating chronic pain (see Low Back Disorders Guideline). Some included patients with depression while some specifically sought to exclude those with depression. Effects appear to differ by class of agent, with norepinephrine reuptake inhibition appearing important.

Norepinephrine reuptake inhibitor anti-depressants are not invasive, have low to moderate dosedependent adverse effects at low doses, and are not costly in their generic formulations. The degree to which depression or dysthymia is present may suggest earlier use of these medications. Discussions with mental health professionals may be helpful, particularly when mental health conditions are more severe. Norepinephrine reuptake inhibiting anti-depressants are recommended for treatment of chronic shoulder pain, and selectively recommended for those in whom NSAIDs are either ineffective or not indicated, yet have need of a non-addicting medication to potentially assist with sleep in the acute to subacute phases.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Norepinephrine Reuptake Inhibitors; serotonin and noradrenaline reuptake inhibitors, tricyclic antidepressants rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 180 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 26 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SELECTIVE SEROTONIN REUPTAKE INHIBITORS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHIES

### **Not Recommended**

Selective serotonin reuptake inhibitors (SSRIs) are not recommended for treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Rationale

Norepinephrine reuptake inhibiting anti-depressants (e.g., amitriptyline, doxepin, imipramine, desipramine, nortriptyline, protriptyline, maprotiline, and clomipramine) and mixed norepinephrine and serotonin inhibitors (venlafaxine, bupropion, and duloxetine) have evidence of efficacy for treatment of chronic low back pain and some other chronic pain conditions (see Low Back Disorders). However, SSRIs have evidence of efficacy for fibromyalgia (see guideline), but quality evidence shows SSRIs are ineffective for typical nociceptive pain (see Low Back Disorders and Chronic Pain Guideline). While there is no quality evidence evaluating these medications for treatment of shoulder pain, SSRIs appear unlikely to be effective for typical nociceptive pain such as rotator cuff tendinopathies and thus are not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Selective Serotonin Reuptake Inhibitor; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 304 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 61 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for

inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.6.3. ANTICONVULSANTS

Anticonvulsant agents have been utilized off-label for treating some chronic pain syndromes since the 1960s (322), particularly neuropathic pain (323). Anti-convulsants are thought to have analgesic properties. Several have been used to manage chronic pain conditions including carbamazepine, valproic acid, gabapentin, phenytoin, clonazepam, lamotrigine, tiagabine, pregabalin, topiramate, levetiracetam, oxcarbazepine, and zonisamide (see Chronic Pain Guideline). Anticonvulsant agents have been used to treat and prevent rotator cuff tendinopathies (324).

# ANTI-CONVULSANTS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHIES

### Not Recommended

Anti-convulsants including topiramate, gabapentin, or pregabalin are not recommended for treatment of acute, subacute, or chronic shoulder pain including rotator cuff tendinopathies. Gabapentin is separately reviewed for perioperative use.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There are no quality studies assessing the use of anti-convulsant agents for patients with shoulder pain. By analogy, there is quality evidence that gabapentin is ineffective (see Low Back Disorders), and thus these medications are not recommended for treatment of shoulder pain and rotator cuff tendinopathies. However, there is quality evidence that gabapentin reduces need for opioids when administered as part of perioperative surgery patients' pain management and thus perioperative gabapentin use may be helpful for its opioids-sparing potential (Bang et al., 2010, Pandey et al., 2004, Pandey et al., 2005, Radhakrishnan et al., 2005, Turan et al., 2004).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Anticonvulsant Agents; anticonvulsants, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 12 articles in PubMed, 294 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 5 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google

Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Gabapentin; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 2486 in Scopus, 4 in CINAHL, 10 in Cochrane Library, 33 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 3 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **GABAPENTIN FOR PERIOPERATIVE TREATMENT OF ROTATOR CUFF TENDINOPATHIES**

### No Recommendation

There is no recommendation for gabapentin for perioperative treatment of rotator cuff tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is conflicting quality evidence regarding whether gabapentin improves outcomes and/or reduces need for opioids when administered as part of perioperative shoulder surgery patients' pain management and thus there is no recommendation regarding perioperative use of gabapentin (Bang et al., 2010, Spence et al., 2011). Spence reported no beneficial adjunctive effect in addition to an interscalene block (Spence et al., 2011). A beneficial effect has been described for other types of surgery elsewhere (Pandey et al., 2005, Pandey et al., 2004, Radhakrishnan et al., 2005, Turan et al., 2004).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Anticonvulsant Agents; anticonvulsants, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 12 articles in PubMed, 294 in Scopus, 0 in

CINAHL, 0 in Cochrane Library, 5 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Gabapentin; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 2486 in Scopus, 4 in CINAHL, 10 in Cochrane Library, 33 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 3 articles considered for inclusion, 2 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.6.4. **OPIOIDS**

See the ACOEM Opioids Guideline for recommendations and evidence.

## **4.6.6.5. SKELETAL MUSCLE RELAXANTS**

Skeletal muscle relaxants comprise a diverse set of pharmaceuticals designed to produce muscle relaxation through different mechanisms of action, generally considered to be effects on the central nervous system (CNS) and not on skeletal muscle (325) (326). These medications are widely used in primary care to treat painful conditions, most prominently spine pain (327) (328) (329) (330) (331) (332) (333), muscle spasms (334), and myalgias. They are sometimes used to treat shoulder disorders, but are generally not indicated for chronic shoulder pain (335) (336).

# MUSCLE RELAXANTS FOR ACUTE OR SUBACUTE SHOULDER PAIN INCLUDING ROTATOR CUFF TENDINOPATHIES WITH SIGNIFICANT MUSCLE SPASM

#### Recommended

Muscle relaxants are selectively recommended for acute or subacute, moderate to severe shoulder pain including rotator cuff tendinopathies from muscle spasm that is unrelieved by NSAIDs, avoidance of exacerbating exposures, or other measures.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Indications

Moderate to severe acute and subacute shoulder pain with significant muscle spasm. This includes rotator cuff tendinopathies and post-operative use.

### Benefits

Modest reduction in pain compared with placebo anticipated based on analogies to spine pain.

### Harms

Sedation, daytime fatigue. Modest potential for abuse. Risk for safety including motor vehicle crash and other injuries.

## Frequency/Dose/Duration

Initial dose in evening (not during workdays or if patient operates a motor vehicle, though daytime use acceptable if minimal CNS-sedating effects). If significant daytime somnolence results, particularly if it interferes with performance of conditioning exercises and other components of the rehabilitation process or treatment plan, discontinue or prescribe a reduced dose. Duration for exacerbations of chronic pain is limited to a couple weeks. Longer term treatment is generally not indicated.

### **Indications for Discontinuation**

Resolution of pain, non-tolerance, significant sedating effects that carry over into the daytime, other adverse effects.

## Rationale

There are no quality studies of these agents for treatment of patients with shoulder pain. Skeletal muscle relaxants have been evaluated in quality studies evaluating chronic back and neck [638-640], although there are far more studies of acute LBP (see Chronic Pain, Low Back Disorders, and Cervical and Thoracic Spine Guideline) (Salzmann et al., 1992). The quality of the studies comparing these agents to placebo are likely overstated due to the unblinding that would be inherent in taking a drug with substantial CNS-sedating effects. The adverse effect profile is concerning (Lofland et al., 2001), with CNS-sedation rates ranging from approximately 25 to 50% and a low, but definite, risk of abuse (Littrell et al., 1993, Toth et al., 2004). Thus, prescriptions for skeletal muscle relaxants for daytime use should be carefully weighed against the need to drive vehicles, operate machinery, or otherwise engage in occupations where mistakes in judgment may have serious consequences (e.g., crane operators, air traffic controllers, operators of motorized vehicles, construction workers, etc.). Skeletal muscle relaxants have beneficial uses, particularly for nocturnal administration to normalize sleep patterns disrupted by skeletal muscle pain, as well as for daytime use among the few patients who do not suffer from CNS depressant effects and are low cost if generic medications are prescribed. Skeletal muscle relaxants are not recommended for continuous management of subacute or chronic shoulder pain, although they may be reasonable options for select acute pain exacerbations or for a limited trial as a third- or fourth-line agent in more severely affected patients in whom NSAIDs and exercise have failed to control symptoms. Also not recommended for mild pain as other treatments are typically effective with lower adverse effects. Carisoprodol is not recommended due to its abuse potential.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Skeletal Muscle Relaxants; muscle relaxants, neuromuscular agents, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 31 articles in PubMed, 614 in Scopus, 1 in CINAHL, 0 in Cochrane Library, 28 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.6.6. SYSTEMIC GLUCOCORTICOSTEROIDS

Systemic glucocorticosteroids have been used for the treatment of rotator cuff tendinopathies (337) (338). Glucocorticosteroid injections are reviewed separately.

# ORAL GLUCOCORTICOSTEROIDS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Oral glucocorticosteroids are not recommended for treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathies.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is quality evidence that glucocorticosteroids injected in the subacromial space are effective for treatment of rotator cuff tendinopathies. However, there are no quality placebo-controlled trials of oral glucocorticoids. There is one moderate quality trial that compared subacromial injection with intramuscular, with some outcomes suggesting injections are superior and no outcomes suggesting intramuscular administrations are superior (Ekeberg et al., 2009). Oral glucocorticoids have significant adverse effects, and without evidence of efficacy, they are not recommended for treatment of acute, subacute, or chronic shoulder pain including rotator cuff tendinopathies.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Systemic Glucocorticosteroids; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective,

prospective studies. We found and reviewed 0 articles in PubMed, 18 in Scopus, 31 in CINAHL, 1 in Cochrane Library, 413 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 2 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 4 articles considered for inclusion, 1 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **4.6.6.7. TOPICAL MEDICATIONS AND LIDOCAINE PATCHES**

Topical medications include patches, capsaicin and sports creams, NSAIDs, wheatgrass cream, dimethyl sulfoxide (DMSO), N-acetylcysteine (NAC), and eutectic mixture of local anesthetics (EMLA). Topical glyceryl trinitrate has been utilized for treatment of rotator cuff disease (339). Capsaicin is applied to the skin as a cream or ointment. Possible mechanisms for pain reduction include distraction by stimulating other nerve endings or killing afferent sensory nerve fibers that subsequently regenerate. Rado-Salil ointment is a proprietary formulation of 14 agents, the two most common are menthol (55.1%) and methylsalicylate (26.5%). There are many other commercial products that similarly cause a warm or cool feeling in the skin. All of these agents are thought to work through a counter-irritant mechanism (i.e., feel the dermal sensation rather than the pain). Topical NSAIDs have been used to treat many different MSDs, including arthritis, lateral epicondylitis, and other tendinoses (340) (341). Many different NSAIDs are compounded, including ibuprofen, naproxen, ketoprofen, piroxicam, and diclofenac.

Capsicum creams are frequently categorized as an herbal, topical treatment used for pain management (342). Topical NSAIDS have been used for pain management (343) (344). Topical glyceryl trinitrate has been used to treat or prevent rotator cuff tendinopathies (345) (339) (346). Topical lidocaine patches have been used to treat pain from rotator cuff tendinopathies (347) (348) (349) (350) (351) (352) (353). Eutectic mixture of local anesthetics provides treatment for patients with rotator cuff tendinopathy (354). Topical creams have been used to treat rotator cuff tendinopathies (339) (345) (355) (346) (356) (357).

# CAPSICUM CREAMS FOR ACUTE, SUBACUTE, CHRONIC SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Capsicum is not recommended for treatment of shoulder pain or rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality studies of capsicum for treatment of rotator cuff tendinopathies. The target tissue

is deep, resulting in difficulty of the medication reaching target tissue and thus capsicum is not recommended for treatment of rotator cuff tendinopathies.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Capsicum Creams; capsaicin, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 927 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 25 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TOPICAL NSAIDS (INCLUDING DICLOFENAC EPOLAMINE) FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Topical NSAIDs, including diclofenac epolamine, are not recommended for rotator cuff tendinopathy or other shoulder pain as the target tissue is likely too deep to be treated topically. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies of topical NSAIDs to guide efficacy, the target tissue is deep resulting in doubt regarding successful penetration to the target tissue, and thus topic NSAIDs are not recommended for treatment of rotator cuff tendinopathies. There is moderate quality evidence that diclofenac epolamine modestly accelerates clearing of a hematoma on a limb (Klainguti et al., 2010, Hoffmann et al., 2012), resulting in potential highly selective use of that relatively costly treatment. However, in general, there is not a need to treat most hematomas as they are self-resolving.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Topical NSAIDS; anti-inflammatory agents, non-steroidal, administration, topical, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized, random

systematic, systematic review, retrospective, prospective studies. We found and reviewed 116 articles in PubMed, 445 in Scopus, 7 in CINAHL, 0 in Cochrane Library, 27 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 1 article considered for inclusion, 1 randomized control trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## TOPICAL GLYCERYL TRINITRATE FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Topical glyceryl trinitrate is not recommended for rotator cuff tendinopathy or other shoulder pain as the target tissue is likely too deep to be treated topically. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality studies of size to guide efficacy for any of these agents. However, there are some quality studies suggesting short- to intermediate-term benefits for some of these agents for more superficial tissues (see Chronic Pain Guideline, Elbow Disorders, Hand, Wrist, and Forearm Disorders). These agents, when demonstrated to have efficacy, appear weakly effective. They might cause deleterious effects if used long-term. Topical applications of anesthetic agents such as lidocaine over large areas are thought to carry significant risk of potentially fatal adverse effects (FDA, 2009). As there is no quality evidence of efficacy and the target tissue is deep, these topical agents are not recommended for treatment of shoulder pain and rotator cuff tendinopathy.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Topical Glyceryl Trinitrate; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 8 articles in PubMed, 306 in Scopus, 3 in CINAHL, 3 in Cochrane Library, 39 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 4 articles considered for inclusion, 1 randomized trial and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## LIDOCAINE PATCHES FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Lidocaine patches are not recommended for rotator cuff tendinopathy or other shoulder pain as the target tissue is likely too deep to be treated topically. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies of size to guide efficacy for any of these agents. However, there are some quality studies suggesting short- to intermediate-term benefits for some of these agents for more superficial tissues (see Chronic Pain Guideline, Elbow Disorders, Hand, Wrist, and Forearm Disorders). These agents, when demonstrated to have efficacy, appear weakly effective. They might cause deleterious effects if used long-term. Topical applications of anesthetic agents such as lidocaine over large areas are thought to carry significant risk of potentially fatal adverse effects (FDA, 2009). As there is no quality evidence of efficacy and the target tissue is deep, these topical agents are not recommended for treatment of shoulder pain and rotator cuff tendinopathy.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Lidocaine Patches; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1827 articles in PubMed, 143 in Scopus, 26 in CINAHL, 60 in Cochrane Library, 113 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 7 articles considered for inclusion, 1 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# EUTECTIC MIXTURE OF LOCAL ANESTHETICS (EMLA) FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Eutectic mixture of local anesthetics (EMLA) is not recommended for rotator cuff tendinopathy or other shoulder pain as the target tissue is likely too deep to be treated topically. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There are no quality studies of size to guide efficacy for any of these agents. However, there are some quality studies suggesting short- to intermediate-term benefits for some of these agents for more superficial tissues (see Chronic Pain Guideline, Elbow Disorders, Hand, Wrist, and Forearm Disorders). These agents, when demonstrated to have efficacy, appear weakly effective. They might cause deleterious effects if used long-term. Topical applications of anesthetic agents such as lidocaine over large areas are thought to carry significant risk of potentially fatal adverse effects (FDA, 2009). As there is no quality evidence of efficacy and the target tissue is deep, these topical agents are not recommended for treatment of shoulder pain and rotator cuff tendinopathy.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Eutectic Mixture of Local Anesthetics; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 article in PubMed, 26 in Scopus, 1 in CINAHL, 1 in Cochrane Library, 13 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **OTHER CREAMS/OINTMENTS FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY**

#### Not Recommended

Other creams/ointments are not recommended for rotator cuff tendinopathy or other shoulder pain as the target tissue is likely too deep to be treated topically. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality studies of size to guide efficacy for any of these agents. However, there are some quality studies suggesting short- to intermediate-term benefits for some of these agents for more superficial tissues (see Chronic Pain Guideline, Elbow Disorders, Hand, Wrist, and Forearm Disorders). These agents, when demonstrated to have efficacy, appear weakly effective. They might cause deleterious effects if used long-term. Topical applications of anesthetic agents such as lidocaine over large areas are thought to carry significant risk of potentially fatal adverse effects (FDA, 2009). As there is no quality evidence of efficacy and the target tissue is deep, these topical agents are not recommended for treatment of shoulder pain and rotator cuff tendinopathy.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Agropyron, Dimethyl Sulfoxide, Acetylcysteine, glyceryl trinitrate; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 19 articles in PubMed, 198 in Scopus, 13 in CINAHL, 7 in Cochrane Library, 53 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 5 from PubMed, 0 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 1 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.6.8. OTHER MEDICATIONS

Omega-3 polyunsaturated fatty acids has been used to treat rotator cuff tendinopathies (358) (359) (360). Statins have been used to prevent and treat rotator cuff tendinopathy (360) (361) (362) (363).

## **OMEGA-3-POLYUNSATURATED FATTY ACIDS FOR ROTATOR CUFF TENDINOPATHY**

#### Not Recommended

Omega-3-polyunsaturated fatty acids are not recommended to treat rotator cuff tendinopathy. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

#### Rationale

One high-quality RCT suggests a lack of efficacy (Sandford et al., 2018). Thus, omega-3-polyunsaturated fatty acids are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Omega-3-polyunsaturated fatty acids; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 109 in Scopus, 5 in CINAHL, 0 in Cochrane Library, 10 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google

Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 2 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## STATINS FOR ROTATOR CUFF TENDINOPATHY

#### No Recommendation

There is no recommendation for or against the use of statins to treat rotator cuff tendinopathy. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials of statins for treatment of rotator cuff tendinopathy, although there is evidence that lipid disorders and cardiovascular disease risk factors are risk factors for rotator cuff tendinopathies. Because there is no quality evidence of efficacy specifically for the treatment of rotator cuff tendinopathies, there is no recommendation regarding this indication.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Statins or Hydroxymethylglutaryl-CoA Reductase Inhibitors; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 6 articles in PubMed, 203 in Scopus, 6 in CINAHL, 0 in Cochrane Library, 4 in Google Scholar, and 0 from other sources<sup>†</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **4.6.7. DEVICES**

## **4.6.7.1. SLINGS, BRACES, AND SHOULDER SUPPORTS**

Slings, braces, and shoulder supports have been used to help stabilize the shoulder and rotator cuff during treatment (364) (365) (366) (367) (368) (369) (370) (371) (372). Immobilization has been used

to promote healing in rotator cuff tendinopathies after surgical intervention (373) (374) (375) (376) (377) (378) (379).

# SLINGS, BRACES, AND SHOULDER SUPPORTS FOR ACUTE SEVERE SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

### Sometimes Recommended

Slings and shoulder supports are selectively recommended for use only for acute severe pain when the appliance is used to briefly rest the shoulder and then promptly but gradually advance the activity level. This includes brief, post-operative use of slings and braces. Slings are not recommended for use in subacute or chronic pain. Longer use may be selectively indicated for significant trauma and post-operatively, although a range-of-motion program is still generally indicated. **Strength of evidence** Recommended, Insufficient Evidence (I)

Level of confidence Low

#### Indications

Acute severe shoulder pain, either traumatic or atraumatic, particularly where the appliance is utilized as part of a plan to briefly rest the shoulder and promptly, gradually increase activity level. Non-operative patients are recommended to have a ROM exercise program instituted in nearly all circumstances. Severe trauma may require more extended use, although concomitant range of motion exercises are still generally indicated. Post-operative use is also an indication and timing of, and institution of concomitant exercises is to be guided by the treating surgeon with longer use sometimes indicated; however importantly, one RCT has suggested improved outcomes if rehabilitation of a small- to medium-sized tear is accomplished without use of a sling (Tirefort et al., 2019).

## Benefits

Short-term improvement in pain

#### Harms

Delayed recovery, development of adhesive capsulitis and debility.

#### **Indications for Discontinuation**

Generally should be weaned off within 3-5 days, especially for non-operative patients. Postoperatively, evidence suggests 3 weeks in a simple sling is not inferior to 6 weeks in a mobilization brace with assessments out to 12 months (Jenssen et al., 2018), and there is evidence that immobilization results in worse functional outcomes at 6 months (Tirefort et al., 2019), resulting in some indications to advance exercises earlier rather than later.

## Rationale

There are no quality studies for acute shoulder pain. There are several RCTs of post-arthroscopic surgery for either small- or medium-sized rotator cuff tears or impingement syndrome. One trial found no differences between 3 weeks in a sling and 6 weeks in a brace for small- to medium-sized rotator cuff tears (Jenssen et al., 2018). Another trial found no differences between early mobilization for 6 weeks with PRN sling use compared with 6 weeks in a sling without active shoulder ROM (Sheps et al., 2019). Another trial suggested that an abduction brace was not superior to the anti-rotation sling after arthroscopic cuff repair (Hollman et al., 2017). Another trial found no additive value of sling use for 4

weeks after arthroscopic repair of small to medium sized tears when added to passive mobilization of the shoulder for 4 weeks and then progressive mobilization (Tirefort et al., 2019).

Thus, there is not quality evidence that supports use of a sling for either acute pain or post-operative pain. Theoretically, short-term use of slings and supports may help with short-term pain reductions. However, they come with considerable increased risks of adhesive capsulitis, delayed recovery and increased debility. Thus, slings and supports are selectively recommended for only acute severe shoulder pain and short-term post-operative use. Range-of-motion exercises are generally advised (e.g., pendulum, wall-walks) during the time when using the sling. Generally wean off the support use within 1-2 weeks.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Slings, Braces, Shoulder Supports; orthotic devices, orthoses, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 64 articles in PubMed, 566 in Scopus, 29428 in CINAHL, 43 in Cochrane Library, 391 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 2 from Scopus, 0 from CINAHL, 2 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 11 articles considered for inclusion, 7 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Post- Operative Immobilization; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 48 articles in PubMed, 1491 in Scopus, 29203 in CINAHL, 7 in Cochrane Library, 593 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 5 articles considered for inclusion, 1 randomized trial and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SLINGS AND SHOULDER SUPPORTS FOR SUBACUTE SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Slings and shoulder supports are not recommended for either subacute or chronic shoulder pain or for mild to moderate acute pain.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is one moderate-quality trial of a sling for treatment of disabling impingement syndrome, but it failed to find evidence of efficacy (Walther et al., 2004). As there is no evidence of efficacy in chronic pain patients, and there are considerable adverse effects, slings and supports are not indicated in subacute or chronic shoulder pain patients.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Slings, Braces, Shoulder Supports; orthotic devices, orthoses, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 64 articles in PubMed, 566 in Scopus, 29428 in CINAHL, 43 in Cochrane Library, 391 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 2 from Scopus, 0 from CINAHL, 2 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 11 articles considered for inclusion, 7 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Post- Operative Immobilization; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 48 articles in PubMed, 1491 in Scopus, 29203 in CINAHL, 7 in Cochrane Library, 593 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion

4 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 5 articles considered for inclusion, 1 randomized trial and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SLINGS OR SHOULDER SUPPORTS FOR CHRONIC SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

## Not Recommended

Slings and shoulder supports are not recommended for either subacute or chronic shoulder pain or for mild to moderate acute pain.

Strength of evidence Not Recommended, Evidence (C) Level of confidence Moderate

#### Rationale

There is one moderate-quality trial of a sling for treatment of disabling impingement syndrome, but it failed to find evidence of efficacy (Walther et al., 2004). As there is no evidence of efficacy in chronic pain patients, and there are considerable adverse effects, slings and supports are not indicated in subacute or chronic shoulder pain patients.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Slings, Braces, Shoulder Supports; orthotic devices, orthoses, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 64 articles in PubMed, 566 in Scopus, 29428 in CINAHL, 43 in Cochrane Library, 391 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 2 from Scopus, 0 from CINAHL, 2 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 11 articles considered for inclusion, 7 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Post- Operative Immobilization; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 48 articles in PubMed, 1491 in Scopus, 29203 in CINAHL, 7 in Cochrane Library, 593 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 5 articles considered for inclusion, 1 randomized trial and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.7.2. TAPING AND KINESIOTAPING

Taping (non-elastic, thick tape) and kinesiotaping (elastic, thinner tape) are used on the extremities, particularly in sports settings, as well as the shoulder (380) (381) (382) (383) (384) (385) (386) (387). Taping (white athletic taping, cotton mesh adhesive tape often over gauze) is intended to stabilize and support, but restrict ROM, and thus is used for purported treatment and preventive purposes (388) (389) (390) (391). It is often utilized immediately prior to an activity and then removed, or the cotton mesh may be applied and removed after hours of use. Kinesiotaping has also been used for treatment, including pain relief; however, it is intended to allow full ROM in contrast with traditional taping (384) (385) (390) (392) (393) (394) (395) (396) (397) (398). Kinesiotaping is proprietary; proponents believe the tape should be applied in specific patterns and may or may not be stretched depending on the injury. Regardless, all types of taping are utilized to attempt to treat musculoskeletal disorders. Difficulty with tolerating the various types of tape may be problematic for some patients.

## TAPING OR KINESIOTAPING FOR SHOULDER PAIN AND ROTATOR CUFF TENDINOPATHY

## **Not Recommended**

Taping or kinesiotaping is not recommended for treatment of shoulder pain and rotator cuff tendinopathy. **Strength of evidence** Not Recommended, Evidence (C)

Level of confidence Low

## Rationale

Two sham-controlled trials found lack of efficacy (Kang et al., 2019, Kocyigit et al., 2016). A postoperative, sham-controlled trial also found lack of efficacy (Reynard et al., 2018). One high-quality, but very short-term, trial of kinesiotaping for treatment of shoulder pain failed to show improvements in pain (Thelen et al., 2008). A moderate-quality study reported the results were related to expectations and psychological conditioning (Analay Akbaba et al., 2018). Taping and kinesiotaping have sham-controlled evidence of lack of efficacy and thus they are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Taping and Kinesiotaping; athletic tape, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 41 articles in PubMed, 112 in Scopus, 38869 in CINAHL, 27 in Cochrane Library, 91 in Google Scholar, and 4 from other sources<sup>†</sup>. We considered for inclusion 10 from PubMed, 1 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 4 from other sources. Of the 23 articles considered for inclusion, 18 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.7.3. MAGNETS AND MAGNETIC STIMULATION

High-intensity magnetic stimulation purportedly causes depolarization of nerves and has been found to result in an antinociceptive effect in rats (399). Electromagnetic fields have been known to increase osteoblastic activity. Therefore, proponents believe magnetic fields have therapeutic value in the treatment of musculoskeletal disorders. Magnetic field therapies have been used to treat various rotator cuff tendinopathies (400) (401) (402) (403).

# MAGNETS AND MAGNETIC STIMULATION FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHY

#### Not Recommended

Magnets and magnetic stimulation are not recommended for the treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathy. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

One trial assessing additive value of EMTT to EWST reported evidence of efficacy (Klüter et al., 2018). However, another sham-controlled trial reported a lack of efficacy (de Freitas et al., 2014). A third trial found evidence pulsed electromagnetic fields had efficacy (Binder et al., 1984). There also is quality evidence for lack of efficacy of magnets in treatment of low back pain (Collacott et al., 2000). Magnets and magnetic fields are not invasive and have no adverse effects; they are low to high cost depending on the type of treatment. Because the data substantially conflict and the underlying theory is problematic, they are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnets, Magnetic Therapy, Magnetic Field Therapies; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 15 articles in PubMed, 246 in Scopus, 7 in CINAHL, 1 in Cochrane Library, 483 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 3 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **4.6.7.4. VIBRATION**

Vibration has been used to treat rotator cuff tendinopathies (404) (405) (406) (407) (408) (409).

## VIBRATION FOR ROTATOR CUFF TENDINOPATHY

## Not Recommended

Vibration is not recommended for the treatment of rotator cuff tendinopathy. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

## Rationale

The only moderate-quality RCTs assessing vibration suggest a lack of efficacy (Lam et al., 2015, Hand et al., 2009), and thus vibration is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Vibration; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 19 articles in PubMed, 2460 in Scopus, 13 in CINAHL, 460 in Cochrane Library, 117 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 4 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 2 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.8. ELECTRICAL THERAPIES**

# **4.6.8.1. INTERFERENTIAL THERAPY**

There are multiple forms of electrical therapies used to treat musculoskeletal pain. These include highvoltage galvanic, H-wave<sup>®</sup> Device Stimulation, interferential therapy (IFT or IT), iontophoresis, microcurrent, percutaneous electrical nerve stimulation (PENS), sympathetic electrotherapy, and transcutaneous electrical stimulation (TENS). The mechanism(s) of action, if any, are unclear.

# INTERFERENTIAL THERAPY FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### **Not Recommended**

Interferential therapy is not recommended for treatment of rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

## Rationale

There are moderate-quality study suggesting interferential therapy is ineffective for treating rotator cuff tendinopathies (Gunay Ucurum et al., 2018, Gomes et al., 2018, Van der Heijden et al., 1999), two of which suggested a lack of additive benefit (Gunay Ucurum et al., 2018, Gomes et al., 2018). Only one RCT suggested efficacy (Montes-Molina et al., 2012). Thus, the overall literature base suggests a lack of efficacy and interferential is not recommended for treatment of shoulder pain or rotator cuff tendinopathies.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Interferential Therapy, IFC, Electrical Stimulation; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 25 articles in PubMed, 200 in Scopus, 13 in CINAHL, 20 in Cochrane Library, 84 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 5 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 10 articles considered for inclusion, 5 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.8.2. EXTRACORPOREAL SHOCKWAVE THERAPY

Extracorporeal shockwave therapy (ESWT) has been utilized for treatment of shoulder tendinitis (227) (429) (521), (1533) but has been particularly used for calcific tendinitis (521) (1534) (1535) (1536) (1537) (1538) (1539) (1540) (1541). Calcific tendinitis should be diagnosed with imaging for confirmation of presence of calcium. However, there have been some challenges noted in interpreting studies of efficacy including amount of energy delivered, method of focusing shock waves, treatment frequency, timing, and use of anesthetics (1537) (1542) (402) (456) (1543) (1544) (1545) (1546) (1547) (1548) (1550) (1551) (1551) (1552) (1553) (1554) (1555) (1556) (1557) (1558) (1559) (1560) (1561) (1562).

## EXTRACORPOREAL SHOCKWAVE THERAPY FOR CHRONIC SHOULDER PAIN OR NON-CALCIFIC ROTATOR CUFF TENDINITIS

#### Not Recommended

Extracorporeal shockwave therapy is not recommended for treatment of acute, subacute, or chronic non-calcific rotator cuff tendinitis.

Strength of evidence Moderately Not Recommended, Evidence (B) Level of confidence Moderate

## Rationale

There are five moderate-quality trials evaluating efficacy of ESWT for treatment of patients with chronic, non-calcific tendinitis or impingement syndrome (Kvalvaag, 2017, Schmitt et al., 2001, Speed et al., 2002, Schofer et al., 2009, Galasso et al., 2012, Engebretsen et al., 2011). Five of the six studies suggest a lack of efficacy (Kvalvaag, 2017, Schmitt et al., 2001, Speed, 2004, Schofer et al., 2009, Engebretsen et al., 2011), while two smaller studies have suggested efficacy (Santamato A, 2016, Galasso et al., 2012). There are other treatments reviewed elsewhere with documented efficacy for treatment of these patients. ESWT is minimally invasive as often performed with an injected anesthetic, has some adverse effects, is moderate to high cost depending on numbers of treatments, and appears ineffective. Thus, with evidence of inefficacy accruing, it is not recommended for treatment of non-calcific rotator cuff tendinitis.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Extracorporeal Shockwave Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 14 articles in PubMed, 592 in Scopus, 28957 in CINAHL, 62 in Cochrane Library, 338 in Google Scholar, and 29 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 5 from Scopus, 0 from CINAHL, 5 from Cochrane Library, 3 from Google

Scholar, and 29 from other sources. Of the 46 articles considered for inclusion, 33 randomized trials and 13 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# EXTRACORPOREAL SHOCKWAVE THERAPY FOR ACUTE OR SUBACUTE SHOULDER PAIN OR NON-CALCIFIC ROTATOR CUFF TENDINITIS

#### **Not Recommended**

Extracorporeal shockwave therapy is not recommended for treatment of acute, subacute, or chronic non-calcific rotator cuff tendinitis. **Strength of evidence** Not Recommended, Insufficient Evidence (I)

Level of confidence Moderate

#### Rationale

There are five moderate-quality trials evaluating efficacy of ESWT for treatment of patients with chronic, non-calcific tendinitis or impingement syndrome (Kvalvaag, 2017, Schmitt et al., 2001, Speed et al., 2002, Schofer et al., 2009, Galasso et al., 2012, Engebretsen et al., 2011). Five of the six studies suggest a lack of efficacy (Kvalvaag, 2017, Schmitt et al., 2001, Speed, 2004, Schofer et al., 2009, Engebretsen et al., 2011), while two smaller studies have suggested efficacy (Santamato A, 2016, Galasso et al., 2012). There are other treatments reviewed elsewhere with documented efficacy for treatment of these patients. ESWT is minimally invasive as often performed with an injected anesthetic, has some adverse effects, is moderate to high cost depending on numbers of treatments, and appears ineffective. Thus, with evidence of inefficacy accruing, it is not recommended for treatment of non-calcific rotator cuff tendinitis.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Extracorporeal Shockwave Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 14 articles in PubMed, 592 in Scopus, 28957 in CINAHL, 62 in Cochrane Library, 338 in Google Scholar, and 29 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 5 from Scopus, 0 from CINAHL, 5 from Cochrane Library, 3 from Google Scholar, and 29 from other sources. Of the 46 articles considered for inclusion, 33 randomized trials and 13 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## EXTRACORPOREAL SHOCKWAVE THERAPY FOR CALCIFIC ROTATOR CUFF TENDINITIS

#### Recommended

Extracorporeal shockwave therapy is strongly recommended for treatment of chronic calcific rotator cuff tendinitis.

Strength of evidence Strongly Recommended, Evidence (A) Level of confidence High

## Indications

Symptomatic calcific rotator cuff tendinitis that has been diagnosed with imaging. Patients should have failed at least 3 months of time with symptoms without resolution as well as failed physical or occupational therapy with both active and passive exercises, NSAIDs, and glucocorticosteroid injection(s) (Gerdesmeyer et al., 2003, Peters et al., 2004, Albert et al., 2007, Hsu et al., 2008, Hearnden, 2009, Pleiner et al., 2004, Cacchio, 2006, Sabeti et al., 2007). Evidence also suggests ultrasound-guided needling appears superior to EWST for calcium deposit resolution (Louwerens et al., 2020, Kim et al., 2014, Del Castillo et al., 2016), and 2 of the 3 studies also suggest it is also superior for pain and/or function (Kim et al., 2014, Del Castillo et al., 2016). Thus, there is consideration for that procedure prior to consideration of ESWT. Should a patient fail those interventions ahead of 3-6 months, then ESWT may be an option.

## Benefits

Improved pain, function, and disappearance of calcium deposits.

## Harms

Short-term pain.

## Frequency/Dose/Duration

Treatment frequency and duration patterns varied in quality studies. These ranged from a single session (Hearnden, 2009, Sabeti et al., 2007, Krasny et al., 2005) to a second session in 1 week (Haake et al., 2002) to weekly sessions for 4 weeks (Cacchio, 2006) to an average of 4 sessions every 6 weeks over 6 months (Peters et al., 2004). Most commonly and including the highest quality studies, patients were treated with 2 sessions that were approximately 14 days apart. (Gerdesmeyer et al., 2003, Albert et al., 2007, Hsu et al., 2008, Pleiner et al., 2004, Pan et al., 2003). Thus, up to 2 sessions, approximately 2 weeks apart are recommended. Energy levels with documented success varied as well, ranging from 0.28 to 0.55 mJ/mm2 in the most successful quality sham-controlled trials (Gerdesmeyer et al., 2003, Peters et al., 2004, Albert et al., 2007, Hsu et al., 2004, Name-controlled trials (Gerdesmeyer et al., 2003, Peters et al., 2004, Albert et al., 2007, Hsu et al., 2008, Hearnden, 2009, Pleiner et al., 2004). There is evidence that low energy levels such as 0.15 mJ/mm2 are less effective (Peters et al., 2004). Thus, while an optimal dose is unclear, the recommended dose ranges from 0.28 to 0.55 mJ/mm2. There is evidence that positioning of the arm in hyperextension and internal rotation is superior (Tornese et al., 2011). There is quality evidence the focus should be on the calcium deposits and not the tendon insertion (Haake et al., 2002). Some protocols combined this therapy with an exercise program.

## Indications for Discontinuation

Resolution, intolerance, non-compliance.

### Rationale

There are three high-quality (Gerdesmeyer et al., 2003, Peters et al., 2004, Cacchio, 2006) and seven moderate-quality trials (Albert et al., 2007, Hsu et al., 2008, Hearnden, 2009, Pleiner et al., 2004, Sabeti et al., 2007, Kolk et al., 2013, Ioppolo et al., 2012) comparing extracorporeal shockwave therapy with either sham or low energy for treatment of chronic calcific tendinitis. The quality literature nearly uniformly supports efficacy of ESWT for treatment of calcific tendinitis whether measured by pain, function, or disappearance of calcium deposits on x-rays (Gerdesmeyer et al., 2003, Peters et al., 2004, Albert et al., 2007, Hsu et al., 2008, Hearnden, 2009, Pleiner et al., 2004, Cacchio, 2006, Sabeti et al., 2007). There also is evidence of efficacy compared with treatment with TENS (Pan et al., 2003). There is a low-quality study suggesting that surgical extirpation of calcium deposits is equally effective compared with ESWT (Rompe et al., 2001). Needling is sometimes used as an adjunct, has some evidence of efficacy, and is reviewed elsewhere (Krasny et al., 2005). There are no RCTs comparing ESWT with ultrasound-guided needling, which makes a direct comparison and recommendation between these treatments difficult (Louwerens et al., 2014). ESWT is minimally invasive (Louwerens et al., 2014), as it is often performed with an injected anesthetic, has some adverse effects, is moderate to high cost depending on the number of treatments yet is quite effective. Thus, it is strongly recommended for treatment of calcific rotator cuff tendinitis.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Extracorporeal Shockwave Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 14 articles in PubMed, 592 in Scopus, 28957 in CINAHL, 62 in Cochrane Library, 338 in Google Scholar, and 29 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 5 from Scopus, 0 from CINAHL, 5 from Cochrane Library, 3 from Google Scholar, and 29 from other sources. Of the 46 articles considered for inclusion, 33 randomized trials and 13 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.8.3. PULSED ELECTROMAGNETIC FIELD THERAPY

Pulsed electromagnetic field therapy (PEMF) is used to treat rotator cuff tendinopathies (257) (400) (401) (402)(410) (411) (412) (413) (403) (414) (260).

PULSED ELECTROMAGNETIC FIELD FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### Not Recommended

Pulsed electromagnetic field (PEMF) is moderately not recommended for treatment of acute, subacute, or chronic shoulder pain or rotator cuff tendinopathies. **Strength of evidence** Moderately Not Recommended, Evidence (B) **Level of confidence** Moderate

## Rationale

There are two quality studies of PEMF suggesting lack of benefit, which include a high-quality shamcontrolled trial (Aktas I, 2007). Thus, treatment with pulsed electromagnetic fields is not recommended for treatment of rotator cuff tendinopathies.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Pulsed Electromagnetic Field Therapy, Pulsed Electromagnetic Field, PEFT, Low Field Magnetic Stimulation; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 20 articles in PubMed, 188 in Scopus, 11 in CINAHL, 16 in Cochrane Library, 75 in Google Scholar, and 7 from other sources<sup>+</sup>. We considered for inclusion 3 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 7 from other sources. Of the 11 articles considered for inclusion, 3 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.8.4. ELECTRICAL MUSCLE STIMULATION

Neuromuscular electrical stimulation has been used to treat rotator cuff tendinopathy (415).

## ELECTRICAL MUSCLE STIMULATION (EMS) FOR ROTATOR CUFF TENDINOPATHY

#### No Recommendation

There is no recommendation for electrical muscle stimulation for treatment of rotator cuff tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There are no quality trials and thus there is no recommendation for electrical muscle stimulation.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Electrical Muscle Stimulation, Neuromuscular Electrical Stimulation; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 54 articles in PubMed, 5,702 in Scopus, 178 in CINAHL, 14 in Cochrane Library, 233 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.8.5. TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION

Transcutaneous electrical nerve stimulation (TENS) has been used to treat rotator cuff injuries (248) (249) (250) (251) (252) (253) (416) (417) (418) (419) (420) (421) (422) (423).

# TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES

#### Sometimes Recommended

Transcutaneous electrical nerve stimulation (TENS) is selectively recommended for chronic rotator cuff tendinopathies and post-operative use as an adjunct for pain control. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Moderate to severe and chronic rotator cuff tendinopathies that have been insufficiently addressed by exercises, NSAIDs, and injection. Post-operative use has been suggested to reduce pain and opioids consumption (Mahure et al., 2017).

## Benefits

Improved pain control. Reduced post-operative opioids use.

## Harms

Negligible. Possible externalization and medicalization away from an active rehabilitation program.

## Frequency/Dose/Duration

Use 3-5 times/day is typical.

## **Indications for Discontinuation**

Sufficient recovery, intolerance, and non-compliance.

## Rationale

A post-operative sham-controlled study suggested TENS reduced pain and opioids use compared with sham (Mahure et al., 2017). A comparative trial suggested superiority of electroacupuncture to TENS (Yoshimizu, 2012). One RCT found transcutaneous pulsed radiofrequency (TPRF) superior to TENS (Lin et al., 2019). One RCT suggested potential evidence of efficacy based on functional MRI but no clinical outcomes (Kocyigit, 2012). TENS is non-invasive, has low adverse effects, but is moderate to high cost when examined in aggregate. As there is evidence suggesting efficacy, particularly in the post-operative setting, TENS is selectively recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Transcutaneous Electrical Stimulation, TENS; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 54 articles in PubMed, 662 in Scopus, 88 in CINAHL, 32 in Cochrane Library, 246 in Google Scholar, and 6 from other sources<sup>+</sup>. We considered for inclusion 7 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 6 from other sources. Of the 15 articles considered for inclusion, 8 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.8.6. MICROCURRENT

Microcurrent has been used to treat rotator cuff tendinopathies (424) (425).

## **MICROCURRENT FOR TREATMENT OF ROTATOR CUFF TENDINOPATHIES**

## **Sometimes Recommended**

Microcurrent is selectively recommended for chronic rotator cuff tendinopathies and post-operative use.

Strength of evidence Recommended, Insufficient Evidence (I)

#### Level of confidence Low

### Indications

Moderate to severe and chronic rotator cuff tendinopathies that have been insufficiently addressed by exercises, NSAIDs, and injections.

## Benefits

Improved pain control.

#### Harms

Negligible. Possible externalization and medicalization away from an active rehabilitation program.

#### Frequency/Dose/Duration

Approximately 1-3 appointments per week in therapy up to 4-6 weeks. Subsequent batches of 4-6 appointments should be based on incremental functional gain.

#### **Indications for Discontinuation**

Sufficient recovery, intolerance, and non-compliance.

#### Rationale

One RCT suggested efficacy (Atya, 2012) and a second suggested comparable efficacy with TENS (Vrouva, 2019). Microcurrent is non-invasive, has low adverse effects, but is moderate to high cost when examined in aggregate. As there is evidence suggesting efficacy, microcurrent is selectively recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Microcurrent; microcurrent therapy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 154 in Scopus, 2 in CINAHL, 2 in Cochrane Library, 10 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.8.7. OTHER ELECTRICAL STIMULATION THERAPIES

Iontophoresis was used to treat rotator cuff tendinopathies (426) (427). H-wave<sup>®</sup> Device Stimulation has been used to treat rotator cuff tendinopathies (428) (429) (430). High-voltage galvanic stimulation provides pain relief and facilitates in wound healing by the use of high driving voltage up to 500 volts (431) (432). Percutaneous electrical nerve stimulation (PENS) is an analgesic modality used to treat conditions such as low back pain and diabetic neuropathy (433) (434) (435).

# HIGH-VOLTAGE GALVANIC STIMULATION FOR THE TREATMENT OF ROTATOR CUFF TENDINOPATHIES

## No Recommendation

There is no recommendation for or against the use of other electrical therapies outside of research settings for treatment of rotator cuff tendinopathies, including high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation (PENS), and sympathetic electrotherapy.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

One trial of H-wave<sup>®</sup> Device Stimulation with invasive electrodes in post-operative rotator cuff tendinopathy patients suggested some modest range-of-motion benefits, but applicability to surface electrodes or to other patients is unknown and further large scale studies were recommended (Blum et al., 2009). The two available RCTs regarding iontophoresis do not clearly suggest efficacy (García, 2016, Leduc, 2003). There are no quality studies for any of the other electrical therapies in occupational populations with rotator cuff tendinopathies. These therapies are mostly non-invasive with low adverse effects, but are moderate to high cost when examined in aggregate. There is no recommendation for or against use of these therapies (high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation, and sympathetic electrotherapy). There are alternate treatments that are effective.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: High-Voltage Galvanic Stimulation; high-voltage galvanic pulsed stimulation, high-voltage galvanism, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 32 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 38 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# H-WAVE® DEVICE STIMULATION FOR THE TREATMENT OF ROTATOR CUFF TENDINOPATHIES

#### No Recommendation

There is no recommendation for or against the use of other electrical therapies outside of research settings for treatment of rotator cuff tendinopathies, including high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation (PENS), and sympathetic electrotherapy.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

One trial of H-wave<sup>®</sup> Device Stimulation with invasive electrodes in post-operative rotator cuff tendinopathy patients suggested some modest range-of-motion benefits, but applicability to surface electrodes or to other patients is unknown and further large scale studies were recommended (Blum et al., 2009). The two available RCTs regarding iontophoresis do not clearly suggest efficacy (García, 2016, Leduc, 2003). There are no quality studies for any of the other electrical therapies in occupational populations with rotator cuff tendinopathies. These therapies are mostly non-invasive with low adverse effects, but are moderate to high cost when examined in aggregate. There is no recommendation for or against use of these therapies (high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation, and sympathetic electrotherapy). There are alternate treatments that are effective.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms H-Wave Stimulation, H-Wave, HWSD; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 7 in Scopus, 2 in CINAHL, 1 in Cochrane Library, 4 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 3 articles considered for inclusion, 1 randomized trial and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## IONTOPHORESIS FOR THE TREATMENT OF ROTATOR CUFF TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of other electrical therapies outside of research settings for treatment of rotator cuff tendinopathies, including high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation (PENS), and sympathetic electrotherapy.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

One trial of H-wave<sup>®</sup> Device Stimulation with invasive electrodes in post-operative rotator cuff tendinopathy patients suggested some modest range-of-motion benefits, but applicability to surface electrodes or to other patients is unknown and further large scale studies were recommended (Blum et al., 2009). The two available RCTs regarding iontophoresis do not clearly suggest efficacy (García, 2016, Leduc, 2003). There are no quality studies for any of the other electrical therapies in occupational populations with rotator cuff tendinopathies. These therapies are mostly non-invasive with low adverse effects, but are moderate to high cost when examined in aggregate. There is no recommendation for or against use of these therapies (high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation, and sympathetic electrotherapy). There are alternate treatments that are effective.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Iontophoresis; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 15 articles in PubMed, 659 in Scopus, 8 in CINAHL, 2 in Cochrane Library, 176 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 2 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR THE TREATMENT OF ROTATOR CUFF TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of other electrical therapies outside of research settings for treatment of rotator cuff tendinopathies, including high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation (PENS), and sympathetic electrotherapy.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

One trial of H-wave<sup>®</sup> Device Stimulation with invasive electrodes in post-operative rotator cuff tendinopathy patients suggested some modest range-of-motion benefits, but applicability to surface electrodes or to other patients is unknown and further large scale studies were recommended (Blum et al., 2009). The two available RCTs regarding iontophoresis do not clearly suggest efficacy (García, 2016, Leduc, 2003). There are no quality studies for any of the other electrical therapies in occupational populations with rotator cuff tendinopathies. These therapies are mostly non-invasive with low adverse effects, but are moderate to high cost when examined in aggregate. There is no recommendation for or against use of these therapies (high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation, and sympathetic electrotherapy). There are alternate treatments that are effective.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Percutaneous Electrical Nerve Stimulation; PENS, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 58 articles in PubMed, 870 in Scopus, 35 in CINAHL, 0 in Cochrane Library, 3 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Sympathetic Electrotherapy, Sympathetic Therapy, Sympathetic Electrical Stimulation Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 6 articles in PubMed, 36 in Scopus, 51 in CINAHL, 5 in Cochrane Library, 222 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from

Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SYMPATHETIC ELECTROTHERAPY FOR THE TREATMENT OF ROTATOR CUFF TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of other electrical therapies outside of research settings for treatment of rotator cuff tendinopathies, including high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation (PENS), and sympathetic electrotherapy.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

One trial of H-wave<sup>®</sup> Device Stimulation with invasive electrodes in post-operative rotator cuff tendinopathy patients suggested some modest range-of-motion benefits, but applicability to surface electrodes or to other patients is unknown and further large scale studies were recommended (Blum et al., 2009). The two available RCTs regarding iontophoresis do not clearly suggest efficacy (García, 2016, Leduc, 2003). There are no quality studies for any of the other electrical therapies in occupational populations with rotator cuff tendinopathies. These therapies are mostly non-invasive with low adverse effects, but are moderate to high cost when examined in aggregate. There is no recommendation for or against use of these therapies (high-voltage galvanic, H-wave<sup>®</sup> Device Stimulation, iontophoresis, percutaneous electrical nerve stimulation, and sympathetic electrotherapy). There are alternate treatments that are effective.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Sympathetic Electrotherapy, Sympathetic Therapy, Sympathetic Electrical Stimulation Therapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 6 articles in PubMed, 36 in Scopus, 51 in CINAHL, 5 in Cochrane Library, 222 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.9. INJECTION THERAPIES**

# 4.6.9.1. SUBACROMIAL KETOROLAC INJECTIONS

SUBACROMIAL KETOROLAC INJECTIONS FOR ROTATOR CUFF TENDINOPATHIES

## Recommended

Subacromial ketalorac injections are moderately recommended for treatment of acute, subacute and chronic rotator cuff tendinopathies (including rotator cuff tendinoses, supraspinatus tendinitis, impingement syndrome, and subacromial bursitis).

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence Moderate

### Indications

Moderate to severe pain from rotator cuff tendinopathies that control with NSAID(s) or acetaminophen is unsatisfactory (Adebajo et al., 1990, Petri et al., 1987, Blair et al., 1996, Akgun et al., 2004, Plafki et al., 2000).

#### Benefits

Improved or resolved pain. When combined with anesthetic (e.g., bupivacaine), these injections also have significant diagnostic benefit if evaluated a few minutes after the injection.

## Harms

Negligible other than potential for an allergic reaction and/or risks typical of any injection.

## Frequency/Dose/Duration

Trials have used ketorolac 30mg and 60mg. Most have used co-administration of an anesthetic medication, which is advised to help assist with both accuracy of placement (anesthesia) and diagnosis (post-anesthetic findings). A second injection after waiting at least 2 weeks may be reasonable if the response is suboptimal or the subacromial space was felt to have not been accessed, though it would be appropriate to consider a different technique or imaging. [868].

#### **Indications for Discontinuation**

If there has not been a response to a first injection, there is generally less indication for a second, particularly if there was anesthesia achieved with the injection, but no durable improvement in pain and function. If there is reason to believe the medication was not well placed (e.g., no post-injection anesthesia from the anesthetic) and/or if the underlying condition is so severe that one injection could not be expected to adequately treat the condition yet there is unlikely to be a complete rotator cuff tear, a second injection may be indicated. The (first or) second injection may be performed under ultrasound guidance for increased accuracy, if available, as there is some evidence suggesting superior placement with ultrasound guidance (Naredo et al., 2004, Chen et al., 2006, Ucuncu et al., 2009). Yet,

while ultrasound has been used in some studies (de Witte et al., 2013, Ekeberg et al., 2009, Plafki et al., 2000, Chavez-Lopez et al., 2009), there is little evidence to suggest outcomes superiority associated with using ultrasound for administration. Additional injections are also not generally indicated if the plan is for surgery (Puzzitiello et al., 2020).

## Rationale

There are multiple moderate-quality trials that compared subacromial glucocorticosteroid which suggest equivalency with glucocorticosteroid injection without an adverse effects profile which for steroids may include worsening operative prognoses (Min et al., 2013, Goyal, 2022, Kim, 2021, Abolhasani, 2019, Siddique, 2021, Taheri, 2017, Akgun et al., 2004). Ketorolac injections are minimally invasive, have low adverse effects, are moderate costs and have evidence of efficacy and thus are recommended for treatment of rotator cuff tendinopathy.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Subacromial Glucocorticosteroid Injections; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 148 articles in PubMed, 2140 in Scopus, 65 in CINAHL, 63 in Cochrane Library, 159 in Google Scholar, and 37 from other sources<sup>†</sup>. We considered for inclusion Ofrom PubMed, 0 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 37 from other sources. Of the 40 articles considered for inclusion, 39 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.9.2. SUBACROMIAL GLUCOCORTICOSTEROID INJECTIONS

Several types of glucocorticoid injections have been used to treat patients with rotator cuff tendinopathies. Viscosupplementation, prolotherapy, growth hormone, and botulinum injections have also been utilized.

Glucocorticosteroids are widely used for treatment of rotator cuff-related disorders (298) (436) (437) (438) (439) (440) (441) (442). These injections deliver medication to the subacromial bursa, rotator cuff and surrounding tissue with minimal systemic effects (298) (436) (437) (443).

# SUBACROMIAL GLUCOCORTICOSTEROID INJECTIONS FOR ACUTE, SUBACUTE, OR CHRONIC ROTATOR CUFF TENDINOPATHIES

## Recommended

Subacromial glucocorticosteroid injections are moderately recommended for treatment of acute, subacute and chronic rotator cuff tendinopathies (including rotator cuff tendinoses, supraspinatus tendinitis, impingement syndrome, and subacromial bursitis). **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

### Indications

Moderate to severe pain from rotator cuff tendinopathies that control with NSAID(s) or acetaminophen is unsatisfactory (Adebajo et al., 1990, Petri et al., 1987, Blair et al., 1996, Akgun et al., 2004, Plafki et al., 2000). Generally, subacromial ketorolac is preferred due to lower adverse effects profile and data suggesting at least equivalency (see Subacromial Ketorolac Injections).

Caution is indicated among those who are thought to need surgery as injection has been associated with approximate doubling of the risk of infection and failure of rotator cuff repair (Puzzitiello et al., 2020).

#### Benefits

Improved or resolved pain

#### Harms

Steroid flare after an injection; rare infection. Potential for worse results if surgery is subsequently performed (Puzzitiello et al., 2020).

## Frequency/Dose/Duration

Single injection should be scheduled and results evaluated, rather than scheduling a series of injections. A second injection after waiting at least 2 weeks may be reasonable if the response is suboptimal or the subacromial space was felt to have not been accessed, though it would be appropriate to consider a different technique or imaging (Naredo et al., 2004). Sometimes these injections are performed without glucocorticosteroid for diagnostic purposes (Mair et al., 2004). In most cases, glucocorticoid is added to local anesthetic for diagnostic confirmation and treatment with 1 injection. Multiple doses have been utilized with only one head-to-head comparative trial that suggested no differences (Chavez-Lopez et al., 2009). Medication doses used in the successful RCTs included triamcinolone 40mg to 80mg (Blair et al., 1996, Adebajo et al., 1990, Petri et al., 1987, White et al., 1986), betamethasone 6mg (Alvarez et al., 2005), and methylprednisolone 40mg to 80mg (Withrington et al., 1985, McInerney et al., 2003). It appears important that the negative trials tended to utilize smaller doses of steroid, such as triamcinolone 20mg (Ekeberg et al., 2009) or methylprednisolone 40mg (Vecchio et al., 1993). Nearly all combined the corticosteroid with variable doses of anesthetic, generally ranging from 2 to 10mL of lidocaine or bupivacaine (see evidence table). There are no head-to-head comparisons in quality studies of different medications to ascertain the optimum medication(s) or doses.

## Indications for Discontinuation

A second glucocorticosteroid injection is not recommended if the first injection has resulted in significant reduction or resolution of symptoms. If there has not been a response to a first injection, there is generally less indication for a second, particularly if there was anesthesia achieved with the

injection, but no durable improvement in pain and function which may result in a consideration for surgery. If there is reason to believe the medication was not well placed (e.g., no post-injection anesthesia from the anesthetic) and/or if the underlying condition is so severe that one steroid bolus could not be expected to adequately treat the condition, a second injection may be indicated. The (first or) second injection may be performed under ultrasound guidance for increased accuracy, if available, as there is some evidence suggesting superior placement with ultrasound guidance (Naredo et al., 2004, Chen et al., 2006, Ucuncu et al., 2009). Yet, while ultrasound has been used in some studies (de Witte et al., 2013, Ekeberg et al., 2009, Plafki et al., 2000, Chavez-Lopez et al., 2009), there is little evidence to suggest outcomes superiority associated with using ultrasound for administration. Additional injections are also not generally indicated if the plan is for surgery (Puzzitiello et al., 2020).

## Rationale

There are two high- and seven moderate-quality trials that compared subacromial glucocorticosteroid injection with saline of anesthetic placebos (Adebajo et al., 1990, Petri et al., 1987, Blair et al., 1996, Akgun et al., 2004, Plafki et al., 2000, Alvarez et al., 2005, Withrington et al., 1985, McInerney et al., 2003, Vecchio et al., 1993). Patients assessed included acute (Adebajo et al., 1990, Petri et al., 1997, McInerney et al., 2003), subacute (Adebajo et al., 1990, Petri et al., 1987, Blair et al., 1987, McInerney et al., 2003), subacute (Adebajo et al., 1990, Petri et al., 1987, Blair et al., 1996, Withrington et al., 1996, Withrington et al., 1996, Akgun et al., 2004, Plafki et al., 2000, Alvarez et al., 2005, Withrington et al., 1987, Blair et al., 1996, Akgun et al., 2004, Plafki et al., 2000, Alvarez et al., 2005, Withrington et al., 1985). All patient groups appeared to benefit without a clear pattern of response based on duration of symptoms with one exception. One trial of acute post-traumatic pain did not find benefit from these injections (McInerney et al., 2003), likely reflecting the excellent natural recovery from acute traumatic pain.

Most, but not all, studies showed benefits. It may not be coincidental that the high-quality study that was negative also utilized the lowest dose of 20mg triamcinolone in chronic shoulder pain patients (Ekeberg et al., 2009). Another of the negative studies also utilized a lower dose of steroid (Vecchio et al., 1993), while the last of the negative studies had the smallest sample size (Withrington et al., 1985). One trial was stopped due to the lack of efficacy of the placebo arm, while the corticosteroid arm was documenting benefits (Plafki et al., 2000). Thus, quality evidence documents efficacy of these injections. There also are two high-quality trials with injected NSAIDs, but they conflict regarding superiority (Karthikeyan, 2010, Min et al., 2013), resulting in no evidence-based recommendation on that approach and a need for further investigations. One moderate-quality study (Naredo et al., 2004) and one low-quality study (Chen et al., 2006) demonstrated increased efficacy, improved shoulder symptoms, of steroids injected under ultrasonic guidance. However, the studies discussed above that compared steroid injection with placebo did not use ultrasound guidance and still resulted in good outcomes.

A Cochrane review similarly concluded there is benefit compared with placebo for treatment of rotator cuff disease, but no significant benefit of injection compared with NSAID when pooling three studies (Buchbinder et al., 2004). Approaches utilized include anterior, anteromedial, lateral and posterior. A cadaveric study found no differences in accuracy for anteriolateral versus posterior approaches (Mathews et al., 2005).

Another utility of these injections is to predict surgical success. The impingement test with subacromial anesthetic injection was reported to result in 88% positive predictive value of surgical success vs. 60% in those negative, (Mair et al., 2004, Oh et al., 2010); thus, another rationale for injection includes prognosis.

These infections are not without risk as there is an approximate doubling of the risks of both infection and re-tear of a cuff repair if surgery is subsequently performed especially within 6 months of the injection (Puzzitiello et al., 2020).

Subacromial glucocorticosteroid injections are invasive, typically have a low risk of adverse effects and are moderately costly. They have the potential to briefly increase blood glucose, thus monitoring will be appropriate in some diabetic patients. They are effective; most comparative trials against NSAIDs have found these injections are superior (Adebajo et al., 1990, Petri et al., 1987); thus, these injections are recommended for management of these patients. Most should generally have failed prior treatment with NSAIDs and exercise. Patients who are anticipated to require rotator cuff repair within 6 months are generally poor candidates due to the potential to re-tear after repair (Puzzitiello et al., 2020).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Subacromial Glucocorticosteroid Injections; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 148 articles in PubMed, 2140 in Scopus, 65 in CINAHL, 63 in Cochrane Library, 159 in Google Scholar, and 37 from other sources<sup>+</sup>. We considered for inclusion Ofrom PubMed, 0 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 37 from other sources. Of the 40 articles considered for inclusion, 39 randomized trials and 1 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.9.3. SUBACROMIAL EDTA MESOTHERAPY

Calcium disodium EDTA is a powerful chelator traditionally used to treat lead poisoning, although it also chelates other divalent cations. Subacromial EDTA injections and mesotherapy have been used to attempt to treat calcific tendinitis that has been unresponsive to other treatments (444).

## SUBACROMIAL EDTA MESOTHERAPY INJECTIONS FOR SHOULDER CALCIFIC TENDINITIS

## No Recommendation

There is no recommendation for or against the use of subacromial EDTA mesotherapy for treatment of shoulder calcific tendinitis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

### Rationale

There is one moderate-quality trial with 2 active interventions with placebo, comparing EDTA plus ultrasound versus placebo plus sham ultrasound suggesting reductions in all measures including pain, motion, Constant Murley scores, and calcifications (Cacchio, 2009). Thus, there is evidence suggesting potential efficacy of EDTA instilled for calcific tendinitis with duration of improvement documented at 1 year. EDTA has some risk of serious renal effects, although there was no increase in serum creatinine and BUN in this trial. The treatments are high cost, invasive, and require multiple treatments; there is no recommendation for this treatment as there is no evidence the results of the single trial were due to EDTA rather than ultrasound.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ethylenediaminetetraacetic acid, EDTA, Subacromial EDTA Mesotherapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 6 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 5 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 2 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.9.4. VISCOSUPPLEMENTATION INJECTIONS

Viscosupplementation injections have been used to treat rotator cuff tendinopathies and impingement syndrome (311) (445) (446) (447) (448) (449) (450) (451) (452) (453) (454) (455).

# SUBACROMIAL VISCOSUPPLEMENTATION INJECTIONS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of subacromial viscosupplementation injections for the treatment of shoulder pain and rotator cuff tendinopathies (including rotator cuff tendinoses, supraspinatus tendinitis, impingement syndrome, and subacromial bursitis). **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

One placebo-controlled trial reported modestly superior outcomes with viscosupplementation (Chou, 2010). Other trials reported faster resolution with glucocorticoid injection (Penning et al., 2014) or comparable efficacy (Kim et al., 2012). One trial reported improved results for adjunctive use of viscosupplementation to physical therapy exercises (Flores, 2017). There is one low-quality trial without a placebo-control suggesting few differences between hyaluronate injections and local modalities (Sengul et al., 2008). The literature is sparse and somewhat conflicting; thus, there is no recommendation for or against these injections for rotator cuff tendinopathies.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Viscosupplementation Injections, Hyaluronic Acid Injections; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 33 articles in PubMed, 854 in Scopus, 8 in CINAHL, 23 in Cochrane Library, 47 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 6 from PubMed, 3 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 13 articles considered for inclusion, 5 randomized trials and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.9.5. PLATELET-RICH PLASMA INJECTIONS

# PLATELET-RICH PLASMA INJECTIONS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN OR ROTATOR CUFF TENDINOPATHIES

## **No Recommendation**

There is no recommendation for or against subacromial platelet-rich plasma (PRP) injections for the treatment of shoulder pain and rotator cuff tendinopathies (including rotator cuff tendinoses, supraspinatus tendinitis, impingement syndrome, and subacromial bursitis).

[58.33% panel agreement on No Recommendation. 8.33% agreed with Recommended and 33.33% agreed with Not Recommended.] **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

## Rationale

Studies substantially conflict regarding efficacy of PRP injections for the shoulder. Systematic reviews and metanalyses have also reported conflicting results, although most report a lack of short- to intermediate-term benefits (Hamid, 2021, Lin, 2020, Hurley et al., 2019, Zhu, 2020, Li, 2022); if there are benefits, the average magnitude is not large. There also appears to be no benefit compared with

glucocorticoid injection (Adra, 2023) or exercise therapy (Hurley et al., 2019). Results assessing objective measures of improvement are weak. There are increasing numbers of studies suggesting a potential for reduced retear rates after surgical repairs associated with PRP (Cavendish et al., 2020). One trial had worse baseline data in the PRP group, likely biasing in favor of more change in that group, and nevertheless concluded there was comparable efficacy with steroid injection (Dadgostar, 2021).

Most studies reported a lack of additive benefit of PRP injections for surgical repairs (Snow et al., 2019, Malavolta et al., 2014, Malavolta et al., 2018, Ruiz-Moneo et al., 2013, Wang et al., 2015, Ebert et al., 2017, Carr et al., 2015, Verhaegen et al., 2016), while a few trials reported efficacy, especially for retear rates among those with larger tears (Pandey et al., 2016) and another among smaller tears (Cai, 2018). Another trial with PRP in a fibrin matrix found lack of efficacy (Walsh et al., 2018, Barber, 2016). Treatment of tendinitis and partial thickness tears with PRP suggested a lack of efficacy at 6- and 12-months (Kesikburun et al., 2013, Shams et al., 2016). A RCT reported platelet-rich fibrin did not result in superior rotator cuff repair results (Lädermann et al., 2016). Thus, as most studies suggest a lack of efficacy, PRP injections are not recommended for treatment of shoulder pain and rotator cuff tendinopathies.

Thus, as studies conflict regarding efficacy, there is no recommendation regarding PRP injections for treatment of shoulder pain and rotator cuff tendinopathies. The expert panel vote on this recommendation was split as noted above.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Platelet-rich Plasma Injections; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 200 articles in PubMed, 1532 in Scopus, 38760 in CINAHL, 94 in Cochrane Library, 427 in Google Scholar, and 3 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 5 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 3 from other sources. Of the 40 articles considered for inclusion, 21 randomized trials and 13 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 4.6.9.6. NEEDLING AND BURSOSCOPY

Needling of calcium deposits and bursoscopy for removal of calcific tendinitis has been performed (456) (457) (458) (459). Needling is a precise procedure used to treat calcific deposits. It makes small needle sized holes in the tissue overlying the calcific deposit. Needling has been studied in conjunction with shockwave therapy (457), and involves "several tens of intra-calcic drillings in the axis of

calcification" needling of the calcific deposits (459). Bursoscopy is arthroscopic removal/excision of the bursa.

Dry needling has been used for the treatment of calcific rotator cuff tendinopathies (460) (461) (462) (463) (464) (465) (466) (467) (468). Barbotage has also been used, which couples needling of calcific deposits with lavage while generally using ultrasound for visualization (469). Dry needling has also been used for treatment of myofascial pain (see Trigger Points and Myofascial Pain).

# ULTRASOUND-GUIDED NEEDLING WITH OR WITHOUT EXTRACORPOREAL SHOCKWAVE THERAPY FOR CALCIFIC ROTATOR CUFF TENDINITIS

### Recommended

Ultrasound-guided needling coupled with lavage with ultrasound visualization is recommended for treatment of calcific rotator cuff tendinitis.

Strength of evidence Recommended, Evidence (C) Level of confidence Moderate

### Indications

Moderate to severe calcific rotator cuff tendinitis

### Benefits

Improved pain and function at 1 year compared to steroid injection (de Witte et al., 2013), although the benefits disappeared by 5 years (de Witte et al., 2017).

#### Harms

Infection, adhesive capsulitis, worsened pain

# Frequency/Dose/Duration

One trial suggested post-procedure glucocorticosteroid injection with methylprednisolone acetate 40mg improved results (Darrieutort-Laffite et al., 2019). Another trial suggested modest superiority of triamcinolone acetonide vs. methylprednisolone acetate, apparently using 40-mg doses for both, although the dose administered is a little unclear (Battaglia et al., 2017).

#### Rationale

Dry needling has been performed for trigger points (see Trigger Points/Myofascial Pain). One RCT suggested barbotage with ultrasound for visualization is modestly effective for calcium deposits and function at one year but the differences disappeared by 5 years of follow-up (de Witte et al., 2013, de Witte et al., 2017). Another moderate-quality trial suggested adding needling is effective when used as an adjunct with shockwave therapy (Krasny et al., 2005). Needling a calcific deposit is minimally invasive and less costly than surgery with minimal adverse effects. One quality study suggested barbotage is more effective over one year for treatment of calcific tendinitis; thus, it is selectively recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Dry Needling; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 2325 in Scopus, 56 in CINAHL, 0 in Cochrane Library, 131 in Google Scholar, and 3 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 1 from Scopus, 7 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 3 from other sources. Of the 14 articles considered for inclusion 12 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

### **BURSOSCOPY FOR CALCIFIC ROTATOR CUFF TENDINITIS**

#### Recommended

Bursoscopy (arthroscopic removal/excision of bursa) is recommended for treatment of calcific rotator cuff tendinitis.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

#### Indications

Gartner Type I or II calcium deposits of calcific tendinitis (Maugars et al., 2009). Patients should generally have failed prior treatment with NSAIDs, exercise, and injection(s) (Maugars et al., 2009).

#### Benefits

Removal of calcium deposits and improved pain

#### Harms

Adhesive capsulitis, infection

#### **Frequency/Dose/Duration**

Treatment in the quality trial is a single treatment. It may be reasonable to attempt a second treatment if the initial treatment was partially, but inadequately effective.

#### Rationale

There is one moderate-quality trial suggesting needling or bursoscopy is superior to a noninterventional control (Maugars et al., 2009). Addition of subacromial decompression to bursectomy has been reportedly not effective (Clement, 2015). Bursoscopy and removal of calcium deposits has been shown to be superior to a non-interventional control and thus is selectively recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Bursoscopy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 34 in Scopus, 1 in CINAHL, 1 in Cochrane Library, 38 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 2 articles considered for inclusion, 1 randomized trials and 0 systematic reviews fit the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.9.7. PROLOTHERAPY

Prolotherapy injection has been used to treat rotator cuff tendinopathies (470) (471) (472) (473) (474) (475) (476) (477) (478).

# **PROLOTHERAPY FOR ROTATOR CUFF TENDINOPATHY**

#### Not Recommended

Prolotherapy is not recommended for treatment of rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

#### Rationale

The highest quality placebo-controlled trial found prolotherapy was ineffective for bursal injections and also reported evidence suggesting increased tendon stiffness in the prolotherapy group (Chang et al., 2021). Two other placebo-controlled RCTs had baseline differences making the results difficult to interpret (Bertrand et al., 2016, Lin et al., 2018). Two comparative trials comparing prolotherapy with glucocorticoid injection conflict regarding efficacy, and one of them reported comparable (in)efficacy at 6 months (Clement, 2015, Cole et al., 2018, Amanollahi et al., 2019). Because the sole placebocontrolled trial suggests both lack of benefit and potential adverse effects, prolotherapy is not recommended for rotator cuff tendinopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Prolotherapy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 21 articles in PubMed, 913 in Scopus, 9 in CINAHL, 23 in Cochrane Library, 115 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 7 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 9 articles considered for inclusion, 8 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.9.8. LIPOSOMAL BUPIVACAINE

Liposomal bupivacaine has been used for the treatment of rotator cuff tendinopathies (479) (480) (481) (482) (483) (483) (484) (395) (486) (486) (487) (488).

## LIPOSOMAL BUPIVACAINE FOR ROTATOR CUFF TENDINOPATHIES

#### No Recommendation

There is no recommendation for liposomal bupivacaine. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

A placebo-controlled trial found lack of efficacy for the injection of subacromial liposomal bupivacaine injected at the end of the arthroscopic procedure (Verdecchia et al., 2020). Another placebocontrolled RCT found efficacy for injection near the superior part of the brachial plexus at least 1 hour ahead of the procedure (Patel et al., 2020). Interscalene blocks have been reportedly superior to liposomal bupivacaine (Abildgaard et al., 2017, Namdari et al., 2017, Namdari, 2018), while another trial found them to be equivalent (Sabesan et al., 2017). One trial found liposomal bupivacaine substantially effective (Sethi et al., 2019). As there are multiple RCTs and they substantially conflict regarding efficacy, there is no recommendation regarding liposomal bupivacaine.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Liposomal Bupivacaine; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective

studies. We found and reviewed 5 articles in PubMed, 176 in Scopus, 3 in CINAHL, 15 in Cochrane Library, 448 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 11 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 15 articles considered for inclusion, 9 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.9.9. STEM CELL INJECTIONS

Stem cell injections have been used to treat rotator cuff tendinopathies (489) (490) (491) (492) (493) (494) (495) (496) (497) (498) (499).

# STEM CELL INJECTIONS FOR ROTATOR CUFF TENDINOPATHY

### **No Recommendation**

There is no recommendation for stem cells for treatment of rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There are no placebo-controlled trials. The available trials have quite small sample sizes and are pilot studies. Thus, larger studies are needed for an evidence-based recommendation and there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Stem Cells; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 47 articles in PubMed, 5943 in Scopus, 32 in CINAHL, 0 in Cochrane Library, 211 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 2 from Scopus, 3 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 11 articles considered for inclusion, 2 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.9.10. TRIGGER POINT INJECTIONS**

Trigger point injections have been used to treat rotator cuff tendinopathies with trigger points (354) (500) (501) (502) (503) (504) (505) (506) (507) (508) (509) (105) (106) (59). See Trigger Points and Myofascial Pain

# 4.6.9.11. GROWTH HORMONE

Growth hormone has been used for the treatment of rotator cuff tendinopathies (510).

## **GROWTH HORMONE FOR ROTATOR CUFF TENDINOPATHY**

#### Not Recommended

Growth hormone is not recommended for treatment of rotator cuff tendinopathies. **Strength of evidence** Moderately Not Recommended, Evidence (B) **Level of confidence** Moderate

### Rationale

One RCT has evaluated growth hormone to improve post-operative healing, finding lack of efficacy (Oh et al., 2018). Thus, growth hormone is not recommended.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Growth Hormone, Somatotropin, Human Growth Hormone; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 290 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 157 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.9.12. GRANULOCYTE COLONY STIMULATING FACTOR (GCSF)**

Granulocyte-colony stimulating factor (GCSF), a type of cytokines receptor antagonist, has been shown to induce bone marrow mobilization to increase circulating stem cell concentration. No quality evidence was found for the treatment of rotator cuff tendinopathies (511) (512).

# GRANULOCYTE COLONY-STIMULATING FACTOR (GCSF) FOR ROTATOR CUFF TENDINOPATHY

### **No Recommendation**

There is no recommendation for granulocyte colony-stimulating factor (GCSF) for treatment of rotator cuff tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There is no quality evidence of efficacy and thus there is no recommendation for GCSF.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Growth Colony Stimulating Factor; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 218 in Scopus, 41 in CINAHL, 0 in Cochrane Library, 25 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.9.13. ATELOCOLLAGEN

Atelocollagen has been used in the treatment of rotator cuff tendinopathies (513) (514) (515).

# ATELOCOLLAGEN FOR ROTATOR CUFF TENDINOPATHY

#### No Recommendation

There is no recommendation for atelocollagen for treatment of rotator cuff tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is one RCT with relatively modestly sized groups, although some data suggest potential efficacy (Kim et al., 2020). The study needs replication and thus there is no recommendation for atelocollagen.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Atelocollagen; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 article in PubMed, 15 in Scopus, 3 in CINAHL, 0 in Cochrane Library, 63 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 1 from other sources. Of the 4 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.10. SURGICAL CONSIDERATIONS**

# **4.6.10.1. SURGICAL REPAIR OF ROTATOR TEARS**

Surgery has been used to treat rotator cuff tears. Yet, many individuals with rotator cuff tears have minimal or no functional deficits; therefore, careful evaluation of the patient's functional status is required.

# ROTATOR CUFF REPAIR FOR SMALL, MEDIUM, OR LARGE TEARS

# Recommended

Rotator cuff repair is moderately recommended for selective treatment of small, medium, or large tears (<5 cm).

**Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

# Indications

All of the following: 1) shoulder joint pain; 2) reduced ROM of the shoulder or impaired function; 3) imaging findings by MRI, MR arthrography, or ultrasound of rotator cuff tear. Patient must agree to participate fully in post-operative active rehabilitation and understand there is a long recovery time. Worse outcomes are predicated by older age, worse health status, smoking and fatty tendon degeneration (Khazzam, 2020, Abtahi, 2015, Fan, 2022).

Pre-operative physical therapy and injection(s) are option(s) (but not a pre-operative requirement)

especially for articular-sided tears, as many patients sufficiently recover without surgery (Kukkonen et al., 2015, Moosmayer et al., 2014). However, for small tears, an attempt at rehabilitation for a few weeks is often successful and is generally recommended for most patients.

# Benefits

Improved pain and function

# Harms

Potential for lack of significant pain and/or function improvement, surgical complications, lack of healing, adhesive capsulitis.

# Rationale

There are many quality studies of surgery for RC tear, although there are no sham-controlled trials. There are a few quality studies comparing surgical repair of rotator cuff tears with non-operative treatment (see evidence table) that suggest that while exercises and physical therapy may be a reasonable option for initially presenting rotator cuff tear patients (Kukkonen et al., 2015, Heerspink et al., 2015, Moosmayer et al., 2014, MacDermid et al., 2006, Ejnisman et al., 2004), there is important evidence of superiority of surgery for small to medium tears over 5-10 year durations (Moosmayer et al., 2014, Moosmayer et al., 2019).

While surgery tends to produce superior outcomes over 1 to 10 years (Moosmayer et al., 2014, Moosmayer et al., 2010, Moosmayer et al., 2019) and there are no quality data to the contrary, non-operative treatment often is successful (Moosmayer et al., 2014, Moosmayer et al., 2010, Kukkonen et al., 2015). Thus, although many quality studies necessitated non-operative treatment prior to surgery (see evidence table) (Mohtadi et al., 2014, Spangehl et al., 2002), with some for at least 3 months (Mohtadi et al., 2014, Franceschi, 2007, Franceschi et al., 2008, Iannotti et al., 2006), one for up to 33 months prior to surgery (these trials are typically reported from countries with waiting lists for procedures) (Ko et al., 2008), and some studies have required failure of a glucocorticosteroid injection (Franceschi et al., 2007, Dorrestijn et al., 2007), there should be no requirement for failure of exercises, injection(s), and physical therapy as a pre-operative requirement. Surgical cuff repair is believed to be a superior option among patients for whom occupational shoulder exposures and demands are greater, although quality data that address this issue are not available.

Rotator cuff repair has evolved from open to mini-open to all arthroscopic techniques. Currently, arthroscopic techniques are evolving with the advent of new technology and instrumentation (Ogilvie-Harris et al., 1993, Neviaser, 1989, Neer, 1972, Rockwood et al., 1993, Ellman et al., 1993, Baker et al., 1995, Sauerbrey et al., 2005, Verma et al., 2006, Skoff, 1995, Youm et al., 2005, Seida JC, 2010). Rates of arthroscopic anterior acromioplasty have increased 5.8-fold from 1980 to 2005 (Yu et al., 2010). There are quality studies available on short- and long-term comparisons between arthroscopic and open or mini-open repairs (Carr et al., 2017, Spangehl et al., 2002, Nho et al., 2007, Morse et al., 2008, van der Zwaal et al., 2013, Cho, 2012). Arthroscopic repair is associated with lower complication rates, infection, and deltoid dehiscence. There is high-quality evidence showing no long-term differences associated with arthroscopic repair and mini-open compared to open repair (Carr et al., 2017, Mohtadi et al., 2005, Spangehl et al., 2002, van der Zwaal et al., 2017, Mohtadi et al., 2005, Spangehl et al., 2002, van der Zwaal et al., 2013, Cho, 2012), although evidence suggests a modest short-term advantage of arthroscopic mini-open repair versus open repair of rotator cuff tears while infection rates are higher with mini-open than arthroscopic repairs (Mohtadi et al., 2014).

Many individuals with rotator cuff tears have minimal or no functional deficits, (Moosmayer et al., 2005, Needell et al., 1996, Sher et al., 1995, Schibany et al., 2004); thus, careful evaluation of the patient's functional status is required. Many patients function normally with rotator cuff tears, while others have incapacitating problems that may require physical therapy (Moosmayer et al., 2010, Moosmayer et al., 2014, Ainsworth et al., 2007) and/or attempts at surgical repair or debridement. Rotator cuff tears have the potential to progress (Matava et al., 2005, Yamaguchi et al., 2006). For patients with tears accompanied by functional deficits, rotator cuff repairs appear to speed recovery. There also are reports of improved overall health status after rotator cuff surgery (McKee et al., 2000). It is unclear whether surgical repair of the rotator cuff tears including consideration of the size of the tear, the extension of tear retraction, and the quality of the rotator cuff muscles (DeOrio et al., 1984, Patte, 1990, Goutallier et al., 1994)(Bateman, 1963). Repairs of larger tears have increased rate of healing failure which correlates with outcomes (lannotti et al., 2006, Milano et al., 2007, Wilson et al., 2002, Habernek et al., 1999, Warner, 2001).

There are many purported and documented risk factors for poorer surgical outcomes. These most common risk factors include low-volume surgical practice (physician performs less than 6 rotator cuff repairs per year) (Sherman et al., 2008), age (older patients) (Sherman et al., 2008, Ogilvie-Harris et al., 1990, Boehm et al., 2005, Watson, 1985), female sex (Boehm et al., 2005, Lindh et al., 1993), larger rotator cuff tears (lannotti et al., 2006, Milano et al., 2007, Wilson et al., 2002, Habernek et al., 1999, Warner, 2001, Bartolozzi et al., 1994, Rokito et al., 1996), retraction (Milano et al., 2007), concomitant subscapularis tears (Milano et al., 2007), fatty tendon degeneration (Milano et al., 2007, Costouros et al., 2007), diabetes, smoking (Mallon et al., 2004), overweight or obesity, weakness of shoulder (strength of abduction and external rotation), pre-operative activity level, (lannotti et al., 2006, Ellman et al., 1986), preoperative stiffness (Namdari et al., 2010), abnormal mental status, involvement in litigation or workers' compensation (Spangehl et al., 2002, Ogilvie-Harris et al., 1990, Kempf et al., 1999, Misamore et al., 1995) or sick leave (Brox et al., 1999), regular "pain medication use" (Brox et al., 1999), excessive post-operative hyperalgesic crises (Kempf et al., 1999), non-compliance with rehabilitation programs, and otherwise unhealthy individuals (Sherman et al., 2008). One report found shorter interval between symptom onset and massive rotator cuff repair to be negatively correlated with outcomes (Gerber et al., 2000). Post-operative shoulder stiffness was found to be best predicted by pre-operative limitation in ROM (Namdari et al., 2010), especially the "hand behind the back" maneuver (Trenerry et al., 2005). Work with the "hand above the level of the head" trended towards significance in one possibly underpowered study (Brox et al., 1999). Studies suggest delayed treatment results in worse outcomes among patients with rotator cuff tears (Habernek et al., 1999, Fu, 2020, Duncan, 2015), but no quality study has addressed that question.

If surgery is a consideration, counseling regarding likely outcomes, risks, and benefits, and especially expectations, is important. Ideally, this education begins with the referring physician who may note that post-operative physical or occupational therapy exercises are essential in comparison to non-operative treatment for good clinical results. Compliance with these exercises might be difficult for some rotator cuff repair patients. The decision as to which type of rotator cuff repair procedure to perform – arthroscopic, open, or mini-open repair – should be left to the surgeon and patient until quality evidence demonstrating procedural superiority becomes available to provide evidence-based guidance. Achievement of a plateau in improvement and assessment for final results after surgical repair of a rotator cuff tear has been found to require 1 year (Van Linthoudt et al., 2003). Revision

surgeries are particularly challenging, usually result in inferior results compared with primary repairs, and should be undertaken with a good deal of caution (Djurasovic et al., 2001).

Re-tear rates vary widely, depending on numerous factors especially the size of the tear and the quality of the tendon and rotator cuff muscles. The re-tear rate for a single row arthroscopic repair has been estimated at 40%, but varies considerably depending on the size of original tear (Burks, 2009, Bishop et al., 2006, Fealy et al., 2006, Gladstone et al., 2007, Liu et al., 1994). There is little quality evidence for superiority of one type of repair over another (e.g., single stitch versus double stitch) (Malavolta et al., 2014, Randelli et al., 2017, Franceschi et al., 2007, Burks, 2009, Grasso et al., 2009, Lapner et al., 2012, Carbonel et al., 2012, Ma et al., 2012, Koh et al., 2011) or No. 3 Ethibond Mason-Allen sutures versus 1.0 mm polydioxanone cord with modified Kessler sutures (Boehm et al., 2005). A meta-analysis and systematic review found double-row repair to have lower re-tear rates and greater internal rotation ROM but showed no other differences compared to single-row repair (Xu et al., 2014, Saridakis et al., 2010). One trial suggested a markedly lower re-tear rate with suturespanning augmentation technique of single-row compared with standard single row repair (Ma et al., 2019). There is one moderate-quality study that has suggested a modified mattress-locking stitch is modestly superior to simple stitches; however, the study has considerable weaknesses that raise questions about the validity of the conclusions (Ko et al., 2008). One study of arthroscopic repairs with long-term follow-up of up to 14 years looked at staple fixation repairs and side-to-side suture and anchor repairs; both kinds of repairs appear to document surgical success, although larger tears appear associated with lower success rates (Wilson et al., 2002). Almost all repairs require reattachment of tendon to bone. Isolated side-to-side repair or margin convergence means that there is an incomplete repair as is usually present in cases of chronic massive tears. Tendon to bone repair has been suggested to be modestly better than side-to-side repair in one moderate-quality study (Bigoni et al., 2009). Re-tears do not necessarily equate to pain and functional loss, just as some people have primary asymptomatic rotator cuff tears. Research suggests outcome scores are better with healed rotator cuff than unhealed rotator cuff tears

Most quality evidence included patients with small to moderate tears. Patients who are candidates for surgery generally have pain and impaired function. There are no quality studies suggesting better or worse results for earlier or delayed surgery (see evidence table), and current evidence does not support a need to rush surgical decisions. Until quality evidence becomes available to provide evidence-based guidance, the decision as to which surgical procedure to perform should be left to the surgeon and patient as there appear to be only modest short-term improvements for arthroscopic rotator cuff repair over open rotator cuff repairs (Mohtadi et al., 2014) or for impingement syndrome, including trends towards shorter sick leave in one study (mean 10 versus 5.7 weeks) (Husby et al., 2003) but not another (Rubenthaler et al., 2003). Early surgery should generally be considered in cases of acute traumatic tears, especially larger tears in healthy, active individuals; however, one trial has suggested no benefit of earlier surgery (Kim et al., 2018). Surgery is invasive, involves prolonged recovery (many months), has adverse effects, and is costly. However, benefits appear to outweigh risks for patients with significant pain, impaired function, and a documented tear; thus, surgery is recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Rotator Cuff Repair; arthroscopy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial,

controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 980 articles in PubMed, 5738 in Scopus, 29247 in CINAHL, 34 in Cochrane Library, 2550 in Google Scholar, and 12 from other sources<sup>†</sup>. We considered for inclusion 10 from PubMed, 0 from Scopus, 4 from CINAHL, 3 from Cochrane Library, 0 from Google Scholar, and12 from other sources. Of the 24 articles considered for inclusion, 21 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **REVERSE SHOULDER ARTHROPLASTY FOR MASSIVE ROTATOR CUFF TEARS**

### Sometimes Recommended

Reverse shoulder arthroplasty is recommended for treatment of select large to massive cuff tears that are otherwise unrepairable. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

All of the following: 1) shoulder joint pain; 2) reduced range of motion of the shoulder and/or impaired function; 3) imaging findings by MRI, MR arthrography, or ultrasound of large to massive rotator cuff tear; and 4) generally have either an unrepairable tear or have failed surgical repair. Generally, younger healthier patients are better candidates for reverse shoulder arthroplasty.

# Benefits

Potential to improve the pain and functional decrements, although reverse shoulder arthroplasty may not result in complete recovery of function for repair of massive tears.

# Harms

Potential for lack of significant pain and/or function improvement, surgical complications, lack of healing, adhesive capsulitis.

# Rationale

There are no quality studies comparing reverse shoulder arthroplasty with non-surgical management of massive rotator cuff tears or to other surgical procedures. Reverse shoulder arthroplasty is generally reserved and selectively recommended for highly select cases of large to massive cuff tears that are either unrepairable or have failed repair, yet there are significant functional deficits that are felt likely or potentially able to be addressed through reverse shoulder arthroplasty. Additionally, a quality postop rehabilitation program is essential to effect better outcomes.

## Evidence

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.2. ACUTE MASSIVE TEARS

# **ROTATOR CUFF REPAIR FOR ACUTE MASSIVE TEARS**

### **Sometimes Recommended**

Rotator cuff repair is selectively recommended for treatment of acute massive tears (>5 cm). **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

### Indications

All of the following: 1) shoulder joint pain; 2) reduced range of motion of the shoulder or impaired function; 3) imaging findings by MRI, MR arthrography, or ultrasound of massive rotator cuff tear. Generally, younger, healthier patients are better candidates for surgery for massive tears.

### Benefits

Potential to improve the pain and functional decrements, although surgery often does not result in complete recovery for repair of massive tears.

#### Harms

Potential for lack of significant pain and/or function improvement, surgical complications, lack of healing, adhesive capsulitis.

#### Rationale

Repair of massive rotator cuff tears is technically more difficult and has a worse prognosis (Galatz, 2004, Matthews et al., 2006). There are no quality studies comparing these repairs with non-operative treatment for massive tears, although many surgeons will recommend an initial trial of non-operative care for elderly patients with massive rotator cuff tears. Some chronic massive tears can be repaired and some can also undergo successful partial repair, although this does not apply for most patients. Most repairs are tendon to bone. One quality study solely addressed surgical repair of massive rotator cuff tears (lannotti et al., 2006). Surgical repairs have utilized multiple different techniques, with a preference for primary repair when the patient's tissue may be approximated. A study of 27 shoulders found primary rotator cuff repair was often infeasible when the length was greater than 4cm, the width was greater than 4cm, the supraspinatus muscle was thin at the superior glenoid margin, and the signal intensity was high (Sugihara et al., 2003).

Most quality evidence included patients with small to moderate tears, where there is some evidence that surgical outcomes are superior to non-surgical treatment at 5-10 years, although those trials did

not include patients with massive tears (Moosmayer et al., 2019, Moosmayer et al., 2014). Patients who are candidates for surgery generally have pain and impaired function. There are no quality studies suggesting better or worse results for earlier or delayed surgery for massive tears (see evidence table), and current evidence does not support a need to rush surgical decisions (Moosmayer et al., 2019, Moosmayer et al., 2014). Until quality evidence becomes available to provide evidence-based guidance, the decision as to which surgical procedure to perform should be left to the surgeon and patient as there appear to be only modest short-term improvements for arthroscopic rotator cuff repair over open rotator cuff repairs (Mohtadi et al., 2014) or for impingement syndrome, including trends towards shorter sick leave in one study (mean 10 versus 5.7 weeks) (Husby et al., 2003) but not all (Rubenthaler et al., 2003).

Early surgery should be considered in cases of acute traumatic tears, especially larger tears in healthy, active individuals. Surgery is invasive, involves prolonged recovery (many months), has adverse effects, and is costly. However, benefits appear to outweigh risks for patients with significant pain, impaired function and a documented massive tear, and surgery is thus selectively recommended, particularly for younger healthier patients.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Rotator Cuff Repair; arthroscopy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 980 articles in PubMed, 5738 in Scopus, 29247 in CINAHL, 34 in Cochrane Library, 2550 in Google Scholar, and 40 from other sources<sup>+</sup>. We considered for inclusion 10 from PubMed, 0 from Scopus, 4 from CINAHL, 3 from Cochrane Library, 0 from Google Scholar, and 40 from other sources. Of the 57 articles considered for inclusion, 49 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.3. CHRONIC MASSIVE TEARS

# **ROTATOR CUFF REPAIR FOR CHRONIC MASSIVE TEARS**

# Not Recommended

Rotator cuff repair is not generally recommended for treatment of chronic massive tears (>5 cm). **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

#### Rationale

Surgery for massive tears is less successful than for smaller and moderate-sized tears. Repair of massive rotator cuff tears is technically more difficult and has a worse prognosis (Matthews et al., 2006, Galatz, 2004). Subacromial balloon spacers are being used, however, there are insufficient RCTs and confounding with simultaneous bicipital tenotomy is present in the available literature (Metcalfe, 2022). There are no quality studies comparing these repairs with non-operative treatment, although many surgeons will recommend an initial trial of non-operative care for elderly patients with massive rotator cuff tears. Some chronic massive tears can be repaired and some can also undergo successful partial repair, although this does not apply for most patients. Some indicators suggesting the potential for successful repair include otherwise good health and function with a lack of fatty infiltration. Most repairs are tendon to bone. One quality study solely addressed surgical repair of massive rotator cuff tears (lannotti et al., 2006). Surgical repairs have utilized multiple different techniques, with a preference for primary repair when the patient's tissue may be approximated. A study of 27 shoulders found primary rotator cuff repair was often infeasible when the length was greater than 4cm, the width was greater than 4cm, the supraspinatus muscle was thin at the superior glenoid margin, and the signal intensity was high (Sugihara et al., 2003).

Surgery also appears more successful for acute rather than chronic tears. Also, while surgery tends to produce modestly superior outcomes over 1 to 5 years (Moosmayer et al., 2014), non-operative treatment is often successful (Moosmayer et al., 2014, Kukkonen et al., 2015). Thus, physical therapy is a reasonable option for many patients (Moosmayer et al., 2014, Kukkonen et al., 2015).

Surgery is invasive, involves prolonged recovery (many months), has adverse effects, and is costly. Benefits appear to generally be outweighed by risks for patients with chronic massive tears and thus is not recommended. Instead, rehabilitation is recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Rotator Cuff Repair; arthroscopy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 980 articles in PubMed, 5738 in Scopus, 29247 in CINAHL, 34 in Cochrane Library, 2550 in Google Scholar, and 40 from other sources<sup>+</sup>. We considered for inclusion 10 from PubMed, 0 from Scopus, 4 from CINAHL, 3 from Cochrane Library, 0 from Google Scholar, and 40 from other sources. Of the 57 articles considered for inclusion, 49 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.4. XENOGRAFTING

**ROTATOR CUFF REPAIR FOR MASSIVE TEARS USING PORCINE XENOGRAFT MATERIAL** 

#### Not Recommended

Porcine small intestine submucosa graft for surgical repair is not recommended for treatment of large or massive tears that are otherwise unrepairable. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

# Rationale

When primary closure with approximation of the tendon tissue is not possible, utilization of graft material, including the patient's bicipital tendon (Cho, 2009) or subscapularis (Tanaka et al., 2006), is sometimes utilized (i.e., autografts). Additional materials interposed include porcine dermal xenograft (Badhe, 2008) and porcine small intestinal submucosa (Sclamberg et al., 2004). Neither of the latter appeared to fare well, and the sole quality trial that included only patients with massive tears failed to find improvements with a porcine small intestinal submucosa graft (lannotti et al., 2006); thus, is not recommended.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Rotator Cuff Repair; arthroscopy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 980 articles in PubMed, 5738 in Scopus, 29247 in CINAHL, 34 in Cochrane Library, 2550 in Google Scholar, and 40 from other sources<sup>+</sup>. We considered for inclusion 10 from PubMed, 0 from Scopus, 4 from CINAHL, 3 from Cochrane Library, 0 from Google Scholar, and 40 from other sources. Of the 57 articles considered for inclusion, 49 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.5. TISSUE AUGMENTATION

# **ROTATOR CUFF REPAIR FOR MASSIVE TEARS USING TISSUE AUGMENTATION**

#### **No Recommendation**

There is no recommendation for or against tissue augmentation to surgically repair large or massive tears that are otherwise unrepairable. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

Repair of massive rotator cuff tears is technically more difficult and has a worse prognosis (Matthews et al., 2006, Galatz, 2004). There are no quality studies comparing these repairs with non-operative treatment, although many surgeons will recommend an initial trial of non-operative care for elderly patients with massive rotator cuff tears. Some chronic massive tears can be repaired and some can also undergo successful partial repair, although this does not apply for most patients. Most repairs are tendon to bone. One quality study solely addressed surgical repair of massive rotator cuff tears (lannotti et al., 2006). Surgical repairs have utilized multiple different techniques, with a preference for primary repair when the patient's tissue may be approximated. A study of 27 shoulders found primary rotator cuff repair was often infeasible when the length was greater than 4cm, the width was greater than 4cm, the supraspinatus muscle was thin at the superior glenoid margin, and the signal intensity was high (Sugihara et al., 2003).

Techniques include open repair (Worland et al., 1999), arthroscopic, arthroplasty-related procedures (Boileau et al., 2008), as well as tissue transfers (latissimus dorsi) (Costouros et al., 2007), tissue grafting (autograft, allograft, xenograft) (Tsiridis et al., 2008), and combination procedures (Boileau et al., 2008). Two studies suggest no meaningful differences between arthroscopic and mini-open repairs (Kasten et al., 2011, Cho, 2012). Tissue grafts are intended to augment a repair, not fill a tissue defect. There is insufficient evidence currently to recommend a particular type of graft. Cases of margin convergence may be amenable to a primary closure, if the tendon edges can be approximated without undue tension on the patient's remaining rotator cuff. A few of these repairs were included in the available quality literature (see evidence table), but did not present stratified analyses specific to massive rotator cuff tears. Even so, there is some limited evidence suggesting repair is superior to debridement with considerably better results in the surgical repaired group (Melillo, 1997); thus, there is limited evidence to recommend attempted repair of massive rotator cuff tears (lannotti et al., 2006).

When primary closure with approximation of the tendon tissue is not possible, utilization of graft material, including the patient's bicipital tendon (Cho, 2009) or subscapularis (Tanaka et al., 2006), is sometimes utilized (i.e., autografts). Additional materials interposed include porcine dermal xenograft (Badhe, 2008) and porcine small intestinal submucosa (Sclamberg et al., 2004). Neither of the latter appeared to fare well, and the sole quality trial that included only patients with massive tears failed to find improvements with a porcine small intestinal submucosa graft (lannotti et al., 2006); thus, is not recommended.

Hemiarthroplasty has also been used to treat select patients with massive tears (see Arthroplasty), but there are no quality studies of hemiarthroplasty for treatment of massive rotator cuff tears (de Cupis et al., 2008, Boileau et al., 2005). Reverse total shoulder replacement is currently being used more often with more predictable results. It also is used to treat selected patients with unrepairable massive rotator cuff tears (Matsen et al., 2007).

Case series of patients who have reportedly undergone debridement and subacromial decompression as part of treatment of full-thickness, irreparable rotator cuff tears have found some decrease in pain and improved ROM, although post-operative strength was reduced (Gartsman et al., 1997). A review suggested debridement alone was insufficient for treatment for massive rotator cuff tears (Melillo, 1997). A case series found biceps tenotomy did not add benefits over debridement of irreparable massive rotator cuff tears (Klinger et al., 2005). Reverse total shoulder has been used for shoulder osteoarthritis associated massive cuff ruptures (de Cupis et al., 2008, Boileau et al., 2005, Young et al., 2009). In a case series, the reverse total shoulder appears to improve function (de Cupis et al., 2008). In the quality trials that included a minority of patients with massive tears, there are no stratified analyses presented to identify outcomes for this specific population of patients. It has been suggested that the outcomes for patients with larger tears are inferior to smaller tears (Bengtsson et al., 2006, Bhattacharyya et al., 2014, Biberthaler et al., 2013). Patients who are candidates for surgery should have pain and reduced function and understand the risks and benefits of these procedures. Infections are generally rare and are most commonly associated with mini-open repair (Herrera, 2002). The decision as to which type of rotator cuff repair procedure to perform for massive tears must be left to the surgeon and patient until quality evidence becomes available to provide evidence-based guidance. Surgical repair of massive rotator cuff tears is invasive, has adverse effects, and is costly. Rehabilitation is often considerably longer and more complicated than for smaller rotator cuff tears. However, particularly in younger patients with massive rotator cuff tears, benefits appear to outweigh risks for most patients and surgery is generally recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Rotator Cuff Repair; arthroscopy, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 980 articles in PubMed, 5738 in Scopus, 29247 in CINAHL, 34 in Cochrane Library, 2550 in Google Scholar, and 40 from other sources<sup>†</sup>. We considered for inclusion 10 from PubMed, 0 from Scopus, 4 from CINAHL, 3 from Cochrane Library, 0 from Google Scholar, and 40 from other sources. Of the 57 articles considered for inclusion, 49 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.6. SUBACROMIAL DECOMPRESSION SURGERY

Surgery for impingement syndrome has been developed over many decades (1024) (1563) (1564) (1565) (1566) (540) (1567) (1568) (1569) (1570) (1571). It was originally described by Neer in 1972 as part of a continuum including surgery for rotator cuff tears, and subsequently modified to less invasive techniques. Arthroscopic approaches were then developed to attempt to further minimize surgical morbidity from large incisions and, by avoiding direct trauma to the deltoid, promote earlier active exercises and recovery and utilize lasers (1572). (1564) (1573) (1574) (1575) (1576) (1577) (1578). Arthroscopy also enhances ability to identify relevant associated pathology – partial articular side cuff tears, biceps tears, labral pathology. Impingement syndrome and rotator cuff tendinoses without tears are sometimes treated surgically, particularly after failure of non-operative treatments (1579) (1580) (1581) (1582) (298) (1583) (1584) (1585) (1570) (1571) (1586) (1587) (1080) (1588) with some arguing for aggressive treatment. (1586) As the prevalence of these conditions is exceedingly high and many individuals with tendinoses are apparently asymptomatic (see above), careful incorporation of

accurate diagnosis, the patient's condition, functional status and response to prior treatments appears particularly important. Risk factors for adverse outcomes are believed to be mostly similar to those for full-thickness rotator cuff tears and may be particularly important in the setting of workers' compensation (see above). Education regarding post-operative rehabilitation is thought to be important for these patients, as it is for those with rotator cuff tears. Claviculectomy or subacromial decompression in rotator cuff repair has been used for the treatment of rotator cuff tendinopathies (1589) (1590) (1591) (1592) (1593) (1594) (1595) (1596) (857) (1597) (1598) (1599) (1600) (1601) (1602) (1603) (1604) (1605).

# SUBACROMIAL DECOMPRESSION SURGERY FOR IMPINGEMENT SYNDROME/ROTATOR CUFF TENDINOSES

### Recommended

Subacromial decompression surgery is recommended for treatment of select patients with impingement syndrome/rotator cuff tendinoses.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

#### Indications

All of the following:

1) shoulder joint pain (e.g., symptomatic with positive supraspinatus test, impingement signs);

2) reduced active shoulder ROM or impaired function

3) imaging findings by MRI or ultrasound of rotator cuff tendinopathy consistent with symptoms; and 4) temporary resolution or marked reduction in pain immediately after injection of a local anesthetic into the subacromial space. Generally should not have scapular dyskinesia, which should be treated with exercises and is believed to be a relative contraindication to surgery (Panagiotopoulos AC, 2019, Kibler, 2006)

Patients should also have failed one or more glucocorticosteroid injections (see above) and at least one trial of a quality rehabilitation program that follows evidence-based guidelines (see above).

# Benefits

Improved or resolved pain

#### Harms

Adhesive capsulitis, failure to improve, surgical complications

# Rationale

There are no sham-surgery controlled trials of surgical interventions for impingement syndrome. However, there are several moderate-quality RCTs with many reports that compared subacromial decompression plus physical therapy versus physical therapy exercises for treatment of impingement syndrome (Paavola, 2018, Ketola, 2013, Ketola, 2015, Ketola et al., 2017, Ketola et al., 2016, Beard, 2018, Cuff et al., 2012, Rahme et al., 1998, Haahr et al., 2005, Brox et al., 1999, Ketola, 2009, Haahr et al., 2006). Importantly, one of these trials included a comparison with both exercise as well as sham-laser treatment (Svendsen et al., 2013, Brox et al., 1999). That trial found surgery and rehabilitation superior to placebo laser and provides the primary basis for an evidence-based selective

recommendation in favor of surgery, but only after other treatments have failed. All of these trials comparing physical therapy/exercise with surgery appear to have considerable biases in favor of surgery over physiotherapy/exercise for at least two major reasons: 1) patients invariably appear to have been required to fail prior non-operative treatment that when described included considerable exercise components (thus a "more of the same" bias against physical therapy/ exercise); and 2) likely greater treatment contact time in the surgical groups which were combined with physical therapy/exercise. Except for (Rahme et al., 1998), these studies reported mostly failed prior rehabilitation and found surgery superior to physical therapy exercise (Svendsen et al., 2013, Zakaria, 2004, Haahr et al., 2006). However, it also has been noted that there is a high rate of crossover to surgery over time (Brox et al., 1999).

There is moderate-quality evidence that there are no long-term differences associated with arthroscopic compared to open decompression to treat impingement syndrome/rotator cuff tendinoses (Lindh et al., 1993, Husby et al., 2003, Sachs et al., 1994), although there is some evidence of a modest short-term advantage of arthroscopy over open decompression for faster recovery (Sachs et al., 1994). (A low-quality trial also reported similar evidence (T'Jonck et al., 1997).) Open acromioplasty in patients with impingement syndrome appears not to prevent progression to rotator cuff tear in a nine-year follow-up study. (Hyvonen et al., 1998). A case-control study found no evidence that calcium deposits in the rotator cuff seen on x-ray affected outcomes at 2 years after arthroscopic subacromial decompression (Tillander et al., 1998). Experience of the surgeon and patient factors require judgment in selecting operative approaches. Long-term outcomes of up to 25 years have also reported excellent or good results in 77% of patients with various arthroscopic decompression techniques (Budoff et al., 2005, Ellman et al., 1991, Odenbring et al., 2008, Chin et al., 2007).

Limited motion may indicate adhesive capsulitis or capsular stiffness that would be a relative contraindication to surgery. Patients with rotator cuff syndromes or impingement typically do not have significant limitations of passive motion and if they do, then the diagnosis may be in doubt. Surgery is invasive, has adverse effects, and is costly. However, in carefully select patients with impingement syndrome/rotator cuff tendinoses who have failed quality non-operative treatments, benefits appear to outweigh risks and surgery is recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Claviculectomy or Subacromial Decompression Or Mumford procedure or acromioplasty; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 103 articles in PubMed, 1815 in Scopus, 96 in CINAHL, 76 in Cochrane Library, 704 in Google Scholar, and 30 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 1 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 1 from Google Scholar, and 30 from other sources. Of the 39 articles considered for inclusion, 36 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ADDITION OF CLAVICULECTOMY OR SUBACROMIAL DECOMPRESSION TO A ROTATOR CUFF REPAIR FOR ISOLATED SUPRASPINATUS TEARS

#### Not Recommended

Adding claviculectomy or subacromial decompression to a rotator cuff repair is moderately not recommended for treatment of isolated supraspinatus tears. **Strength of evidence** Moderately Not Recommended, Evidence (B) **Level of confidence** Moderate

# Rationale

There is moderate-quality evidence suggesting there is no demonstrable benefit in adding subacromial decompression to a rotator cuff repair for treatment of isolated supraspinatus tears with a Type II acromion in quality studies with up to 2 years follow-up data (Rubenthaler et al., 2003, Kukkonen et al., 2015, Milano et al., 2007, Gartsman et al., 2004, Chahal et al., 2012, Oh et al., 2014)(Abrams et al., 2014) or a repair using transosseous equivalent suture-bridge technique along with subacromial decompression (Cuff et al., 2012). One trial found no statistical benefit for subacromial decompression for subacromial shoulder pain (Greenall, 2018) and another found no benefit compared with physiotherapy at 2-3 years (Farfaras et al., 2016). Yet, a trial with 10-year follow-up found better results in the subacromial decompression group than therapy (Farfaras et al., 2018). A post-hoc analysis of a different trial at 12 years found minimal, non-statistically significant improvement in the acromioplasty group (Kolk et al., 2017). There are two moderate quality studies comparing arthroscopic debridement and subacromial decompression in treatment of full-thickness tears of the rotator cuff (Melillo, 1997, Montgomery et al., 1994). Another trial found comparability between subacromial decompression and exercise at 2 years (Paavola, 2018). There is one moderate-quality trial suggesting SLAP lesions found at the same time as rotator cuff tears in those over 50 years old do not require repair, rather biceps tenotomy outperforms the SLAP repair (Franceschi et al., 2008). Thus, the quality evidence does not support the addition of clavulectomy or subacromial decompression to an isolated RC tear.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Claviculectomy or Subacromial Decompression Or Mumford procedure or acromioplasty; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 103 articles in PubMed, 1815 in Scopus, 96 in CINAHL, 76 in Cochrane Library, 704 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 1 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 9 articles considered for inclusion, 5 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search,

and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.7. SCAFFOLDING

Biological and synthetic scaffolding has been used to treat rotator cuff tendinopathies (516) (517)(518) (519) (494) (520) (521) (522) (519) (494) (523) (524) (525) (526) (527) (528) (529) (530).

## SCAFFOLDING FOR ROTATOR CUFF TENDINOPATHY

### No Recommendation

There is no recommendation for or against scaffolding for rotator cuff tendinopathy. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There are few studies on scaffolding. Although one study has suggested short-term efficacy, the differences were gone by 2 years. Thus, additional research is needed before an evidence-based recommendation is possible.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Scaffolding, Biological Scaffold, Synthetic Scaffold; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 36 articles in PubMed, 214 in Scopus, 2 in CINAHL, 4 in Cochrane Library, 28 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 11 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 16 articles considered for inclusion, 2 randomized trials and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.8. TENODESIS AND TENOTOMY

Tenodesis and tenotomy have been used for the treatment of bicipital tendinopathy with or without rotator cuff tendinopathies (1606) (1607) (1608) (1609) (1610) (1611) (1612) (1613) (1614) (1615) (1616) (1617) (1618) (1619) (1620) (1621) (1622) (1623) (1624) (1625) (1626) (1627) (1628) (1629)

(1630) (1631) (1632) (1633) (1634) (1635) (1636) (1637) (1029) (1638) (1639) (1640) (1641) (995) (1642) (1643) (1644) (1645) (1646) (1647) (1648) (1649) (1650) (1651) (1652) (1653) (1654) (1655) (1656) (1657) (1658) (1657) (1659) (1660) (1661) (1662) (1663).

# **4.6.10.9. ACELLULAR HUMAN DERMAL MATRIX**

Acellular human dermal matrices can be used to treat rotator cuff tendinopathies (526) (531) (532) (533) (534) (535) (536) (537) (538) (539).

## ACELLULAR HUMAN DERMAL MATRIX FOR ROTATOR CUFF TENDINOPATHY

### Sometimes Recommended

Acellular human dermal matrix is selectively recommended for treatment of large rotator cuff tears. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

### Indications

Repair of large cuff tears

### Benefits

Improved function and improved tendon healing at 2 years

### Harms

Increased post-operative complication rate

# Rationale

One RCT suggests considerable efficacy for the treatment of large cuff tears (Barber et al., 2012). Thus, acellular human dermal matrix augmentation is selectively recommended for large cuff tears.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acellular Human Dermal Matrix; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 8 articles in PubMed, 96 in Scopus, 3 in CINAHL, 1 in Cochrane Library, 33 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 3 from PubMed, 5 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 1 randomized trial and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.10. RECOMBINANT HUMAN BONE MORPHOGENETIC PROTEIN-12 (RHBMP-12)

Recombinant Human Bone Morphogenetic Protein-12 (rhBMP-12) has been used to treat tendon injuries, including rotator cuff tendinopathies (248) (249).

# RECOMBINANT HUMAN BONE MORPHOGENETIC PROTEIN-12 (RHBMP-12) FOR ROTATOR CUFF TENDINOPATHY

#### No Recommendation

There is no recommendation for or against recombinant human bone morphogenetic protein-12. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There are small studies, but no moderate- or large-scale studies with clinical outcome measures suggesting efficacy. Thus, there is no recommendation.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Recombinant Human Bone Morphogenetic Protein-12 (rhBMP-12); rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 article in PubMed, 127 in Scopus, 1 in CINAHL, 1 in Cochrane Library, 0 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **4.6.10.11. SUPERIOR CAPSULE RECONSTRUCTION**

# SUPERIOR CAPSULE RECONSTRUCTION

#### No Recommendation

There is no recommendation for or against superior capsule reconstruction (SCR).

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality literature addressing superior capsule reconstruction and thus there is no recommendation.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Superior capsule reconstruction; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 17 articles in PubMed, 92 in Scopus, 11 in CINAHL, 2 in Cochrane Library, 445 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 11 from PubMed, 0 from Scopus, 4 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 15 articles considered for inclusion, 0 randomized trials and 6 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 4.6.10.12. RADIOFREQUENCY MICROTENOTOMY

Radiofrequency microtenotomy has been used to treat rotator cuff tendinopathies (540) (541) (542) (543) (544).

#### **RADIOFREQUENCY MICROTENOTOMY FOR ROTATOR CUFF TENDINOPATHIES**

#### Not Recommended

Radiofrequency microtenotomy is not recommended for adjunctive treatment with subacromial decompression or bursectomy.

Strength of evidence Not Recommended, Evidence (C) Level of confidence Low

### Rationale

Two RCTs have assessed radiofrequency microtenotomy, both suggesting a lack of additive benefit to subacromial decompression (Lu et al., 2013) or bursectomy (Al-Ani et al., 2019); thus, it is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Radiofrequency Microtenotomy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 4 articles in PubMed, 127 in Scopus, 2 in CINAHL, 7 in Cochrane Library, 27 in Google Scholar, and 3 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 3 from other sources. Of the 7 articles considered for inclusion, 2 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 5. BICIPITAL TENDINOPATHY AND RUPTURED BICIPITAL TENDON

# **5.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing Bicipital Tendinopathy and Ruptured Bicipital Tendon from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

Category	Recommendation	Evidence
Diagnostic Tests	Magnetic Resonance Imaging for Bicipital Tendinopathy	No Recommendation, Insufficient Evidence (I)
Nonoperative	Non-Invasive Treatments for Bicipital Tendinopathy	See text
Injections	Glucocorticosteroid Injections for Acute, Subacute, or Chronic Bicipital Tendinopathy	Recommended, Insufficient Evidence (I)
	Platelet-rich Plasma Injections for Bicipital Tendinopathy	No Recommendation, Insufficient Evidence (I)
	Stem Cell Injections for Bicipital Tendinopathy	No Recommendation, Insufficient Evidence (I)
Surgery	Surgery for Select Patients with Bicipital Tendon Tears	Recommended, Insufficient Evidence (I)
	Biceps Tenotomy and Tenodesis for Bicipital Tendinopathies	No Recommendation, Insufficient Evidence (I)

# **5.2. OVERVIEW**

Bicipital tendinopathy involving the proximal long head of the biceps tendon (bicipital tendon) is usually due to degenerative changes in the tendon or wear in the bicipital groove. The bicipital tendon sheath may also be involved in inflammatory arthropathies. (Note that the distal end of the biceps is involved in biceps strains and ruptures at the elbow, which typically have significantly different prognoses; see Elbow Disorders Guideline.) Bicipital tendinopathy is believed to be analogous and parallel to and have the same pathophysiological basis as the rotator cuff, including having tenuous vascular supply to the affected areas. It is recommended that symptomatic bicipital tendinopathy be managed as noted in the Rotator Cuff Tendinopathies section, including the selective use of low-dose glucocorticosteroid injection. Bicipital tendon rupture may be managed non-operatively for the vast majority of patients as there is no accompanying functional disability. Surgery, typically tenodesis, may be desired for cosmetic reasons, especially in select athletes and bodybuilders or others concerned with cosmesis, but it is not necessary for restoration of function in the general population (545).

# **5.3. DIAGNOSTIC RECOMMENDATIONS**

Magnetic resonance imaging (MRI) has been used to diagnose bicipital tendinopathy (546) (547) (548) (549) (550) (551) (552) (553) (554).

MAGNETIC RESONANCE IMAGING FOR BICIPITAL TENDINOPATHY

# No Recommendation

There is no recommendation for or against MRI to diagnose bicipital tendinopathy. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

#### Level of confidence Low

# Rationale

There are no quality studies on the use of MRI for the diagnosis of bicipital tendinopathy. The bicipital tendon is relatively superficial and is accessible with physical examination, which helps obviate the need for MRI, especially as the tendon is prone to degeneration. Thus, abnormal MRIs in the absence of disease are predictable. Therefore, there is no recommendation for MRI. However, MRI may be indicated for patients with rotator cuff-related problems.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: magnetic resonance imaging (MRI); bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 2,530 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 2,530 articles, 19,545 in Scopus, 226 in CINAHL, 1,973 in Cochrane Library, 2,650 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 6 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **5.4. TREATMENT RECOMMENDATIONS**

# **5.4.1. INITIAL CARE**

Initial care of bicipital tendinopathies nearly always involves non-operative treatment, including among those with a complete tear. Educating the patient regarding the generally good long-term prognosis regardless of the presence or absence of a tear and need to continue use and ROM exercises is recommended. For patients with significant pain, over-the-counter (OTC) analgesics (NSAIDs, acetaminophen) and self-applications of heat and ice are recommended. Slings and immobilizers are not recommended.

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

# **5.4.2. ACTIVITY MODIFICATION AND EXERCISE**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

# EXERCISE PRESCRIPTIONS FOR BICIPITAL TENDINOPATHIES

#### Recommended

Exercise prescriptions are recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Exercise, Exercise Therapy; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 988 articles in PubMed, 38641 in Scopus, 481 in CINAHL, 495 in Cochrane Library, 553 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

# **RANGE-OF-MOTION EXERCISE FOR BICIPITAL TENDINOPATHIES**

#### Recommended

Range-of-motion exercise is recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Exercise, Exercise Therapy; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 988 articles in PubMed, 38641 in Scopus, 481 in CINAHL, 495 in Cochrane Library, 553 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# STRENGTHENING EXERCISE FOR BICIPITAL TENDINOPATHIES

## Recommended

Strengthening exercise is recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Exercise, Exercise Therapy; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 988 articles in PubMed, 38641 in Scopus, 481 in CINAHL, 495 in Cochrane Library, 553 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **AEROBIC EXERCISE FOR BICIPITAL TENDINOPATHIES**

#### Recommended

Aerobic exercise is recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Exercise, Exercise Therapy; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 988 articles in PubMed, 38641 in Scopus, 481 in CINAHL, 495 in Cochrane Library, 553 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **5.4.3. MEDICATIONS**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

### **NSAIDS FOR BICIPITAL TENDINOPATHIES**

#### Recommended

NSAIDs are recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Nonsteroidal anti-inflammatory drugs (NSAID); COX-2 inhibitors, ketorolac, ibuprofen, dexketoprofen, celecoxib, parecoxib, rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis, bicipital tendinosis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 185 articles in PubMed, 599 in Scopus, 31 in CINAHL, 42 in Cochrane Library, 46 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 8 from PubMed, 7 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 18 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ACETAMINOPHEN FOR BICIPITAL TENDINOPATHIES

#### Recommended

Acetaminophen is recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acetaminophen, paracetamol; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis, bicipital tendinosis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 38 articles in PubMed, 1810 in Scopus, 20 in CINAHL, 20 in Cochrane Library, 148 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

### **CAPSICUM FOR BICIPITAL TENDINOPATHIES**

#### **Not Recommended**

Capsicum is recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Topical Creams; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 article in PubMed, 552 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 23 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **GABAPENTIN FOR BICIPITAL TENDINOPATHIES**

#### **No Recommendation**

There is no recommendation for or against the use of gabapentin for the treatment of bicipital tendinopathies.

# Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# **TOPICAL NSAIDS FOR BICIPITAL TENDINOPATHIES**

#### No Recommendation

There is no recommendation for or against the use of topical NSAIDs for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Topical NSAIDS; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 187 articles in PubMed, 931 in Scopus, 14 in CINAHL, 6 in Cochrane Library, 62 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# LIDOCAINE PATCHES FOR BICIPITAL TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of lidocaine patches for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Lidocaine Patches; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 684 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 35 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SYSTEMIC ORAL STEROIDS FOR BICIPITAL TENDINOPATHIES

### Not Recommended

Systemic oral steroids are not recommended for the treatment of bicipital tendinopathies. Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

# **5.4.4. ELECTRICAL THERAPIES**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

# TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION FOR BICIPITAL TENDINOPATHIES

#### Recommended

Transcutaneous electrical nerve stimulation is recommended for the treatment of bicipital tendinopathies.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

# **ELECTRICAL MUSCLE STIMULATION FOR BICIPITAL TENDINOPATHIES**

#### No Recommendation

There is no recommendation for or against the use of electrical muscle stimulation for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# EXTRACORPOREAL SHOCKWAVE THERAPY FOR BICIPITAL TENDINOPATHIES

#### Not Recommended

Extracorporeal shockwave therapy is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# **5.4.5. HOT AND COLD THERAPIES**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these

inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

## HEAT THERAPIES FOR BICIPITAL TENDINOPATHIES

#### Recommended

Heat therapies are recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# COLD THERAPIES FOR BICIPITAL TENDINOPATHIES

#### Recommended

Cold therapies are recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# DIATHERMY FOR BICIPITAL TENDINOPATHIES

#### No Recommendation

There is no recommendation for or against the use of diathermy for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### **INFRARED THERAPY FOR BICIPITAL TENDINOPATHIES**

#### No Recommendation

There is no recommendation for or against the use of infrared therapy for the treatment of bicipital tendinopathies.

**Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# **5.4.6. ALLIED HEALTH INTERVENTIONS**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

# **ACUPUNCTURE FOR BICIPITAL TENDINOPATHIES**

#### Recommended

Acupuncture is recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acupuncture; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis, bicipital tendinosis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 articles in PubMed, 1678 in Scopus, 127 in CINAHL, 1 in Cochrane Library, 275 in Google Scholar, and 11 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 3 from Scopus, 2 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 11 from other sources. Of the 17 articles considered for inclusion, 1 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANUAL THERAPY FOR BICIPITAL TENDINOPATHIES

#### **No Recommendation**

There is no recommendation for or against the use of manual therapy for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# **MOBILIZATION FOR BICIPITAL TENDINOPATHIES**

#### **No Recommendation**

There is no recommendation for or against the use of mobilization for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### **MANIPULATION (SHOULDER) FOR BICIPITAL TENDINOPATHIES**

#### No Recommendation

There is no recommendation for or against the use of shoulder manipulation for the treatment of bicipital tendinopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### **BALNEOTHERAPY FOR BICIPITAL TENDINOPATHIES**

#### Not Recommended

Balneotherapy is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### LOW-LEVEL LASER THERAPY FOR BICIPITAL TENDINOPATHIES

Not Recommended

Low-level laser therapy is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### **INTERFERENTIAL THERAPY FOR BICIPITAL TENDINOPATHIES**

#### Not Recommended

Interferential therapy is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### **ULTRASOUND FOR BICIPITAL TENDINOPATHIES**

#### Not Recommended

Ultrasound is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## **MANIPULATION (NECK OR BACK) FOR BICIPITAL TENDINOPATHIES**

#### Not Recommended

Manipulation of the neck or back is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## **5.4.7. DEVICES**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

#### **SLINGS AND SUPPORTS FOR BICIPITAL TENDINOPATHIES**

#### Not Recommended

Slings and supports are not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## TAPING AND KINESIOTAPING FOR BICIPITAL TENDINOPATHIES

#### Not Recommended

Taping and kinesiotaping are not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### **MAGNETS FOR BICIPITAL TENDINOPATHIES**

Not Recommended

Magnets are not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## MAGNETIC STIMULATION FOR BICIPITAL TENDINOPATHIES

#### Not Recommended

Magnetic stimulation is not recommended for the treatment of bicipital tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# **5.4.8. INJECTION THERAPIES**

Glucocorticosteroid injections have been used for treatment of bicipital tendinopathy (555) (442) (556) (557) (558).

# GLUCOCORTICOSTEROID INJECTIONS FOR ACUTE, SUBACUTE, OR CHRONIC BICIPITAL TENDINOPATHY

#### Recommended

Glucocorticosteroid injections are recommended for treatment of acute, subacute, and chronic bicipital tendinopathy.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Moderate to severe pain from bicipital tendinopathy that is not satisfactorily controlled with NSAID(s) or acetaminophen.

## Benefits

Improved or resolved pain.

#### Harms

Steroid flare after an injection; rare infection, potential for tendon rupture, especially with accidental intra-tendon injection, although the condition is associated with a baseline degenerative tendon.

## Frequency/Dose/Duration

Single injection should be scheduled and results evaluated, rather than scheduling a series of injections. If there are concerns about concomitant symptomatic rotator cuff tendinopathy, then simultaneous double injections of the subacromial space and long head of the biceps may be attempted (Wang, 2019). A second injection after waiting at least 2 weeks may be reasonable if the response is suboptimal or the tendon sheath region was felt to have not been adequately addressed, though it would be appropriate to consider a different technique or imaging. RCTs have utilized triamcinolone acetonide 40mg (Wang, 2019), triamcinolone acetate 40 mg (Yiannakopoulos et al., 2020), However, as the target tissue space is relatively small in comparison with the subacromial space and there is propensity for rupture, a low dose (e.g., methylprednisolone 10-20mg) is advised for an initial injection. A higher dose may be advised if the results from the first injection are inadequate.

## **Indications for Discontinuation**

A second glucocorticosteroid injection is not recommended if the first injection has resulted in significant reduction or resolution of symptoms. If there are concerns about concomitant symptomatic rotator cuff tendinopathy, then simultaneous double injections of the subacromial space and long head of the biceps may be attempted (Wang, 2019). If there has not been a response to a first injection, there is generally less indication for a second. If there is reason to believe the medication was not well placed (e.g., no post-injection anesthesia from the anesthetic) and/or if the underlying condition is so severe that one steroid bolus could not be expected to adequately treat the condition, a second injection may be indicated. There is evidence from two small randomized studies that ultrasound guidance improves results (Yiannakopoulos et al., 2020) and accuracy (Hashiuchi et al., 2011). Thus, the use of US guidance is both acceptable/recommended for coverage, but it is also not essential.

## Rationale

There are no placebo-controlled trials. There is one small-sized, moderate quality study suggesting ultrasound guidance is helpful, but the evidence also suggests use of US-guidance is not essential (Yiannakopoulos et al., 2020). Another RCT found greater accuracy with US guidance, but included no medication (Hashiuchi et al., 2011); thus, whether the results would be meaningfully different clinically was not determined. Injections are invasive, have a low risk of adverse effects and are moderately costly. They are thought to be effective for bicipital tendinopathy and thus are recommended. Due to risk of bicipital rupture, which is common with this degenerative tendon problem, a low dose of steroid is advised for an initial injection.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Glucocorticosteroid Injections; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 256 in Scopus, 0 in CINAHL, 5 in Cochrane Library, 81 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 3 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## PLATELET-RICH PLASMA INJECTIONS FOR BICIPITAL TENDINOPATHY

#### **No Recommendation**

There is no recommendation for or against the use of subacromial platelet-rich plasma (PRP) injections for the treatment of bicipital tendinopathy.

[58.33% panel agreement on No Recommendation. 8.33% agreed with Recommended and 33.33% agreed with Not Recommended.]

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There are no quality trials of PRP injections. By analogy for the similar pathophysiological condition of rotator cuff tendinopathy, the literature conflicts. Thus, there is no recommendation for or against PRP injections for bicipital tendinopathy. The panel vote as split as noted above.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Platelet-rich Plasma Injections; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 178 articles in PubMed, 5760 in Scopus, 41 in CINAHL, 57 in Cochrane Library, 98 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## STEM CELL INJECTIONS FOR BICIPITAL TENDINOPATHY

#### No Recommendation

There is no recommendation for stem cell therapy for bicipital tendinopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies, and thus there is no recommendation regarding stem cell therapy for bicipital tendinopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Stem cell injections, stem cell

therapy, stem cell, hematopoietic stem cells, hematopoietic stem cell transplantation; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 34 articles in PubMed, 70 in Scopus, 13 in CINAHL, 1 in Cochrane Library, 91 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 2 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **5.4.9. SURGICAL CONSIDERATIONS**

Surgery for select patients with bicipital tendon tears has been used to treat bicipital tendinopathy (1612) (1636) (1664) (1665) (1634) (1666) (1644) (1667) (1668).

Rotator cuff repair surgery has been performed at the same time as treatment for bicipital tendinopathy (see Rotator Cuff Tendinopathy).

Tenodesis and tenotomy have been used for the treatment of bicipital tendinopathy with or without rotator cuff tendinopathies (1606) (1607) (1608) (1609) (1610) (1611) (1612) (1613) (1614) (1615) (1616) (1617) (1618) (1619) (1620) (1621) (1622) (1623) (1624) (1625) (1626) (1627) (1628) (1629) (1630) (1631) (1632) (1633) (1634) (1635) (1636) (1637) (1029) (1638) (1639) (1640) (1641) (995) (1642) (1643) (1644) (1645) (1646) (1647) (1648) (1649) (1650) (1651) (1652) (1653) (1654) (1655) (1656) (1658) (1657) (1659) (1660) (1661) (1662) (1663) (1669) (1670) (1671) (1672) (1673) (1674) (1675) (1676) (1677).

## SURGERY FOR SELECT PATIENTS WITH COMPLETE BICIPITAL TENDON TEARS

#### Sometimes Recommended

Surgery is recommended for select patients with complete bicipital tendon tears. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Rare patients with significant incapacity due to the tear, generally having very high physicallydemanding jobs, as the long head and bicipital tendon play a negligible role in the dynamic stability and/or strength of the shoulder. Surgical procedure is usually tenodesis and not repair.

## Benefits

Primarily cosmetic. Less risk of popeye deformity after tenodesis than tenotomy (Castricini, 2018, MacDonald et al., 2020, De Carli et al., 2012, Cai et al., 2019, Woodmass et al., 2021), including if combined with rotator cuff repair (Lee et al., 2016); however, earlier pain relief has been reported from tenotomy (Belay et al., 2019, Zhang et al., 2015).

## Harms

Risk of infection, pain associated with surgery, surgical complications. Increased risk of humeral fracture.

## Frequency/Dose/Duration

The most common reasons for revision surgery are reportedly pain, cramping and re-rupture.

## **Indications for Discontinuation**

Not applicable.

## Rationale

There are no RCTs comparing surgical with non-operative management. There are many moderate quality trials comparing types of surgeries, especially comparing tenotomy and tenodesis. There is no quality evidence that tenodesis is clearly superior to tenotomy, although there is less risk of popeye deformity after tenodesis than tenotomy (Castricini, 2018, MacDonald et al., 2020, De Carli et al., 2012, Cai et al., 2019)(Woodmass et al., 2021), including if combined with rotator cuff repair (Lee et al., 2016); however, earlier pain relief has been reported from tenotomy (Belay et al., 2019, Zhang et al., 2015). Thus, there is no recommendation of tenodesis compared with tenotomy.

The bicipital tendon is not required for function of the shoulder or arm and thus it generally does not require surgical repair. The primary indications for surgical repair are related to cosmesis, and potentially intolerable pain thought to be emanating from the bicipital tendinopathy. Thus, surgical repair is not indicated for the vast majority of patients with these ruptures and is selectively recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Surgery, tenodesis, tenotomy; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2818 articles in PubMed, 73581 in Scopus, 580 in CINAHL, 430 in Cochrane Library, 97 in Google Scholar, and 12 from other sources<sup>†</sup>. We considered for inclusion 6 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 12 from other sources. Of the 18 articles considered for inclusion, 11 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **ROTATOR CUFF REPAIR WITH BICIPITAL TENDINOPATHY**

#### No Recommendation

Rotator cuff repair surgery has been performed at the same time as treatment for bicipital tendinopathy. (See Rotator Cuff Tendinopathy.) **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Rotator Cuff Repair; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 275 articles in PubMed, 12850 in Scopus, 61 in CINAHL, 65 in Cochrane Library, 559 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 0 randomized trial and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## TENODESIS OR TENOTOMY FOR BICIPITAL TENDINOPATHY WITH ROTATOR CUFF TEARS

#### No Recommendation

There is no recommendation for tenodesis or tenotomy for treatment of long head of the biceps tendinopathy in combination with rotator cuff tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are multiple trials comparing tenodesis with tenotomy for treatment of biceps tendinopathies with or without cuff tears. The literature shows no significant differences between the two approaches (Belay et al., 2019, Castricini, 2018, Hufeland, 2019, Lee et al., 2016, Zhang et al., 2015, Mardani-Kivi et al., 2019, De Carli et al., 2012) and between high vs. subpectoral tenodesis (Franceschetti, 2020) and suprapectoral vs. open subpectoral tenodesis (Forsythe, 2020). There also is no difference at 4-years between detaching or not detaching the bicipital tendon (Franceschi, 2007). Thus, there is no recommendation.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Tenodesis; rotator cuff

tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 113 articles in PubMed, 1391 in Scopus, 65 in CINAHL, 18 in Cochrane Library, 189 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 50 from PubMed, 9 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources trials and 6 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Biceps Tenotomy; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 94 articles in PubMed, 926 in Scopus, 29 in CINAHL, 1 in Cochrane Library, 94 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 5 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 1 randomized trial and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **5.4.10. REHABILITATION PROGRAMS**

Bicipital tendinopathy treatment recommendations largely require inference from RCTs (other than for injections and surgery, see below), as quality studies are not currently available. Thus, all of these inferential recommendations are listed as "Insufficient Evidence (I)" with low levels of confidence. See also the Indications, Harms, Benefits, Frequency/Dose/Duration, Indications for Discontinuation, and Rationale in the Rotator Cuff Tendinopathies recommendations.

## **POSTOPERATIVE REHABILITATION FOR BICIPITAL TENDINOPATHIES**

## Recommended

Postoperative rehabilitation is recommended for the treatment of bicipital tendinopathies.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Physical Therapy; bicipital tendinosis, bicipital tendinopathy, shoulder pain, ruptured bicipital tendon, biceps tendon tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1,527 articles in PubMed, 15,173 in Scopus, 227 in CINAHL, 509 in Cochrane Library, 519 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 1 from Scopus, 5 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 5.4.11. FOLLOW-UP VISITS

Patients with bicipital tendinopathies usually require follow-up appointments, particularly if they are undergoing active treatment(s), need assistance with advancing a course of exercises, and/or require significant work limitations that need frequent adjustments. Frequencies of appointments may also be greater when more workplace limitations are required and job demands are greater. The rare patients with bicipital tears who undergo surgical repair may require at least several weeks to a couple months of post-operative rehabilitation. Patients with bicipital tears managed non-operatively may require longer duration limitations and slower recovery may occur, particularly if there is concomitant rotator cuff tendinopathy. In those cases, the patient may require therapy on a prolonged basis in order to recover as much function as possible.

# 6. SHOULDER OSTEOARTHROSIS (GLENOHUMERAL AND ACROMIOCLAVICULAR JOINT)

# **6.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing Shoulder Osteoarthrosis from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level

- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

## 6.2. OVERVIEW

The shoulder joints are substantially less likely to be affected by osteoarthrosis (one type of degenerative joint disease) than other joints such as the knees, hips, spine, or fingers. As with other joints, there may be multiple diagnostic causes of the degenerative findings on x-ray, only one of which is osteoarthrosis. Careful evaluation is required to identify the correct diagnosis. While most osteoarthrosis cases are not work related, some cases, especially unilateral, ipsilateral post-occupational fracture-related arthroses, are thought to be occupationally related.

## **6.3. WORK LIMITATIONS**

Glenohumeral and AC joint osteoarthroses generally do not require work limitations. Occasionally limitations are required in severe cases to preclude significant symptomatic aggravation especially for more physically demanding work such as preventing overhead use, lifting of more than 15 pounds, repeated forceful use, and/or avoidance of other activities that significantly increase symptoms. Shoulder arthroplasty generally precludes return to physically demanding work.

## **6.4. DIAGNOSTIC CRITERIA**

A degenerative joint disease diagnosis requires non-radiating pain and degenerative findings on x-ray. Confirming a diagnosis of osteoarthrosis requires attention to the history, evaluation of other joints, and exclusion of other causes, such as inflammatory or crystal arthropathies and rotator cuff tendinopathy and labral tears.

## **6.5. DIAGNOSTIC RECOMMENDATIONS**

## 6.5.1. ANTIBODIES

There are numerous antibodies that are markers for specific inflammatory arthropathies (e.g., rheumatoid factor, anti-nuclear antibodies, anti-Sm, anti-Ro, anti-La for rheumatoid arthritis, systemic lupus erythematosus, Sjogren's, mixed connective tissue disorder, etc.) (559,560). Patients with inflammatory arthropathies are at increased risk for degenerative joint disease of the shoulder joints, as well as subacromial bursitis.

#### **ANTIBODIES TO CONFIRM SPECIFIC DISORDERS**

## **Sometimes Recommended**

Antibody levels are selectively recommended to evaluate and diagnose patients with shoulder pain that have reasonable suspicion of rheumatological disorders including inflammatory arthropathies.

Antibody levels are strongly recommended as a screen to confirm specific rheumatological disorders when there are indications (e.g., symptoms and/or signs suggestive of rheumatoid arthritis), but are generally not indicated for most patients with other specific soft tissue musculoskeletal disorders, such as rotator cuff tendinopathies due to high false positive rates in that non-specific diagnostic setting. Consultation with a rheumatologist may be helpful when there is a known or suspected disorder.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

## Indications

Shoulder pain and a presumptive diagnosis of an inflammatory rheumatological disorder. May include pain that fails to respond as would be expected, with or without findings in other joints. Findings in other joints increases the probability that testing will be positive. Testing is generally not indicated for most patients with rotator cuff tendinopathies. Testing is also not generally indicated at initial symptoms presentation unless symptoms have been present for at least a few weeks and/or are severe; otherwise, e.g., negative test results are more likely as insufficient time is likely to have passed and may mislead.

## Benefits

Secure an accurate diagnosis, which should then focus the treatment plan to more efficacious treatments.

## Harms

Potential for false-positive tests; however that is generally minimal unless the pre-test probability is low.

## Frequency/Dose/Duration

Generally only ordered one time. However, if the testing was performed early and there is further disease persistence or progression, a second test is reasonable as more time may be required for the antibody tests to become positive.

## Rationale

Elevated antibody levels are highly useful for confirming clinical impressions of inflammatory rheumatological diseases. However, routine use of these tests in shoulder pain patients is not recommended, especially as wide-ranging, non-focused test batteries are likely to result in inaccurate diagnoses due to false positives and low pre-test probabilities. Providers should also be aware that false-negative results occur. Measurement of antibody levels is minimally invasive, unlikely to have substantial adverse effects, and is low to moderately costly depending on the specific test ordered. They are recommended for focused testing of a limited number of diagnostic considerations. However, ordering of a large, diverse array of antibody levels without targeting a few specific disorders diagnostically is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Antibodies; rotator cuff

tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 9 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 9 articles, 676 in Scopus, 2 in CINAHL, 1 in Cochrane Library, 119 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **6.5.2. C-REACTIVE PROTEIN**

There are many markers of inflammation that may be measured serologically in patients (109,110,117,112,113,114,115). These include C-reactive protein (CRP), erythrocyte sedimentation rate (ESR), aldolase, interleukins, ferritin, and an elevated total protein-albumin gap. These non-specific inflammatory markers may be helpful in evaluating patients with shoulder degenerative joint diseases (561,562,563,564,565,566,567,568,569,570,571,572).

## NON-SPECIFIC INFLAMMATORY MARKERS FOR SCREENING FOR INFLAMMATORY DISORDERS IN SUBACUTE OR CHRONIC SHOULDER PAIN

#### Sometimes Recommended

Serum measures of erythrocyte sedimentation rate, C-reactive protein, creatine kinase muscle, aldolase, hyaluronic acid, and other inflammatory markers are selectively recommended for screening either inflammatory disorders with reasonable suspicion of inflammatory disorder in patients with subacute or chronic shoulder pain or osteoarthrosis. They are generally not indicated for patients with non-specific disorders, such as rotator cuff tendinopathies.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

## Indications

Shoulder pain and a presumption of an inflammatory process. Pain that fails to respond as would be expected, with or without findings in other joints. Findings in other joints increases the probability that testing will be positive. Testing is generally not indicated for most patients with rotator cuff tendinopathies. Testing is also not generally indicated at initial symptoms presentation unless symptoms have been present for at least a few weeks and/or are severe; otherwise, e.g., negative test results are more likely as insufficient time is likely to have passed and may mislead.

#### Benefits

Identify whether an inflammatory process is likely, which may help focus on the need for further testing to secure an accurate diagnosis.

#### Harms

Potential for false-positive tests; however, that is generally minimal unless the pre-test probability is low.

## Frequency/Dose/Duration

Generally only ordered one time. However, if the testing was performed early, and there is further disease persistence or progression, a second test is reasonable as the inflammatory mediators may have needed additional time to become positive.

#### Rationale

Erythrocyte sedimentation rate (ESR) is the most commonly used systemic marker for non-specific inflammation. It is elevated in numerous inflammatory conditions including rheumatological disorders as well as infectious diseases. C-reactive protein (CRP) is a marker of systemic inflammation that has been associated with an increased risk of coronary artery disease. It is also a non-specific marker for other inflammation. Both ESR and CRP are also markers of infection. Numerous inflammatory markers have been found to be elevated in patients with musculoskeletal disorders but because it is not known whether these factors precede or are a consequence of the disease processes, their utility in patient management is unclear. Other non-specific markers of inflammation include elevated ferritin and an elevated protein-albumin gap, neither of which have known clinical roles. Serological studies for non-specific inflammatory markers are minimally invasive, have low risk of adverse effects, and are low cost. They are recommended as a reasonable screen for systemic inflammatory conditions especially if the patient also has other pain without clear definition of a diagnosis or those with fibromyalgia or myofascial pain syndrome, although specificity is not high. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

A large study found elevated biomarkers (C-reactive protein, creatine kinase muscle, aldolase) are associated with osteoarthrosis compared with normal controls (Ganguly, 2019). Another study found elevated serum hyaluronic acid levels among both those with either rheumatoid arthritis or osteoarthrosis, although the HA levels were higher among those with rheumatoid arthritis (Goldberg RL, 1991) and TNF alpha, IL-1B, IL-10 and IL-17 (Hussein et al., 2008). However, clear distinctions between these measures among those with osteoarthrosis and inflammatory arthropathies is not apparent in the available literature. Thus, the utility of these tests may be as potential screening for arthropathies irrespective of inflammatory arthroses.

A high-quality, 7-year study of 880 elderly subjects evaluated impacts of IL-6 and CRP on both crosssectional associations with morbidity and long-term mortality (Taaffe DR, 2000). CRP and IL-6 were higher among smokers at baseline and those with higher body mass indexes (BMIs). IL-6 and CRP were also higher among those with hypertension, myocardial infarction, stroke, glycosylated hemoglobin levels, HDL, and number of chronic conditions. Both IL-6 and CRP were inversely related to quartiles of moderate and strenuous physical activity. CRP and/or IL-6 were associated with incidence of hypertension, myocardial infarction, diabetes, and incident cases of chronic conditions. Physical performance measures of changes in grip strength, signature time, chair-rise and 6-m fast walk all were not significant for IL-6 or CRP.

Serological studies for non-specific inflammatory markers are minimally invasive, have low risk of adverse effects, and are low cost. They are recommended as a screen for systemic inflammatory and osteoarthrosis conditions especially if the patient also has other pain without clear definition of a diagnosis, although specificity is not high and these measures tend to be elevated in both

osteoarthrosis and inflammatory disorders, with higher levels among those with inflammatory disorders. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: blood sedimentation, c reactive protein, procalcitonin, nonspecific inflammatory markers; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 6 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6 articles, 756 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 11,000 in Google Scholar, and 0 from other sources. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 6 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.<sup>†</sup>

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cytokines; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 12 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 12 articles, 1030 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 12,000 in Google Scholar, and Ofrom other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.<sup>+</sup>

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: C-Reactive Protein, Erythrocyte Sedimentation Rate, Non-Specific Inflammatory Markers; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 35 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 37 articles, 61 in Scopus, 10 in CINAHL, 3 in Cochrane Library, 171 in Google Scholar, and 0 from other sources<sup>†</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.3. X-RAYS

X-ray is the most basic anatomical test for arthritides and degenerative joint disease (126,127,573,574,575). Osteoarthrosis is characterized by four chief features on x-ray: joint space narrowing, subchondral bone sclerosis, marginal osteophytes, and subchondral cysts (576). The differential diagnosis for degenerative joint disease includes gout, pseudogout, hydroxyapatite deposition disease, rheumatoid arthritis, psoriasis, etc., which may or may not have differences with findings from osteoarthrosis-related degenerative joint disease. American College of Radiography guidelines have been published (577,578).

## X-RAYS FOR EVALUATION OF SHOULDER OSTEOARTHROSIS

## Recommended

X-rays are recommended for evaluation of acute, subacute, or chronic shoulder pain and arthritis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

Most patients with shoulder pain are candidates for x-rays, especially for significant trauma, pain without trending towards improvement, impaired use, and those with red flags. Age has been found to be a potent predictor of increased degenerative changes found on x-ray in the acromioclavicular joint (Bonsell et al., 2000), and changes in the critical angle combined with age have been found to predict glenohumeral pathology. Reportedly, x-ray has been helpful for diagnosing os acromiale in shoulder pain patients who were otherwise thought to not have the condition (Burbank et al., 2008).

## Benefits

Defining the presence and severity of degenerative joint disease. Diagnosis of a fracture, calcific tendinitis, erosive lesions, or otherwise latent medical condition(s) to assist with narrowing the differential diagnosis.

## Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

## Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. For patients with chronic shoulder pain, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

X-rays are helpful to evaluate most patients with shoulder pain, both to diagnose and to assist with the differential diagnostic possibilities such as arthroses. X-rays are particularly helpful for diagnosis of calcific tendinitis, which results in different treatment options. Glenohumeral arthrosis is also more likely if there is a full-thickness rotator cuff tear (Gartsman et al., 1997). Plain radiographic findings are used to stage disease involvement in osteonecrosis or humeral avascular necrosis. X-rays are non-invasive, low to moderate costly, and have little risk of adverse effects, and therefore are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Roentgenograms, X-Rays; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 1,148 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 1,148 articles, 1,530 in Scopus, 3 in CINAHL, 5 in Cochrane Library, 15,800 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 4 articles considered for inclusion, 3 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 6.5.4. SHOULDER ARTHROSCOPY

Arthroscopy has been used for diagnosis and as part of a therapeutic surgical treatment (58,141,142,143,144,145,579,580,581,582,583,584).

## DIAGNOSTIC ARTHROSCOPIC SURGERY FOR OSTEOARTHROSIS

#### **Not Recommended**

Diagnostic arthroscopy is not recommended for diagnostic evaluation of patients with osteoarthrosis. However, there are other indications for arthroscopy. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Rationale

Arthroscopy is performed nearly universally in a context of a pre-operative diagnosis that is believed to be a treatable abnormality, rather than merely for diagnostic purposes (Dinnes et al., 2003, Fouse et al., 2007, Abrams, 2006, Baker et al., 2003, Ahmad et al., 2004, Boszotta et al., 2004). There is no arthroscopic treatment shown to be effective for osteoarthrosis; thus, arthroscopy for osteoarthrosis is not recommended. However, there are other indications for arthroscopy (e.g., significant labral tear).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shoulder Arthroscopy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 305 articles in PubMed using

Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 305 articles, 4,956 in Scopus, 1 in CINAHL, 183 in Cochrane Library, 30,200 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 3 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 4 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.5. BONE SCANS

Bone scans involve intravenous administration of Technetium Tc-99m that is preferentially concentrated in areas of metabolic activity (turnover) in bone. The radioactivity is then detected by a large sensor and converted into skeletal images showing the increased uptake. There are many causes for abnormal radioactive uptake; thus, positive bone scans are not highly specific (585,586,587,588).

# BONE SCANNING FOR SELECT USE IN ACUTE, SUBACUTE, OR CHRONIC PAIN AND OSTEOARTHROSIS

#### **Sometimes Recommended**

Bone scanning is selectively recommended for evaluation of patients with osteoarthrosis, particularly where there is more than one joint to be evaluated in patients with acute, subacute, or chronic pain to assist in the diagnosis of osteonecrosis or other conditions with increased bone metabolism. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Shoulder pain with suspicion of osteonecrosis or other increased polyostotic bone metabolism in multiple joints and bones or acromioclavicular joint pain. This includes suspicion of multiple myeloma, metastases, infection, inflammatory arthropathies, fracture, or other significant bone trauma.

#### Benefits

Diagnosis of osteonecrosis, multiple myeloma, metastases, infection, inflammatory arthropathies, fracture, other significant bone trauma, or other increased polyostotic bone metabolism in multiple joints and bones or acromioclavicular joint pain.

#### Harms

Some radiation exposure.

## Frequency/Dose/Duration

Obtaining bone scans once is generally sufficient. For rare patients with chronic shoulder pain, or a disorder with a need to track activity (e.g., cancer), it may be reasonable to obtain a subsequent bone scan to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

Bone scanning may be a helpful diagnostic test to evaluate suspected metastases (multiple sites), infected bone (osteomyelitis), inflammatory arthropathies, and trauma (e.g., occult fractures), particularly if MRI is not available or is contraindicated. It may be helpful in those with suspected, early osteonecrosis (avascular necrosis) without x-ray changes. In cases where the diagnosis is felt to be secure, there is no indication for bone scanning as it does not alter the treatment or management. Bone scanning is minimally invasive, has minimal potential for adverse effects (essentially equivalent to a blood test), but is high cost.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Bone Scan, bone scintigraphy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 57 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 57 articles, 1,640 in Scopus, 0 in CINAHL, 458 in Cochrane Library, 5,580 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 6.5.6. COMPUTED TOMOGRAPHY (CT)

Computerized tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most shoulder pain patients have issues with soft tissue rather than bony abnormalities in the shoulder (589,590); thus, on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear.

## COMPUTED TOMOGRAPHY (CT) FOR EVALUATION OF OSTEOARTHROSIS

#### Not Recommended

Computerized tomography is not recommended for the evaluation of osteoarthrosis. There are other indications for CT. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Rationale

CT is particularly used to evaluate osseous structures; however, x-rays suffice for the vast majority of patients with osteoarthrosis and it is thus not recommended. There are other indications for CT, including preoperative planning (Scalise et al., 2008).

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Computerized Tomography, CT; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 300 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 300 articles, 2,423 in Scopus, 68 in CINAHL, 1,420 in Cochrane Library, 20,000 in Google Scholar, and Ofrom other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 2 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.7. HELICAL CT

## **HELICAL CT FOR EVALUATION OF OSTEOARTHROSIS**

#### Not Recommended

Helical CT is not recommended for the evaluation of osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Rationale

There is no quality evidence that helical CT scans help in the diagnosis of shoulder osteoarthrosis and thus they are not recommended. There are other indications for helical CT scans.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Helical CT Scans, tomography, spiral computed tomography; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative

predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 3 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 0 articles, 60 in Scopus 0 in CINAHL, 1 in Cochrane Library, 2,910 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 2 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **6.5.8. LOCAL ANESTHETIC INJECTIONS**

Diagnostic injections particularly of the subacromial space, glenohumeral joint and acromioclavicular joint are sometimes performed. However, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes.

See Rotator Cuff Tendinopathy Injections.

# 6.5.9. FUNCTIONAL CAPACITY EVALUATIONS

## FUNCTIONAL CAPACITY EVALUATIONS FOR CHRONIC DISABLING SHOULDER PAIN

## Recommended

Functional capacity evaluations (FCEs) are recommended as an option for evaluation of disabling chronic shoulder pain where the information may be helpful to attempt to objectify worker capability, function, motivation, and effort vis-à-vis either a specific job or general job requirements. There are circumstances where a patient is not progressing as anticipated at 6 to 8 weeks and an FCE may help evaluate functional status and patient performance in order to match performance to specific job demands, particularly in instances where those demands are medium to heavy. If a provider is comfortable describing work ability without an FCE, there is no requirement to do this testing. Recordings or observation for signs of mismatch between effort and self-reported abilities may be particularly helpful.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Patients with moderate to severe chronic shoulder pain that has ongoing functional impairments and need to attempt to identify and quantify limitations. There are circumstances where a patient is not progressing as anticipated at 6 to 8 weeks and an FCE can evaluate functional status and patient performance in order to match performance to specific job demands, particularly in instances where those demands are medium to heavy. More typically, FCEs are useful after a healing plateau is

established whether surgery was performed or not. If a provider is comfortable describing work ability without an FCE, there is no requirement to do this testing. Recordings or observation for signs of mismatch between effort and self-reported abilities may be particularly helpful.

## Benefits

Identification and enumeration of limitations. Assess functional abilities and may facilitate greater confidence in return to work.

## Harms

Inappropriately low estimates of abilities, self-limitation of efforts, excessive disability, inappropriately precluding the performance of tasks and activities the person could safely perform. Medicalization, worsening of shoulder pain with testing; may have misleading results that understate capabilities.

## Frequency/Dose/Duration

Generally, only one test is needed. A repeat FCE may be needed if there are substantial changes in the person's condition or status, or if there is a need to assess projected performance against a different set of job criteria.

## Rationale

There are no quality studies of FCEs to evaluate ability to perform work and/or work limitations. Yet, FCEs are one of the few means to attempt to objectify limitations and are frequently used in workers' compensation systems, particularly as the correlation between clinical pain ratings and functional abilities appears weak (Brouwer et al., 2005, Gross et al., 2003, Reneman et al., 2002, Reneman et al., 2007, Schiphorst Preuper et al., 2008, Smeets et al., 2007, Eriksen et al., 2006). However, obtaining objective data regarding shoulder problems is somewhat more challenging than for distal upper extremity-related impairments due to the degree of reliance on the patient's subjective willingness to exert or sustain major activities that are critical for job performance. Because their reliability and validity have not been proven, FCEs should be utilized to evaluate work ability about what a patient was willing to do on a given day. They should be carefully performed and interpreted, but FCEs should not be used to override the judgment about the work ability of a patient with a shoulder problem.

Many commercial FCE models are available. There is research regarding inter-and intra-rater reliability for some of the models (complete discussion is beyond the scope of this guideline). The validity of FCEs, particularly predictive validity, is more difficult to determine, since factors other than physical performance may affect return to work (Pransky et al., 2004, Gouttebarge et al., 2004). An FCE may be done for one or more reasons, including identifying an individual's ability to perform specific job tasks associated with a job (job-specific FCE) and physical activities associated with any job (general FCE), or to assist in the objectification of the degree(s) of impairment(s). The type of FCE needed, and any other issues the FCE evaluator needs to address, should be specified when requesting an FCE.

The term "capacity" used in FCE may be misleading, since an FCE generally measures an individual's voluntary performance rather than his or her capacity. Physical performance is affected by psychosocial as well as physical factors. The extent of an individual's performance should be evaluated as part of the FCE process through analysis of his or her level of physical effort (based on physiological and biomechanical changes during activity) and consistency of performance. Perhaps more

importantly, the objective findings identified in the musculoskeletal evaluation should correlate with any identified functional deficits. The individual's performance level, especially as it relates to stated levels of performance, should be discussed in the FCE report. A properly performed and well-reported FCE will highlight such discrepancies. This is particularly important in shoulder evaluations where there may be greater degrees of impairments at stake and where there are somewhat fewer metrics available than for the distal upper extremity.

FCE test components may vary depending on the model used, but most contain the following:

- Patient interview including: informed consent, injury/illness and medical history, current symptoms, activities and stated limitations, pain ratings/disability questionnaires
- Musculoskeletal examination (e.g., including analogues of Waddell's non-organic signs for the shoulder such as non-anatomic pain)
- Observations throughout the session (e.g., demonstrated sitting tolerance, pain modifying behaviors)
- Material handling tests (lifting, carrying, pushing, pulling)
- Movement tests (walking, crouching, kneeling, reaching, etc.)
- Positional tolerance tests
- Dexterity/hand function
- Static strength (varies among models)
- Aerobic fitness (usually submaximal test-also variable among models)
- Job-specific activities as relevant
- Reliability of client reporting (e.g., non-organic signs, pain questionnaires, placebo tests, etc.)
- Physical effort testing (e.g., Jamar Dynamometer maximum voluntary effort, bell curve analysis, rapid exchange grip, competitive test performance, heart rate, observation of clinical inconsistencies, etc.)

FCE test length may vary between FCE models, although most 1-day FCEs are completed in 3 to 4 hours. Two-day tests, where the patient is seen on 2 consecutive days, may be recommended when there are problems with fatigue (e.g., chronic fatigue syndrome), delayed onset of symptoms, unusually complex job demands to simulate, and questions about symptom validity. Test length for 2-day tests is generally 3 to 4 hours on the first day, and 2 to 3 hours on the second day.

Interpretation of FCE results is complicated in that it is a measure of voluntary performance. Before beginning testing, the patient is counseled to avoid doing anything to knowingly reinjure him or herself. Thus, "fear avoidance" may cause testing to seriously underestimate actual ability and result in a report that the patient had "self-limited performance due to pain," suggesting a low pain tolerance, when in reality the patient was doing what he or she was instructed.

By analogy, the best studies on the ability of FCEs to predict safe re-entry to the workplace following rehabilitation of work-related back pain/injury suggest that FCEs are not able to predict safe return to work (concurrent validity) (Gross et al., 2005, Gross et al., 2004, Gross et al., 2004). In a prospective cohort study of 1,438 consecutive work-related back patients, all underwent an FCE prior to return to work. In the control group, the FCE was used to write return-to-work guidelines, while in the study group it was ignored and the worker was returned usually to full duty. Ignoring the FCE improved outcome (Hall et al., 1994).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Functional Capacity Evaluations; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 6 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 34 articles, 42 in Scopus, 8,289 in CINAHL, 11 in Cochrane Library, 334 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.10. MAGNETIC RESONANCE IMAGING (MRI)

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (591,592,184,593,594,595,57,596,597,598,599,600,601,602,603,604,605,606,607,608,609,610,611,6 12,613,614).

## **MAGNETIC RESONANCE IMAGING (MRI) FOR EVALUATION OF OSTEOARTHROSIS**

## Not Recommended

Magnetic resonance imaging (MRI) is not recommended for the evaluation of osteoarthrosis of either the glenohumeral or acromioclavicular joint. There are other indications for MRI. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Rationale

MRI is particularly used to evaluate soft tissue. X-rays suffice for the vast majority of patients with osteoarthrosis. There is no quality evidence that MRI adds diagnostic value to that of x-ray for osteoarthrosis. Thus, MRI is not recommended. There are other indications for MRI.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Imaging, MRI; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint;

diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 208 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 208 articles, 2,682 in Scopus, 1 in CINAHL, 1 in Cochrane Library, 28,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 7 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 9 articles considered for inclusion, 8 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.11. ARTHROGRAPHY

Arthrography involves the injection of contrast into the joint. It was modified in the 1970s to include injection of air ("double contrast") (131). Arthrography under fluoroscopy in isolation has now been almost entirely replaced by other procedures, including MRI and MR arthrography, primarily due to its low sensitivity for full-thickness tears and essentially no sensitivity for partial-thickness tears (199). Most arthrograms including MR arthrogram and CT arthrogram are performed using fluoroscopy to localize the joint and inject the contrast agent (615,616,617).

## **ARTHROGRAPHY FOR EVALUATION OF OSTEOARTHROSIS**

## Not Recommended

Arthrography is not recommended for the evaluation of shoulder osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Rationale

There is no quality evidence of the utility of arthrography for diagnosing osteoarthrosis and thus it is not recommended as a standalone diagnostic procedure. However, there are indications for arthrography combined with advanced imaging (e.g., MRA).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Arthrography; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 77 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 77 articles, 1,433 in Scopus, 0 in CINAHL, 164 in Cochrane Library, 9,290 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.12. MAGNETIC RESONANCE ARTHROGRAM (MRA)

Magnetic resonance (MR) arthrography combines an MRI with an arthrogram to overcome limitations of each test and is usually performed in preference to CT arthrography unless bony structure definition is needed as well (173,174). MR arthrography is particularly thought to be effective for imaging labral pathology (175,176,177,178,179,180,43,181,618,619).

## MAGNETIC RESONANCE ARTHROGRAPHY (MRA) FOR EVALUATING OSTEOARTHROSIS

## Not Recommended

Magnetic resonance arthrography (MRA) is not recommended for evaluating osteoarthrosis. However, there are other indications for MRA.

**Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

## Rationale

Magnetic resonance arthrography is particularly used to evaluate select soft tissues such as labral tears. X-rays suffice for most patients with osteoarthrosis and thus MRA is not recommended. There are other indications for MRA.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Arthrogram; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 30 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 30 articles, 58 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 6,670 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 2 from other sources. Of the 4 articles considered for inclusion, 4 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.13. ULTRASOUND

Diagnostic ultrasound has been used for evaluating rotator cuff tears (184,185,186,187,188,189) and has been attempted for diagnosis of shoulder arthritis (620).

## ULTRASOUND FOR DIAGNOSING OSTEOARTHROSIS

#### **Not Recommended**

Ultrasound is not recommended for evaluating osteoarthrosis. There are other indications for ultrasound.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence High

## Rationale

X-rays suffice for most patients with osteoarthrosis and thus ultrasound is not recommended. There are other indications for ultrasound.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasound, Ultrasonography, Ultrasonics, Doppler Ultrasonography; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 1,115 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 1,115 articles, 3246 in Scopus, 2 in CINAHL, 16,218 in Cochrane Library, 25,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.5.14. SINGLE PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)

Single-photon emission computed tomography (SPECT) is a 3-dimensional imaging technique that has been useful in diagnosing osteoarthritis of the knee. It has been used to diagnose osteoarthritis of the shoulder (621).

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR DIAGNOSING OSTEOARTHROSIS

#### Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with osteoarthrosis. There are other indications for SPECT. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain including osteoarthrosis. One study suggested abnormal findings in both osteoarthrosis and bursitis and suggested the patterns of abnormalities provided diagnostic utility (Wandler et al., 2005). Another study found PET identified abnormal areas (Nguyen et al., 2018). One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning.

Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment, and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Single Photon Emission Computed Tomography, SPECT; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 3 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 3 articles, 32 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 3,200 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 6.5.15. POSITRON EMISSION TOMOGRAPHY (PET)

Positron emission tomography (PET) is an imaging test that detects changes in metabolic processes and has been used to diagnose osteoarthritis of the shoulder (622) (623).

## POSITRON EMISSION TOMOGRAPHY (PET) FOR DIAGNOSING OSTEOARTHROSIS

#### **Not Recommended**

PET scanning is not recommended for the evaluation of patients with osteoarthrosis. There are other indications for PET.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain including osteoarthrosis. One study suggested abnormal findings in both osteoarthrosis and bursitis and suggested the patterns of abnormalities provided diagnostic utility (Wandler et al., 2005). Another study found PET identified abnormal areas (Nguyen et al., 2018). One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Positron Emission Tomography, PET; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 10 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 10 articles, 243 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 3,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 2 diagnostic studies and 0 systematic reviews met the inclusion criteria.<sup>†</sup>

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **6.6. TREATMENT RECOMMENDATIONS**

# 6.6.1. INITIAL CARE

Initial care of a patient with osteoarthrosis involves education. Identification of accompanying disorders, such as rotator cuff tear, allows for treatment of an accompanying condition to substantially reduce or resolve the symptoms. Over-the-counter (OTC) analgesics have been used in the initial treatment of shoulder osteoarthrosis to manage pain (624,625,626,627,628,629,630,631,632,633,634,635,636,637,638,639,640,641,642,643,644).

## **OVER-THE-COUNTER (OTC) ANALGESICS FOR TREATMENT OF OSTEOARTHROSIS**

#### Recommended

Over-the-counter analgesics are recommended for treatment of osteoarthrosis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Shoulder osteoarthrosis

#### Benefits

Self-management of the pain

#### Harms

Negligible for OTC analgesics unless acetaminophen doses exceed 3.5g, or the patient has liver disease or another condition

#### Frequency/Dose/Duration

Per manufacturer's recommendations

## **Indications for Discontinuation**

Resolution of pain, lack of efficacy, intolerance, complication

#### Rationale

There are no quality trials evaluating analgesics for managing shoulder osteoarthrosis. However, analgesics and OTC NSAIDs are likely helpful and there is some quality evidence for the use of prescription NSAIDs for other shoulder nociceptive pain (see NSAIDs for rotator cuff tendinopathy); thus, they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: aspirin, naproxen, paracetamol, diclofenac potassium, capsaicin, salicylates, lidocaine, diclofenac, dexketoprofen, over the counter analgesic drugs, non-prescription analgesics, NSAIDs; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 9 articles in PubMed, 3,674 in Scopus, 1 in CINAHL, 0 in Cochrane Library, 21,300 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 15 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of

the 18 articles considered for inclusion, 2 randomized trials and 11 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **SELF-APPLICATION OF HEAT FOR TREATMENT OF OSTEOARTHROSIS**

#### No Recommendation

There is no recommendation for or against the self-application of heat for treatment of shoulder osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials evaluating heat or ice. Ice and heat applications do not appear to materially affect a deep joint such as the shoulder, although a recommendation for self-applications is not unreasonable. Thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Heat, Ice; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 27 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 28,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **SELF-APPLICATION OF ICE FOR TREATMENT OF OSTEOARTHROSIS**

#### No Recommendation

There is no recommendation for or against the self-application of ice for treatment of shoulder osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There are no quality trials evaluating heat or ice. Ice and heat applications do not appear to materially affect a deep joint such as the shoulder, although a recommendation for self-applications is not unreasonable. Thus, there is no recommendation.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Heat, Ice; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 27 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 28,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.6.2. ACTIVITY MODIFICATION AND EXERCISE

## **EXERCISE FOR THE TREATMENT OF SHOULDER OSTEOARTHROSIS**

## Recommended

See the exercise recommendations for rotator cuff tendinopathy. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence regarding the efficacy of exercise for treatment of shoulder osteoarthrosis. There is quality evidence of efficacy regarding knee and hip osteoarthrosis, which have indicated efficacy particularly of weight-bearing aerobic exercise and strengthening exercises. Thus, there is a low threshold for use of exercise for treatment of shoulder osteoarthrosis. Exercise is believed to be quite important for surgical rehabilitation. Based on lack of quality data for shoulder osteoarthrosis (Guo, 2016), the guidance for rotator cuff tendinopathy is advised to be used for shoulder osteoarthrosis patients.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: exercise, exercise therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials,

random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 7,696 in Scopus, 0 in CINAHL, 15 in Cochrane Library, 44,700 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.6.3. MEDICATIONS

Over-the-counter medications may be helpful to manage pain. These especially include acetaminophen and NSAIDs (645,646). NSAIDs show greater efficacy, but overall acetaminophen has a greater safety profile. Generally, the only medications commonly used for osteoarthrosis patients are NSAIDs, but patients may require other medications post-operatively. Select patients may require the judicious use of opioids for pain management. Other medications that have been used to treat osteoarthrosis include glucosamine, chondroitin, and methylsulfonylmethane. Topical agents, such as capsaicin, have also been utilized.

In the absence of quality evidence, it is recommended that the use of medications for shoulder osteoarthrosis be managed according to the following recommendations for Rotator Cuff Tendinopathy and Knee Osteoarthrosis:

- NSAIDs for Treatment of Rotator Cuff Tendinopathies and Shoulder Pain [Strongly Recommended, Evidence (A)]
- Acetaminophen for Acute, Subacute, or Chronic Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Acetaminophen for Perioperative or Postoperative Shoulder Pain [Recommended, Evidence (C)]
- Muscle Relaxants for for Acute or Subacute Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Norepinephrine Reuptake Inhibiting Anti-depressants for Subacute or Chronic Shoulder Girdle Pain [Recommended, Insufficient Evidence (I)]
- Selective Serotonin Reuptake Inhibitors for Acute, Subacute, or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Anti-convulsants for Acute, Subacute or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Oral Glucocorticosteroids for Acute, Subacute, or Chronic Shoulder Pain or Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Capsicum Creams for Acute, Subacute, Chronic Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical Glyceryl Trinitrate, Lidocaine Patches, Eutectic Mixture of Local Anesthetics (EMLA), and Other Creams/Ointments for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]

- Tumor Necrosis Factor-alpha Blockers for Osteoarthrosis or Acute, Subacute, or Chronic Knee Pain or Other Non-inflammatory Knee Disorders [Not Recommended, Insufficient Evidence (I)]
- Glucosamine Sulfate, Chondroitin Sulfate, or Methylsulfonylmethane for Knee Osteoarthrosis [No Recommendation, Insufficient Evidence (I)]

See also the ACOEM Opioids Guideline for recommendations and evidence on the treatment of subacute and chronic pain.

Regarding alternative medicine, there are no recommendations for or against use of the following for the treatment of acute, subacute, or chronic knee pain that may be generalized to the shoulder: Willow bark (Salix), ginger extract, rose hips, camphora molmol, maleluca alternifolia, angelica sinensis, aloe vera, thymus officinalis, menthe peperita, arnica montana, curcuma longa, tancaetum parthenium, and zingiber officinicalis, avocado soybean unsaponifiables, oral enzymes, topical copper salicylate, S-Adenosylmethionine, or diacerein harpagoside.

# 6.6.4. **DEVICES**

Slings generally promote debility in osteoarthrosis and are believed to predispose towards adhesive capsulitis; thus, they are not recommended to treat shoulder OA. However, the use of slings and functional braces is frequently needed in the postoperative setting (374,647).

## **SLINGS AND BRACES FOR TREATMENT OF OSTEOARTHROSIS**

## Not Recommended

Slings and braces are not recommended for the treatment of osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

## Rationale

There are no quality trials evaluating slings and braces for managing non-operative osteoarthrosis. There are trials in post-arthroplasty patients (Baumgarten et al., 2018). However, slings and braces are not recommended as they promote debility, which is thought to substantially increase the risk for adhesive capsulitis.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Slings, Braces; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 7 articles in PubMed, 819 in Scopus, 0 in CINAHL, 5 in Cochrane Library, 2,320 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 1 randomized trial and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MAGNETS AND MAGNETIC STIMULATION FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### Not Recommended

Magnets and magnetic stimulation are not recommended for treatment of shoulder osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Rationale

There are no quality studies for the treatment of shoulder osteoarthrosis. Magnets and magnetic stimulation have been evaluated in quality trials for other MSDs, including LBP and found to be ineffective; thus, they are not recommended for treatment of shoulder osteoarthrosis.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnets, Magnetic Stimulation, Magnetics, Magnetic Field Therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 83 articles in PubMed, 127 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 17,700 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **TAPING FOR TREATMENT OF SHOULDER OSTEOARTHROSIS**

#### Not Recommended

Taping is not recommended for treatment of shoulder osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality studies for the treatment of shoulder osteoarthrosis. Taping has been evaluated in quality trials for other MSDs, including LBP and found to be ineffective; thus, it is not recommended for treatment of shoulder osteoarthrosis.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: athletic tape, kinesiology taping, taping, osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed articles in 1 PubMed, 521 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 0 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **6.6.5. ALLIED HEALTH INTERVENTIONS**

Acupuncture has been used for treatment of patients with chronic shoulder osteoarthrosis (648,649). It has most commonly been used as an adjunct to more efficacious treatments.

Manual therapy, mobilization, manipulation, and massage have been used to treat patients with osteoarthrosis (650,651,652). Manual therapy has been used to treat osteoarthrosis of the knee and hip, as well as the shoulder (653,654,655). Massage has been used to treat glenohumeral osteoarthrosis (656,215,657,658). Mobilization has also been used to treat osteoarthrosis (659).

Various means of delivering heat and electrical therapies for purposes of distraction have been utilized for treatment of osteoarthrosis, although no quality studies for treatment of shoulder osteoarthrosis have been identified, including diathermy (660,661,662,663), infrared therapy (664), ultrasound (567,665), laser therapy (666,667), transcutaneous nerve stimulation (TENS)(668,669), taping (670), magnetic stimulation (671), and pulsed electromagnetic frequency devices (672).

# ACUPUNCTURE FOR TREATMENT OF SELECT PATIENTS WITH CHRONIC OR POST-OPERATIVE OSTEOARTHROSIS

#### Sometimes Recommended

Acupuncture is recommended for select use in chronic shoulder pain or postoperative pain only as an adjunct to more efficacious treatments. **Strength of evidence** Recommended, Insufficient Evidence (I)

Level of confidence Low

## Indications

As a tertiary treatment if NSAIDs, active exercises, injections, and surgery (if indicated) fail to resolve or sufficiently improve pain.

## Benefits

Modest reduction in pain.

#### Harms

Rare needling of deep tissue, such as artery, lung, etc. and resultant complications. Use of acupuncture may theoretically increase reliance on passive modality(ies) for chronic pain.

## Frequency/Dose/Duration

Frequency and duration pattern in the quality trial was weekly for 8 weeks. An initial trial of 4 appointments would appear reasonable in combination with a conditioning program of aerobic and strengthening exercises. An additional 4 appointments should be tied to partial incremental functional improvements in objective measures, for a total of 8. If acupuncture is trialed in a patient, objective functional improvement should be demonstrated after 6 visits.

#### **Indications for Discontinuation**

No functional gains demonstrated, resolution, intolerance, non-compliance including non-compliance with aerobic and strengthening exercises.

## Rationale

The overall body of evidence for the use of acupuncture for the shoulder is relatively weak. There is one moderate-quality trial that included patients with shoulder osteoarthrosis, along with multiple other conditions, with some indication of efficacy of acupuncture (Moore et al., 1976). There are multiple other trials involving chronic shoulder conditions including rotator cuff tendinopathies with quality evidence suggesting some modest efficacy (see Rotator Cuff Tendinopathies). Acupuncture is minimally invasive as typically performed, has low adverse effects, is moderately costly depending on numbers of treatments, and is recommended for select use in patients in whom other interventions, particularly if NSAIDs and activity modifications are insufficient. Acupuncture is recommended to assist in increasing functional activity levels more rapidly; the primary attention should remain on the exercise program and document functional gain. In those not involved in an exercise program, or who are non-compliant with graded increases in activity levels, this intervention is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acupuncture, acupuncture therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 24 articles in PubMed, 1,668 in Scopus, 0 in CINAHL, 5,643 in Cochrane Library, 1,340 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0

from Google Scholar, and 1 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANUAL THERAPY FOR TREATMENT OF OSTEOARTHROSIS

#### No Recommendation

There is no recommendation for or against the use of manual therapy for patients with osteoarthrosis of the shoulder. There are other indications for this treatment. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials demonstrating efficacy of manual therapy for patients with OA; thus, there is no recommendation for or against its use.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Musculoskeletal Manipulation; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 17 articles in PubMed, 1,425 in Scopus, 0 in CINAHL, 4 in Cochrane Library, 18,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 0 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MASSAGE FOR TREATMENT OF OSTEOARTHROSIS

#### No Recommendation

There is no recommendation for or against the use of massage for patients with osteoarthrosis of the shoulder. There are other indications for this treatment. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There are no quality trials demonstrating efficacy of massage for patients with OA; thus, there is no recommendation for or against its use.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Massage, Massage Therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 8 in Scopus, 0 in CINAHL, in 822 Cochrane Library, 14,200 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 2 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANIPULATION OR MOBILIZATION FOR TREATMENT OF OSTEOARTHROSIS

#### **No Recommendation**

There is no recommendation for or against the use of manipulation or mobilization for patients with osteoarthrosis of the shoulder. There are other indications for these treatments.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials demonstrating efficacy of manipulation or mobilization for patients with OA; thus, there is no recommendation for or against their use.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manipulation; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 15 articles in PubMed, 513 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 25,900 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mobilization, Joint Mobilization; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 28 articles in PubMed, 2,118 in Scopus, 0 in CINAHL, 2,841 in Cochrane Library, 16,700 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### **No Recommendation**

There is no recommendation for or against the use of percutaneous electrical nerve stimulation (PENS) for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of PENS for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: percutaneous electrical nerve stimulation, PENS; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 11 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 3,040 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search,

and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SYMPATHETIC ELECTROTHERAPY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### No Recommendation

There is no recommendation for or against the use of sympathetic electrotherapy for the treatment of shoulder joint osteoarthrosis. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of sympathetic electrotherapy for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Sympathetic electrotherapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 0 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 742 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **IONTOPHORESIS FOR TREATMENT OF SHOULDER OSTEOARTHROSIS**

#### **No Recommendation**

There is no recommendation for or against the use of iontophoresis for the treatment of shoulder joint osteoarthrosis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There are no quality trials demonstrating efficacy of iontophoresis for patients with OA; thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Iontophoresis; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 57 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 1,650 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# HIGH-VOLTAGE GALVANIC STIMULATION FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### **No Recommendation**

There is no recommendation for or against the use of high-voltage galvanic stimulation for the treatment of shoulder joint osteoarthrosis. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of high-voltage galvanic stimulation for patients with OA; thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: High-voltage galvanic stimulation; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0articles in PubMed, 2 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 188 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **MICROCURRENT STIMULATION FOR TREATMENT OF SHOULDER OSTEOARTHROSIS**

#### **No Recommendation**

There is no recommendation for or against the use of microcurrent stimulation for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials demonstrating efficacy of microcurrent stimulation for patients with OA; thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: microcurrent; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 8 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 365 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **INTERFERENTIAL THERAPY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS**

#### No Recommendation

There is no recommendation for or against the use of interferential therapy for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of interferential therapy for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Interferential Therapy;

osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 23 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 1,300 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **INFRARED THERAPY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS**

# No Recommendation

There is no recommendation for or against the use of infrared therapy for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of infrared therapy for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Infrared Therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 501 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 16,300 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# LASER THERAPY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

# **No Recommendation**

There is no recommendation for or against the use of laser therapy for the treatment of shoulder joint osteoarthrosis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There are no quality trials demonstrating efficacy of laser therapy for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Laser Therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 42 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 13,100 in Google Scholar, and 5 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# DIATHERMY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

# No Recommendation

There is no recommendation for or against the use of diathermy, for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of diathermy for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Diathermy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 article in PubMed, 53 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 2,070 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0

from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ULTRASOUND FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### No Recommendation

There is no recommendation for or against the use of ultrasound for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials demonstrating efficacy of ultrasound for patients with OA; thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasound, Ultrasonography; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 469 articles in PubMed, 5,154 in Scopus, 2 in CINAHL, 11 in Cochrane Library, 29,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TRANSCUTANEOUS ELECTRICAL STIMULATION (TENS) FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### No Recommendation

There is no recommendation for or against the use of transcutaneous electrical stimulation (TENS) for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I)

#### Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of TENS for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Electrical Therapy, Electrical Stimulation Therapy, Transcutaneous Electric Nerve Stimulation; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 6 articles in PubMed, 1,841 in Scopus, 0 in CINAHL, 3 in Cochrane Library, 7,520 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# H-WAVE® DEVICE STIMULATION FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

# **No Recommendation**

There is no recommendation for or against the use of H-wave<sup>®</sup> Device Stimulation for the treatment of shoulder joint osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials demonstrating efficacy of H-wave<sup>®</sup> Device Stimulation for patients with OA; thus, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: H-wave stimulation; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective,

prospective studies. We found and reviewed 0 articles in PubMed, 2 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 110 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PULSED ELECTROMAGNETIC FREQUENCY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

#### Not Recommended

Pulsed electromagnetic frequency is not recommended for treatment of shoulder osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies for the treatment of shoulder osteoarthrosis. Pulsed electromagnetic frequency has been evaluated in quality trials for other MSDs, including LBP and found to be ineffective and thus is not recommended for treatment of shoulder osteoarthrosis.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Pulsed Electromagnetic Frequency, Low Field Magnetic Stimulation; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 874 in Scopus, 0 in CINAHL, 281 in Cochrane Library, 30 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **6.6.6. INJECTION THERAPIES**

Intra-articular glucocorticosteroid injections are sometimes performed to attempt to deliver medication with minimal systemic effects to the shoulder joints, especially the glenohumeral joint and sometimes the acromioclavicular joint (673) (674). These injections are both performed with and without fluoroscopic or ultrasound guidance. Their usual purpose is to gain sufficient relief to either resume conservative medical management or to delay surgical intervention. There is quality evidence of short-term efficacy in treatment of hip and knee osteoarthrosis patients (see Knee Disorders), with duration of benefits of approximately 3 months.

Viscosupplementation has been performed particularly for knee osteoarthrosis and hip osteoarthrosis (675) (676) (677) (678) (679). These injections have been performed in the shoulder as well (680) (681) (682) (683) (684) (685) (686) (687).

Platelet-rich plasma injections have been used to treat shoulder osteoarthrosis (688) (689). Prolotherapy injections have been utilized to treat a wide array of musculoskeletal disorders, including shoulder osteoarthrosis (690).

# INTRA-ARTICULAR GLUCOCORTICOSTEROID INJECTIONS FOR SHOULDER GLENOHUMERAL OR ACROMIOCLAVICULAR JOINT OSTEOARTHROSIS

# Recommended

Glucocorticosteroid injections are moderately recommended for treatment of osteoarthrosis of the glenohumeral or AC joint. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

#### Indications

Glenohumeral or acromioclavicular joint pain from osteoarthrosis sufficient that control with NSAIDs, acetaminophen, and potentially exercise is unsatisfactory. There should be understanding that the anticipated duration of benefit is 3 months based on inference from many quality trials of hip injections.

#### Benefits

Short to intermediate-term improved pain.

# Harms

Steroid flare after an injection; glucose elevation, rare infection, rare nerve injury. Theoretical weakening of cuff tendons and potentially delayed surgical healing.

# Frequency/Dose/Duration

Schedule one injection and monitor the patient over the next 4-6 weeks with follow-up assessments of pain, function and ADLs. A series of 3 injections should not be a priori scheduled. There are no quality studies assessing frequency/dose in glenohumeral or AC joints. Medications used in the RCTs for the comparably-sized hip joint were triamcinolone hexacetonide 40mg or triamcinolone acetonide 80mg, or methylprednisolone 40 or 80mg. Anesthetics have most often been bupivacaine or mepivacaine. There are no head to head comparisons in quality studies of different medications to ascertain the optimum medication(s). Multiple doses have been utilized with no head-to-head comparisons in trials; however, a comparative clinical trial found greater efficacy for methylprednisolone 80mg over 40mg in treatment of hip osteoarthrosis (Robinson et al., 2007).

#### **Indications for Discontinuation**

A second glucocorticosteroid injection is not recommended if the first has typically resulted in at least 50% reduction in pain, significant improvement in function (e.g., achieving at least 50% improvement, return to normal function, return to usual work) or resolution of symptoms. If there has not been a response to a first injection, there is generally less indication for a second. If the interventionalist believes the medication was not well placed and/or if the underlying condition is so severe that 1 steroid bolus could not be expected to adequately treat the condition, a second injection may be indicated and should be performed under ultrasound or fluoroscopic guidance. In patients who respond with a pharmacologically appropriate several weeks of temporary, partial relief of pain, but who then have worsening pain and function and who are not (yet) interested in surgical intervention, a repeat steroid injection is an option. There are not believed to be benefits beyond approximately 3 of these injections in a year. Patients requesting a fourth injection should have reassessment of conservative management measures and be counseled for possible surgical intervention.

# Rationale

There are no quality trials evaluating intra-articular glucocorticosteroid injections for treatment of shoulder joint OA. However, there are many quality trials for treatment of both hip and knee osteoarthrosis patients with documented efficacy lasting approximately 3 months compared with placebo. These injections are invasive, have a low risk of adverse effects, but are relatively costly. They are an option for treatment of moderate to severe shoulder osteoarthrosis patients particularly after inadequate results from NSAID trials, activity modification, exercise, or other conservative interventions.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Intra-articular Glucocorticosteroid Injections, Intra-articular Corticosteroid Injections; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 18 articles in PubMed, 7 in Scopus, 0 in CINAHL, 10 in Cochrane Library, 2,090 in Google Scholar, and 0 from other sources.† We considered for inclusion 3 from PubMed, 0 from Scopus,0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# INTRAARTICULAR VISCOSUPPLEMENTATION INJECTIONS FOR SHOULDER OSTEOARTHROSIS

# **No Recommendation**

There is no recommendation for or against intraarticular shoulder viscosupplementation injection for treatment of osteoarthrosis. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

# Level of confidence Low

# Rationale

There are 4 moderate-quality trials that included shoulder osteoarthrosis patients. One suggested efficacy (Di Giacomo et al., 2017), while the other 3 suggest inefficacy (Blaine et al., 2008) (Kwon et al., 2000) (Shibata et al., 2001). Some of the higher quality trials for treatment of knee and hip osteoarthrosis suggest short- to intermediate-term efficacy (see Knee Disorders Guideline). Viscosupplementation injections are invasive, have a low risk of adverse effects, but are relatively costly. A high-quality trial showed glucocorticosteroid injections are superior (Qvistgaard et al., 2006). Viscosupplementation injections do not have consistent evidence of efficacy for treatment of shoulder osteoarthrosis and are generally not recommended. As some studies of hip/knee OA suggest efficacy, the overall rating is "No Recommendation." In light of current evidence, viscosupplementation would be a last-resort consideration in a patient who has not had symptomatic relief of pain or function with glucocorticoid injections, is not a suitable candidate for surgery, and is searching for non-operative alternatives. While cost is a factor, shared decision making with the patient explaining risks and benefits as well as costs associated with the procedure, in addition to a nuanced discussion with the commercial carrier explaining patient-specific factors justifying viscosupplementation use would be reasonable.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Viscosupplementation Injections; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 8 articles in PubMed, 840 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 1,720 in Google Scholar, and 3 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 5 from Google Scholar, and 3 from other sources. Of the 10 articles considered for inclusion, 4 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PLATELET-RICH PLASMA INJECTIONS FOR SHOULDER OSTEOARTHROSIS

# No Recommendation

There is no recommendation for or against intraarticular platelet-rich plasma injections for treatment of shoulder osteoarthrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials comparing PRP injections with sham or placebo for shoulder OA. Injections are invasive, have some adverse effects, are high cost and are lacking quality data against a known standard for efficacy, thus there is no recommendation. In highly select patients where all evidence-based options aside from arthroplasty have been exhausted, a PRP injection may be reasonable if it considerably alters the need for arthroplasty.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Platelet-Rich Plasma Injections, Autologous Conditioned Serum Injections, Autologous Conditioned Plasma Injections, Orthokine Therapy, Regenokine Therapy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 8 articles in PubMed, 1,651 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 483 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PROLOTHERAPY INJECTIONS FOR TREATMENT OF SHOULDER OSTEOARTHROSIS AND OTHER SHOULDER DISORDERS

# **Not Recommended**

Prolotherapy injections are not recommended for treatment of osteoarthrosis and other shoulder disorders.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

# Rationale

There are no quality trials of prolotherapy injection for treatment of shoulder osteoarthrosis patients. These injections are invasive, have adverse effects, and are moderate to high cost; thus, in the absence of quality evidence of efficacy, they are not recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Prolotherapy Injections; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint;

controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 429 in Scopus, 2 in CINAHL, 0 in Cochrane Library, 1,480 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.6.7. SURGICAL CONSIDERATIONS

# 6.6.7.1. ARTHROSCOPY AND CHONDROPLASTY

Arthroscopy is thought to have a role in glenohumeral arthrosis with purposes including diagnosis, debridement, capsular release, subacromial decompression, planning an operative approach, and synovectomy (691) (692) (693) (694) (695) (696) (697) (698) (699) (700) (701) (702) (703). It is particularly thought to be helpful for treatment of other conditions, such as SLAP tears and rotator cuff tendinopathies (693). Chondroplasty has often been performed for treatment of osteoarthrosis patients and involves abrading of the cartilage surfaces (see Knee Disorders) (696) (704) (705) (706) Arthroscopy is not generally indicated in presence of advanced glenohumeral arthritis.

# ARTHROSCOPY FOR EVALUATION AND TREATMENT OF SHOULDER OSTEOARTHROSIS

# Recommended

Arthroscopy is recommended for evaluation and treatment of shoulder osteoarthrosis, but only when an associated disorder is felt to be present, symptomatic, and treatable. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Shoulder joint pain from osteoarthrosis to the extent that control with NSAID(s), acetaminophen, and exercise strategies is unsatisfactory. Patients should have a treatable, significantly symptomatic associated condition (e.g., rotator cuff tendinopathy, impingement syndrome, SLAP tear), with the expectation that resolution of the associated condition will improve the patients' overall condition. Appropriate diagnostic testing of the associated condition should have been performed (e.g., injection, MRI or MRA) to confirm a treatable associated condition. Chondroplasty is not considered an indication or an indicated procedure (See below).

# Benefits

Improved pain and function

# Harms

Lack of improvement, reduced function, infection, adhesive capsulitis

# Rationale

There are quality studies including arthroscopy, although not of shoulder osteoarthrosis. Also, the literature typically utilizes arthroscopy as the gold standard for comparison. Arthroscopy is performed nearly universally in a context of a pre-operative diagnosis, such as rotator cuff tendinopathy, that is thought to be a treatable abnormality, rather than merely for diagnostic purposes (Dinnes et al., 2003) (Fouse et al., 2007) (Abrams, 2006) (Baker et al., 2003) (Ahmad et al., 2004) (Boszotta et al., 2004). If a specific diagnosis that is treatable with arthroscopic techniques, such as rotator cuff tendinitis is not suggested by and supported by the evaluation with history, physical examination, and imaging studies, then surgical intervention is much less likely to be successful and caution should be taken in doing a purely diagnostic arthroscopy. Diagnostic arthroscopy is invasive, has adverse effects and is high cost. Thus, arthroscopy is selectively recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: shoulder arthroscopy, arthroscopy; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 151 articles in PubMed, 8,311 in Scopus, 14 in CINAHL, 11 in Cochrane Library, 29,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 7 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 10 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# CHONDROPLASTY FOR TREATMENT OF SHOULDER OSTEOARTHROSIS

# **Not Recommended**

Chondroplasty is not recommended for treatment of shoulder osteoarthrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Benefits

None specified.

#### Harms

None specified.

# Rationale

There are no quality trials evaluating arthroscopy for patients with OA of the shoulder. Chondroplasty is invasive, has adverse effects, is costly, and lacks efficacy in the knee as demonstrated by a high quality, sham-controlled trial (see Knee Disorders Guideline) (Moseley et al., 2002). Thus, chondroplasty is not recommended for treatment of shoulder osteoarthrosis.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Chondroplasty; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 199 in Scopus, 1 in CINAHL, 0 in Cochrane Library, 1,180 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.6.7.2. DISTAL CLAVICLE RESECTION

Distal clavicle resection has been performed for chronic, significant acromioclavicular joint pain with either open (707) (708) (709) (710) (711) (712) (713) (714) (715) (716) (717) (718) or arthroscopic approaches (673) (709) (711) (713) (714) (719) (720) (721) (722) (723) (724) (725).

# DISTAL CLAVICLE RESECTION FOR TREATMENT OF ACROMIOCLAVICULAR JOINT PAIN

#### No Recommendation

There is no recommendation for or against distal clavicle resection (either arthroscopic or open) for treatment of acromioclavicular joint pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Indications

Select patients with severe, chronic pain with failure of non-operative treatments including at minimum, NSAIDs, progressive strengthening exercises, injection(s) (Freedman et al., 2007). X-ray or other imaging evidence of acromioclavicular degenerative joint disease and confirmation with a local anesthetic injection relieving all or nearly all pain.

#### Benefits

Reduced pain and improved function

#### Harms

Lack of improvement, adhesive capsulitis

#### Rationale

There are no quality trials comparing clavicle excision to non-surgical treatment for AC arthrosis. Two trials of different approaches of distal clavicle resection suggest comparable efficacy (Charron et al., 2007) (Freedman et al., 2007). Trials have also been performed of rotator cuff tear patients with and without distal clavicle resection (Park et al., 2015) (Kim et al., 2011), and suggested some additive benefit in the larger (Kim et al., 2011) of the two studies (Park et al., 2015). As there is no quality evidence of efficacy and there are adverse effects of surgery, there is no recommendation. Resection is potentially indicated among those patients with severe, chronic pain focused on the AC joint and not sufficiently treated by non-operative treatments.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Distal Clavicle Resection; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 6 articles in PubMed, 237 in Scopus, 3 in CINAHL, 3 in Cochrane Library, 8090 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 2 from other sources trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.6.7.3. SHOULDER ARTHROPLASTY AND REVERSE SHOULDER ARTHROPLASTY

Shoulder arthroplasty has been used to treat shoulder osteoarthrosis (696) (702) (1678) (1679) (1680) (1681) (1682) (1683) (1684) (1685) (1686) (1687) (1688) (1689) (1690) (1691) (1692) (1693) (1694) (1694) (1695) (1696) (1697) (1698) (1699) (1700) (1701) (1702) (1703) (1704) (1705) (1706) (1707) (1708) (1709) (1710) (1711) (1712) (1713) (1714) (1715) (1716) (1717) (1718) (1719) (1720), and glenohumeral degenerative joint disease (693) (1678) (1682) (1721) (1722) (1723) (1724) (1008) (1725) (1726) (1727) (1728) (1729) (1730) (1731) (1732) (1733) (1734) (1735) (1736) (1737) (1738) (1739) (1089) (1740).

Shoulder resurfacing and partial resurfacing procedures have also been performed (1726) (1741) (1742) (1743) (1744) (1745) (1746) (1747) (1748) (1749) (1750) (1751) (1752) (1753) (1754) (1755) (1756) (1757) (1758). A meniscal allograft and other soft tissue interposition, as well as glenoid reaming without replacement are alternative treatments when the glenoid is arthritic and total shoulder arthroplasty is contraindicated, i.e., young patients. Overall outcomes of arthroplasties have generally been good (1759).

The volume of quality literature is much less for shoulder arthroplasties than for those of the hip where there are numerous trials with durations of follow-up lasting many years. Humeral head resurfacing is thought to have advantages for younger and/or more physically active patients (1742). There is controversy as to whether a humeral hemiarthroplasty or total arthroplasty should be performed (1742) with concerns about excessive wear of the glenoid if it is not replaced (1727) (1742). It has been suggested the decision should depend on adequacy of bone, extent of articular damage, and presence of irreparable rotator cuff tears (1742) (1753).

# TOTAL SHOULDER ARTHROPLASTY FOR SEVERE ARTHROSIS

#### Recommended

Total shoulder arthroplasty is moderately recommended for highly selective patients with severe arthrosis.

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence Low

# Indications

Severe arthrosis with symptoms of at least 6 to 12 months that are insufficiently managed with nonoperative measures (Lo et al., 2005) (Gartsman et al., 2005) and/or glucocorticosteroid injection(s). Patients with diffuse degenerative joint disease whether OA, rheumatoid arthritis, or other cause, are generally good candidates for total joint arthroplasties (Lo et al., 2005) (Gartsman et al., 2005), although some may be candidates for hemiarthroplasties (Smith et al., 1998). Hemiarthroplasties have been generally recommended for patients with massive rotator cuff tears combined with degenerative joint disease (Smith et al., 1998). However, shoulder arthroplasty is not nearly as successful, on average, as hip or knee arthroplasty.

Post-operative rehabilitation is required. Yet, there are no quality trials and a systematic review concluded "Published rehabilitation strategies following TSA and RTSA...(have) little consistency among protocols. There is a need to determine optimal rehabilitation approaches post TSA and RTSA based on clinical outcomes" (Bullock et al., 2019).

# Benefits

Potential for improved function

#### Harms

Potential for worse function, adhesive capsulitis, severe impairment, worsened pain

#### Indications for Discontinuation

Not applicable.

# Rationale

There are no quality trials comparing arthroplasty with a quality rehabilitative protocol. There are multiple moderate quality trials comparing total vs. hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005); different surgical approaches (Lapner P, 2020) (Kwon YW, 2019); cemented vs. uncemented and/or other components (Litchfield et al., 2011) (Romeo et al., 2020) (Wiater et al., 2020) (Boileau et al., 2002); and comparisons of various components (Lo et al., 2005). However, all 3 RCTs suggest total shoulder arthroplasty is superior or trends toward superiority over hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005). These trials document major improvements compared with pre-operative measures of pain and function among these patients (Gartsman et al., 2000) (Lo et al., 2005). There are relatively few quality trials comparing different operative approaches and sparse data address long-term outcomes. Shoulder arthroplasty is invasive, has adverse effects, and is costly. These procedures are recommended for select patients who failed multiple attempts at controlling symptoms short of arthroplasty.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shoulder Arthroplasty, Shoulder Joint Replacement; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 619 articles in PubMed, 631 in Scopus, 32 in CINAHL, 40 in Cochrane Library, 49,600 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 31 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 11 from Google Scholar, and 0 from other sources. Of the 45 articles considered for inclusion, 11 randomized trials and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **HEMIARTHROPLASTY FOR SEVERE ARTHROSIS**

#### Recommended

Hemiarthroplasty is moderately recommended for highly selective patients with severe arthrosis. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Low

#### Indications

Severe arthrosis with symptoms of at least 6 to 12 months that are insufficiently managed with nonoperative measures (Lo et al., 2005) (Gartsman et al., 2005) and/or glucocorticosteroid injection(s). Patients with diffuse degenerative joint disease whether OA, rheumatoid arthritis, or other cause, are generally good candidates for total joint arthroplasties (Lo et al., 2005) (Gartsman et al., 2005), although some may be candidates for hemiarthroplasties (Smith et al., 1998). Hemiarthroplasties have been generally recommended for patients with massive rotator cuff tears combined with degenerative joint disease (Smith et al., 1998). However, shoulder arthroplasty is not nearly as successful, on average, as hip or knee arthroplasty.

Post-operative rehabilitation is required. Yet, there are no quality trials and a systematic review concluded "Published rehabilitation strategies following TSA and RTSA...(have) little consistency among protocols. There is a need to determine optimal rehabilitation approaches post TSA and RTSA based on clinical outcomes" (Bullock et al., 2019).

# Benefits

Potential for improved function

#### Harms

Potential for worse function, adhesive capsulitis, severe impairment, worsened pain

#### Rationale

There are no quality trials comparing arthroplasty with a quality rehabilitative protocol. There are multiple moderate quality trials comparing total vs. hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005); different surgical approaches (Lapner P, 2020) (Kwon YW, 2019); cemented vs. uncemented and/or other components (Litchfield et al., 2011) (Romeo et al., 2020) (Wiater et al., 2020) (Boileau et al., 2002); and comparisons of various components (Lo et al., 2005). However, all 3 RCTs suggest total shoulder arthroplasty is superior or trends toward superiority over hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005). These trials document major improvements compared with pre-operative measures of pain and function among these patients (Gartsman et al., 2000) (Lo et al., 2005). There are relatively few quality trials comparing different operative approaches and sparse data address long-term outcomes. Shoulder arthroplasty is invasive, has adverse effects, and is costly. These procedures are recommended for select patients who failed multiple attempts at controlling symptoms short of arthroplasty.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shoulder Arthroplasty, Shoulder Joint Replacement; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 619 articles in PubMed, 631 in Scopus, 32 in CINAHL, 40 in Cochrane Library, 49,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 31 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 11 from Google Scholar, and 0 from other sources. Of the 45 articles considered for inclusion, 11 randomized trials and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **REVERSE SHOULDER ARTHROPLASTY FOR SEVERE ARTHROSIS**

#### Recommended

Reverse shoulder arthroplasty is moderately recommended for highly selective patients with moderate to severe arthrosis.

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence Low

#### Indications

Severe arthrosis with symptoms of at least 6 to 12 months that are insufficiently managed with nonoperative measures (Lo et al., 2005) (Gartsman et al., 2005) and/or glucocorticosteroid injection(s). Patients with diffuse degenerative joint disease whether OA, rheumatoid arthritis, or other cause, are generally good candidates for total joint arthroplasties (Lo et al., 2005) (Gartsman et al., 2005), although some may be candidates for hemiarthroplasties (Smith et al., 1998). Hemiarthroplasties have been generally recommended for patients with massive rotator cuff tears combined with degenerative joint disease (Smith et al., 1998). However, shoulder arthroplasty is not nearly as successful, on average, as hip or knee arthroplasty. Post-operative rehabilitation is required. Yet, there are no quality trials and a systematic review concluded "Published rehabilitation strategies following TSA and RTSA...(have) little consistency among protocols. There is a need to determine optimal rehabilitation approaches post TSA and RTSA based on clinical outcomes" (Bullock et al., 2019).

# Benefits

Potential for improved function

# Harms

Potential for worse function, adhesive capsulitis, severe impairment, worsened pain

# Rationale

There are no quality trials comparing arthroplasty with a quality rehabilitative protocol. There are multiple moderate quality trials comparing total vs. hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005); different surgical approaches (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005); cemented vs. uncemented and/or other components (Litchfield et al., 2011) (Romeo et al., 2020) (Wiater et al., 2020) (Boileau et al., 2002); and comparisons of various components (Lo et al., 2005). However, all 3 RCTs suggest total shoulder arthroplasty is superior or trends toward superiority over hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005). These trials document major improvements compared with pre-operative measures of pain and function among these patients (Gartsman et al., 2000) (Lo et al., 2005). There are relatively few quality trials comparing different operative approaches and sparse data address long-term outcomes.

Shoulder arthroplasty is invasive, has adverse effects, and is costly. These procedures are recommended for select patients who failed multiple attempts at controlling symptoms short of arthroplasty.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shoulder Arthroplasty, Shoulder Joint Replacement; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 619 articles in PubMed, 631 in Scopus, 32 in CINAHL, 40 in Cochrane Library, 49,600 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 31 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 11 from Google Scholar, and 0 from other sources. Of the 45 articles considered for inclusion, 11 randomized trials and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **HUMERAL RESURFACING FOR SEVERE ARTHROSIS**

#### Recommended

Humeral resurfacing (similar to humeral head replacement) is recommended as an option. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Severe arthrosis with symptoms of at least 6 to 12 months that are insufficiently managed with nonoperative measures (Lo et al., 2005) (Gartsman et al., 2005) and/or glucocorticosteroid injection(s). Patients with diffuse degenerative joint disease whether OA, rheumatoid arthritis, or other cause, are generally good candidates for total joint arthroplasties (Lo et al., 2005) (Gartsman et al., 2005), although some may be candidates for hemiarthroplasties (Smith et al., 1998). Hemiarthroplasties have been generally recommended for patients with massive rotator cuff tears combined with degenerative joint disease (Smith et al., 1998). However, shoulder arthroplasty is not nearly as successful, on average, as hip or knee arthroplasty.

Post-operative rehabilitation is required. Yet, there are no quality trials and a systematic review concluded "Published rehabilitation strategies following TSA and RTSA...(have) little consistency among protocols. There is a need to determine optimal rehabilitation approaches post TSA and RTSA based on clinical outcomes" (Bullock et al., 2019).

# Benefits

#### Potential for improved function

# Harms

Potential for worse function, adhesive capsulitis, severe impairment, worsened pain

# Rationale

There are no quality trials comparing arthroplasty with a quality rehabilitative protocol. There are multiple moderate quality trials comparing total vs. hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005); different surgical approaches (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005); cemented vs. uncemented and/or other components (Litchfield et al., 2011) (Romeo et al., 2020) (Wiater et al., 2020) (Boileau et al., 2002); and comparisons of various components (Lo et al., 2005). However, all 3 RCTs suggest total shoulder arthroplasty is superior or trends toward superiority over hemiarthroplasty (Gartsman et al., 2000) (Sandow et al., 2013) (Lo et al., 2005). These trials document major improvements compared with pre-operative measures of pain and function among these patients (Gartsman et al., 2000) (Lo et al., 2005). There are relatively few quality trials comparing different operative approaches and sparse data address long-term outcomes. Shoulder arthroplasty is invasive, has adverse effects, and is costly. These procedures are recommended for select patients who failed multiple attempts at controlling symptoms short of arthroplasty.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shoulder Arthroplasty, Shoulder Joint Replacement; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly; systematic, systematic review, retrospective, prospective studies. We found and reviewed 619 articles in PubMed, 631 in Scopus, 32 in CINAHL, 40 in Cochrane Library, 49,600 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 31 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 11 from Google Scholar, and 0 from other sources. Of the 45 articles considered for inclusion, 11 randomized trials and 7 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 6.6.8. FOLLOW-UP VISITS

Patients with osteoarthrosis generally require a few follow-up appointments for purposes of monitoring symptoms, advancing treatment, and gradually reducing limitations especially if treatment of a co-existent condition substantially resolves the symptoms. Patients with more advanced disease may require a greater number of appointments to attempt other treatments as well as to teach about adaptive techniques and use of adaptive equipment (as indicated) to facilitate continued participation in daily activities despite limitations of the shoulder. Frequencies of appointments may also be greater

if workplace limitations are required, and job demands are higher or may require job modifications or adaptive equipment. Post-operative rehabilitation can be considerable, particularly in older patients with other associated injuries such as rotator cuff injuries. In those cases, there may be a requirement for therapy on a prolonged basis to recover as much function as possible.

# 7. OSTEONECROSIS (AVASCULAR NECROSIS) 7.1. SUMMARY OF RECOMMENDATIONS

The following summary table contains recommendations for evaluating and managing Shoulder Osteonecrosis from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

Category	Recommendation	Evidence
Activity Modification	Aggressive Targeting of Coronary Artery Disease Risk Factors for Treatment of Osteonecrosis	Recommended, Insufficient Evidence (I)
	Avoidance of Dysbaric Exposures or Other Symptom-Provoking Activities / Risk Factors for the Treatment of Osteonecrosis	
Allied Health	Hyperbaric Oxygen	Recommended, Insufficient Evidence (I)
Diagnostic Tests	Bone Scanning for Select Use in Acute, Subacute, or Chronic Pain	Recommended, Evidence (C)
	CT for Evaluating Patients with Osteonecrosis (AVN)	Recommended, Insufficient Evidence (I)
	Helical CT for Evaluating Osteonecrosis	Recommended, Insufficient Evidence (I)
	MRI for Diagnosing Osteonecrosis (AVN)	Recommended, Insufficient Evidence (I)
	Positron Emission Tomography (PET) for Diagnosing Osteonecrosis	Not Recommended, Insufficient Evidence (I)
	Ultrasound for Diagnosing Osteonecrosis	No Recommendation, Insufficient Evidence (I)
	X-rays for Acute, Subacute, or Chronic Shoulder Pain and Osteonecrosis	Recommended, Insufficient Evidence (I)

Category	Recommendation Evidence
	Glucocorticoids (including Injections) for TreatmentNot Recommended, Insufficient Evidence of Osteonecrosis (I)
	Medications for the Treatment of Osteonecrosis See text
Surgery	Arthroplasty for Osteonecrosis Recommended, Insufficient Evidence (I)
	Core Decompression Surgery to TreatRecommended, Insufficient Evidence (I) Osteonecrosis

# 7.2. OVERVIEW

Osteonecrosis of the humerus is considerably less common than osteonecrosis of the femoral head (726). Osteonecrosis, or avascular necrosis, is a complex pathological process involving increased bone marrow pressure and ischemia with loss of vascular supply to the bone which may progress to bone death initiated by vascular occlusion (726,727,1760,1761,1762). Although it may occur in any bone, it tends to occur in bones that have a more tenuous blood supply, including the heads of the femur, humerus, and other ends of long bones (1763)(1764). If the process advances, the bone collapses (1765).

Most epidemiological literature for osteonecrosis is derived from hip osteonecrosis, with no quality studies of the shoulder. The greatest risk for osteonecrosis is believed to be glucocorticosteroid use (726,1766,1767,1768,1769,1770,1771,1772,1773,1774,1775,728) or endogenous excess glucocorticoids (1775). Other risk factors include diabetes mellitus, arteriovascular disease (727,1769,1776), hyperlipidemia, sickle cell anemia (1772), coagulopathies (1775), Gaucher's disease (727, 1769, 1771, 1772),HIV (1774,1777), post-irradiation (727, 1769, 1772),alcoholism (727,1769,1772,1774,1775,1776,1778,1779), and smoking . Yet, many cases are idiopathic (727,1780). Genetic factors are also believed to be important (726).

Osteonecrosis appears to have a clinically silent, pre-clinical state (most frequently identified in the asymptomatic hip) (727,1781) that when found first in the shoulder is often present elsewhere, such as in the hips or knees (726,1773,1782). Patients present with either acute or insidious onset of persistent shoulder pain that may be worse with overhead use (727). Pain is often worse at night and may be somewhat worse with activity. Reduced shoulder range of motion may occur and will nearly always be present if there is bony collapse. Pain and range of motion worsen as the degree of impairment progresses (726,727). The disease may be progressive – in the hip there appears to be potential for recovery at any of the early stages (727); thus, the same is thought to be true for osteonecrosis of other bones including that of the humerus (726).

# **7.3. WORK LIMITATIONS**

Divers and other workers in compressed air atmospheres who experience impaired blood supply to the humerus due to nitrogen gas in the blood during excessively rapid decompression may develop osteonecrosis, and thus be considered to have an occupational disorder due to dysbarism (atmospheric compression, decompression). Major trauma is another reported cause (726,727,728,729). Thus, if a humeral fracture is occupational, a subsequent case of osteonecrosis arising out of that humeral fracture may be considered occupational. Whether or not stereotypical forceful use of the joint is a risk is speculative.

Among those developing osteonecrosis, reducing or eliminating activities that significantly provoke symptoms and the disease process (including avoidance of dysbaric exposures) is recommended. There is no quality evidence regarding reducing forceful use, although limitations are sometimes instituted for months; therefore, there is no recommendation for or against reducing forceful use.

# 7.4. DIAGNOSTIC RECOMMENDATIONS

# 7.4.1. LABORATORY STUDIES

A general medical evaluation is usually needed as part of the evaluation of a patient with osteonecrosis. This evaluation should be tailored based on the patient's history, although a general profile is usually needed to at minimum include several laboratory studies to evaluate potential causal and/or contributory factors. These studies include complete blood count with differential; general chemistries; hepatic enzymes (including AST, ALT, GGTP); lipid profile; coagulation studies; and hemoglobin A1c. Measurement of glucocorticoids may be needed, although there are usually clues based on other history, physical examination and/or laboratory studies.

In the absence of quality evidence, it is recommended that the diagnosis of shoulder osteonecrosis be managed according to the recommendations for Rotator Cuff Tendinopathy. See the following recommendations:

• Antibodies to Confirm Specific Disorders for Rotator Cuff Tendinopathy [Recommended, Evidence (C)]

- Non-specific Inflammatory Markers for Screening for Inflammatory Disorders in Subacute or Chronic Shoulder Pain [*Recommended, Evidence (C*)]
- Cytokine Testing for Chronic Shoulder Pain, including Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]

# 7.4.2. X-RAYS

Roentgenograms (x-rays) have been used in the diagnosis of osteonecrosis (730) (731) (732).

**X-RAYS FOR ACUTE, SUBACUTE, OR CHRONIC SHOULDER PAIN AND OSTEONECROSIS** 

#### Recommended

X-rays are recommended for evaluation of acute, subacute, or chronic shoulder pain, including evaluating osteonecrosis.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

#### Indications

All patients suspected of having osteonecrosis.

#### Benefits

Diagnosis of osteonecrosis, fracture, calcific tendinitis, or otherwise latent medical condition(s).

#### Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

# Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. If the patient is thought to have osteonecrosis, but the x-rays are negative, there are other tests to consider such as MRI. For patients with chronic shoulder pain, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

#### **Indications for Discontinuation**

Not applicable.

# Rationale

There are no quality studies evaluating the use of x-rays for osteonecrosis. One moderate quality study found bone scan and bone pressure measurements to be more diagnostic than routine radiographs (Zizic et al., 1986). However, X-rays are helpful for initial evaluation of most patients with shoulder pain, including osteonecrosis. Plain radiographic findings are used to stage disease involvement in osteonecrosis or humeral avascular necrosis. Early x-rays are usually normal or have less distinct trabecular patterns (Harreld et al., 2009) (Ficat, 1985). As the disease progresses, x-rays begin to show osteoporotic areas, progressing to sclerotic areas and finally flattening and bony collapse (Harreld et al., 2009) (Ficat, 1985). X-rays also assist both to diagnose and with the differential diagnostic

possibilities such as calcific tendinitis and arthroses. X-rays are non-invasive, low to moderately costly, and have little risk of adverse effects and therefore, are recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Radiography, X-Rays, Roentgenograms; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 372 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 373 articles, 206 in Scopus, 4 in CINAHL, 1 in Cochrane Library, 4900 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 3 from other sources. Of the 3 articles considered for inclusion, 2 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.4.3. BONE SCANS

Bone scans have been used for the diagnosis of osteonecrosis of the shoulder (109).

# BONE SCANNING FOR ACUTE, SUBACUTE, OR CHRONIC PAIN

#### Sometimes Recommended

Bone scanning, often with SPECT, is recommended for select use in patients with acute, subacute, or chronic pain to assist in the diagnosis of osteonecrosis and other conditions with increased polyostotic bone metabolism, particularly when more than one joint needs to be evaluated. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Moderate

#### Indications

Patients with suspicion of osteonecrosis, or other increased bone metabolism, generally having already had MRI, but with concerns for other problems such as other joint involvement.

#### Benefits

Some radiation exposure.

# Harms

Negligible. Some radiation exposure

#### Frequency/Dose/Duration

Generally, one evaluation

#### Rationale

Bone scanning with SPECT is helpful to identify areas of increased bone metabolism (Siddiqui et al., 1993); thus, its primary use is for osteonecrosis cases (typically identified on radiographs) for which there are concerns for other joint involvement. One study suggested bone scanning superior to MRI (Sakai et al., 2001). One moderate quality study found bone scan and bone pressure measurements to be more diagnostic than routine radiographs (Zizic et al., 1986). Bone scanning is minimally invasive, has no adverse effects aside from radiation exposure, but is costly. It is selectively recommended for evaluation of patients with concerns of wider involvement. The threshold for use should generally be low, as wider involvement of osteonecrosis may further emphasize a need for risk factor modification and imaging monitoring of other joints.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Bone Scans, Bone Scintigraphy; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 28 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 28 articles, 16 in Scopus, 1 in CINAHL, 3 in Cochrane Library, 3,020 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.4.4. COMPUTED TOMOGRAPHY (CT)

# **CT FOR EVALUATING PATIENTS WITH OSTEONECROSIS**

# Sometimes Recommended

CT is selectively recommended for evaluating patients with osteonecrosis, including for patients who need advanced imaging, but have contraindications for MRI or where helical CT is unavailable. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Indications

Shoulder pain from osteonecrosis with suspicion of subchondral fracture(s) or increased polyostotic bone metabolism, particularly if there is contraindication for MRI and there is no helical CT available if CT is preferred.

# Benefits

Identification of extent and severity of osteonecrosis.

#### Harms

Negligible. Minor radiation exposure.

#### Frequency/Dose/Duration

Obtaining serial CT scans to track healing or progression status is reasonable. Generally, one evaluation. A second may be needed if there is a significant clinical change or to evaluate progress/resolution.

#### Indications for Discontinuation

None specified.

#### Rationale

There are no quality studies evaluating CT scans. While CT is considered superior to MRI for imaging of most shoulder abnormalities where advanced imaging of calcified structures is required, for osteonecrosis, there is no clear preference of CT over MRI. However, helical CT is generally thought to be preferable to CT for identification of fracturing and thus use of 'plain' CT is limited, including those settings without helical CT.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Computerized Tomography, CT; Osteonecrosis, Osteonecrotic symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 62 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 62 articles, 3 in Scopus, 0 in CINAHL, 11 in Cochrane Library, 2,810 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **7.4.5. HELICAL CT**

# **HELICAL CT FOR EVALUATING OSTEONECROSIS**

#### **Sometimes Recommended**

Helical CT is recommended for evaluating patients with osteonecrosis who have contraindications for MRI.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

#### Indications

Helical CT scans are sometimes used for diagnosing osteonecrosis, especially with concerns about fracturing and collapse. Also indicated for those needing evaluation of osteonecrosis but with contraindications for MRI.

#### Benefits

Diagnosis of osteonecrosis, subchondral fractures; tracking progression or healing of osteonecrosis.

#### Harms

Negligible. Minor radiation exposure.

#### Frequency/Dose/Duration

Generally one evaluation. A second may be needed if there is a significant clinical change or for evaluating progress/resolution.

#### Rationale

Helical CT is considered superior to MRI for imaging of most shoulder abnormalities where advanced imaging of calcified structures is required. For osteonecrosis, there is no clear preference of CT over MRI. Helical CT is thought to be better than CT at identifying fracturing and is therefore recommended for select use.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Tomography, Spiral Computed, Helical CT scans, Spiral CT scans, Helical Computed Axial Tomography; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 0 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 0 articles, 0 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 200 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.4.6. LOCAL ANESTHETIC INJECTIONS

In the absence of quality evidence, it is recommended that the diagnosis of shoulder osteonecrosis be managed according to the recommendations for rotator cuff tendinopathy.

See Rotator Cuff Tendinopathy Injections.

# 7.4.7. FUNCTIONAL CAPACITY EVALUATIONS

In the absence of quality evidence, it is recommended that the diagnosis of shoulder osteonecrosis be managed according to the recommendations for Rotator Cuff Tendinopathy. See Functional Capacity Evaluations for Chronic Disabling Shoulder Pain [Recommended, Insufficient Evidence (I)].

# 7.4.8. MAGNETIC RESONANCE IMAGING (MRI)

Magnetic resonance imaging (MRI) has been used to detect early osteonecrosis of the shoulder because MRI may detect subtle lesions in the bone (733).

# MAGNETIC RESONANCE IMAGING (MRI) FOR DIAGNOSING OSTEONECROSIS

#### Recommended

Magnetic resonance imaging is recommended for diagnosing osteonecrosis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Patients with subacute or chronic shoulder pain thought to be related to osteonecrosis (AVN), particularly in whom the diagnosis is unclear or in whom additional diagnostic evaluation and staging is needed.

# Benefits

Secure a diagnosis, grade severity, assess collapse potential, and stage.

#### Harms

Negligible

# Frequency/Dose/Duration

One MRI is performed for diagnosis and a baseline. A second study at last several weeks later may be used to assess progression and regression, plan surgery and re-stage.

# Rationale

Multiple studies show utility of MRI for assessing ON (Blanchard et al., 1999). Helical computerized tomography is considered superior to MRI for imaging bone collapse (Stevens et al., 2003). However, MRI is considered superior for imaging bone marrow edema, which is inversely correlated with prognosis of osteonecrosis. Thus, both tests have their advantages. MRI is not invasive (or minimally so with a contrast exam), has negligible adverse effects, is high cost, has utility for the diagnosis and staging of osteonecrosis and is thus recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Imaging, MRI Scans; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 102 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 102 articles, 458 in Scopus, 4 in CINAHL, 954 in Cochrane Library, 3180 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 3 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.4.9. ULTRASOUND

# ULTRASOUND FOR DIAGNOSING OSTEONECROSIS

#### **No Recommendation**

Ultrasound is neither recommended nor not recommended for use on patients suspected of having osteonecrosis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Indications

Not specified.

#### Rationale

There are no quality studies of ultrasound for diagnosing osteonecrosis, and thus there is no recommendation. However, there are other indications for ultrasound.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasound, Ultrasonography;

Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 447 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 447 articles, 141 in Scopus, 0 in CINAHL, 16,947 in Cochrane Library, 2,480 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.4.10. POSITRON EMISSION TOMOGRAPHY (PET)

# POSITRON EMISSION TOMOGRAPHY (PET) FOR DIAGNOSING OSTEONECROSIS

# Not Recommended

PET scanning is not recommended for the evaluation of patients with osteonecrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence with patient-related outcomes that PET is helpful in improving care of osteonecrosis. Additional studies are needed to determine if PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, clinical impression and advanced imaging before it can be recommended for evaluating osteonecrosis.

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Positron Emission Tomography, PET; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 1article in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 1 article, 22 in Scopus, Oin CINAHL, 918 in Cochrane Library, 530 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, Ofrom Google Scholar, and Ofrom other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **7.5. TREATMENT RECOMMENDATIONS**

# 7.5.1. ACTIVITY MODIFICATION AND EXERCISE

In the absence of quality evidence for exercise and physical therapy, it is recommended that the treatment of shoulder osteonecrosis be managed according to the following recommendations for Rotator Cuff Tendinopathy and Shoulder Pain:

- Exercise Prescriptions for Shoulder Pain [Recommended, Evidence (C)]
- Aerobic Exercises for Treatment of Rotator Cuff Tendinopathies [Recommended, Insufficient Evidence (I)]
- Range-of-Motion Exercise for Treatment of Rotator Cuff Tendinopathies [Recommended, Insufficient Evidence (I)]
- Strengthening Exercises for Treatment of Rotator Cuff Tendinopathies [Recommended, Evidence (C)]
- Physical and/or Occupational Therapy for Treatment of Rotator Cuff Tendinopathies [Recommended, Insufficient Evidence (I)]

## AVOIDANCE OF DYSBARIC EXPOSURES FOR THE TREATMENT OF OSTEONECROSIS

## Recommended

Reduction or elimination of activities that are significant risks for osteonecrosis including the avoidance of dysbaric exposure is recommended. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

All patients with osteonecrosis.

## Benefits

Potential to modify the disease course and prevent need for surgery

## Harms

Adverse effects of treatments rendered.

## Rationale

There are few quality studies evaluating efficacy of risk factor modification for osteonecrosis. As the following are known modifiable risk factors, elimination of decompression exposures, control of diabetes mellitus, elimination of or reductions in glucocorticosteroid use, and elimination of alcohol and tobacco products are all recommended at the time the diagnosis is considered. As there is some evidence statins may reduce risk (Pritchett, 2001), and tobacco is also a risk, the composite data suggest aggressive targeting of all coronary artery disease risk factors is needed and recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Decompression Sickness, Avoidance of Barotrauma, Avoidance of Dysbaric Exposure, Risk Factors; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 22 articles in PubMed, 158 in Scopus, 20 in CINAHL, 0 in Cochrane Library, 1350 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# AVOIDANCE OF SYMPTOM-PROVOKING ACTIVITIES OR OTHER RISK FACTORS FOR THE TREATMENT OF OSTEONECROSIS

## Recommended

Reduction or elimination of activities that are significant risks for osteonecrosis, including control of diabetes mellitus, elimination or reductions in glucocorticosteroid use, and elimination of alcohol and tobacco is recommended.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

All patients with osteonecrosis.

## Benefits

Potential to modify the disease course and prevent need for surgery

## Harms

Adverse effects of treatments rendered.

## Rationale

There are few quality studies evaluating efficacy of risk factor modification for osteonecrosis. As the following are known modifiable risk factors, elimination of decompression exposures, control of diabetes mellitus, elimination of or reductions in glucocorticosteroid use, and elimination of alcohol and tobacco products are all recommended at the time the diagnosis is considered. As there is some evidence statins may reduce risk (Pritchett, 2001), and tobacco is also a risk, the composite data suggest aggressive targeting of all coronary artery disease risk factors is needed and recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Decompression Sickness, Avoidance of Barotrauma, Avoidance of Dysbaric Exposure, Risk Factors; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 22 articles in PubMed, 158 in Scopus, 20 in CINAHL, 0 in Cochrane Library, 1350 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# AGGRESSIVE TARGETING OF CORONARY ARTERY DISEASE RISK FACTORS FOR TREATMENT OF OSTEONECROSIS

#### Recommended

Aggressive targeting of coronary artery disease risk factors is recommended for the treatment of shoulder osteonecrosis.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Indications

All patients with osteonecrosis.

## Benefits

Potential to modify the disease course and prevent need for surgery

#### Harms

Adverse effects of treatments rendered.

#### Rationale

There are no quality trials of aggressive treatments of cardiovascular disease risk factors. Yet, epidemiological evidence supports risks for osteonecrosis associated with diabetes mellitus, alcohol and smoking. Some evidence in limited patients (e.g., renal) also suggest statins are associated with lower risk (Pritchett, 2001) (Ajmal et al., 2009). Anatomical evidence appears to support a disease mechanism based on tenuous blood supply. Thus, there is a rationale for targeting cardiovascular

disease risk factors in patients with osteonecrosis. Although there is no quality evidence of efficacy of cardiovascular disease risk factor modification, it has a strong theoretical construct, is not invasive, has generally low adverse effects, and is only moderately costly depending on the interventions(s). Thus, it is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Aggressive Targeting for Coronary Artery Disease Risk Factors; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 15 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 745 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack.

# 7.5.2. MEDICATIONS

There is no quality literature specific to shoulder osteonecrosis for nearly all of the following interventions. In the absence of quality evidence, it is recommended that the diagnosis of shoulder osteonecrosis be managed according to the recommendations for Rotator Cuff Tendinopathy and Shoulder Pain:

- NSAIDs for Treatment of Rotator Cuff Tendinopathies and Shoulder Pain [Strongly Recommended, Evidence (A)]
- Acetaminophen for Acute, Subacute, Chronic, or Post-operative Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Norepinephrine Reuptake Inhibiting Anti-depressants for Subacute or Chronic Shoulder Girdle Pain [Recommended, Insufficient Evidence (I)]
- Selective Serotonin Reuptake Inhibitors for Acute, Subacute, or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Anti-convulsants for Acute, Subacute or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Capsicum Creams for Acute, Subacute, Chronic Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical NSAIDs, including Diclofenac Epolamine for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (1)]

• Topical Glyceryl Trinitrate, Lidocaine Patches, Eutectic Mixture of Local Anesthetics (EMLA), and Other Creams/Ointments for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]

See also the ACOEM Opioids guideline for the treatment of subacute and chronic pain.

## **BISPHOSPHONATES TO TREAT OSTEONECROSIS**

#### **No Recommendation**

Bisphosphonates are neither recommended nor not recommended to treat osteonecrosis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no trials on the use of bisphosphonates for shoulder osteonecrosis. Bisphosphonates have been evaluated in multiple moderate quality studies of osteonecrosis in the hip and the results conflict with some showing efficacy with delayed collapse (Lai et al., 2005) (Venesmaa et al., 2001) and some showing lacking efficacy (Chen et al., 2015) (Wang et al., 2008) (Wilkinson et al., 2001). Bisphosphonates are not invasive, have some adverse effects and are moderately costly, but there is substantially conflicting data on efficacy. Thus, there is no recommendation for treatment of the shoulder from inference regarding studies of the hip.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Bisphosphonates, Diphosphonates; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 19 in Scopus, 0 in CINAHL, 4 in Cochrane Library, 1430 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **ORAL GLUCOCORTICOIDS FOR TREATMENT OF OSTEONECROSIS**

## Not Recommended

Oral glucocorticoids are not recommended for treatment of osteonecrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Rationale

There are no quality studies assessing treatment of osteonecrosis with glucocorticosteroids. However, there is strong evidence that glucocorticosteroids are significant risk factors for the condition, thus, by inference, and in the absence of evidence of efficacy, glucocorticosteroids are not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Glucocorticoids; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 10 articles in PubMed, 44 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 3,250 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **GLUCOCORTICOID INJECTIONS FOR TREATMENT OF OSTEONECROSIS**

## Not Recommended

Glucocorticoid injections are not recommended for treatment of osteonecrosis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## **Indications for Discontinuation**

Not specified.

## Rationale

There are no quality studies assessing treatment of osteonecrosis with glucocorticosteroids. However, there is strong evidence that glucocorticosteroids are significant risk factors for the condition, thus, by inference, and in the absence of evidence of efficacy, glucocorticosteroids are not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Glucocorticoids; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 10 articles in PubMed, 44 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 3,250 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for

inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria. <sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.5.3. ALLIED HEALTH INTERVENTIONS

# HYPERBARIC OXYGEN FOR OSTEONECROSIS

## Recommended

Hyperbaric oxygen is recommended for the treatment of shoulder osteonecrosis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

All patients with osteonecrosis, although especially appears useful for Osteonecrosis Ficat Stage 2. It may be reasonable to attempt HBO in patients with more severe osteonecrosis.

## Benefits

Potential to modify the disease course and prevent need for surgery.

## Harms

Barotrauma (especially of the ears), oxygen toxicity, claustrophobia/anxiety

## Frequency/Dose/Duration

By inference from the hip: 2.5 ATA of hyperbaric oxygen for 82 minutes, comprising a period of 60 minutes when the patient was continuously exposed to 2.5 ATA without interruption, for a total of 30 treatments (Kim et al., 2004).

## Indications for Discontinuation

Completion of course, intolerance, clinical resolution, osteonecrosis collapse

## Rationale

There are no quality trials for treatment of shoulder ON with hyperbaric oxygen. However, by analogy with hip ON which is believed to have the identical pathophysiological mechanism and intervention (HBO), there is one moderate quality study suggesting durable, reduced need of arthroplasty lasting 7 years among HBO-treated patients (Camporesi et al., 2010), although the sample size is modest. Hyperbaric oxygen has been used to treat osteonecrosis of the jaw (Shimura et al., 2006), but a study following osteonecrosis of the hips of children from chemotherapeutics found no improvements with

hyperbaric oxygen. Despite its high cost, HBO is not invasive, has low adverse events, and has some evidence of efficacy for osteonecrosis of the hip with a durable reduced need of surgery. Thus, it is recommended for treatment of shoulder osteonecrosis.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Hyperbaric Oxygen Therapy, HBOT, Hyperbaric Oxygenation; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 15 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 437 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 7.5.4. SURGICAL CONSIDERATIONS

There are multiple surgical procedures that have been used for treatment of osteonecrosis, including core decompression similar to that for the hip (726,1781,1783,1784,1785,1786,1787,1788,1789,1790,1791), arthroscopy with or without core and devascularized (1787,1792,1793), vascularized decompression bone grafting (1791,1794,1316,1795,1796,1797), humeral head resurfacing (1750,1758,1798,1799,1800,1801,1802,1803,1804), and arthroplasties (1750,1758,1798,1799,1800,1801,1802). Electrical stimulation is also used on the hip, although there are no quality studies of the procedure (1805).

Core decompression with or without bone grafts is the surgical procedure that has been utilized most frequently to treat osteonecrosis of either the hip or humerus (1806) (1807) (1808) (726,727,1789,1809,1810,1811,1812). The primary purpose of the procedure is to relieve the elevated intramedullary pressure that stagnates microvascular circulation (726,727).

Hemi- and total shoulder arthroplasty have been used for the treatment of osteonecrosis of the shoulder (726) (1798) (1800) (1813) (1814) (1815) (1816) (1817) (1818) (1819) (1820) (1821) (1822) (1823).

# CORE DECOMPRESSION SURGERY TO TREAT OSTEONECROSIS

## Recommended

Core decompression surgery is recommended to treat osteonecrosis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Patients with generally moderate to severe osteonecrosis either (i) not responding to risk factor modification and/or (ii) felt to be at risk of collapse and further delay while treating risk factors or treating with hyperbaric oxygen is felt to be too risky.

## Benefits

Potential to heal without arthroplasty.

## Harms

Superficial and deep infection(s), osteomyelitis, failure to prevent collapse and need for arthroplasty.

## Rationale

There are no quality studies of the efficacy of core decompression of the humerus, as the sole quality study included coring in all patients and then compared with/out stem cell injections (Hernigou et al., 2021). Core decompression with or without bone grafts has been widely utilized to treat hip osteonecrosis (Ficat, 1985) (Castro et al., 2000) (Steinberg et al., 2001) (Warner et al., 1987) (Rijnen et al., 2003) (Stulberg et al., 1991), and thus by inference, the humerus. However, the two moderate-quality studies of hips in adults (Koo et al., 1995) (Stulberg et al., 1991) conflict (Castro et al., 2000). The primary purpose of the procedure is to relieve the elevated intramedullary pressure that stagnates the microvascular circulation (Castro et al., 2000). In a case series, results were good in 94% of Stage I and 82% in Stage II. However, a case series cannot prove that earlier treatment results in superior outcomes as results may mislead through spectrum and other biases. While the coring decompression is not without risks and is costly, and although the two quality studies of a coring procedure in the hip conflict, core decompression is selectively recommended based on an apparent trend for the procedure to prevent collapse.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Core Decompression, Core Decompression Surgery; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 12 articles in PubMed, 25 in Scopus, 5 in CINAHL, 1 in Cochrane Library, 1370 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ARTHROPLASTY FOR SHOULDER OSTEONECROSIS

## Recommended

Arthroplasty is recommended for treatment of osteonecrosis with collapse or severe disease unresponsive to non-operative treatment.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

## Indications

Patients with collapse of the humeral head are immediate candidates for arthroplasty. Additional candidates include those with severe osteonecrosis who are:

- unresponsive to risk factor modification, and/or
- felt to be at significant risk of immediate collapse.

## Benefits

Potential for curative treatment.

## Harms

Superficial and deep infection(s), osteomyelitis, risk of requiring explanation. If young individual, increased risk of requiring revision surgery.

## Rationale

There are no quality studies of shoulder arthroplasty for ON of the humerus. By analogy, once the head of the femur collapses, the treatment is usually arthroplasty, although early case series reported high revision rates of up to 37% that have more recently declined to approximately 2 to 9% (Salvati et al., 1988) (Chandler et al., 1981) (Saito et al., 1989) (Kantor et al., 1996) (Piston et al., 1994) (Chiu et al., 1997) (Garino et al., 1997) (Stulberg et al., 1997) (Fye et al., 1998) (Kim et al., 2003) (Taylor et al., 2001) (Xenakis et al., 2001) with improvements initially attributed to cementation techniques with subsequent reductions in revisions attributed to cementless techniques (Babis GC, 2004). A few of the quality studies regarding arthroplasty were performed for osteonecrosis, although none solely included those patients (Kim et al., 2003) (Brodner et al., 2003) (Kim et al., 2002). See Hip Osteoarthrosis section on arthroplasty for additional details. The prognosis appears to be reasonably good in more recent studies of these patients and thus, arthroplasty is selectively recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Arthroplasty; Osteonecrosis, Osteonecrotic Symptoms, Avascular Necrosis, Aseptic Necrosis, Ischemic Bone Necrosis, shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 100 articles in PubMed, 264 in Scopus, 25 in CINAHL, 12in Cochrane Library, 5,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 12 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google

Scholar, and 0 from other sources. Of the 14 articles considered for inclusion, 0 randomized trials and 13 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8. ADHESIVE CAPSULITIS**

# **8.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing adhesive capsulitis from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# 8.2. OVERVIEW

Adhesive capsulitis is also known as frozen shoulder, painful stiff shoulder, periarthrosis, or periarthritis (1824,1825,996,1826,1827). However, the disorder continues to be poorly understood and no commonly used term adequately describes the condition as the shoulder is neither frozen, nor are there consistent presence of adhesions and inflammation (1828,1829), nor is it necessarily painful. Reported findings include histological evidence of chronic inflammation, perivascular infiltration, fibrosis of the subsynovial layer, and sometimes there is associated subacromial bursitis (1828,1829,1830). For lack of a better term, "adhesive capsulitis" will be used in this guideline.

The lifetime cumulative incidence of adhesive capsulitis has been estimated at 2 to 5% (1828,734). Most cases begin gradually, although some occur quickly after discrete events such as trauma (794,781,1831). Idiopathic adhesive capsulitis most commonly affects females between age 45 and 65 (80% of cases). There is a 15-20% chance of having bilateral (not concurrent) adhesive capsulitis. Three clinical phases are commonly recognized – inflammatory (pain), stiff (pain and limited motion), and thawing (resolution). The majority of patients resolve with resolution of pain and recovery of close to normal motion (1832). It has been described as a self-limited disease lasting up to 2 to 3 years (1109,1828,794,1831,1832,1833,1834,795,1835,1836,1837,1838,1839,1840,1841,1842,1843), with

10 to 20% of patients having long-term debility (781,1831,1837,1843,782,1844); others have described it as a chronic disorder associated with prolonged disability (1831,1837,1845,1846,1071,788,1847)(1848).

Adhesive capsulitis may be spontaneous and idiopathic, primary (1828,1831,1838,782,1844,788,1849), as well as caused by, or secondary to injuries (1831), prolonged immobilization, rotator cuff tendinopathies (1850,1851,789), surgery, and predisposing medical conditions (1837,1844,1849,1109). Any factor that results in reduced range of motion is thought to be a risk for adhesive capsulitis. There is no quality evidence that work activities are a direct cause (1852), yet workplace injuries may secondarily result in adhesive capsulitis.

associated Diseases with adhesive capsulitis include diabetes mellitus (1824,1835,1853,1854,1855,1856,1857,1858,1859,1860,1861), including 'dose-response' а relationship with severity of diabetes (1862), crystal arthropathies, rheumatoid arthritis, ankylosing spondylitis (1863), other rheumatological diseases (1864), paresis and hemiplegia (1865), hypothyroidism (1824,1853,1858,1866), and thyrotoxicosis (1824). Immunological abnormalities have also been reported (1867). However, the most commonly used classification systems for adhesive capsulitis have generally excluded arthroses and crystal arthropathies (1027). While studies of risk and prognostic factors are notably quite weak, poor clinical prognostic factors include diabetes (1829,1868,1869,1870), prior episodes of shoulder pain, duration of more than 1 month at presentation, passive elevation less than 101ºF, concomitant neck pain, severe daytime pain, and psychosocial stress (1871,1872). The quality of the overall evidence base for treatment of adhesive capsulitis is relatively weak (1080,226,1873,267,1874,1875).

# **8.3. DIAGNOSTIC CRITERIA**

Various criteria have been used. Thus, there are no consensus diagnostic criteria (734,735). Criteria used include gradual onset of global limitation of passive range of motion and normal radiographs other than osteopenia, which might or might not be present.

The diagnosis of adhesive capsulitis is primarily clinical and based on the history and physical examination (735,736). Additional tests are often performed largely to exclude other treatable conditions. X-rays are recommended and may be needed of both shoulders, particularly if there was a bilateral injury or need for comparison with the unaffected shoulder. Other studies may be helpful, including MRI, especially for evaluation of potential rotator cuff tendinopathies or tears.

# **8.4. WORK LIMITATIONS**

Patients with adhesive capsulitis should be encouraged to incrementally perform more work activities to the extent possible, as these activities are generally therapeutic as long as they do not increase shoulder functional loss/ disability. However, some limitations are often needed, especially for more physically demanding work activities. Such limitations are gradually reduced as recovery progresses and may include limitations in heavy lifting and overhead activities. If surgery is performed, there is a similar need for workplace limitations that are gradually reduced. In contrast with numerous other disorders, frequent appointments and therapy to advance exercises, incrementally increase range of motion, work through fear avoidant beliefs, and advance work activities are often needed for patients with adhesive capsulitis.

# **8.5. DIAGNOSTIC RECOMMENDATIONS**

# **8.5.1. ANTIBODIES**

# **ANTIBODIES TO CONFIRM SPECIFIC DISORDERS**

## Sometimes Recommended

Antibody levels are selectively recommended to evaluate and diagnose patients with shoulder pain that have reasonable suspicion of rheumatological disorders including inflammatory arthropathies. Antibody levels are strongly recommended as a screen to confirm specific rheumatological disorders when there are indications (e.g., symptoms and/or signs suggestive of rheumatoid arthritis), but are generally not indicated for most patients with other specific soft tissue musculoskeletal disorders, such as rotator cuff tendinopathies due to high false positive rates in that non-specific diagnostic setting. Consultation with a rheumatologist may be helpful when there is a known or suspected disorder.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

## Indications

Shoulder pain and a presumptive diagnosis of an inflammatory rheumatological disorder. May include pain that fails to respond as would be expected, with or without findings in other joints. Findings in other joints increases the probability that testing will be positive. Testing is generally not indicated for most patients with rotator cuff tendinopathies. Testing is also not generally indicated at initial symptoms presentation unless symptoms have been present for at least a few weeks and/or are severe; otherwise, e.g., negative test results are more likely as insufficient time is likely to have passed and may mislead.

## Benefits

Secure an accurate diagnosis, which should then focus the treatment plan to more efficacious treatments.

## Harms

Potential for false-positive tests; however that is generally minimal unless the pre-test probability is low.

## Frequency/Dose/Duration

Generally only ordered one time. However, if the testing was performed early and there is further disease persistence or progression, a second test is reasonable as more time may be required for the antibody tests to become positive.

## Rationale

Elevated antibody levels are highly useful for confirming clinical impressions of inflammatory rheumatological diseases. However, routine use of these tests in shoulder pain patients is not recommended, especially as wide-ranging, non-focused test batteries are likely to result in inaccurate

diagnoses due to false positives and low pre-test probabilities. Providers should also be aware that false-negative results occur. Measurement of antibody levels is minimally invasive, unlikely to have substantial adverse effects, and is low to moderately costly depending on the specific test ordered. They are recommended for focused testing of a limited number of diagnostic considerations. However, ordering of a large, diverse array of antibody levels without targeting a few specific disorders diagnostically is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Antibodies; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 9 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 9 articles, 676 in Scopus, 2 in CINAHL, 1 in Cochrane Library, 119 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 8.5.2. NONSPECIFIC INFLAMMATORY MARKERS

# NON-SPECIFIC INFLAMMATORY MARKERS FOR SCREENING FOR INFLAMMATORY DISORDERS IN SUBACUTE OR CHRONIC SHOULDER PAIN

## Sometimes Recommended

Serum measures of erythrocyte sedimentation rate, C-reactive protein, creatine kinase muscle, aldolase, hyaluronic acid, and other inflammatory markers are selectively recommended for screening either inflammatory disorders with reasonable suspicion of inflammatory disorder in patients with subacute or chronic shoulder pain or osteoarthrosis. They are generally not indicated for patients with non-specific disorders, such as rotator cuff tendinopathies.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

## Indications

Shoulder pain and a presumption of an inflammatory process. Pain that fails to respond as would be expected, with or without findings in other joints. Findings in other joints increases the probability that testing will be positive. Testing is generally not indicated for most patients with rotator cuff tendinopathies. Testing is also not generally indicated at initial symptoms presentation unless symptoms have been present for at least a few weeks and/or are severe; otherwise, e.g., negative test results are more likely as insufficient time is likely to have passed and may mislead.

## Benefits

Identify whether an inflammatory process is likely, which may help focus on the need for further testing to secure an accurate diagnosis.

## Harms

Potential for false-positive tests; however, that is generally minimal unless the pre-test probability is low.

## Frequency/Dose/Duration

Generally only ordered one time. However, if the testing was performed early, and there is further disease persistence or progression, a second test is reasonable as the inflammatory mediators may have needed additional time to become positive.

## Rationale

Erythrocyte sedimentation rate (ESR) is the most commonly used systemic marker for non-specific inflammation. It is elevated in numerous inflammatory conditions including rheumatological disorders as well as infectious diseases. C-reactive protein (CRP) is a marker of systemic inflammation that has been associated with an increased risk of coronary artery disease. It is also a non-specific marker for other inflammation. Both ESR and CRP are also markers of infection. Numerous inflammatory markers have been found to be elevated in patients with musculoskeletal disorders but because it is not known whether these factors precede or are a consequence of the disease processes, their utility in patient management is unclear. Other non-specific markers of inflammation include elevated ferritin and an elevated protein-albumin gap, neither of which have known clinical roles. Serological studies for non-specific inflammatory markers are minimally invasive, have low risk of adverse effects, and are low cost. They are recommended as a reasonable screen for systemic inflammatory conditions especially if the patient also has other pain without clear definition of a diagnosis or those with fibromyalgia or myofascial pain syndrome, although specificity is not high. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

A large study found elevated biomarkers (C-reactive protein, creatine kinase muscle, aldolase) are associated with osteoarthrosis compared with normal controls (Ganguly, 2019). Another study found elevated serum hyaluronic acid levels among both those with either rheumatoid arthritis or osteoarthrosis, although the HA levels were higher among those with rheumatoid arthritis (Goldberg RL, 1991) and TNF alpha, IL-1B, IL-10 and IL-17 (Hussein et al., 2008). However, clear distinctions between these measures among those with osteoarthrosis and inflammatory arthropathies is not apparent in the available literature. Thus, the utility of these tests may be as potential screening for arthropathies irrespective of inflammatory arthroses.

A high-quality, 7-year study of 880 elderly subjects evaluated impacts of IL-6 and CRP on both crosssectional associations with morbidity and long-term mortality (Taaffe DR, 2000). CRP and IL-6 were higher among smokers at baseline and those with higher body mass indexes (BMIs). IL-6 and CRP were also higher among those with hypertension, myocardial infarction, stroke, glycosylated hemoglobin levels, HDL, and number of chronic conditions. Both IL-6 and CRP were inversely related to quartiles of moderate and strenuous physical activity. CRP and/or IL-6 were associated with incidence of hypertension, myocardial infarction, diabetes, and incident cases of chronic conditions. Physical performance measures of changes in grip strength, signature time, chair-rise and 6-m fast walk all were not significant for IL-6 or CRP. Serological studies for non-specific inflammatory markers are minimally invasive, have low risk of adverse effects, and are low cost. They are recommended as a screen for systemic inflammatory and osteoarthrosis conditions especially if the patient also has other pain without clear definition of a diagnosis, although specificity is not high and these measures tend to be elevated in both osteoarthrosis and inflammatory disorders, with higher levels among those with inflammatory disorders. However, ordering of a large, diverse array of anti-inflammatory markers without targeting a few specific disorders diagnostically is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: blood sedimentation, c reactive protein, procalcitonin, nonspecific inflammatory markers; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 6 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6 articles, 756 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 11,000 in Google Scholar, and 0 from other sources. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 6 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.<sup>†</sup>

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cytokines; osteoarthritis, degenerative joint disease, shoulder, glenohumeral joint, acromioclavicular joint; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 12 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 12 articles, 1030 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 12,000 in Google Scholar, and Ofrom other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.<sup>†</sup>

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: C-Reactive Protein, Erythrocyte Sedimentation Rate, Non-Specific Inflammatory Markers; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 35 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 37 articles, 61 in Scopus, 10 in CINAHL, 3 in Cochrane Library, 171 in Google Scholar, and 0 from other sources<sup>†</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.5.3. CYTOKINES**

# CYTOKINE TESTING FOR CHRONIC SHOULDER PAIN, INCLUDING ROTATOR CUFF TENDINOPATHIES

## Not Recommended

Routine testing with or the use of batteries of cytokine tests is not recommended to diagnose chronic shoulder pain, including rotator cuff tendinopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

Cytokines purportedly determine whether a patient is experiencing pain or has suffered a toxicological insult. However, there are no quality studies that address this premise. Available studies suggest that these markers may be elevated in chronic pain conditions, but these studies did not have adequate control groups and did not control for potential confounders. The range of disorders in which cytokines may be elevated also needs definition, as the current range of conditions appears large (Taaffe DR, 2000, Martelletti, 1999, Perini, 2005, Covelli, 1991, Gratt, 2005, Alexander, 1998, Chen, 2004, Gur, 2002, Madson, 1994), suggesting they are not specifically isolated to patients with chronic pain, and thus the specificity of these tests seems likely to be quite low.

A high-quality, 7-year study of 880 elderly subjects evaluated impacts of IL-6 and CRP on both crosssectional associations with morbidity and long-term mortality (Taaffe DR, 2000). CRP and IL-6 were higher among smokers at baseline and those with higher body mass indexes (BMIs). IL-6 and CRP were also higher among those with hypertension, myocardial infarction, stroke, glycosylated hemoglobin levels, HDL, and number of chronic conditions. Both IL-6 and CRP were inversely related to quartiles of moderate and strenuous physical activity. CRP and/or IL-6 were associated with incidence of hypertension, myocardial infarction, diabetes, and incident cases of chronic conditions. Physical performance measures of changes in grip strength, signature time, chair-rise and 6-m fast walk all were not significant for IL-6 or CRP. Cytokines need to be rigorously studied to ascertain if there is a place for them in the evaluation and/or management of chronic pain conditions, including stratification for occupationally-relevant diseases.

Documentation that the discovery of elevated cytokine levels results in changes in evaluation and/or clinical management is also necessary. Alternatively, this testing may be useful if the absence of elevated cytokine levels would warrant concluding that a patient does not have a remediable physical cause of shoulder pain. While cytokine testing is minimally invasive, and has a low risk of adverse effects, these tests are high cost, with no evidence that they alter the clinical management of patients with chronic shoulder pain. Their place in the evaluation of patients with chronic shoulder pain is yet to be determined and cytokine testing is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cytokines, Interleukins, Chemokines and lymphokines; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries,

shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 56 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 76 articles, 545 in Scopus, 12 in CINAHL, 1 in Cochrane Library, 218 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 2 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 0 diagnostic studies and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 8.5.4. X-RAYS

# **X-RAYS FOR ADHESIVE CAPSULITIS**

## Recommended

X-rays are recommended for evaluation of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

All patients suspected with adhesive capsulitis.

## **Benefits**

Diagnosis of calcific tendinitis, arthritides, fracture or otherwise latent medical condition(s).

## Harms

Negligible; minor radiation exposure.

## Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. For patients with chronic adhesive capsulitis and/or shoulder pain, it may be reasonable to obtain another set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

There are no quality studies that help define the utility of x-rays, as the only comparative study had sample sizes that were too small to define the utility of x-rays (Simmonds, 1949). However, x-rays are clinically helpful to evaluate adhesive capsulitis, particularly to assist in differential diagnostic possibilities such as arthritides, tendinosis, and calcific tendinitis, which result in different treatment approaches. X-rays are non-invasive, are of low to moderate cost, may alter the treatment course, and have little risk of adverse effects. X-rays are, therefore recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Roentgenograms, X-Rays, Radiography, Roentgenograph; adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 1046 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 1046 articles, 95 in Scopus, 12 in CINAHL, 1 in Cochrane Library, 6860 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources study and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.5.5. BONE SCANNING**

Bone scanning is not indicated for simple adhesive capsulitis, but there are other indications. See Rotator Cuff Tendinopathy.

# 8.5.6. COMPUTED TOMOGRAPHY

Computerized tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most patients have issues with soft tissue rather than bony abnormalities in the shoulder, thus on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – such as, glenoid deficiency and humeral Hill-Sachs lesion – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear. Computed tomography (CT) has been used to diagnose adhesive capsulitis (723) (737) (738) (739).

# **CT FOR ADHESIVE CAPSULITIS**

## Recommended

Computerized tomography is selectively recommended for the evaluation of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Imaging for adhesive capsulitis which particularly includes concerns about osseous abnormalities or other calcified structures. For most cases of adhesive capsulitis, x-rays and potentially MRI suffice. CT may be indicated when MRI is contraindicated.

## Benefits

Diagnosis and understanding of the degree of osseous structures, complex fractures, calcific tendinitis.

## Harms

Radiation exposure.

## Frequency/Dose/Duration

Obtaining a CT once is generally sufficient. For patients with ongoing adhesive capsulitis and chronic shoulder pain, it may be reasonable to obtain a second CT later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

One controlled study suggested adhesive capsulitis is associated with a decreased axillary recess width with lateral thickening and medial joint capsule thickening at the axillary recess (Cerny et al., 2017). X-rays and MRI are sufficient for most adhesive capsulitis patient evaluations. CT is superior where imaging calcified structures is required, such as for calcific tendinitis. CT arthrogram can be selectively used in place of MRI to evaluate for rotator cuff tear. MRI is considered superior to computerized tomography for imaging most shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern. A contrast CT study is minimally invasive, has few, if any, adverse effects but is costly. It is recommended for select use.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Computer Tomography (CT); adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 326 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 326 articles, 221 in Scopus, 10 in CINAHL, 70 in Cochrane Library, 4170 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 1 diagnostic study and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.5.7. DIAGNOSTIC ANESTHETIC INJECTIONS**

Diagnostic injections particularly of the subacromial space, glenohumeral joint and acromioclavicular joint are sometimes performed. However, particularly for adhesive capsulitis, they are nearly always performed in combination with a glucocorticosteroid injection (see Injection Therapies). Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes.

# 8.5.8. ELECTROMYOGRAPHY

See the Cervical and Thoracic Spine Disorders and Hand, Wrist, and Forearm Disorders guidelines for discussions regarding use of electrodiagnostic studies for evaluation of cervical spine and distal upper extremity-related disorders that may present as shoulder pain. Electrodiagnostic studies have also been used to confirm diagnostic impressions of other peripheral nerve entrapments, brachial plexopathies, and neurologic component of thoracic outlet syndrome.

# **8.5.9. FUNCTIONAL CAPACITY EVALUATIONS**

## FUNCTIONAL CAPACITY EVALUATIONS FOR CHRONIC DISABLING SHOULDER PAIN

## Recommended

Functional capacity evaluations (FCEs) are recommended as an option for evaluation of disabling chronic shoulder pain where the information may be helpful to attempt to objectify worker capability, function, motivation, and effort vis-à-vis either a specific job or general job requirements. There are circumstances where a patient is not progressing as anticipated at 6 to 8 weeks and an FCE may help evaluate functional status and patient performance in order to match performance to specific job demands, particularly in instances where those demands are medium to heavy. If a provider is comfortable describing work ability without an FCE, there is no requirement to do this testing. Recordings or observation for signs of mismatch between effort and self-reported abilities may be particularly helpful.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Patients with moderate to severe chronic shoulder pain that has ongoing functional impairments and need to attempt to identify and quantify limitations. There are circumstances where a patient is not progressing as anticipated at 6 to 8 weeks and an FCE can evaluate functional status and patient performance in order to match performance to specific job demands, particularly in instances where those demands are medium to heavy. More typically, FCEs are useful after a healing plateau is established whether surgery was performed or not. If a provider is comfortable describing work ability without an FCE, there is no requirement to do this testing. Recordings or observation for signs of mismatch between effort and self-reported abilities may be particularly helpful.

## Benefits

Identification and enumeration of limitations. Assess functional abilities and may facilitate greater confidence in return to work.

## Harms

Inappropriately low estimates of abilities, self-limitation of efforts, excessive disability, inappropriately precluding the performance of tasks and activities the person could safely perform. Medicalization, worsening of shoulder pain with testing; may have misleading results that understate capabilities.

## Frequency/Dose/Duration

Generally, only one test is needed. A repeat FCE may be needed if there are substantial changes in the person's condition or status, or if there is a need to assess projected performance against a different set of job criteria.

# Rationale

There are no quality studies of FCEs to evaluate ability to perform work and/or work limitations. Yet, FCEs are one of the few means to attempt to objectify limitations and are frequently used in workers' compensation systems, particularly as the correlation between clinical pain ratings and functional abilities appears weak (Brouwer et al., 2005, Gross et al., 2003, Reneman et al., 2002, Reneman et al., 2007, Schiphorst Preuper et al., 2008, Smeets et al., 2007, Eriksen et al., 2006). However, obtaining objective data regarding shoulder problems is somewhat more challenging than for distal upper extremity-related impairments due to the degree of reliance on the patient's subjective willingness to exert or sustain major activities that are critical for job performance. Because their reliability and validity have not been proven, FCEs should be utilized to evaluate work ability about what a patient was willing to do on a given day. They should be carefully performed and interpreted, but FCEs should not be used to override the judgment about the work ability of a patient with a shoulder problem.

Many commercial FCE models are available. There is research regarding inter-and intra-rater reliability for some of the models (complete discussion is beyond the scope of this guideline). The validity of FCEs, particularly predictive validity, is more difficult to determine, since factors other than physical performance may affect return to work (Pransky et al., 2004, Gouttebarge et al., 2004). An FCE may be done for one or more reasons, including identifying an individual's ability to perform specific job tasks associated with a job (job-specific FCE) and physical activities associated with any job (general FCE), or to assist in the objectification of the degree(s) of impairment(s). The type of FCE needed, and any other issues the FCE evaluator needs to address, should be specified when requesting an FCE.

The term "capacity" used in FCE may be misleading, since an FCE generally measures an individual's voluntary performance rather than his or her capacity. Physical performance is affected by psychosocial as well as physical factors. The extent of an individual's performance should be evaluated as part of the FCE process through analysis of his or her level of physical effort (based on physiological and biomechanical changes during activity) and consistency of performance. Perhaps more importantly, the objective findings identified in the musculoskeletal evaluation should correlate with any identified functional deficits. The individual's performance level, especially as it relates to stated levels of performance, should be discussed in the FCE report. A properly performed and well-reported FCE will highlight such discrepancies. This is particularly important in shoulder evaluations where there may be greater degrees of impairments at stake and where there are somewhat fewer metrics available than for the distal upper extremity.

FCE test components may vary depending on the model used, but most contain the following:

•

Patient interview including: informed consent, injury/illness and medical history, current symptoms, activities and stated limitations, pain ratings/disability questionnaires

- Musculoskeletal examination (e.g., including analogues of Waddell's non-organic signs for the shoulder such as non-anatomic pain)
- Observations throughout the session (e.g., demonstrated sitting tolerance, pain modifying behaviors)
- Material handling tests (lifting, carrying, pushing, pulling)
- Movement tests (walking, crouching, kneeling, reaching, etc.)
- Positional tolerance tests
- Dexterity/hand function
- Static strength (varies among models)
- Aerobic fitness (usually submaximal test-also variable among models)
- Job-specific activities as relevant
- Reliability of client reporting (e.g., non-organic signs, pain questionnaires, placebo tests, etc.)
- Physical effort testing (e.g., Jamar Dynamometer maximum voluntary effort, bell curve analysis, rapid exchange grip, competitive test performance, heart rate, observation of clinical inconsistencies, etc.)

FCE test length may vary between FCE models, although most 1-day FCEs are completed in 3 to 4 hours. Two-day tests, where the patient is seen on 2 consecutive days, may be recommended when there are problems with fatigue (e.g., chronic fatigue syndrome), delayed onset of symptoms, unusually complex job demands to simulate, and questions about symptom validity. Test length for 2-day tests is generally 3 to 4 hours on the first day, and 2 to 3 hours on the second day.

Interpretation of FCE results is complicated in that it is a measure of voluntary performance. Before beginning testing, the patient is counseled to avoid doing anything to knowingly reinjure him or herself. Thus, "fear avoidance" may cause testing to seriously underestimate actual ability and result in a report that the patient had "self-limited performance due to pain," suggesting a low pain tolerance, when in reality the patient was doing what he or she was instructed.

By analogy, the best studies on the ability of FCEs to predict safe re-entry to the workplace following rehabilitation of work-related back pain/injury suggest that FCEs are not able to predict safe return to work (concurrent validity) (Gross et al., 2005, Gross et al., 2004, Gross et al., 2004). In a prospective cohort study of 1,438 consecutive work-related back patients, all underwent an FCE prior to return to work. In the control group, the FCE was used to write return-to-work guidelines, while in the study group it was ignored and the worker was returned usually to full duty. Ignoring the FCE improved outcome (Hall et al., 1994).

# Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Functional Capacity Evaluations; rotator cuff tendinopathy, rotator cuff tear, shoulder pain, rotator cuff injuries, shoulder impingement syndrome, calcific tendinitis, subacromial bursitis, supraspinatus tendinitis; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, efficiency. We found and reviewed 6 articles in PubMed using Most Recent tab, and we did a

secondary search in PubMed using Best Match tab to find and review 34 articles, 42 in Scopus, 8,289 in CINAHL, 11 in Cochrane Library, 334 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.5.10. MAGNETIC RESONANCE IMAGING**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it is helpful for imaging soft tissues, particularly the rotator cuff (591) (592) (593) (594) (595) (57) (596) (597) (598) (599) (600) (601). MRI has been commonly used to assist in the diagnosis and differential diagnosis of adhesive capsulitis of the shoulder (740) (741) (742) (743) (744) (745) (746) (747) (748) (749) (750) (751) (752) (753) (754) (755) (756) (757) (758) (759) (760) (761) (762).

## **MRI FOR ADHESIVE CAPSULITIS**

## Sometimes Recommended

MRI is selectively recommended for patients with adhesive capsulitis who are also suspected of having rotator cuff tears or other soft tissue abnormalities potentially contributing to the adhesive capsulitis or relevant for the differential diagnosis.

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence High

## Indications

Patients with adhesive capsulitis who are also thought to have a rotator cuff tear or other soft tissue abnormalities potentially contributing to the adhesive capsulitis. Generally, 4-6 weeks of non-operative treatment is indicated prior to MRA; however, if there is significant rotator cuff weakness, immediate imaging may be indicated. MRI may be indicated as well for concerns about thoracic injury. Exceptions include elderly patients, those who would not undergo surgical repair, or those who have substantial signs of pre-existing large/massive rotator cuff tear.

## Benefits

Secure a secondary diagnosis.

## Harms

False positives and false negatives for rotator cuff tears.

# Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

There are many quality studies of MRI for evaluation of adhesive capsulitis. There are multiple abnormalities that have been strongly associated with adhesive capsulitis, including:

- axillary-recess capsule signal enhancement (T1-weighted);
- capsular thickness;
- hyperintense signals (T2-weighted fat-suppressed imaging),
- distention of the bursa in the superior subscapularis recess;
- enhancement of the coracohumeral ligament signal;
- coracohumeral ligament shortening;
- inferior glenohumeral ligament hyperintensity; and
- coracohumeral ligament at the rotator cuff interval with complete obliteration of the fat triangle below the coracoid process (Ahn K-S, 2015) (Carbone et al., 2014) (Chi et al., 2017) (Jung et al., 2019) (Li et al., 2011) (Park et al., 2019) (Teixeira et al., 2012) (Zhao et al., 2012) (Pessis et al., 2020).

MRI is also helpful for evaluation of rotator cuff tendinopathies (See Rotator Cuff Tendinopathy). However, there are no studies demonstrating that MRI alters the clinical course of adhesive capsulitis in most patients. There are concerns that MRI is inferior to MR arthrography for evaluating the labrum (Schmerl et al., 2005), thus MRA is selectively recommended for that purpose. MRI is not invasive, has potential adverse effects from issues of claustrophobia or complications of medication, but is costly. MRI is recommended for select evaluation of adhesive capsulitis cases, particularly involving concerns regarding secondary soft tissue pathology and otherwise treatable, causal disorders.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Imaging (MRI); adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 848 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 848 articles, 800 in Scopus, 28 in CINAHL, 5 in Cochrane Library, 14100 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 14 from PubMed, 1 from Scopus, 8 from CINAHL, 1 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 25 articles considered for inclusion, 12 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.5.11. MAGNETIC RESONANCE ARTHROGRAM**

Magnetic resonance (MR) arthrography combines MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is needed as well (173) (174). MR arthrography is particularly thought to be effective for imaging labral pathology (175) (176) (177) (178) (179) (180) (43) (181). Magnetic Resonance Arthrography has been used to diagnose adhesive capsulitis (750) (763) (764) (765) (766) (767) (768) (769) (770) (771) (772) (773) (774) (775) (776) (777).

# MR ARTHROGRAM FOR DIAGNOSING ADHESIVE CAPSULITIS

## Recommended

MR arthrography is selectively recommended for diagnosing labral tears in patients with adhesive capsulitis.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Use of MRA in patients with adhesive capsulitis is quite limited. Patients with adhesive capsulitis who also have symptoms of, or strong clinical suspicion of clinically meaningful labral tears. Patients should generally have failed non-operative treatment of the labral tear or soft tissue including NSAID and waiting 4 to 6 weeks without trending towards resolution.

## Benefits

Secure a surgical diagnosis.

## Harms

False positives and false negatives for labral tears. Arthrography improves the accuracy especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

## Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

MR arthrograms have been evaluated in several quality studies among patients with adhesive capsulitis, and while MRI findings were highly diagnostic for adhesive capsulitis (link to MRI section), MRA was of no or limited additive value in multiple studies (Pessis et al., 2020) (Manton GL, 2001) (Jung et al., 2006) (Mengiardi et al., 2004). Another study found decreased glenohumeral distance, capsule thickening and decreased axillary recess capacity (Lee et al., 2017) and another found decreased joint capacity (Ogul H, 2019) as associated with adhesive capsulitis. MR arthrography is invasive, has adverse effects including a low, but definite risk of infection and is painful. It is also costly, although MRA has been felt to provide better cost effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). For patients with adhesive capsulitis, it is likely limited to patients thought to have a clinically meaningful labral tear or patients with good strength in order to assess the labrum

and rotator cuff with traumatic injury simultaneously and thus, is recommended for highly selective use. For the vast majority of adhesive capsulitis patients, MRA is not believed to be of additive value to MRI.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Arthrogram, adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 81 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 81 articles, 33 in Scopus, 1 in CINAHL, 3 in Cochrane Library, 1950 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 15 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 17 articles considered for inclusion, 8 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 8.5.12. ULTRASOUND

Diagnostic ultrasound has been used to evaluate adhesive capsulitis of the shoulder (754) (758) (778).

# ULTRASOUND FOR EVALUATING ADHESIVE CAPSULITIS

## Recommended

Ultrasound is selectively recommended for adhesive capsulitis patients also suspected of having rotator cuff tears, tendinoses, or impingement. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

## Indications

Ultrasound technicians should have sufficient skill to obviate the need for scanning (Boykin et al., 2010) (Hanchard et al., 2013), otherwise the test introduces unnecessary redundancy. Patients with adhesive capsulitis who also have symptoms and signs of a clinically significant acute rotator cuff tear or subacute or chronic shoulder pain suspected of having a symptomatic rotator cuff tear (Ardic et al., 2006) (Iannotti et al., 2005) (Wall et al., 2012) (Naredo et al., 1999). Most clinical presentations should wait approximately 2 weeks prior to imaging as some patients with acute pain and limited range of motion resolve clinically; obvious major tears are an exception to waiting two weeks. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise and injection(s) (Ottenheijm et al., 2010). An MR arthrogram is recommended for suspected labral injury (Ardic et al., 2006).

## Benefits

Secure a diagnosis.

## Harms

False positives and false negatives.

## Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

Two comparative studies used MRI and suggested US has some utility in the diagnosis of adhesive capsulitis (Park et al., 2017) (Sernik et al., 2019). Ultrasound also has utility to identify some of the confounding or contributing conditions, such as rotator cuff tendinopathy (See RC Tendinopathy) but does not well visualize other conditions such as SLAP tears. Ultrasound is not invasive, is of low to moderate cost, and has little risk of adverse effects; therefore, although there are concerns that MRI may be superior for imaging most shoulder soft tissues, ultrasound is recommended particularly for evaluation of rotator cuff tears as a complicating disorder for adhesive capsulitis. The main disadvantage is the high dependency on the physician's skills (Boykin et al., 2010) (Hanchard et al., 2013).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasonography, Ultrasound; adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 1752 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 1769 articles, 109 in Scopus, 15 in CINAHL, 8102 in Cochrane Library, 13,100 in Google Scholar, and 2from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 2 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 2 from other sources. Of the 7 articles considered for inclusion, 3 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 8.5.13. SINGLE PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)

Single-proton emission computed tomography (SPECT) is a 3-dimensional imaging technique. Single-photon emission computed tomography (SPECT) has been used for shoulder-pain related complications (195).

SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR ADHESIVE CAPSULITIS

## No Recommendation

There is no recommendation regarding SPECT scanning for the evaluation of patients with Adhesive Capsulitis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

A large study of F-Fluoro-Deoxy-Glucose PET/computed tomography (F-FDG PET/CT) found only 56% sensitivity (Sridharan et al., 2017), thus the diagnostic utility of PET/CT, if any, may be quite limited. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating adhesive capsulitis.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Single Photon Emission Computed Tomography, SPECT; adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 7 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 7 articles, 3 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 819 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.5.14. POSITRON EMISSION TOMOGRAPHY (PET)**

Positron emission tomography has been used to diagnose adhesive capsulitis (779) (780).

## POSITRON EMISSION TOMOGRAPHY (PET) FOR ADHESIVE CAPSULITIS

## No Recommendation

There is no recommendation regarding PET for the evaluation of patients with adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

A large study of F-Fluoro-Deoxy-Glucose PET/computed tomography (F-FDG PET/CT) found only 56% sensitivity (Sridharan et al., 2017), thus the diagnostic utility of PET/CT, if any, may be quite limited. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating adhesive capsulitis.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: positron emission tomography, adhesive capsulitis, frozen shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 32 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 32 articles, 48 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 1530 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 2 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.6. TREATMENT RECOMMENDATIONS**

# 8.6.1. INITIAL CARE

Initial care of adhesive capsulitis involves identification and treatment of potential confounding conditions (e.g., diabetes mellitus, other medical disorders, rotator cuff tendinopathies, etc.). Non-operative treatment has been traditionally recommended (781) (782) (134) (783) (784) (785) (786) (787). Educating the patient regarding the generally good long-term prognosis and need to persist in performing progressive exercises is recommended. For patients with significant pain, over-the-counter analgesics and self-applications of heat and ice are recommended (788) (789) (790) (791). Slings, braces and immobilizers tend to increase debility while slowing recovery and are thus not recommended.

OTC analgesics are used for the treatment of adhesive capsulitis (792) (793).

# **OTC ANALGESICS FOR TREATMENT OF ADHESIVE CAPSULITIS**

## Recommended

Over-the-counter analgesics are recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Adhesive capsulitis and other shoulder pain

## Benefits

Self-management of the pain.

## Harms

Negligible for OTC analgesics unless acetaminophen doses exceed 3.5g, or the patient has liver disease or another condition necessitating lower doses.

## Frequency/Dose/Duration

Analgesics should be used per manufacturer's recommendations

## **Indications for Discontinuation**

Resolution of pain, lack of efficacy, intolerance, complication.

## Rationale

There are no quality trials evaluating analgesics for managing adhesive capsulitis. However, analgesics and OTC NSAIDs are likely helpful and there is some quality evidence for the use of prescription NSAIDs for other shoulder nociceptive pain (see NSAIDs for rotator cuff tendinopathy), thus they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: nonprescription analgesics, Acetaminophen, Analgesics, Nonprescription drugs, anti-inflammatory agents, anti-inflammatory drugs, non-steroidal, over the counter analgesics; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 53 articles in PubMed, 14 in Scopus, 13 in CINAHL, 870 in Cochrane Library, 1,120 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **SELF-APPLICATION OF HEAT TREATMENT OF ADHESIVE CAPSULITIS**

## Recommended

Self-application of heat is recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Adhesive capsulitis and associated injury(ies).

## Benefits

Self-management of the pain.

## Harms

Negligible.

## Frequency/Dose/Duration

Ice and heat are typically used 3-5 times a day.

## Indications for Discontinuation

Resolution of pain, lack of efficacy, intolerance, complication.

## Rationale

There are no quality trials evaluating analgesics, ice or heat for managing adhesive capsulitis. Selfapplications of heat and ice may be helpful for self-management of symptoms, are not invasive, have low adverse effects, not costly, and are believed to be helpful for treating symptoms; thus, they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Heat, heat therapy, self-application of heat; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 17 articles in PubMed, 91 in Scopus, 9 in CINAHL, in 0 Cochrane Library, 13,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **SELF-APPLICATION OF ICE FOR TREATMENT OF ADHESIVE CAPSULITIS**

## Recommended

Self-application of ice is recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Adhesive capsulitis and associated injury(ies).

## Benefits

Self-management of the pain.

Harms

Negligible.

## Frequency/Dose/Duration

Ice and heat are typically used 3-5 times a day.

## **Indications for Discontinuation**

Resolution of pain, lack of efficacy, intolerance, complication.

## Rationale

There are no quality trials evaluating analgesics, ice or heat for managing adhesive capsulitis. Selfapplications of heat and ice may be helpful for self-management of symptoms, are not invasive, have low adverse effects, not costly, and are believed to be helpful for treating symptoms; thus, they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Self-Application of Ice, Application of Ice, Application; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 92 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 224 in

Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 8.6.2. MEDICATIONS

Most medications have not been assessed in adhesive capsulitis patients. Thus, other than where there is evidence noted, most guidance is based on inference from treatment of rotator cuff tendinopathy and/or patients with general non-specific shoulder pain.

Over-the-counter medications may help manage pain associated with adhesive capsulitis. Medications utilized include acetaminophen and NSAIDs (794) (795) (796) (797), with NSAIDs showing greater efficacy in treatment of other MSDs, but acetaminophen having a generally greater safety profile. Opioids are not indicated other than for post-operative pain management. Other medications that have been used to treat adhesive capsulitis include glucosamine, chondroitin, methylsulfonylmethane, and topical agents such as capsaicin. Oral glucocorticosteroids have also been utilized for treatment of adhesive capsulitis (797) (798) (799) (800).

In the absence of quality evidence, it is recommended that the diagnosis of adhesive capsulitis be managed according to the recommendations for Rotator Cuff Tendinopathy and Shoulder Pain:

- NSAIDs for Treatment of Rotator Cuff Tendinopathies and Shoulder Pain [Strongly Recommended, Evidence (A)]
- Acetaminophen for Acute, Subacute, Chronic, or Post-operative Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Norepinephrine Reuptake Inhibiting Anti-depressants for Subacute or Chronic Shoulder Girdle Pain [Recommended, Insufficient Evidence (I)]
- Selective Serotonin Reuptake Inhibitors for Acute, Subacute, or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Anti-convulsants for Acute, Subacute or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Capsicum Creams for Acute, Subacute, Chronic Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical NSAIDs, including Diclofenac Epolamine for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (1)]
- Topical Glyceryl Trinitrate, Lidocaine Patches, Eutectic Mixture of Local Anesthetics (EMLA), and Other Creams/Ointments for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]

See also the ACOEM Opioids guideline for the treatment of subacute and chronic pain.

## **ORAL GLUCOCORTICOSTEROIDS FOR TREATMENT OF ADHESIVE CAPSULITIS**

## Recommended

Oral glucocorticosteroids are selectively recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

## Indications

Adhesive capsulitis patients who decline glenohumeral glucocorticosteroid injection, as results with injection appear superior (Widiastuti-Samekto et al., 2004).

## Benefits

Improvements in adhesive capsulitis including range of motion.

## Harms

Rare infection, short-term worsened diabetic control.

## Frequency/Dose/Duration

The higher quality trial used triamcinolone 4mg PO TID for 1 week, then 4mg BID for 1 week, then 4mg QD for 1 week (Widiastuti-Samekto et al., 2004). Another moderate-quality RCT utilized cortisone acetate 50mg QID for 3 days, then 25mg QID until Day 14 (Blockey et al., 1954). There are no head-to-head comparisons in quality studies of different oral medications to ascertain either the optimum medication(s) or dose(s).

## **Indications for Discontinuation**

Generally only 1 course administered.

## Rationale

One placebo-controlled trial suggested modest efficacy of oral steroids (Blockey et al., 1954). However, another moderate-quality trial that compared injection with oral steroids found substantially faster improvements with injections (Widiastuti-Samekto et al., 2004). Oral glucocorticosteroids are not invasive, have adverse effects, and are low cost. As the speed of recovery appears substantially faster via the injected route (Widiastuti-Samekto et al., 2004), oral glucocorticosteroids are recommended only for patients who decline injection.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Oral Glucocorticosteroids, betamethasone, budesonide, cortisone, dexamethasone, hydrocortisone, methylprednisolone; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 143 articles in PubMed, 67 in Scopus, 25 in CINAHL, 638 in Cochrane Library, 12 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 0 from Scopus, 0 from CINAHL, 0

from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 5 articles considered for inclusion, 2 randomized trial and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.6.3. ACTIVITY MODIFICATION AND EXERCISE**

Therapy, including education and exercise, is thought to be particularly important, especially for more severely affected patients with adhesive capsulitis. The duration of rehabilitation is not specifically defined, yet there is strong belief in the importance of this exercise/ rehabilitation for treatment of adhesive capsulitis patients. Continuous passive motion (CPM) also has been utilized for treatment of adhesive capsulitis as well as a post-surgical treatment.

## EDUCATION FOR TREATMENT OF ADHESIVE CAPSULITIS

## Recommended

Prescriptions for services that include education are recommended for treatment of adhesive capsulitis.

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence High

## Indications

All adhesive capsulitis patients (Loew et al., 2005) (Lee et al., 1974) (Lee et al., 1973).

## Benefits

Not specified.

## Frequency/Dose/Duration

Education is needed, particularly to provide longer-term perspective regarding the need for persistent advances in ROM and participation in activities, especially meaningful employment, as a method for improving motion.

Frequency of appointments varies based on severity, compliance, need for encouragement, comorbid conditions, and prior patient experiences.

## Rationale

While there is no quality evidence on the value of education, it is believed to be needed to especially provide longer-term perspective regarding the need for persistent advances in ROM and participation in activities, especially meaningful employment, as a method for improving motion.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Education, patient education; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 81 articles in PubMed, 644 in Scopus, 18 in CINAHL, 36 in Cochrane Library, 12,300 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 2 articles considered for inclusion, 0 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **EXERCISE FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Recommended

Prescriptions for exercise are recommended for treatment of adhesive capsulitis. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** High

#### Indications

All adhesive capsulitis patients (Loew et al., 2005) (Lee et al., 1974) (Lee et al., 1973). Most patients need formal therapy to help with graded increases in range of motion and then institution of strengthening exercises.

#### Benefits

Improved range of motion and reduction in pain

#### Harms

Negligible other than some pain with exercises.

#### Frequency/Dose/Duration

Progressive passive ROM exercises (Nicholson, 1985) (van der Windt et al., 1998), including a home exercise program (HEP) are thought to be essential to successful treatment of adhesive capsulitis.

Frequency of appointments varies based on severity, compliance, need for encouragement, comorbid conditions, and prior patient experiences. ROM exercises are the primary exercises for this disorder, although they are typically followed by isometric strengthening program, then isotonic strengthening and endurance exercises. Options include weekly appointments to oversee and advance a home

exercise program for several weeks until sufficient recovered for lower grade injuries and selfmotivated patients. Patients with a more severe case or need of supervision may require appointments 2 to 3 per week to initiate program exercises, tapering to 1 per week in approximately 4 weeks before being discharged to a home exercise program in approximately 2 months for more severe injuries. Total numbers of appointments among those severely affected can often be ~15-20. Additional appointments should be based on objective, incremental functional gains.

Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

#### Indications for Discontinuation

Recovery, plateau in recovery, noncompliance, or intolerance.

## Rationale

There are many quality trials evaluating exercise, education, and/or therapy for adhesive capsulitis, although few compare exercise or physiotherapy with no treatment. Overall details vary in the available trials. One moderate-quality trial comparing exercise plus placebo injection compared with placebo injection suggested modestly better effects with exercise (Ryans et al., 2005), although the same trial suggested glucocorticoid injections are superior. There are three moderate-quality trials suggesting injections are superior to physiotherapy (Carette et al., 2003) (van der Windt et al., 1998) (Ryans et al., 2005) (see graph in injections below) and one lower quality study suggesting equal efficacy (Dacre et al., 1989). There is evidence that arthrographic distension with steroid plus exercise is superior to exercise alone (Khan et al., 2005). One moderate-quality trial suggested exercise in combination with either electroacupuncture or interferential therapy is superior to no treatment (Cheing et al., 2008). Exercise is not invasive, has low adverse effects, and is of moderate cost for aggregate appointments. There is quality evidence of efficacy for treatment of adhesive capsulitis, thus exercise and therapy are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: post-operative exercise, post-operative rehabilitation, rehabilitation program, rehabilitation, post-operative period, exercise therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 11 articles in PubMed, 17 in Scopus, 3 in CINAHL, 21 in Cochrane Library, 18,900 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: General exercise, exercise therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized,

randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 217 articles in PubMed, 2,074 in Scopus, 97 in CINAHL, 3 in Cochrane Library, 15,700 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 8 articles considered for inclusion, 7 randomized trials and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Strengthening Exercises, Resistance Training, Weight-Lifting; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 15 articles in PubMed, 34 in Scopus, 4 in CINAHL, 36 in Cochrane Library, 20300 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 3 from Scopus, 1 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 9 articles considered for inclusion, 5 randomized trials and 4 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Range of motion exercise, articular range of motion; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 410 articles in PubMed, 1,378 in Scopus, 13 in CINAHL, 3 in Cochrane Library, 260 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 7 articles considered for inclusion, 5 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **SLINGS FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Not Recommended

Slings and braces are not recommended for treatment of adhesive capsulitis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Rationale

There are no quality studies of the use of slings for adhesive capsulitis. Importantly, instead of inactivity, adhesive capsulitis is treated with increased range of motion. Slings, braces and immobilizers are believed to be risks for, and potentially aggravate, adhesive capsulitis by reducing range of motion. A sling is also generally not recommended after injections, hydrodilatation, or post-

operatively as immediate ROM is desired. Thus, slings, braces and immobilizers are not recommended for patients with adhesive capsulitis.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Slings, Braces, Shoulder Supports; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 283 articles in PubMed, 132 in Scopus, 2 in CINAHL, 103 in Cochrane Library, 20500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **8.6.4. ALLIED HEALTH INTERVENTIONS**

Therapy, including education and exercise, is thought to be particularly important, especially for more severely affected patients with adhesive capsulitis. The duration of rehabilitation is not specifically defined, yet there is strong belief in the importance of this exercise/ rehabilitation for treatment of adhesive capsulitis patients. Continuous passive motion (CPM) also has been utilized for treatment of adhesive capsulitis as well as a post-surgical treatment.

#### COGNITIVE BEHAVIORAL THERAPY FOR TREATMENT OF ADHESIVE CAPSULITIS

#### Recommended

Cognitive behavioral therapy is recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

All adhesive capsulitis patients, especially those moderately or severely affected. Also particularly indicated for those who fail to improve and/or have significant range of motion limitations and fear avoidant beliefs.

#### Benefits

Improved clinical course trajectory, including range of motion and reduction in pain

Harms

#### Negligible

## Frequency/Dose/Duration

CBT psychotherapy provided either independently or as a component therapy integrated into a program that includes physical therapy. CBT should normally be limited to 6 sessions or less initially. Additional appointments may be needed, especially for those with a more severe condition or slower clinical progress. Provision of additional appointments should be contingent on compliance with the requirements from the initial set of appointments. When therapy is provided as a component of an interdisciplinary or functional restoration program, the number of sessions is based on the needs of the program to provide relevant treatment objectives.

#### Indications for Discontinuation

Recovery, plateau in recovery, or noncompliance.

## Rationale

There are no quality trials evaluating cognitive behavioral therapy for the treatment of adhesive capsulitis. However, it has been shown to be effective in many other musculoskeletal conditions and thus is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Cognitive Behavioral Therapy, Cognitive Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 6 in Scopus, 1 in CINAHL, 0 in Cochrane Library, 10700 in Google Scholar, and 0 from other sources<sup>†</sup>. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## PHYSICAL THERAPY FOR TREATMENT OF ADHESIVE CAPSULITIS

#### Recommended

Prescriptions for instruction and physical therapy services are recommended for treatment of adhesive capsulitis. **Strength of evidence** Moderately Recommended, Evidence (B)

Level of confidence High

#### Indications

All adhesive capsulitis patients (Loew et al., 2005) (Lee et al., 1974) (Lee et al., 1973). Most patients need formal therapy to help with graded increases in range of motion and then institution of strengthening exercises.

#### Benefits

Improved range of motion and reduction in pain

## Harms

Negligible other than some pain with exercises.

## Frequency/Dose/Duration

Progressive passive ROM exercises (Nicholson, 1985) (van der Windt et al., 1998), including a home exercise program (HEP) are thought to be essential to successful treatment of adhesive capsulitis.

Frequency of appointments varies based on severity, compliance, need for encouragement, comorbid conditions, and prior patient experiences. ROM exercises are the primary exercises for this disorder, although they are typically followed by isometric strengthening program, then isotonic strengthening and endurance exercises. Options include weekly appointments to oversee and advance a home exercise program for several weeks until sufficient recovered for lower grade injuries and self-motivated patients. Patients with a more severe case or need of supervision may require appointments 2 to 3 per week to initiate program exercises, tapering to 1 per week in approximately 4 weeks before being discharged to a home exercise program in approximately 2 months for more severe injuries. total numbers of appointments among those severely affected can often be ~15-20. Additional appointments should be based on objective, incremental functional gains.

Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

#### Indications for Discontinuation

Recovery, plateau in recovery, noncompliance, or intolerance.

#### Rationale

There are many quality trials evaluating exercise, education, and/or therapy for adhesive capsulitis, although few compare exercise or physiotherapy with no treatment. Overall details vary in the available trials. One moderate-quality trial comparing exercise plus placebo injection compared with placebo injection suggested modestly better effects with exercise (Ryans et al., 2005), although the same trial suggested glucocorticoid injections are superior. There are three moderate-quality trials suggesting injections are superior to physiotherapy (Carette et al., 2003) (van der Windt et al., 1998) (Ryans et al., 2005) (see graph in injections below) and one lower quality study suggesting equal efficacy (Dacre et al., 1989). There is evidence that arthrographic distension with steroid plus exercise is superior to exercise alone (Khan et al., 2005). One moderate-quality trial suggested exercise in combination with either electroacupuncture or interferential therapy is superior to no treatment (Cheing et al., 2008). Exercise is not invasive, has low adverse effects, and is of moderate cost for

aggregate appointments. There is quality evidence of efficacy for treatment of adhesive capsulitis, thus exercise and therapy are recommended. While there is no quality evidence on the value of education, it is believed to be needed to especially provide longer-term perspective regarding the need for persistent advances in ROM and participation in activities, especially meaningful employment, as a method for improving motion.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Physical Therapy, Physiotherapy, Physical Therapy Modalities; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 324 articles in PubMed, 1000 in Scopus, 98 in CINAHL, 105 in Cochrane Library, 17900 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 8 from PubMed, 7 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 3 from Google Scholar, and 0 from other sources. Of the 18 articles considered for inclusion, 9 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **MIRROR THERAPY FOR THE TREATMENT OF ADHESIVE CAPSULITIS**

#### Recommended

Mirror therapy is recommended for treatment of adhesive capsulitis as an adjunct to therapy including an exercise program. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Adhesive capsulitis, especially among those with range of motion limitations, lack of progress with therapy, and fear avoidant beliefs.

#### **Benefits**

Improved range of motion, function and pain reduction.

#### Harms

Negligible

#### Frequency/Dose/Duration

10 sessions were used in a successful trial (Başkaya et al., 2018). Subsequent sets of 6-10 appointments should be based on incremental gains yet failing to achieve either a plateau and/or normal range of motion.

#### **Indications for Discontinuation**

Normal range of motion, achieving a plateau, failure to achieve incremental gains, non-compliance.

#### Rationale

A moderate quality trial found additive benefits of mirror therapy to (Başkaya et al., 2018). Mirror therapy is non-invasive, has negligible adverse effects, is low cost as an adjunct to therapy, and has evidence of efficacy and is thus recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mirror Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 6 in Scopus, 2 in CINAHL, 7 in Cochrane Library, 3,270 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **CONTINUOUS PASSIVE MOTION FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Recommended

Continuous passive motion (CPM) is selectively recommended in conjunction with a home exercise program for treatment, but only for those with moderate to severe adhesive capsulitis. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Moderate to severely affected adhesive capsulitis patients (599)

#### Benefits

Improved range of motion and reduction in pain

## Harms

Negligible other than some pain with exercises.

#### Frequency/Dose/Duration

CPM for 1 hour per day, 5 days per week for 20 appointments, combined with a daily home exercise program of progressive stretching and pendulum exercises (599); additional supervised physical or occupational therapy appointments may be needed for more severely affected patients (see above).

#### **Indications for Discontinuation**

Recovery, plateau in recovery, noncompliance, or intolerance.

#### Rationale

There is limited evidence from one moderate-quality trial that CPM plus a home exercise program of stretching and pendulum exercises may be superior to conventional physiotherapy plus the same home exercise program (599). This trial suggested CPM is superior to conventional physiotherapy for pain relief at both 4 and 12 weeks follow-up. CPM is not invasive, has low adverse effects, is moderately costly in aggregate appointments, has some quality evidence of efficacy, and thus is recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Continuous Passive Motion, CPM, Motion Therapy, Continuous Passive; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 30 articles in PubMed, 2 in Scopus, 37 in CINAHL, 1 in Cochrane Library, 15900 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **MOBILIZATION FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Recommended

Mobilization is moderately recommended for the treatment of adhesive capsulitis, in combination with a multi-modal approach of exercise and patient education. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

#### Indications

Adhesive capsulitis, especially moderate to severely affected patients with pain and loss of active motion who do not respond sufficiently to NSAIDs, and steroid injection(s) (Loew et al., 2005) (Quraishi et al., 2007).

#### Benefits

Improved range of motion

#### Harms

Post-procedure pain.

#### Frequency/Dose/Duration

Generally 1 to 2 appointments a week with intervening home exercises for 3 to 4 weeks. High-grade mobilization techniques are particularly recommended (Vermeulen et al., 2006). Additional 2 sets of up to 8 appointments based on ongoing objective improvements in the condition and ROM. A maximum of 24 appointments has been suggested ((Vermeulen et al., 2006). Some patients will not readily tolerate mobilization of the shoulder without anesthesia; in such cases additional mobilization treatments are not recommended. Encourage patients to use the affected shoulder whenever possible (Vermeulen et al., 2006), and continue home exercises and education.

#### **Indications for Discontinuation**

Recovery, plateau in recovery, noncompliance with exercise program, intolerance.

#### Rationale

There are no quality trials evaluating mobilization or manual therapy to a sham. However, one highquality trial suggested high-grade mobilizations are modestly superior to low-grade mobilizations (Vermeulen et al., 2006), thus supporting an evidence-based graded recommendation for use of mobilizations for treatment of adhesive capsulitis. One trial comparing mobilizations with injection and cold therapy appears underpowered to detect differences (Bulgen et al., 1984). The other available quality trials include manipulation under anesthesia (MUA); many others included mobilization or manual therapy as part of physiotherapy, thus precluding assessment of its independent benefits. For those who can tolerate it, mobilization or manual therapy without anesthesia may be beneficial and without some of the considerable adverse effects documented with MUA (Loew et al., 2005). Mobilization and manual therapy of the shoulder are not invasive, have low adverse effects, are moderately costly for aggregate appointments, and have evidence suggesting efficacy. Thus, mobilization and manual therapy of the shoulder for adhesive capsulitis is recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mobilization, Manual Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 180 articles in PubMed, 1,733 in Scopus, 93 in CINAHL, 105 in Cochrane Library, 7,090 in Google

Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 16 from PubMed, 1 from Scopus, 12 from CINAHL, 0 from Cochrane Library, 5 from Google Scholar, and 2 from other sources. Of the 36 articles considered for inclusion, 28 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MANUAL THERAPY FOR TREATMENT OF ADHESIVE CAPSULITIS

#### Recommended

Manual therapy ismoderately recommended for the treatment of adhesive capsulitis, in combination with a multi-modal approach of exercise and patient education. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

#### Indications

Adhesive capsulitis, especially moderate to severely affected patients with pain and loss of active motion who do not respond sufficiently to NSAIDs, and steroid injection(s) (Loew et al., 2005) (Quraishi et al., 2007).

#### Benefits

Improved range of motion

#### Harms

Post-procedure pain.

#### Frequency/Dose/Duration

Mobilization and/or manual therapy generally 1 to 2 appointments a week with intervening home exercises for 3 to 4 weeks. High-grade mobilization techniques are particularly recommended (Vermeulen et al., 2006). Additional 2 sets of up to 8 appointments based on ongoing objective improvements in the condition and ROM. A maximum of 24 appointments has been suggested (Vermeulen et al., 2006). Some patients will not readily tolerate mobilization of the shoulder without anesthesia; in such cases additional mobilization treatments are not recommended. Encourage patients to use the affected shoulder whenever possible (Vermeulen et al., 2006), and continue home exercises and education.

#### **Indications for Discontinuation**

Recovery, plateau in recovery, noncompliance with exercise program, intolerance.

#### Rationale

There are no quality trials evaluating mobilization or manual therapy to a sham. However, one highquality trial suggested high-grade mobilizations are modestly superior to low-grade mobilizations (Vermeulen et al., 2006), thus supporting an evidence-based graded recommendation for use of mobilizations for treatment of adhesive capsulitis. One trial comparing mobilizations with injection and cold therapy appears underpowered to detect differences (Bulgen et al., 1984). The other available quality trials include manipulation under anesthesia (MUA); many others included mobilization or manual therapy as part of physiotherapy, thus precluding assessment of its independent benefits. For those who can tolerate it, mobilization or manual therapy without anesthesia may be beneficial and without some of the considerable adverse effects documented with MUA (Loew et al., 2005). Mobilization and manual therapy of the shoulder are not invasive, have low adverse effects, are moderately costly for aggregate appointments, and have evidence suggesting efficacy. Thus, mobilization and manual therapy of the shoulder for adhesive capsulitis is recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mobilization, Manual Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 180 articles in PubMed, 1,733 in Scopus, 93 in CINAHL, 105 in Cochrane Library, 7,090 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 16 from PubMed, 1 from Scopus, 12 from CINAHL, 0 from Cochrane Library, 5 from Google Scholar, and 2 from other sources. Of the 36 articles considered for inclusion, 28 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANIPULATION UNDER ANESTHESIA FOR TREATMENT OF ADHESIVE CAPSULITIS IN SELECT PATIENTS

#### Recommended

Manipulation under anesthesia is recommended for treatment of adhesive capsulitis in select patients. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Adhesive capsulitis, especially moderate to severely affected patients with pain and loss of active motion who do not respond sufficiently to NSAIDs, steroid injection(s), and hydrodilatation (Loew et al., 2005) (Quraishi et al., 2007).

## Benefits

Improved range of motion

#### Harms

Complications of anesthesia, post-procedure pain, hemarthrosis, localized or disseminated synovitis, capsule rupture, SLAP tears, proximal humerus fracture, rotator cuff tear, and articular damage (Loew et al., 2005).

#### Frequency/Dose/Duration

Generally, only 1 treatment performed; adequate, safe monitoring of anesthesia is required. A second procedure would be potentially recommended based on incremental gain from the first but an incomplete and insufficiently satisfactory result. Treatment should be combined with post-procedure exercises.

#### **Indications for Discontinuation**

Not specified.

#### Rationale

There are a few quality trials evaluating MUA for adhesive capsulitis (Quraishi et al., 2007) (Kivimaki et al., 2007) (Jacobs et al., 2009). The highest moderate-quality studies suggested modest benefits when comparing MUA with physiotherapy to physiotherapy alone and suggested modest improvements in ROM (Kivimaki et al., 2007). A moderate-quality trial suggested that injections are of comparable efficacy to MUA (Jacobs et al., 2009). Another moderate-quality trial suggested that hydrodilatation is superior to MUA (Quraishi et al., 2007). One moderate-quality trial assessed adjunctive use of intra-articular glucocorticosteroid and found no evidence of benefit of the steroid (Kivimaki et al., 2001). MUA is minimally invasive, except for the anesthesia, but has documented adverse effects (Loew et al., 2005), and is high cost. MUA is recommended for selective use in patients who fail other treatments with documented efficacy, but who have lower risks for adverse effects.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manipulation under Anesthesia, MUA; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 72 articles in PubMed, 484 in Scopus, 27 in CINAHL, 48 in Cochrane Library, 5390 in Google Scholar, and 4 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 7 from Scopus, 2 from CINAHL, 0 from Cochrane Library, 16 from Google Scholar, and 4 from other sources. Of the 46 articles considered for inclusion, 11 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search,

and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### ACUPUNCTURE FOR TREATMENT OF ADHESIVE CAPSULITIS IN SELECT PATIENTS

#### Recommended

Acupuncture is recommended for selective treatment of adhesive capsulitis patients. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Adhesive capsulitis, especially moderate to severely affected patients with pain and loss of motion. Generally, used among those who do not respond sufficiently to NSAIDs, steroid injection(s), and hydrodilatation (Loew et al., 2005) (Quraishi et al., 2007), although some patients may prefer acupuncture to injection and hydrodilatation which also may be reasonable. Should be accompanied by an active exercise program (Lathia et al., 2009) (Sun et al., 2001).

#### Benefits

Modest improvements in pain and range of motion

#### Harms

Negligible in experienced hands. Has been associated with deep structure punctures and lacerations particularly in inexperienced hands.

#### Frequency/Dose/Duration

Regimens vary widely in quality trials. An initial trial of 4 appointments appears reasonable combined with a conditioning program of aerobic and strengthening exercises. An additional 4 appointments should be tied to improvements in objective measures after first 4 treatments, for a total of 8 appointments (de Hoyos et al., 2004). Subsequent batches of 4 appointments should be based on ongoing incremental increases in objective measures such as range of motion.

#### **Indications for Discontinuation**

Recovery, plateau in recovery, noncompliance with exercise program, intolerance.

#### Rationale

There are a few moderate-quality trials of acupuncture that appear to have included adhesive capsulitis patients (Lathia et al., 2009) (Sun et al., 2001) (Cheing et al., 2008) (Berry et al., 1980). One moderate-quality trial found acupuncture superior to sham acupuncture (Lathia et al., 2009). A second moderate-quality trial suggested exercise plus acupuncture was superior to acupuncture alone (Sun et al., 2001). However, one lower quality trial suggested there was no difference between electroacupuncture plus exercise and interferential plus exercise (Cheing et al., 2008) and the lowest quality study appears to have found no benefit of acupuncture compared with placebo (Berry et al., 1980). Acupuncture is minimally invasive, has minor adverse effects provided needles are not inserted

deeply, is moderately costly in aggregate, and the highest quality studies suggest benefits. Therefore, acupuncture is selectively recommended as an adjunct to an active exercise program in patients failing other treatments with documented efficacy.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acupuncture, Acupuncture Therapy, Acupuncture Analgesia, Acupuncture Points; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 67 articles in PubMed, 583 in Scopus, 23 in CINAHL, 1 in Cochrane Library, 3,890 in Google Scholar, and 5 from other sources<sup>+</sup>. We considered for inclusion 3 from PubMed, 4 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 6 from Google Scholar, and 5 from other sources. Of the 18 articles considered for inclusion, 14 randomized trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **MAGNETS FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Not Recommended

Magnetic stimulation and magnets have been used to treat adhesive capsulitis (Kanai et al., 2004). **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** High

#### Benefits

Not specified.

#### Rationale

One moderate-quality trial suggests magnets are not effective (Leclaire et al., 1991). Magnets are not recommended as there is no quality evidence of efficacy. They also have been shown to be ineffective for treatment of other musculoskeletal disorders.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnets, Magnetic Stimulation, Magnetic Field Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 4 articles in PubMed, 417 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 1,590 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from

Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 2 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **TAPING OR KINESIOTAPING FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Not Recommended

Taping or kinesiotaping is not recommended for the treatment of adhesive capsulitis. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies assessing efficacy of taping or kinesiotaping. There are three RCTs, all with sparse methods and other methodological problems. One included multiple co-interventions, precluding an assessment of efficacy (Sinaj et al., 2015). One suggests kinesiotaping is superior to ultrasound (Jindal, 2018). Another suggested comparability with shockwave (Choi JH, 2017). Taping is generally not indicated for conditions where increasing range of motion is the prime objective, and thus it is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Taping, Athletic Taping, Kinesiotaping, Taping or Strapping, Athletic Tape; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 5 articles in PubMed, 18 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 3030 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 8 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 8 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **8.6.5. ELECTRICAL THERAPIES**

## SHORTWAVE DIATHERMY FOR ADHESIVE CAPSULITIS

#### Recommended

Shortwave diathermy is recommended for the treatment of adhesive capsulitis (Leung et al., 2008). **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Adhesive capsulitis of at least 8 weeks duration (Leung et al., 2008); consideration but not a requirement of inadequate response to injection.

#### Benefits

Modest improvements in adhesive capsulitis

#### Harms

Negligible

#### Frequency/Dose/Duration

Three times a week up to 4 weeks (Leung et al., 2008) which should be combined with exercises (Leung et al., 2008).

#### **Indications for Discontinuation**

Resolution, sufficient improvement, intolerance, noncompliance with exercises.

#### Rationale

A trial suggested significant improvements in frozen shoulder with diathermy (Leung et al., 2008). Diathemy is not invasive, has negligible adverse effects, is moderate cost in aggregate and is thus recommended for treatment of adhesive capsulitis.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Shortwave Diathermy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 47 in Scopus, 3 in CINAHL, 6 in Cochrane Library, 152 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 3 from Google Scholar, and 1 from other sources. Of the 4 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **INTERFERENTIAL THERAPY FOR ADHESIVE CAPSULITIS**

#### **No Recommendation**

There is no recommendation for interferential therapy. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Interferential Therapy, Interferential Current Electrotherapy, Electric Stimulation Therapy, adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 38 articles in PubMed, 247 in Scopus, 2 in CINAHL, 25 in Cochrane Library, 520 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### HIGH-VOLTAGE GALVANIC STIMULATION FOR ADHESIVE CAPSULITIS

#### **No Recommendation**

There is no recommendation for high-voltage galvanic stimulation. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: High-Voltage Pulsed Galvanic Stimulation, High Voltage Galvanic Stimulation, High-Voltage Galvanic; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 0 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 121 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## H-WAVE® DEVICE STIMULATION FOR ADHESIVE CAPSULITIS

#### **No Recommendation**

There is no recommendation for H-Wave<sup>®</sup> Device stimulation for treatment of adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: H-wave Device Stimulation; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 0 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 2 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **IONTOPHORESIS FOR ADHESIVE CAPSULITIS**

#### No Recommendation

There is no recommendation for use of iontophoresis for treatment of adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Iontophoresis; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 2 articles in PubMed, 77 in Scopus, 2 in CINAHL, 0 in Cochrane Library, 553 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 2 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **MICROCURRENT STIMULATION FOR ADHESIVE CAPSULITIS**

#### **No Recommendation**

There is no recommendation for microcurrent stimulation for adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: **Microcurrent, microcurrent electrical stimulation**; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 21 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 67 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from

Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR ADHESIVE CAPSULITIS

#### No Recommendation

There is no recommendation for PENS for treatment of adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Percutaneous Electrical Nerve Stimulation (PENS) OR Percutaneous Neuromodulation Therapy (PNT); adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 27 articles in PubMed, 0 in Scopus, 4 in CINAHL, 2 in Cochrane Library, 109 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### SYMPATHETIC ELECTROTHERAPY FOR ADHESIVE CAPSULITIS

#### No Recommendation

There is no recommendation for sympathetic electrotherapy for adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Sympathetic Electrotherapy, Sympathetic Electrical Stimulation Therapy, Sympathetic Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 8 in Scopus, 0 in CINAHL, 2 in Cochrane Library, 4580 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION (TENS) FOR ADHESIVE CAPSULITIS

#### **No Recommendation**

There is no recommendation for TENS for adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies assessing efficacy of TENS and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Transcutaneous Electrical Nerve Stimulation; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trials, randomized trials, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 27 articles in PubMed, 320 in Scopus, 4 in CINAHL, 45 in Cochrane Library, 4,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 2 from other sources. Of the 2 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## EXTRACORPOREAL SHOCKWAVE THERAPY (ECT) FOR ADHESIVE CAPSULITIS

#### Recommended

Extracorporeal shockwave therapy is recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Moderate to severe adhesive capsulitis, generally after institution of an exercise program with insufficient results to improve range of motion and function, typically after at least 2-3 weeks.

#### Benefits

Improved pain and range of motion

#### Harms

Increased pain

#### Frequency/Dose/Duration

4 applications 1 week apart (Hussein et al., 2016).

#### **Indications for Discontinuation**

Intolerance, non-compliance.

#### Rationale

Two sham-controlled trials suggested efficacy of EWST (Hussein et al., 2016) (Vahdatpour et al., 2014), and one showed durable efficacy to 24 weeks (Hussein et al., 2016). Another trial suggested superiority of EWST to oral steroid (Chen et al., 2014). EWST is not invasive and has low adverse effects. Though EWST is high cost, it has evidence of efficacy and is thus recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Extracorporeal Shockwave Therapy, ESWT, Ultrasonic Therapy, Shockwave Therapy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 5 articles in PubMed, 58 in Scopus, 21 in CINAHL, 3 in Cochrane Library, 789 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 6 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 7 from Google Scholar, and 1 from other sources. Of the 17 articles considered for inclusion, 6 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ELECTRICAL MUSCLE STIMULATION (EMS) FOR ADHESIVE CAPSULITIS

#### No Recommendation

There is no recommendations for electrical muscle stimulation for treatment of adhesive capsulitis. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: electrical muscle stimulation, neuromuscular electrical stimulation, EMS, NMES; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 43 in Scopus, 6 in CINAHL, 9 in Cochrane Library, 13900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## PULSED ELECTROMAGNETIC FIELD THERAPY FOR ADHESIVE CAPSULITIS

#### No Recommendation

There is no recommendation for pulsed electromagnetic field therapy. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality studies and thus there is no recommendation for pulsed electromagnetic field therapy.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Pulsed Electromagnetic Field, Pulsed Electromagnetic Field Therapy, PEMF, REMF Therapy, Low Field Magnetic Stimulation, LFMS; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 9 articles in PubMed, 58 in Scopus, 3 in CINAHL, 10 in Cochrane Library, 1,130 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **HIGH-INTENSITY LASER THERAPY FOR ADHESIVE CAPSULITIS**

#### Recommended

High-intensity laser therapy (HILT) is selectively recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Adhesive capsulitis and should generally have failed at least 3 weeks of exercises and at least one glucocorticosteroid injection.

#### **Benefits**

Improved pain, resolution of the disorder

Harms

Negligible

#### Frequency/Dose/Duration

Three sessions for 3 weeks. Should be co-administered with exercises (Kim, 2015) (Atan, 2021).

#### **Indications for Discontinuation**

Intolerance, non-compliance

Rationale

There are few quality studies of HILT. One trial suggests superiority of HILT to sham (Kim, 2015). A second trial suggested HILT plus exercises were superior to same HILT plus exercise and also superior to exercises alone (Atan, 2021). HILT is not invasive and has negligible adverse effects, with some studies suggesting efficacy. Thus, it is selectively recommended for those who fail exercises and prior glucocorticoid injection(s).

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Other physical methods, physical methods; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 594 articles in PubMed, 2,380 in Scopus, 46 in CINAHL, 0 in Cochrane Library, 1,310 in Google Scholar, and 4 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 0 from Scopus, 4 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 4 from other sources. Of the 11 articles considered for inclusion, 4 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **8.6.6. INJECTION THERAPIES**

Glucocorticoid injections are commonly performed for treatment of adhesive capsulitis. Suprascapular nerve blocks, platelet-rich plasma, and viscosupplementation injections also have been used to treat adhesive capsulitis. Hydrodilatation, also known as distension arthrography, involves an injection into the glenohumeral joint under pressure and has been utilized to treat adhesive capsulitis with the intent to rupture contractures. Hydrodilatation has been performed and accomplished variously as an isolated intervention, accompanied by arthrography, or accompanied by manipulation under anesthesia, as well as with arthroscopy.

See also Rotator Cuff Tendinopathy.

## **GLUCOCORTICOID INJECTIONS FOR TREATMENT OF ADHESIVE CAPSULITIS**

#### Recommended

Glucocorticoid injections are strongly recommended for treatment of adhesive capsulitis. **Strength of evidence** Strongly Recommended, Evidence (A) **Level of confidence** High

#### Indications

Moderate or severe adhesive capsulitis (Loew et al., 2005), or mild cases with insufficient control or progression. Generally, at least 2-3 weeks of exercise without evidence of improvement would be indicated prior to injection due to the natural tendency to improve.

## Benefits

Improved pain and range of motion

## Harms

Rare infection, lack of response, short term worsened diabetic control

## Frequency/Dose/Duration

One injection, one set of injections (both intraarticular and Subacromial (Cho C-H, 2016) or one set of injections at three sites (posterior capsule, subacromial and subcoracoid) (Pushpasekaran et al., 2017) is recommended. The results should be assessed prior to another potential injection(s). Variable approaches have been used, as injections have been performed in the:

- (1) glenohumeral joint (Jacobs et al., 2009)
- (2) subacromial space (Valtonen, 1974) (De Jong et al., 1998),
- (3) using 2 injection points (Ryans et al., 2005), as well as
- (4) targeting the shoulder capsule (Dacre et al., 1989).

One trial suggested no differences in outcomes between bursal injections and intra-articular injections (Rizk et al., 1991). There are no quality trials comparing these different approaches.

A second injection may be reasonable, particularly if the initial results are partial but insufficient. Subsequent injection(s) should generally be based on objective evidence of progress attributable to the injection(s), but with insufficient or incomplete results. If an initial injection is unsuccessful, another injection with a different approach is suggested. A third injection is not recommended if there is not objective response to the 2 prior injections.

An injection is recommended to be combined with exercises (Carette et al., 2003).

Quality trials have utilized:

- Triamcinolone hexacetonide 40mg (Carette et al., 2003),
- Triamcinolone acetonide 10mg (De Jong et al., 1998) (see note immediately below),
- Triamcinolone acetonide 20mg (Yoon et al., 2013) and
- Triamcinolone acetonide 40mg (van der Windt et al., 1998) (De Jong et al., 1998) (Yoon et al., 2013).

One high-quality trial suggested triamcinolone acetonide 10mg was inferior to 40mg, thus, triamcinolone acetonide 40mg is the recommended dose for that glucocorticoid (De Jong et al., 1998).

Trials have both used:

(i) fluoroscopy (Carette et al., 2003),

- (ii) ultrasound (Lee et al., 2009), and
- (iii) no imaging for the injection(s).

There is only one study suggesting better results with ultrasound than blind injections (Lee et al., 2009), resulting in limited evidence on the question of the utility and/or need of imaging. Thus, both blind and image-guided injections are acceptable.

## **Indications for Discontinuation**

Recovery, plateau in recovery, intolerance.

## Rationale

There are multiple high- and moderate-quality trials that have evaluated glucocorticoid injections for treatment of adhesive capsulitis. The highest quality trial found the injection group or the injection plus physiotherapy groups to be superior to the saline injection group or the saline group plus physiotherapy (Carette et al., 2003). The next highest quality trial suggests injections are more effective than physiotherapy (Ryans et al., 2005). Other studies have found no differences in corticosteroid injections compared with NSAID (Dehghan et al., 2013) and hyaluranoic acid (Park et al., 2013). A quality trial suggested superior results with injection compared with oral steroids (Widiastuti-Samekto et al., 2004). Two studies conflict regarding superiority compared with NSAIDs (Shin et al., 2013, Dehghan et al., 2013). One trial suggested combined intraarticular and subacromial steroid injection was superior, suggesting the subacromial space was contributory to the pathology and that a combined approach should be used (Cho C-H, 2016). Steroid injections are invasive, have some adverse effects, and are moderately costly. However, they appear quite effective and thus are recommended for treatment of adhesive capsulitis. Injection should be combined with range of motion and other exercises for the best results (Carette et al., 2003).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Subacromial Glucocorticosteroid Injections, Subacromial Glucocorticoid Injections; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 31 articles in PubMed, 340 in Scopus, 1 in CINAHL, 10 in Cochrane Library, 308 in Google Scholar, and 22 from other sources<sup>+</sup>. We considered for inclusion 3 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 22 from other sources. Of the 29 articles considered for inclusion, 24 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SUPRASCAPULAR NERVE BLOCKS FOR TREATMENT OF ADHESIVE CAPSULITIS

#### Recommended

Suprascapular nerve blocks are recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Adhesive capsulitis, moderate or severe cases; failure of adequate response with NSAIDs, exercises, and glucocorticosteroid injection(s).

#### Benefits

Improved pain and range of motion

#### Harms

Failure to improve and medicalization

#### Frequency/Dose/Duration

One block is recommended and results assessed. Patients should be given range of motion and conditioning exercises to perform (Dahan et al., 2000). A second block may be recommended if there is a partial, but inadequate response to initial block. The quality trial utilized bupivacaine 0.5%, 10mL.

#### Rationale

One moderate-quality trial suggests suprascapular nerve block efficacy compared with a placebo block for treatment of adhesive capsulitis (Dahan et al., 2000). A trial suggested equivalence between a steroid injection and a block (Verma et al., 2019). Another trial that included rehabilitation therapy in both groups, found mostly equivalence between steroid injection and nerve block (Parashar et al., 2021) One trial suggested modest additive benefit of nerve block to PT (Klç et al., 2015). Nerve blocks are invasive, have adverse effects, and are of moderate cost; however, a block is recommended for select patients who have inadequate results with NSAID, exercise, PT and steroid injection.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Suprascapular Nerve Blocks OR SSNB; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trials, randomized trials, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 22 articles in PubMed, 141 in Scopus, 7 in CINAHL, 21 in Cochrane Library, 841 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 1 from other sources. Of the 9 articles considered for inclusion, 6 randomized trials and 3 systematic reviews met the inclusion criteria.

+ The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **VISCOSUPPLEMENTATION FOR ADHESIVE CAPSULITIS**

#### Recommended

There is no recommendation for viscosupplementation injections for the treatment of adhesive capsulitis.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no placebo-controlled trials. One comparative trial found equivalence between one steroid injection and a series of 3 hyaluronate injections (Lim et al., 2014). Another 4-arm trial found physical therapy was the best treatment (Calis M, 2006). As there are no quality data defining efficacy, and one trial suggested one steroid injection was equivalent to 3 hyaluronate injections, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: viscosupplementation, hyaluronic acid, hyaluronan; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 22 articles in PubMed, 102 in Scopus, 8 in CINAHL, 14 in Cochrane Library, 3530 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 6 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 8 articles considered for inclusion, 4 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## PLATELET RICH PLASMA (PRP) FOR ADHESIVE CAPSULITIS

#### Recommended

PRP injections are selectively recommended for treatment of adhesive capsulitis **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Moderate to severe adhesive capsulitis, having insufficient results with exercises, and steroid injection (generally at least several weeks after a steroid injection which suppresses the inflammation that the PRP intentionally seeks to produce).

#### Benefits

Improvement in ROM and reduced pain

## Harms

Increased pain, infection, allergic reaction, blood clots

## Frequency/Dose/Duration

A total of three injections given two weeks apart has been used in both placebo-controlled trials (Ünlü et al., 2021) (Lin, 2018).

#### **Indications for Discontinuation**

Severe adverse effect, allergic reaction, intolerance.

#### Rationale

There are multiple RCTS involving PRP injections. Two modest-sized trials suggest superiority of PRP to placebo (Ünlü et al., 2021) (Lin, 2018). However, a comparative trial found no superiority to physical therapy (Thu et al., 2020). PRP injections have not worked well for many disorders, raising concerns about this relatively sparse literature base. With two trials suggesting some efficacy, PRP injections are selectively recommended for use in patients who have inadequate results with exercises, therapy and steroid injection.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Platelet-rich Plasma Injections OR PRP; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 15 articles in PubMed, 48 in Scopus, 5 in CINAHL, 19 in Cochrane Library, 1,140 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## HYDRODILATATION FOR TREATMENT OF ADHESIVE CAPSULITIS IN SELECT PATIENTS

#### Recommended

Hydrodilatation is selectively recommended for treatment of adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Adhesive capsulitis, especially moderate to severely affected patients with pain and loss of motion. Should be reserved for patients who do not respond sufficiently to NSAIDs, exercises, and steroid injection(s) (Loew et al., 2005).

#### Benefits

Potential to improve the adhesive capsulitis

#### Harms

Rare infection, increased pain, failure to improve the adhesive capsulitis

#### Frequency/Dose/Duration

If there is no improvement after one procedure, a second would not be recommended. If there objectively improved range of motion with one procedure but an incomplete response, a second may be selectively indicated to attempt to achieve a complete resolution.

#### Rationale

Hydrodilatation has been evaluated in multiple moderate-quality trials, with and without arthrography, usually accompanied by steroid instillation. Results of these studies conflict. One moderate-quality trial suggests hydrodilatation was ineffective compared with a sham (Bennell et al., 2007), and has been interpreted as corroborative evidence that the natural course is towards resolution. A moderate-quality trial found distension superior to glucocorticosteroid injection (Gam et al., 1998), although another trial found a lack of such benefit (Paruthikunnan et al., 2020). Another moderate-quality trial suggested arthrographic distension was superior to physiotherapy alone (Khan et al., 2005). A comparative trial suggested arthroscopic release was superior to dilatation (Gallacher S, 2018). On balance, these studies somewhat conflict, but overall appear to suggest that hydrodilatation may be effective, if inferior to arthroscopic release (Gallacher S, 2018). Hydrodilatation is invasive, has adverse effects, and is of moderate to high cost. However, it is recommended for select patients in whom less invasive treatments, including injections, have failed to provide sufficient treatment.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Hydrodilatation; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized

controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 24 articles in PubMed, 238 in Scopus, 11 in CINAHL, 30 in Cochrane Library, 608 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 6 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 911 articles considered for inclusion, 6 randomized trials and 2 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **8.6.7. SURGICAL CONSIDERATIONS**

Arthroscopy for diagnostic purposes, as well as to release contractures associated with the disorder and/or manipulation under anesthesia, has been used to evaluate and treat patients with adhesive capsulitis. Arthroscopy has also been combined with hydrodilatation. Open release of contractures also has been used to treat patients with adhesive capsulitis.

#### **ARTHROSCOPIC SURGERY FOR ADHESIVE CAPSULITIS**

#### Recommended

Arthroscopy is recommended for evaluation of select patients with adhesive capsulitis, including subsequent, definitive operative approaches.

Strength of evidence Recommended, Evidence (C) Level of confidence High

#### Indications

Adhesive capsulitis in severely affected patients with pain and loss of motion who do not respond sufficiently to NSAIDs, exercise, injection(s), and potentially to hydrodilatation or MUA and in whom there is believed to be a remediable, intra-articular or periarticular defect that is able to be addressed surgically (Wiley, 1991) (Ogilvie-Harris et al., 1995) (Andersen et al., 1998) (Loew et al., 2005) (Pollock et al., 1994) (Uitvlugt et al., 1993) (Andersen et al., 1996), including:

- rotator cuff tear with surgical indications and the expectation that surgical treatment will immediately follow arthroscopy (see below);
- labral tear with surgical indications (see below);
- impingement syndrome with surgical indications (see below);
- glenohumeral instability,
- recurrent dislocations,
- other moderate or severe shoulder joint pain, or
- acromioclavicular arthritis.

#### Benefits

Diagnostic confirmation and the opportunity for definitive treatment

#### Harms

Infections, operative complications

#### Frequency/Dose/Duration

Arthroscopy would rarely be repeated other than for new Indications

#### Rationale

There are no sham-controlled trials. However, two trials suggest superiority of arthroscopic surgical release compared with glucocorticoid injection (Qi Zhu, 2019) (Mukherjee et al., 2017). Arthroscopy also has particular advantages to address co-exiting and potentially contributing conditions (e.g., rotator cuff tear, labral tear). Arthroscopy is invasive, has adverse effects and is high cost. However, in select patients there may be no other option for addressing adhesive capsulitis particularly if a patient is not responding to non-operative and minimally invasive care. Thus, arthroscopy is selectively recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Arthroscopic Surgery, Arthroscopy; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 230 articles in PubMed, 2545 in Scopus, 73 in CINAHL, 85 in Cochrane Library, 8850 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 16 from PubMed, 13 from Scopus, 2 from CINAHL, 3 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 33 articles considered for inclusion, 4 randomized trials and 4 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **OPEN RELEASE OF CONTRACTURES FOR SELECT PATIENTS WITH ADHESIVE CAPSULITIS**

#### Recommended

Open release surgery is selectively recommended for patients with adhesive capsulitis. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Adhesive capsulitis, especially severely affected patients with pain and limited range of motion who do not respond sufficiently to NSAIDs, injection(s), exercise, hydrodilatation, manipulation under

anesthesia and generally only if there is another coexistent disorder that is felt to require open surgical procedure(s) to resolve (Loew et al., 2005).

#### Benefits

Potential to improve the range of motion and other limitations associated with adhesive capsulitis.

#### Harms

Further medicalize and failure to realize material improvements

#### Frequency/Dose/Duration

If there is no success with one surgical approach, there would generally be no indication to repeat the procedure(s)

#### Rationale

There are no quality trials of open release of contractures from adhesive capsulitis. Open surgical procedures are invasive, have adverse effects, and are highly costly. They may be indicated for highly select use, mostly for those believed to have a defined, resolvable process that can be addressed through an open procedure (e.g., rotator cuff tear). Thus, they are indicated for select purposes.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Open Release of Contractures OR Open surgical release of contractures; adhesive capsulitis, frozen shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 3 articles in PubMed, 10 in Scopus, 10 in CINAHL, 3 in Cochrane Library, 3000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources trials and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **8.6.8. FOLLOW-UP VISITS**

Patients with adhesive capsulitis often require many follow-up appointments, particularly if they are undergoing active treatments, need assistance with advancing a course of exercises, and/or require significant work limitations that need frequent adjustments. Frequencies of appointments may also be greater when more workplace limitations are required, and job demands are greater. In the few patients who undergo surgical procedures, post-operative rehabilitation can be considerable,

particularly in older patients with other associated injuries such as rotator cuff injuries. In those cases, the patient may require therapy on a prolonged basis including to manage/ progress the home exercise program and patient reassurance/ education in order to recover as much function as possible.

# 9. THORACIC OUTLET SYNDROME

# 9.1. SUMMARY OF RECOMMENDATIONS

The following summary table contains recommendations for evaluating and managing thoracic outlet syndrome from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

Category	Recommendation	Evidence
Allied Health	Acupuncture for Chronic Pain from Thoracic Outlet Syndrome	No Recommendation, Insufficient Evidence (I)
	Other Modalities for Treatment of Thoracic Outlet Syndrome	No Recommendation, Insufficient Evidence (I)
	Physical Therapy for Thoracic Outlet Syndrome	No Recommendation, Insufficient Evidence (I)
Devices	Magnets for Treatment of Thoracic Outlet Syndrome	Not Recommended, Insufficient Evidence (I)
	Taping for Treatment of Thoracic Outlet Syndrome	Not Recommended, Insufficient Evidence (I)
Diagnostic Tests	CT for Evaluation of Thoracic Outlet Syndrome	Recommended, Evidence (C)
	Electromyography for Thoracic Outlet Syndrome	Recommended, Insufficient Evidence (I)
	MRI for Thoracic Outlet Syndrome	Recommended, Evidence (C)
	Single Proton Emission Computed Tomography (SPECT) and Positron Emission Tomography (PET)	
	Ultrasound for Thoracic Outlet Syndrome	No Recommendation, Insufficient Evidence (I)

Category	Recommendation	Evidence
	X-rays for Thoracic Outlet Syndrome	Recommended, Insufficient Evidence (I)
Electrical	Interferential Therapy for Treatment of Thoracic Outlet Syndrome	Not Recommended, Insufficient Evidence (I)
	Pulsed Electromagnetic Frequency for Treatment of Thoracic Outlet Syndrome	Not Recommended, Insufficient Evidence (I)
Exercise	Exercise for Thoracic Outlet Syndrome	Recommended, Insufficient Evidence (I)
Ice and Heat	Self-applications of Heat and Ice for Thoracic Outlet Syndrome	No Recommendation, Insufficient Evidence (I)
Injections	Injections for Treatment of Thoracic Outlet Syndrome	Recommended, Insufficient Evidence (I)
Medications	Over-the-Counter Analgesics for Thoracic Outlet Syndrome	No Recommendation, Insufficient Evidence (I)
Surgery	Surgery for Treatment of Thoracic Outlet Syndrome	Recommended, Insufficient Evidence (I)

# 9.2. OVERVIEW

Thoracic outlet syndrome (TOS) is one of the most controversial entrapment syndromes (1374,1876,1877,1375,1878,1879,1880,851,1881,1882,1373) with some experts questioning its existence, particularly the "disputed" form of TOS (1374,1877,801,802,1883).

Thoracic outlet syndrome involves compression of the neurological or vascular structures connecting the arm to the torso due to any cause (1876). Syndrome labels that have been used and causes include cervical rib syndrome, costoclavicular syndrome, first thoracic rib syndrome, scalenus anticus syndrome, hyperabduction syndrome, cervicobrachial neurovascular compression syndrome, shoulder-arm syndrome, hyperextension-hyperflexion cervical injury, brachial plexus adhesions, clavicular fracture malunion, effort vein thrombosis syndrome, macromastia, pneumatic hammer syndrome, brachial plexus syndrome, Adson's syndrome, Paget-Schroetter syndrome, shoulder-girdle syndrome, fractured clavicle syndrome, cervical brachial compression syndrome, pectoralis minor humeral head syndrome, and Rucksack syndrome, (backpack) paralysis (1374,1884,1885,1886,1887,1888). The most common cause is believed to be congenital (1880).

There are 3 broad anatomic locations for compression: 1) scalene triangle; 2) costoclavicular triangle; and 3) the subcoracoid space. The scalene triangle is mostly muscular along with the first thoracic rib and transmits the nerve trunks between the scalenus muscles as well as the subclavian vein and artery near the first rib. The costoclavicular triangle is formed by the first rib, clavicle, subclavius muscle, upper border of the scapula, and subscapularis muscle. The subcoracoid space is beneath the coracoid process and is closely related to the clavipectoral fascia and costocoracoid ligament. Patients are often thought to have multiple abnormalities (1889), which adds to confusion and controversies.

Generally, when an anatomic cause of neurovascular compression includes unequivocal objective evidence of sequelae of compression, the syndrome is not controversial (1375,801,1377,1890). The vast majority of cases include vague symptoms without a clearly identifiable source of compression (e.g., cervical rib); thus, those cases are often controversial (1374,1375). Additional evidence from

cadaver studies suggests only approximately 10% of people have bilaterally normal anatomy with most individuals having anomalous bands in the thoracic outlet, raising concerns about the implications of congenital bands identified in the context of a diagnosis of TOS (1879,1891). While there are different classification schemes (1883), there are at five generally recognized TOSs (801,802,803):

- 1. Arterial
- 2. Venous
- 3. Traumatic neurovascular
- 4. True neurogenic
- 5. Disputed

Another classification system recognizes three TOSs: (i) compression of the brachial plexus (aka, Neurogenic TOS); (ii) compression of the subclavian vein or artery (aka, Vascular TOS); and (iii) non-specific or Disputed TOS (aka, Symptomatic TOS) (1374,1877,1377).

Either venous or arterial TOS are thought to be relatively rare, affecting approximately 5% of cases (1880,1883,1892,1893,1894,809,1895,1896), and rarely involving thrombosis (1897,1898). The majority of TOS cases are believed to have neurological symptoms and are disputed (1375,1892). Only 1 to 3% of cases are believed to have true neurogenic TOS in a C8/T1 distribution (1375,1899). Adding to the confusion is the diversity of symptoms that purportedly may include facial pain, visual disturbances, tachycardia, dyspnea, dysphagias, vertigo, tinnitus, and sleep disturbances (1880,1900,1901,1902). Many of these symptoms are also characteristic of anxiety disorders.

There are no quality epidemiological studies linking this disorder to work (1373,1903). The most commonly reported cause is congenital. It has been speculated there may be occupational physical factors associated with TOS (1904). Also, there is no consistent pattern of work tasks that has been postulated as risk factors as both heavy work and sedentary work have been proposed (1904). Similarly, overhead work is another purported factor for which quality evidence is lacking.

Although there are few quality trials for treatment (807,1905), TOS is included in the ACOEM Shoulder Disorders Guideline for informational purposes because there are patients affected with this condition who require evaluation and consideration of treatment. Non-operative treatment has been implemented for initial patient management. Surgery has been occasionally utilized for those who fail non-operative treatment (1374).

Symptoms in vascular TOS cases include reduced pulse, ischemia, venous engorgement, and edema that may vary depending on the degree of arterial and/or venous narrowing (1374,1877,1878,1890). Symptoms in many cases of neurogenic TOS include shoulder and neck pain, pain radiating into the upper extremity, muscle weakness in the upper extremity, and loss of sensation in the distribution of the affected neurological structure(s). Poorly defined, non-specific symptoms contribute to the controversial nature of, and difficulty diagnosing, disputed TOS (1375,811). The differential diagnosis of neurogenic TOS as well as disputed TOS is thought to prominently include cervical radiculopathy, cervical spondylosis, carpal tunnel syndrome, pronator syndrome, radial nerve entrapments, ulnar neuropathies, fibromyalgia, multiple sclerosis, vasculitis, and Horner's syndrome (1877,1883,1377,811,1906).

# 9.3. DIAGNOSTIC CRITERIA

Consensus diagnostic criteria for TOS have been published (801,802,803,804,805,806).

According to (805), **neurogenic TOS** should be defined by the presence of three of the following four criteria:

## "1. LOCAL FINDINGS

a. History: Symptoms consistent with irritation or inflammation at the site of compression—scalene triangle in the case of NTOS and pectoralis insertion site in the case of NPMS—along with symptoms due to referred pain in the areas near the thoracic outlet. Patients may complain of pain in the chest wall, axilla, upper back, shoulder, trapezius region, neck, or head (including headache).

b. Examination: Pain on palpation of the affected area as above

## 2. PERIPHERAL FINDINGS

a. History: Arm or hand symptoms consistent with central nerve compression. Such symptoms can include numbness, pain, paresthesias, vasomotor changes, and weakness (with muscle wasting in extreme cases).

i. These peripheral symptoms are often exacerbated by maneuvers that either narrow the thoracic outlet (lifting the arms overhead) or stretch the brachial plexus (dangling; often driving or walking/running).

b. Examination: Palpation of the affected area (scalene triangle or pectoralis minor insertion site) often reproduces the peripheral symptoms.

i. Peripheral symptoms are often produced or worsened by provocative maneuvers that are believed to narrow the scalene triangle (EAST) or to stretch the brachial plexus (ULTT) (both described later).

3. ABSENCE of other reasonably likely diagnoses (cervical disk disease, shoulder disease, carpal tunnel syndrome, chronic regional pain syndrome, brachial neuritis) that might explain the majority of symptoms

4. In those who undergo it, the response to a properly performed TEST INJECTION is positive." (805)

According to (805), venous TOS should be defined by the presence of all three of the following critieria:

1. HISTORY

a. Arm swelling, usually with discoloration and heaviness

i. This can occur with the arms overhead only, suggesting nonthrombotic VTOS, or present as a fixed symptom, suggesting subclavian vein thrombosis.

b. Absence of inciting cause (indwelling catheter, malignant neoplasm)

2. EXAMINATION

a. Visible arm swelling at rest, although if the arm swelling is reported only with exertion or arms overhead, the arm may be normal at rest.

b. Arm discoloration

c. Shoulder, upper arm, or chest wall venous collaterals

3. IMAGING

a. Documentation of venous compression at the costoclavicular junction by ultrasound, venography, or cross-sectional imaging:

i. If the vein is occluded from mid upper arm to the innominate in the setting of appropriate symptoms (and no secondary cause is present), VTOS may be assumed to be present.

ii. If the vein is patent but abnormal, the location of the abnormality (costoclavicular junction or pectoralis minor space) should be documented.

iii. If the vein appears normal at rest, results of ultrasound or venography with the arm abducted >90 degrees should be reported.

iv. In all cases, every attempt should be made to obtain venography through the brachial or basilic veins rather than the cephalic vein as disease sometimes extends lateral to the cephalic arch." (805)

According to (805), "ATOS is defined as an objective abnormality of the subclavian artery caused by extrinsic compression and subsequent damage by an anomalous first rib or analogous abnormal structure (cervical rib or band) at the base of the scalene triangle. Such an abnormality can be symptomatic (ischemia or embolization) or asymptomatic (aneurysm, occlusion, or silent embolization). Loss of pulses or discoloration with provocative maneuvers in patients with NTOS does *not* mean that ATOS is present; documented injury to the subclavian artery or symptomatic arm ischemia with arms elevated must be present for this diagnosis to be made."

# 9.4. DIAGNOSTIC RECOMMENDATIONS

# 9.4.1. DIAGNOSTIC CONSIDERATIONS

There are no quality studies of diagnostic tests for any of the types of TOS; thus, an evidence-based workup protocol is not available. Specific tests are recommended to focus on the type of TOS thought to be present. For all types of TOS, x-rays are recommended. X-rays may be needed of the shoulders, neck, chest and/or thoracic spine (807). Other studies may be helpful, including MRI with contrast and CT (807). MRI with provocative maneuvers has been reported to improve the value of the MRI (808). Electrodiagnostic studies are also recommended, particularly to attempt to seek objective evidence of neurological impingement.

For vascular TOS cases, diagnostic test considerations may include duplex scanning, Doppler ultrasonography, venography, venous pressure measurements (809), arteriograms (810), coagulation studies, chest radiography, spiral CT, MRI, and ventilation / perfusion nuclear scanning. Additional studies may be required to evaluate other potential disorders in the differential, such as neoplasia.

# 9.4.2. ANTIBODIES

In the absence of quality evidence, it is recommended that the diagnosis of thoracic outlet syndrome be managed according to the recommendations for Rotator Cuff Tendinopathy. See Antibodies to Confirm Specific Disorders [Recommended, Evidence (C)].

# 9.4.3. NONSPECIFIC INFLAMMATORY MARKERS

In the absence of quality evidence, it is recommended that the diagnosis of thoracic outlet syndrome be managed according to the recommendations for Rotator Cuff Tendinopathy. See Non-specific Inflammatory Markers for Screening for Inflammatory Disorders in Subacute or Chronic Shoulder Pain *[Recommended, Insufficient Evidence (I)]*.

# **9.4.4. CYTOKINES**

In the absence of quality evidence, it is recommended that the diagnosis of thoracic outlet syndrome be managed according to the recommendations for rotator cuff tendinopathy. See Cytokine Testing for Chronic Shoulder Pain [Not Recommended, Insufficient Evidence (I)].

# 9.4.5. X-RAYS

X-rays show bony structure, are the initial test for evaluation of most cases of shoulder pain, including thoracic outlet syndrome (126,127), and have been utilized in the diagnosis of thoracic outlet syndrome (811,812).

## X-RAYS FOR THORACIC OUTLET SYNDROME

#### Recommended

X-rays are recommended for evaluation of acute, subacute, or chronic shoulder pain. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

### Indications

Most patients with symptoms thought to be due to thoracic outlet syndrome are generally recommended to have x-rays obtained. X-rays may include the shoulder, cervical spine, thoracic spine, and chest.

### **Benefits**

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

### Harms

Medicalization or worsening of an otherwise benign shoulder condition, minor radiation exposure

#### Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views per body part. There is some evidence that the x-ray technique/positioning is important (Cho et al., 2012). For patients with chronic symptoms thought to be due to TOS, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

#### Rationale

Quality studies are sparse, with one study suggesting the positioning is important to show numbers of ribs more clearly (Cho et al., 2012). X-rays may be helpful to evaluate patients thought to have TOS, both to diagnose and to assist with the differential diagnostic possibilities such as tendinoses and arthroses. X-rays are particularly helpful for diagnosis of cervical ribs, cervical spine disorders, calcific tendinitis, etc., which results in different optimal treatment approaches. X-rays are non-invasive, low to moderately costly, and have little risk of adverse effects, and therefore are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Radiography, X-Rays, Roentgenograms; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 577 articles in PubMed using

Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 577 articles, 909 in Scopus, 1 in CINAHL, 0 in Cochrane Library, 16000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.4.6. COMPUTED TOMOGRAPHY

Computerized tomography (CT) has been utilized in the diagnosis of thoracic outlet syndrome (813,814,815,816,817).

COMPUTED TOMOGRAPHY (CT) FOR EVALUATION OF THORACIC OUTLET SYNDROME

## Sometimes Recommended

Computed tomography (CT) is selectively recommended for patients suspected of having thoracic outlet syndrome. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Moderate

## Indications

Patients thought to have thoracic outlet syndrome (TOS). CT is particularly advantageous over MRI for concerns regarding osseous abnormalities and among those with contraindications for MRI (e.g., ferrous implants or foreign bodies); otherwise, MRI is thought to be superior.

## Benefits

Define anatomy and potentially secure a diagnosis for the cause of the symptoms.

## Harms

False positives and false negatives for rotator cuff tears.

## Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Indications for Discontinuation

There are quality studies of the use of CT for evaluation of TOS, showing that CT was superior to xrays for evaluating transverse process anomalies and cervical ribs (Bilbey et al., 1989). CT was shown helpful in another study that included extremity positioning (Remy-Jardin et al., 2000). CT angiography was also shown to be helpful for vascular TOS cases (Gillet et al., 2018). Thus, CT is selectively recommended for evaluation of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Computerized Tomography, CT scans, Tomography, X-Ray Computed; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 123 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 123 articles, 106 in Scopus, 5 in CINAHL, 0 in Cochrane Library, 10300 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 0 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 6 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.4.7. LOCAL ANESTHETIC INJECTIONS

Local anesthetic injections and nerve blocks have potential to confirm a clinical impression. Criteria for blocks are increasingly converging on the criterion of at least an 80% reduction in symptoms that is concordant with the expected duration of the anesthetic. Diagnostic injections are also performed via the subacromial space, glenohumeral joint, and acromioclavicular joint. See Injection Therapies.

In the absence of quality evidence, it is recommended that the use of local anesthetic injections for thoracic outlet syndrome be managed according to the recommendations for neuropathic pain in the ACOEM Chronic Pain guideline. See Local Anesthetic Injections for Diagnosing Chronic Neuropathic Pain [Recommended, Insufficient Evidence (I)] and Nerve Blocks for Neuropathic Pain [Recommended, Insufficient Evidence (I)] in the ACOEM Chronic Pain guideline.

# 9.4.8. ELECTROMYOGRAPHY

Electrodiagnostic studies have also been used to confirm diagnostic impressions of other peripheral nerve entrapments, brachial plexopathies, and neurologic component of thoracic outlet syndrome (147,148,818,819).

See the Cervical and Thoracic Spine Disorders and Hand, Wrist, and Forearm Disorders for discussions regarding use of electrodiagnostic studies for evaluation of cervical spine and distal upper extremity-related disorders that may present as shoulder pain, including TOS.

## ELECTROMYOGRAPHY FOR THORACIC OUTLET SYNDROME

## Recommended

Electrodiagnostic studies are recommended to assist in the diagnosis of subacute or thoracic outlet syndrome.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Patients with subacute or chronic paresthesias with or without pain, particularly with unclear diagnosis and/or thought to potentially have thoracic outlet syndrome. EMG may be used to rule out other disorders. Failure to resolve or plateau of suspected radicular pain after waiting 4 to 6 weeks (to provide for sufficient time to potentially develop EMG abnormalities as well as time for conservative treatment to resolve the problems), equivocal imaging findings, and suspicion by history and physical examination that a neurologic condition other than radiculopathy may be present.

## Benefits

Identification of neurological impingement/entrapment, neurological disorders, including radiculopathy, plexopathy and peripheral nerve entrapment

## Harms

Negligible

## Frequency/Dose/Duration

Generally, only one test is needed. If the test is obtained too early, a repeat study may be needed if symptoms persist or progress to ascertain whether the test becomes abnormal with time.

# Rationale

There is one small study without unaffected controls that suggesting lack of efficacy of EMG studies for the evaluation of TOS (Passero et al., 1994), but no other quality studies (Vanti et al., 2007, Tolson, 2004, Tsao et al., 2014). Yet, electrodiagnostic studies appear to be capable of assisting in confirming peripheral nerve entrapments such as thoracic outlet syndrome, the long thoracic nerve and suprascapular nerve. These studies are minimally invasive, have minimal potential for adverse effects, and are of moderate to high cost depending on the extent of the testing required. Prior to considering surgery, they are recommended as the outcomes in workers' compensation patients are reportedly poor (Franklin et al., 2000, Franklin, 2013, Washington State Department of Labor and Industries, 2010).

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Electromyography, EMG; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 800 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 800 articles, 774 in Scopus, 2 in CINAHL, 2 in Cochrane Library, 4,870 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 2 from Google

Scholar, and 0 from other sources. Of the 2 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.4.9. FUNCTIONAL CAPACITY EVALUATIONS

In the absence of quality evidence, it is recommended that the diagnosis of thoracic outlet syndrome be managed according to the recommendations for Rotator Cuff Tendinopathy. See Functional Capacity Evaluations for Chronic Disabling Shoulder Pain [Recommended, Insufficient Evidence (I)].

# 9.4.10. MAGNETIC RESONANCE IMAGING

Magnetic resonance imaging (MRI) has been utilized in the diagnosis of thoracic outlet syndrome (820,821,822,823,824,825,826,827,828).

## MAGNETIC RESONANCE IMAGING (MRI) FOR THORACIC OUTLET SYNDROME

#### Recommended

MRI is recommended for patients suspected of having thoracic outlet syndrome (TOS). **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Moderate

#### Indications

Patients thought to have thoracic outlet syndrome.

#### Benefits

Define anatomy and potentially secure a diagnosis for the cause of the symptoms.

#### Harms

False positives and false negatives for rotator cuff tears.

#### Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

#### Rationale

Multiple trials found utility of MRI for both vascular and nervous TOS (Panegyres et al., 1993, Demondion et al., 2003, Ersoy et al., 2012), including MRA (Zhang et al., 2019). One trial found MRI

had low sensitivity but high specificity (Hardy et al., 2019), one found high sensitivity and high specificity (while another found both low sensitivity and low specificity (Singh et al., 2014). One study suggested that extremity positioning was important (Smedby et al., 2000). Thus, MRI has reasonably consistent evidence of utility of MRI in the assessment of TOS patients and is recommended.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Imaging, MRI; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 142 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 142 articles, 828 in Scopus, 9 in CINAHL, 1 in Cochrane Library, 8500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 9 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 9 articles considered for inclusion, 9 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.4.11. ULTRASOUND

Ultrasound has been used for the diagnosis of thoracic outlet syndrome (829,830,831,832,833,834,835,836,837,838,839,840,841,842,843).

## ULTRASOUND FOR THORACIC OUTLET SYNDROME

#### **Sometimes Recommended**

Doppler ultrasound is recommended to address vascular thoracic outlet syndrome. Otherwise, there is no recommendation for ultrasound as a diagnostic procedure in thoracic outlet syndrome. There are other indications for ultrasound.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

One study suggested US was able to identify fibromuscular bands (Arányi et al., 2016). One study suggested US was insufficiently precise for the evaluation of subclavian vein thrombosis (Brownie et al., 2020), although another thought there was sufficient accuracy for use regarding thromboses (Longley et al., 1992). Another study suggested insufficient operant characterizes for the use of US for arterial TOS (Bishop et al., 2021). A study suggested insufficient utility of US for neurogenic TOS (Fouasson-Chailloux et al., 2021). Doppler US is helpful to address vascular TOS and thus is recommended for that indication. Otherwise, because the studies of US conflict, there is no recommendation. There are other indications for ultrasound.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasound, Ultrasonography; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 565 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 565 articles,700 in Scopus, 4 in CINAHL, 1 in Cochrane Library, 12800 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 1 from Scopus, 1 from CINAHL, 0 from Cochrane Library, 11 from Google Scholar, and 0 from other sources. Of the 16 articles considered for inclusion, 9 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.4.12. SINGLE PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for single-proton emission computed tomography (SPECT) for the evaluation of patients with thoracic outlet syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of thoracic outlet syndrome. Thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Single Photon Emission Computerized Tomography, Positron Emission tomography; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 6014 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6008 articles, 679 in Scopus, 1 in CINAHL, 13 in Cochrane Library, 317 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 0 articles considered for inclusion, 0 diagnostic studies and 0 systematic reviews met the inclusion criteria. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.4.13. POSITRON EMISSION TOMOGRAPHY (PET)

## POSITRON EMISSION TOMOGRAPHY (PET) FOR THORACIC OUTLET SYNDROME

### No Recommendation

There is no recommendation regarding positron emission tomography (PET) scanning for the evaluation of patients with thoracic outlet syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of thoracic outlet syndrome; thus, there is no recommendation.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Single Photon Emission Computerized Tomography, Positron Emission tomography; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 6014 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6008 articles, 679 in Scopus, 1 in CINAHL, 13 in Cochrane Library, 317 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 0 articles considered for inclusion, 0 diagnostic studies and 0 systematic reviews met the inclusion criteria. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.5. TREATMENT RECOMMENDATIONS

# 9.5.1. INITIAL CARE

Initial care of TOS is based on the exact type of compression. For acute venous TOS with potential thrombus, evaluation and confirmation of the thrombus is urgent. Treatment is based on confirmation of thrombus; otherwise there usually are no urgent care requirements. For arterial TOS cases, evaluation and urgent management may be required depending on the severity of the compression and vascular impairment. Disputed TOS cases require evaluation of disparate conditions in the differential diagnosis. Initial care for suspected neurogenic or disputed TOS may include symptomatic management with over-the-counter analgesics, self-applications of heat and ice.

## OVER-THE-COUNTER ANALGESICS FOR THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for over-the-counter (OTC) analgesics for thoracic outlet syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There are no quality studies of OTC analgesics and thus there is no recommendation. However, selfuse of these medications that have very low hazard potential may be reasonable.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: OTC Analgesics, Over the Counter Analgesics, Acetaminophen, NSAIDS; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 5 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 4040 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles fit the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SELF-APPLICATION OF HEAT FOR THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for self-application of heat for thoracic outlet syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials using heat or cryotherapies and thus there are no recommendations.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Self-Application of Heat and Ice, Heat-Cold Application; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 111 articles in PubMed, 17 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 353 in Google Scholar, and 0 from other sources. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SELF-APPLICATION OF ICE FOR THORACIC OUTLET SYNDROME

### **No Recommendation**

There is no recommendation for self-application of ice for thoracic outlet syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality trials using heat or cryotherapies and thus there are no recommendations.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Self-Application of Heat and Ice, Heat-Cold Application; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, shoulder; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 111 articles in PubMed, 17 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 353 in Google Scholar, and 0 from other sources. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.5.2. ACTIVITY MODIFICATION AND EXERCISE

(1876,1883,1904,807,1907,1908,1909,1910,1911,1912,1913,1914) Exercise and education (1908,1911,1912,1915,1916,1917,1918,1919) are recommended. Exercise has often been prescribed (807,1907,1908,1909,1910). However, the diversity of exercise regimens with highly variable approaches underscores the lack of directed quality evidence. Some emphasize strengthening of the shoulder girdle (1876,1883,807), with most patients reporting improvement in their symptoms (1876,1910). Studies recommend rehabilitation that includes postural training (1904,1908,1920,1911,1912); exercises emphasizing stretching (1913); massage traction and isometric exercises (1921); massage and acupuncture (1922); and exercises emphasizing postural physiotherapy, including diaphragmatic breathing (1914). Evidence of efficacy is poor, and it has been suspected many patients naturally improve over time (1883). Thus, there is no recommendation for any particular exercise regimen over another.

Home exercise programs have been utilized with 88% satisfaction at 2 years in a large longitudinal case series (1907) and are recommended. Weight loss has been used as a treatment (1904,1908,1911,1915) and is recommended, particularly among patients with obesity. Psychological distress has been reportedly elevated in these patients with a suggestion for psychological care, relaxation, and endurance training (1923), which are recommended for select patients.

In the absence of quality evidence, it is recommended that neurogenic TOS and disputed TOS be treated as neuropathic pain and managed according to the recommendations in the ACOEM Chronic Pain Guideline. See Aerobic Exercise for Neuropathic Pain [Recommended, Insufficient Evidence (I)], Strengthening Exercise for Neuropathic Pain [Recommended, Insufficient Evidence (I)], and Aquatic Therapy for Neuropathic Pain [Recommended, Insufficient Evidence (I)].

# EXERCISE FOR THORACIC OUTLET SYNDROME

#### Recommended

Exercise is recommended for thoracic outlet syndrome. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

All patients with TOS are candidates for treatment with exercises. This often requires progressive exercises under supervision of a therapist.

#### Benefits

Improved or resolved symptoms.

#### Harms

Negligible

## Frequency/Dose/Duration

Different regimens may be used. Often this includes appointments 1-2 times per week for the first few weeks and then weekly appointments for several additional weeks. Additional sets of appointments

should be based on continued progressive improvements in symptoms and function while not yet achieving full recovery.

## Indications for Discontinuation

Resolution, intolerance, non-compliance

## Rationale

There is one trial that used stretching exercises in all groups, while using injection in one group suggesting superiority of injection (Kim et al., 2016). There are no quality trials of exercise for treatment of TOS. However, exercise appears effective for some patients (Watson et al., 2009, Povlsen et al., 2010, Hanif et al., 2007, Collins et al., 2021, Kuhn et al., 2013). Exercise is not invasive, has low adverse effects, is low to moderate in cost, and appears to have some efficacy; thus, exercise for TOS is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Exercise, Exercise Therapy, Resistance Training; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 113 articles in PubMed, 492 in Scopus, 0 in CINAHL, 23 in Cochrane Library, 14,700 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 1 from other sources. Of the 5 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## WEIGHT LOSS FOR THORACIC OUTLET SYNDROME

## Recommended

Weight loss is recommended for thoracic outlet syndrome, particularly among patients with obesity. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

Weight loss has been used as a treatment for thoracic outlet syndrome (Parziale et al., 2000, Crosby et al., 2004, Leffert, 1991, Novak et al., 1995) and thus is recommended, particularly among patients with obesity.

# PSYCHOLOGICAL INTERVENTIONS (RELAXATION AND ENDURANCE TRAINING) FOR THORACIC OUTLET SYNDROME

### Recommended

Psychological care, including relaxation and endurance training, is recommended for select patients with psychological distress.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

Psychological distress has been reportedly elevated in the patients with thoracic outlet syndrome. Psychological care, including relaxation and endurance training, has been suggested (Gockel et al., 1995); thus, they are recommended for select patients.

## PHYSICAL THERAPY FOR THORACIC OUTLET SYNDROME

#### **No Recommendation**

There is no recommendation for physical therapy for thoracic outlet syndrome. However, exercise is recommended, and it may need to be supervised by a therapist (see Exercise for Thoracic Outlet Syndrome).

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

### Rationale

Exercises are recommended for TOS and commonly require supervision; however, there is no recommendation for a specific discipline.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Physical Therapy, Physiotherapy, Physical Therapy Modalities; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 119 articles in PubMed, 251 in Scopus, 8 in CINAHL, 4 in Cochrane Library, 18000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 2 from PubMed, 1 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 0 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.5.3. MEDICATIONS

Arterial TOS treatment is thought to generally require surgery to address a structural defect (1877,1377,1924,1925). Medications are generally not indicated as a primary initial focus, although some use of thrombolytics and anticoagulation may be required acutely.

Acute venous TOS with documented thrombosis is often treated by anticoagulation (1926,1927,1928,1929,1930,1931,1932,1933,1934,1935) and may involve fibrinolytics depending on severity of the condition and perceived risks (1929,1933,1934,1936,1937,1938,1939,1940,1941,1942,1943,1944,1945,1946). Thrombectomy (809,1927,1947,1948) and venoplasty (1936) are options for treatment of moderate to severe clots.

True neurological TOS is thought to be largely associated with anatomic defects (1377). However, authors have required a trial of non-operative care and reserved surgical treatment for those with advancing neurological symptoms or signs (1377,1949,1950,1951,1952). For disputed TOS, the existence of the condition, evaluation, and treatment are controversial (1375). Non-operative treatments are generally the first interventions attempted (1907,1953). Specific recommendations have included NSAIDs (1908,1904), muscle relaxants (1908,1904), biofeedback (1908,1904), and anti-depressants (1904).

In the absence of quality evidence, it is recommended that neurogenic TOS and disputed TOS be treated as neuropathic pain and managed according to the recommendations in the ACOEM Chronic Pain Guideline. See the following recommendations for neuropathic pain in the ACOEM Chronic Pain Guideline:

- NSAIDs for Chronic Neuropathic Pain [Recommended, Insufficient Evidence (I)]
- Acetaminophen for Neuropathic Pain [Recommended, Insufficient Evidence (I)]
- Muscle Relaxants for Acute Exacerbations of Neuropathic Pain [Recommended, Insufficient Evidence (I)]
- Tricyclic, Tetracyclic, and Serotonin-Norepinephrine Reuptake Inhibitors Anti-depressants for Neuropathic Pain [Moderately Recommended, Evidence (B)]
- Selective Serotonin Reuptake Inhibitors and Norepinephrine-Dopamine Reuptake Inhibitors for Neuropathic Pain [*Recommended, Evidence (C*)]
- Anticonvulsant Agents for Neuropathic Pain [Moderately Recommended, Evidence (B)]
- Capsaicin Patches for Neuropathic Pain [Moderately Recommended, Evidence (B)]
- Topical NSAIDs for Chronic Neuropathic Pain [Recommended, Evidence (C)]
- Lidocaine Patches for Neuropathic Pain [Moderately Recommended, Evidence (B)]

See also the ACOEM Opioids Use guideline for recommendation on the Treatment of Subacute or Chronic Severe Pain [Recommended, Insufficient Evidence (I)].

# **9.5.4. DEVICES**

Use of orthoses have been reported (844), but there are no quality trials evaluating their use. The general tendency is for the condition to improve; thus, it is unclear whether the orthosis improves the condition beyond what would otherwise occur and there is no plausible mechanism for orthoses to improve TOS.

## TAPING FOR TREATMENT OF THORACIC OUTLET SYNDROME

### Not Recommended

Taping is not recommended for the treatment of TOS. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence of efficacy for TOS. The sole trial appears to have suffered from a randomization failure with marked differences in outcome measures at baseline between the two groups (Ortaç et al., 2020). There is evidence suggesting inefficacy for other shoulder disorders, and thus these treatments are not recommended for treatment of TOS.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Taping, Taping and Strapping, Athletic Tape; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 1 article in PubMed, 9 in Scopus, 1 in CINAHL, 1 in Cochrane Library, 2530 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources trial and 0 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MAGNETS FOR TREATMENT OF THORACIC OUTLET SYNDROME

## Not Recommended

Magnets are not recommended for the treatment of TOS. Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence of efficacy for TOS. There is evidence suggesting inefficacy for other shoulder disorders, and thus these treatments are not recommended for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnets, Magnetic Therapy; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*,

randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 6 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 15000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.5.5. ALLIED HEALTH INTERVENTIONS

Acupuncture and other physical methods such as massage, diathermy, and magnets have been used to treat shoulder pain that includes TOS.

## ACUPUNCTURE FOR CHRONIC PAIN FROM THORACIC OUTLET SYNDROME

## **No Recommendation**

Acupuncture is neither recommended nor not recommended to control chronic pain associated with thoracic outlet syndrome (TOS) **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no trials for TOS; thus, acupuncture is neither recommended nor not recommended for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acupuncture; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 0 articles in PubMed, 41 in Scopus, 18 in CINAHL, 0 in Cochrane Library, 2000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## DIATHERMY FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for or against the use of diathermy for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of diathermy for treatment of TOS.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Diathermy; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 11 articles in PubMed, 1687 in Scopus, in 0 CINAHL, 30 in Cochrane Library, 739 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## INFRARED THERAPY FOR TREATMENT OF THORACIC OUTLET SYNDROME

## No Recommendation

There is no recommendation for or against the use of infrared therapy for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of infrared therapy for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Infrared Therapy; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We

found and reviewed 112 articles in PubMed, 773 in Scopus, 27 in CINAHL, 0 in Cochrane Library, 6600 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ULTRASOUND FOR TREATMENT OF THORACIC OUTLET SYNDROME

### No Recommendation

There is no recommendation for or against the use of ultrasound for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of ultrasound for treatment of TOS.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasonography, Ultrasonic therapy, Therapeutic Ultrasound; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 129 articles in PubMed, 303 in Scopus, 3 in CINAHL, 6 in Cochrane Library, 5820 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 5 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 5 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# LASER THERAPY FOR TREATMENT OF THORACIC OUTLET SYNDROME

## No Recommendation

There is no recommendation for or against the use of laser therapy for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of laser therapy for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Laser Therapy, LLLT, Low Level Laser Therapy; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 12 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 7990 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MANUAL THERAPY FOR TREATMENT OF THORACIC OUTLET SYNDROME

### **No Recommendation**

There is no recommendation for or against the use of manual therapy for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of manual therapy for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Manual Therapy, Manipulative Therapy, Musculoskeletal Manipulations; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 112 articles in PubMed, 116 in Scopus, 2 in CINAHL, 6 in Cochrane Library, 7000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 1 article considered for inclusion, 0 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **MOBILIZATION FOR TREATMENT OF THORACIC OUTLET SYNDROME**

#### No Recommendation

There is no recommendation for or against the use of mobilization for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There is no quality evidence and thus there is no recommendation for the use of mobilization for treatment of TOS.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mobilization, Musculoskeletal Manipulation, Manipulation; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 620 in Scopus, 0 in CINAHL, 3 in Cochrane Library, 10,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

### MANIPULATION FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### **No Recommendation**

There is no recommendation for or against the use of manipulation for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of manipulation for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Mobilization, Musculoskeletal Manipulation, Manipulation; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials,

random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 620 in Scopus, 0 in CINAHL, 3 in Cochrane Library, 10,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MASSAGE FOR TREATMENT OF THORACIC OUTLET SYNDROME

### **No Recommendation**

There is no recommendation for or against the use of massage for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

### Rationale

There is no quality evidence and thus there is no recommendation for the use of massage for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Massage; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 58 in Scopus, 10 in CINAHL, 2 in Cochrane Library, 4360 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# HIGH-VOLTAGE GALVANIC STIMULATION FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for or against the use of high-voltage galvanic stimulation for the treatment of TOS.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of the high-voltage galvanic stimulation for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: High Voltage Galvanic Stimulation, High Voltage Galvanic, High Voltage Pulsed Galvanic Stimulation; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 5 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 38 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## H-WAVE® DEVICE STIMULATION FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for or against the use of H-Wave<sup>®</sup> Device stimulation for the treatment of TOS.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of H-Wave<sup>®</sup> Device stimulation for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: H-Wave Stimulation, H-Wave Device; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 0 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 1 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## IONTOPHORESIS FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### **No Recommendation**

There is no recommendation for or against the use of iontophoresis for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of iontophoresis for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Iontophoresis; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 11 articles in PubMed, 48 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 471 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **MICROCURRENT STIMULATION FOR TREATMENT OF THORACIC OUTLET SYNDROME**

#### **No Recommendation**

There is no recommendation for or against the use of microcurrent stimulation for the treatment of TOS.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of microcurrent stimulation for treatment of TOS.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Microcurrent; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 8 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 48 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### No Recommendation

There is no recommendation for or against the use of percutaneous electrical nerve stimulation (PENS) for the treatment of TOS. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of percutaneous electrical nerve stimulation (PENS) for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Percutaneous electrical nerve stimulation (PENS); Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 113 articles in PubMed, 0 in Scopus, 4 in CINAHL, 0 in Cochrane Library, 304 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for

inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SYMPATHETIC ELECTROTHERAPY FOR TREATMENT OF THORACIC OUTLET SYNDROME

### No Recommendation

There is no recommendation for or against the use of sympathetic electrotherapy for the treatment of TOS.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

### Rationale

There is no quality evidence and thus there is no recommendation for the use of sympathetic electrotherapy for treatment of TOS.

### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Sympathetic Electrotherapy; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 1 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 186 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TRANSCUTANEOUS ELECTRICAL STIMULATION (TENS) FOR TREATMENT OF THORACIC OUTLET SYNDROME

#### **No Recommendation**

There is no recommendation for or against the use of transcutaneous electrical stimulation (TENS) for the treatment of TOS.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of transcutaneous electrical stimulation (TENS) for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Transcutaneous Electric Nerve Stimulation, TENS; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 0 in Scopus, 0 in CINAHL, 1 in Cochrane Library, 1880 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PULSED ELECTROMAGNETIC FREQUENCY FOR TREATMENT OF THORACIC OUTLET SYNDROME

### Not Recommended

Pulsed electromagnetic frequency is not recommended for the treatment of TOS. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence of efficacy for TOS. There is evidence suggesting inefficacy for other shoulder disorders, and thus these treatments are not recommended for treatment of TOS.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Pulsed Electromagnetic Frequency; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 112 articles in PubMed, 0 in Scopus, 0 in CINAHL, 199 in Cochrane Library, 6150 in Google Scholar. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## INTERFERENTIAL THERAPY FOR TREATMENT OF THORACIC OUTLET SYNDROME

### **Not Recommended**

There is no quality evidence of efficacy for TOS. There is evidence suggesting inefficacy for other shoulder disorders, and thus these treatments are not recommended for treatment of TOS. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence of efficacy for TOS. There is evidence suggesting inefficacy for other shoulder disorders, and thus these treatments are not recommended for treatment of TOS.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Interferential Therapy, Electrical Stimulation Therapy; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 111 articles in PubMed, 19 in Scopus, 0 in CINAHL, 102 in Cochrane Library, 167 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **9.5.6. INJECTION THERAPIES**

Injections have been used to treat thoracic outlet syndrome (845,846,847,848,849,850). Diagnostic injections, particularly of the subacromial space, glenohumeral joint, and acromioclavicular joint, are sometimes performed. However, when indicated, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes.

See the Injections for Trigger Points recommendations in this guideline.

See also the following recommendations on injection therapies in the ACOEM Chronic Pain Guideline:

- Corticosteroids for Neuropathic Pain [No Recommendation, Insufficient Evidence (I)]
- Immunoglobulin for Neuropathic Pain [No Recommendation, Insufficient Evidence (I)]
- Ketamine Infusion for Neuropathic Pain [No Recommendation, Insufficient Evidence (I)]

- Lidocaine Infusion for Neuropathic Pain [No Recommendation, Insufficient Evidence (I)]
- Intravenous Phenytoin for Neuropathic Pain [No Recommendation, Insufficient Evidence (I)]
- Intravenous Adenosine for Neuropathic Pain [Not Recommended, Insufficient Evidence (I)]
- Monoclonal Antibody Injections for Neuropathic Pain [No Recommendation, Insufficient Evidence (I)]
- Dorsal Ganglion Destruction for Neuropathic Pain [Not Recommended, Insufficient Evidence (1)]

# INJECTIONS FOR THE COMBINED DIAGNOSIS AND TREATMENT OF THORACIC OUTLET SYNDROME

## Sometimes Recommended

Injections are selectively recommended for the combined diagnosis and treatment of TOS. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

### Indications

Diagnostic uncertainty and/or persistent symptoms with functional impairment. Generally, an injection would be recommended to be performed for both diagnostic and therapeutic purposes by including a glucocorticoid in the injectant.

### Benefits

Assistance with securing a diagnosis and potential improvement in symptoms and impairment.

#### Harms

Potential injury to vascular and nervous structures. Complications of steroid injections include modestly increased risk of infection, glucose intolerance.

#### Frequency/Dose/Duration

Generally only one injection. A second injection with steroid may be indicated for treatment of those who developed significant benefit but among whom symptoms re-developed.

#### Rationale

There are few quality trials of injections for thoracic outlet syndrome. One small crossover trial comparing exercise with steroid injection in the scalene muscles suggested efficacy of the steroid injection (Kim et al., 2016), although there was no long-term follow up. Another trial suggested ropivacaine injections in the scalene muscles have modest efficacy (Rached et al., 2019). One high-quality trial found a lack of efficacy of botulinum injections (Finlayson et al., 2011). Injections are invasive, have some adverse effects, are moderate to high cost, have limited evidence of efficacy and thus are selectively recommended particularly with a combined anesthetic and glucocorticosteroid.

## Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Injections; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials,

randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 120 articles in PubMed, 431 in Scopus, 6 in CINAHL, 3 in Cochrane Library, 3 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 1 from other sources. Of the 8 articles considered for inclusion, 3 randomized trials and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.5.7. SURGICAL CONSIDERATIONS

Patients with vascular TOS, especially arterial, are thought to have surgical indications. Additionally, surgery is often considered for patients with venous TOS. Chronic venous symptoms are typically treated with non-operative treatments including exercises, avoiding exacerbating symptoms and surgical treatments only if symptoms are sufficiently severe and non-operative means are unsuccessful. Surgical treatments for intrinsic venous obstruction include endovenectomy (1932,1933,1954)(1955), patch graft, or venous bypass (809,1932,1954,1956,1957,1958,1959). As mentioned above, thrombectomy and venoplasty are options for treatment of moderate to severe clots. Surgical treatments for extrinsic compression include first rib resection (1933,1935,1938,1939,1940,1945,1958,1960,1961,1962,1963,1964,1965,1966,1967,1968), clavulectomy (1933,1957,1960,1962,1969,1970), or costoclavicular ligament and subclavius muscle division (809,1957,1962,1968,1971,1972,1973).

For neurogenic TOS and, particularly disputed TOS, surgical treatment has been considered controversial. Prior to considering surgery, treatment should consist of a supervised exercise and postural program with documented compliance from at least 3 months (1904) and either a documented failure to improve or insufficient improvements (1910). Impairment of work or activities of daily living should also be present (1974).

Surgery for neurogenic TOS has most often involved resection of either a cervical rib or the first thoracic rib via supraclavicular, infraclavicular or transaxillary approaches (1374,1975,1976,1977,1978,1979,1980,1981,1982,1983). Additional operative procedures include neurolysis (1374), fasciectomy (1374), and scalenectomy or scalenotomy (1984,1985,1986). The only RCT is of low quality, although it suggests transaxillary rib resection was superior to supraclavicular neuroplasty of the brachial plexus (1987).

Some studies report excellent or good post-operative results in approximately 80% of patients (1952,853,1982,1984). However, post-surgical prognoses of disputed TOS in a workers' compensation population is reportedly poor (852,853) with a population-based study reporting 60% remaining disabled from work at 1 year after surgery (851). Similar relatively poor surgical results have been reported in a pain clinic treating patients who sustained their injuries in motor vehicle crashes with 47% reporting very good pain relief vs. 20% with non-operative treatment (1988), as well as a study that found trauma similarly conveyed a worse prognosis . Surgical complications are high; these procedures are sources of malpractice exposure (1904). A considerable minority of patients undergoing surgery redevelop symptoms, estimated at 15 to 30% (809,1989).

## SURGERY FOR TREATMENT OF THORACIC OUTLET SYNDROME

## Recommended

Surgery is recommended for treatment of thoracic outlet syndrome. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Clearly identifiable and significantly symptomatic anatomical abnormality, including cervical ribs and other constrictive anatomic structure(s). Candidates for surgery should also have functional impairments. A minimum of 3 months of progressive, active exercises should be prescribed and failed. Arterial TOS generally needs earlier surgical intervention. As outcomes are considerably worse, surgery for disputed TOS is not recommended. Careful consideration is required to address the potential need for a second surgery.

### Benefits

Improved pain and function

### Harms

Lack of improvement or worsening pain, increasing impairment and disability (George et al., 2021)

### **Frequency/Dose/Duration**

In general, surgery should not be repeated. A second surgery may be necessary after careful consideration if there are clearly identifiable and surgically treatable goals.

#### Rationale

There is one surgical trial of different combinations of procedures; however, there was no nonoperatively managed group, non-treatment group, or sham-surgery control (Goeteyn et al., 2020). Thus, there is no quality study on which to develop surgical treatment guidance. Yet, surgery appears reasonable and potentially effective for those with clearly identifiable and significantly symptomatic anatomical abnormality(ies), including cervical ribs, other constrictive anatomic structure(s), and/or other objective evidence of impaired limb function(s) (Chapman et al., 2021, Ann Freischlag, 2018, Henry et al., 2015, Yin et al., 2019, Cook et al., 2021). Candidates for surgery should also have functional impairments. Thus, surgery for TOS is selectively recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed, Scopus, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Surgical Procedures Operative, Surgery, Surgery Operative; Thoracic Outlet Syndrome, Thoracic Outlet Compression Syndrome, controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 172 articles in PubMed, 1502 in Scopus, 0 in CINAHL, 0 in Cochrane Library, 25,000 in Google Scholar, and 1 from other sources<sup>†</sup>. We considered for inclusion 3 from PubMed, 3 from Scopus, 0 from CINAHL, 0 from Cochrane Library, 0 from Google

Scholar, and 1 from other sources. Of the 7 articles considered for inclusion, 2 randomized trials and 5 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 9.5.8. FOLLOW-UP VISITS

Patients with TOS generally require at least a few, and generally many, follow-up appointments for purposes of performing diagnostic tests, monitoring symptoms and signs for consistent findings, evaluating and advancing treatment, and gradually reducing limitations if the progress allows. Patients with slower resolution, those in need of operative care, or those with other accompanying disorders will require considerably greater numbers of appointments. Frequencies of appointments may also be greater where workplace limitations are required and job demands are higher. Postoperative rehabilitation can be considerable, particularly in disputed TOS cases with workers compensation (851,852,853). In those cases, there may be a requirement for therapy on a prolonged basis to recover as much function as possible.

# **10. PECTORAL STRAINS AND TEARS 10.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing pectoral strains and tears from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

Category	Recommendation	Evidence
Diagnostic Tests	CT for Evaluation of Pectoral Strains	Recommended, Insufficient Evidence (I)
	MRI for Pectoral Strains	Recommended, Evidence (C)
	Ultrasound for Pectoral Strains	Recommended, Insufficient Evidence (I)
	X-rays for Pectoral Strains	Recommended, Insufficient Evidence (I)
Surgery	Surgery for Patients with Complete Tears or Ruptures of the Pectoralis Insertion	Recommended, Insufficient Evidence (I)

# **10.2. OVERVIEW**

Pectoral muscle tears or strains usually occur in the course of overwhelming or supramaximal force, particularly in athletes involved in weightlifting, football (854) (855), or wrestling (854) (856) (857). The most common mechanism is tear while bench-pressing heavy weights (858,859) or similar trauma with eccentric loading of the pectoralis major muscle (856). Some potentially occupational causes include straight-line parachuting, and is mostly reported in the military (860), The injury may include complete tendon avulsion of the sternal head of pectoralis major (rarely entire including clavicular head) or injury to a myotendinous or intra muscular site. The term "strain" is sometimes erroneously utilized to label virtually any muscle pain or ache, rather than the denotation of a muscle-tendon junction partial or complete disruption.

There are no quality studies evaluating treatment for these disorders. As these strains are true muscletendon unit strains, work/activity limitations are particularly indicated to alleviate forceful exertions while allowing sufficient time to heal the strain. For complete tears or ruptures of the pectoralis insertion, surgical repair is recommended.

# **10.3. DIAGNOSTIC RECOMMENDATIONS**

## 10.3.1. X-RAYS

X-ray is the most basic of the anatomical tests. X-rays show bony structures and may be used in pectoral strains to identify bony involvement.

#### X-RAYS FOR PECTORAL STRAINS

#### Recommended

X-rays are recommended for evaluation of acute, subacute, or chronic pectoral strains. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Indications

Most patients with clinical pectoral strains.

#### Benefits

Diagnosis of a fracture involving the pectoral strain

#### Harms

Negligible

#### Rationale

X-rays are helpful to evaluate most patients with a pectoral strain, especially more severe cases. Xrays can help to identify bony involvement. X-rays are non-invasive, low to moderate cost, have low adverse effects, have clinical utility for this purpose, and therefore are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: pectoralis muscles sprains and strains, pectoralis muscles tears, pectoral strain, pectoral tear, pectoralis strain, pectoralis tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 53 articles in PubMed, 18,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 4 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **10.3.2. COMPUTED TOMOGRAPHY (CT)**

Computed tomography is most useful for osseous imaging, whereas MRI is superior for soft-tissue imaging.

**COMPUTED TOMOGRAPHY FOR EVALUATION OF PECTORAL STRAINS** 

#### Sometimes Recommended

Computed tomography (CT) is recommended for the select evaluation of pectoral strains. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Indications

CT Imaging for pectoral strains may be selectively helpful where there is concern about bony involvement. CT is also indicated where advanced imaging is indicated but there is a contraindication for MRI (e.g., ferrous/metal implant).

#### Benefits

Diagnosis and understanding of the degree of bony involvement, especially in avulsion injuries.

#### Harms

Radiation exposure.

## Frequency/Dose/Duration

Obtaining a CT once is generally sufficient. For patients with chronic pectoral strains, it may be reasonable to obtain a second CT later to re-evaluate the patient's condition, particularly if symptoms change.

#### Rationale

MRI is considered superior to computerized tomography for imaging most soft tissue shoulder abnormalities where advanced imaging is usually the primary concern (e.g., assessing the degree of myotendinous rupture). However, where imaging calcified structures is required, CT is considered superior. This includes bony involvement in a pectoral strain and thus CT may be selectively recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: pectoralis muscles sprains and strains, pectoralis muscles tears, pectoral strain, pectoral tear, pectoralis strain, pectoralis tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 53 articles in PubMed, 18,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 4 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **10.3.3. FUNCTIONAL CAPACITY EVALUATIONS**

See Rotator Cuff Tendinopathy.

## **10.3.4. MAGNETIC RESONANCE IMAGING (MRI)**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems because it tends to be helpful for imaging soft tissues (596,598,599,594,593,600,184,592,601,597,57,595,591).

## MAGNETIC RESONANCE IMAGING FOR PECTORAL STRAINS

#### Recommended

Magnetic resonance imaging (MRI) of the pectoralis is recommended for evaluating pectoral strains. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** High

## Indications

Patients with clinically significant pectoral strains.

## Benefits

Identify the severity of the pectoral strain, and help assess need of surgery (Synovec, 2020).

#### Harms

Negligible

## Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination.

## Rationale

A comparative study with two blinded radiologists compared MRI to subsequent intraoperative assessment and reported MRI was 100% sensitive for detecting complete grade 3 tears at the sternal head and clavicular head, 93% sensitive for tendon-bone tears at the sternal head and 90% sensitive for tendon-bone tears at the clavicular head, although the sensitivites were less for detection of grade 2 tears (Chang, 2016). MRI is considered superior to CT for imaging most soft tissue shoulder abnormalities where advanced imaging is usually the primary concern (e.g., assessing the degree of

myotendinous rupture). Quality evidence suggests MRI may help determine severity of the strain and plan whether surgery is needed and thus is recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: pectoralis muscles sprains and strains, pectoralis muscles tears, pectoral strain, pectoral tear, pectoralis strain, pectoralis tear; controlled clinical trial, controlled trials, randomized controlled trials, randomized controlled trials, randomization, randomly. We found and reviewed 53 articles in PubMed, 18,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 4 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 1 diagnostic and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 10.3.5. ULTRASOUND

Diagnostic ultrasound has been used for evaluating myotendinous abnormalities (188,861,186,187,184,189,185).

## **ULTRASOUND FOR PECTORAL STRAINS**

#### Sometimes Recommended

Ultrasound (US) is recommended for selective use on patients suspected of having pectoral strains. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Patients should have symptoms and signs of a clinically significant pectoral strain. Ultrasound technicians should have sufficient skill to obviate the need for MRI or CT scanning (Boykin et al., 2010, Hanchard et al., 2013); otherwise, the test introduces unnecessary redundancy.

#### Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives.

## Frequency/Dose/Duration

Repeat ultrasound should be based on significant change in symptoms and/or examination findings.

## Rationale

Ultrasound has been found useful in quality studies for many soft tissue disorders. There are no quality studies of pectoral strains. However, the pectoral muscles are highly accessible and imagable by US.

Ultrasound is not invasive, is of low to moderate cost, and has little risk of adverse effects; therefore, although there are concerns that MRI may be superior for imaging most shoulder soft tissues, ultrasound is recommended. The main disadvantage is the high dependency on the physician's /ultrasonographer's skills (Boykin et al., 2010, Hanchard et al., 2013). If the ultrasonographer is inexperienced or otherwise unable to accurately determine severity and/or the surgeon would nevertheless order an MRI, then US is not indicated because it would introduce needless imaging and costs.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: pectoralis muscles sprains and strains, pectoralis muscles tears, pectoral strain, pectoral tear, pectoralis strain, pectoralis tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 53 articles in PubMed, 18,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 4 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **10.4. TREATMENT RECOMMENDATIONS**

## SURGERY FOR COMPLETE TEARS OR RUPTURES OF THE PECTORALIS INSERTION

## Recommended

Surgery is recommended for patients with complete or nearly complete tears or ruptures of the pectoralis major insertion (Bodendorfer et al., 2020). **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

Severe pectoralis major strains with complete or nearly complete pectoralis muscle ruptures. Pectoralis minor tears are infrequent, and typically treated non-operatively.

## Benefits

#### Restoration of normal shoulder function

#### Harms

Surgical complications, such as adhesive capsulitis, failure to heal, infections

#### Rationale

There are no quality trials for surgical repair of pectoral muscle ruptures. The pectoralis muscle is required for normal function, and thus surgical repair is generally indicated for completed or nearly complete/severe ruptures.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: pectoralis muscles sprains and strains, pectoralis muscles tears, pectoral strain, pectoral tear, pectoralis strain, pectoralis tear; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 53 articles in PubMed, 18,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 4 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11. SHOULDER DISLOCATION AND INSTABILITY**

## **11.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing shoulder dislocations and instability from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

## **11.2. OVERVIEW**

Shoulder dislocations typically occur only after at least one traumatic event with dislocation, commonly from athletic injury or falls (1990,868). However, some dislocations may occur in the absence of trauma with conditions such as hyperlaxity (1991,1992). The general prevalence of shoulder dislocation is noted to be about equal before and after age 40 years old, although the pathophysiology and associated injuries change with advancing age. Individuals under 40 generally have dislocations due to accidents or sports (1993), with increased risk in the elderly often related to falls (1994). The primary pathology in younger patients is labral tearing and capsular stretching. With advancing age, additional pathological problems, such as associated rotator cuff tears and proximal humeral fractures, become more common.

The incidence rate ranges from 8.2-26.2/100,000 person-years (1993,1994,1334) and the lifetime cumulative incidence has been estimated at 2% (1995). The most common type of dislocation is caused by forced abduction with external rotation and results in anterior and inferior dislocation of the humeral head. Posterior dislocation of the humeral head is much less common than anterior dislocation and typically results from direct blow to the anterior shoulder (posteriorly directed force) or fall onto outstretched hand (1992). The classic presentation of a posterior glenohumeral dislocation is an internally rotated shoulder with inability to elevate or externally rotate. The anterior shoulder appears flattened and the posterior shoulder is more prominent. After an initial shoulder dislocation, recurrence is the most commonly reported sequela with rates as high as 100% in adolescent athletes (1996). Recurrences generally occur with a less traumatic event or no trauma at all. The direction of the dislocation and resultant instability is important for diagnostic purposes, as well as planning potential surgical repair (1997).

Once a shoulder has dislocated, it can be prone to symptoms of instability, termed "shoulder instability" (867). Shoulder instability is defined as pain associated with loss of shoulder function due to excessive translation of the humeral head in the glenoid fossa (867). Instability is more commonly anterior, however posterior, multi-directional and inferior instability also occur. When instability has been identified, non-operative treatment is usually recommended prior to attempted surgical repair (867).

Non-operative treatment has been traditionally recommended for anterior dislocation (1998) (1999) (2000) (2001) (2002) (2003). Yet, the risk of recurrence is estimated at 53% (1993) (1321). Some evidence supports early surgical repair after the first dislocation in younger patients in order to prevent recurrence (1990) (2004) (2005) (2006) (2007). Regardless, surgery has been traditionally utilized among patients with recurrent dislocations or among athletes (2008) (2004) (2009).

## **11.3. DIAGNOSTIC CRITERIA**

A thorough history is important for diagnostic considerations, and should include history of prior dislocations, history of recurrent instability, documented dislocation needing reduction by a healthcare provider. Healthcare providers should obtain a history for recurrent instability with daily activities.

The literature on physical examination maneuvers for instability has limitations (420). However, the examiner should test for signs of multidirectional instability and hypermobility with sulcus sign (862). In addition, positive shoulder apprehension in the midrange of abduction (30 to 90 degrees) with limited external rotation, and anterior translation of the humeral head over the glenoid rim are suggestive of glenoid bone loss (863,864). A thorough neurovascular examination should be performed, paying special attention to the axillary nerve. Axillary nerve palsy is usually presented as a loss of shoulder abduction and loss of sensation in the proximal-lateral aspect of the arm. The arm

should be evaluated for brachial plexus injury, which is usually represented as a sensory and/or motor weakness distally in the arm (865).

The relocation, anterior release tests and apprehension signs may be used to demonstrate instability to aid diagnosis. Biceps load I and II tests and internal rotation resistance strength are thought to be more helpful for diagnosing labral lesions (866). One comparative study found the overall accuracy of 6 clinical tests (apprehension, relocation, release, anterior drawer, load and shift, and hyperabduction) to range from 80.5-86.4% compared with MRA (556). However, there are no standardized diagnostic criteria.

X-ray and MRI are used to assist in the diagnosis of shoulder dislocation or instability and/or their complications. Dislocations require plain radiograph (axillary lateral view) or CT scan to visualize the humeral head in glenoid fossa and to determine bone loss on the anterior glenoid, posterior glenoid and humeral head (Hill-Sachs and Reverse Hill-Sachs lesions). X-rays may be needed of both shoulders, particularly if there was a bilateral injury or a need for comparison with the unaffected shoulder. Other studies may be helpful, including MRI, MR arthrogram, or CT arthrogram, especially for evaluation of potential concomitant Bankart lesions or labral or rotator cuff tears (867) (868) (18).

## **11.4. WORK LIMITATIONS**

Patients with acute dislocations are generally able to return to occupational activities; however, rates of return are generally lower for highly physically demanding jobs and athletic endeavors (869). Limitations, if needed, are gradually reduced as recovery progresses. Most workers continue to perform their job tasks while avoiding activities that provoke feelings of instability or frank dislocations even without formal restrictions. If surgery is performed, there is a similar need for workplace limitations which are gradually reduced.

## **11.5. DIAGNOSTIC RECOMMENDATIONS**

## 11.5.1. X-RAYS

X-rays show bony structures and are the initial test to evaluate most cases of shoulder pain and dislocations (127) (126).

## X-RAYS FOR SHOULDER DISLOCATIONS AND INSTABILITY

#### Recommended

X-rays are recommended for evaluation of shoulder dislocation and instability. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

All patients with shoulder dislocation and instability. X-rays help define the osseous anatomy and identify fractures for acute dislocations. X-rays of both shoulders are sometimes indicated for comparative purposes.

#### Benefits

Definition of the anatomy, identification of fracture, comparative anatomy if both shoulders are x-rayed.

#### Harms

Negligible

#### Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views for instability. Plain radiographs should include anterior-posterior, scapula Y-view, and if possible an axillary view to fully evaluate the bony structures. Sometimes post-relocation x-rays are obtained.

#### Rationale

There are no quality studies of x-rays for dislocation or instability. X-rays are helpful to identify fractures in those with acute dislocation. X-rays can help define the anatomy and comparative anatomy for the contralateral shoulder; thus, x-rays are indicated for dislocations and instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **11.5.2. COMPUTED TOMOGRAPHY**

Computed tomography (CT) remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. The most common pathology identified during imaging or arthroscopy is a labral tear. However, bony injury including bony Bankhart lesion, Hill-Sachs lesion, and significant glenoid bone loss that may suggest alternate treatment is often seen with CT (870,871). CT is useful in identifying shoulder joint pathology where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture) and to evaluate the anatomy in patients with contraindication for MRI. CT scan is also indicated in patients with a history of more than one shoulder dislocation to evaluate for clinically significant glenoid bone loss and to evaluate for engaging hill-Sachs lesion which are important for surgical planning (872,873,874).

## COMPUTED TOMOGRAPHY FOR EVALUATION OF SHOULDER DISLOCATIONS

#### Sometimes Recommended

Computed tomography (CT) is recommended for the select evaluation of dislocations with potential occult, complex proximal humeral and glenoid/scapular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Indications

Imaging for shoulder dislocations with concerns for occult, complex proximal humeral and glenoid/scapular fractures or other calcified structures, evaluation of engaging Hill-Sachs Lesion, loose fragments, and Bony Bankhart lesion in addition to rotator cuff tear. For most shoulder conditions, MRI is superior. CT is also indicated where advanced imaging is indicated but there is a contraindication for MRI (e.g., ferrous/metal implant). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – because MRI is not as good for bone imaging.

#### Benefits

Diagnosis of occult fractures, and understanding of the degree of complex fractures, calcific tendinitis

#### Harms

**Radiation exposure** 

## Frequency/Dose/Duration

Obtaining a CT once is generally sufficient. For patients with chronic shoulder pain, it may be reasonable to obtain a second CT later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

MRI is considered superior to computed tomography for imaging most shoulder soft tissue abnormalities. However, where imaging calcified structures is required, CT is considered superior. This includes identifying occult fractures, complex proximal humeral and glenoid/scapular fractures. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear. A contrast CT study is minimally invasive, has few, if any, adverse effects but is costly. It is recommended for select use.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.5.3. LOCAL ANESTHETIC INJECTIONS**

See Rotator Cuff Tendinopathy Injections.

## **11.5.4. FUNCTIONAL CAPACITY EVALUATIONS**

See Rotator Cuff Tendinopathy.

## **11.5.5. MAGNETIC RESONANCE IMAGING**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems. MRI tends to be helpful for imaging soft tissues, particularly the rotator cuff, but also the joint capsule (596) (598) (599) (594) (593) (600) (184) (592) (601) (597) (57) (595) (591).

## MRI FOR SHOULDER DISLOCATION AND INSTABILITY

#### Recommended

MRI is recommended for imaging shoulder joints after dislocation and selectively for those with instability. MRI is especially helpful for those with concerns about a concomitant rotator cuff tear. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Patients sustaining a shoulder dislocation, also selectively for those with instability. Particularly indicated for those with concerns of a concomitant rotator cuff tear. If there are concerns for labral tear, MR Arthrography is indicated instead of MRI.

#### **Benefits**

Assist in securing a diagnosis and confirming associated pathology.

#### Harms

False positives and false negatives for rotator cuff tears.

#### Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

#### Rationale

There are no quality studies of MRI for shoulder dislocation or instability. MRI may be helpful to identify the degree of capsular disruption, but it is especially helpful to define rotator cuff pathology (Cartland et al., 1992) (Tirman et al., 1994) (Wnorowski et al., 1997) (Connell et al., 1999) (Tuite et al., 2000) (Tung et al., 2000) (Ardic et al., 2006) (Chang et al., 2006) (Reuss et al., 2006) (Chang et al., 2008) (Pandya et al., 2008) (McFarland et al., 2009) (Mulyadi et al., 2009), which may accompany dislocations. If there are concerns about labral tears, MR arthrography is recommended. MRI is not invasive, has negligible adverse effects, but is costly. MRI is not recommended for routine shoulder imaging but is recommended for select use after dislocation and/or instability, particularly involving concerns regarding soft tissue pathology.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 4 diagnostic articles and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **11.5.6. MAGNETIC RESONANCE ARTHROGRAM**

Magnetic resonance (MR) arthrography combines an MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is also needed (173,174). MR arthrography is particularly thought to be effective for imaging labral pathology (180) (179) (177) (175) (43) (181) (176) (178). Arthrography involves the injection of contrast into the joint. It was modified in the 1970s to include injection of air ("double contrast") (131). Arthrography under fluoroscopy in isolation has now been almost entirely replaced by other procedures, including MRI and MR arthrography, primarily due to its low sensitivity for full-thickness tears and essentially no sensitivity for partial thickness tears (875). Most arthrograms including MR arthrogram are performed using fluoroscopy to localize the joint and inject the contrast agent.

## MAGNETIC RESONANCE ARTHROGRAM FOR SHOULDER DISLOCATIONS AND INSTABILITY

#### Recommended

Magnetic resonance (MR) arthrography is recommended for those with dislocation and/or instability, especially for diagnosing labral tears.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

## Indications

Patients with dislocation and/or instability who also have symptoms or clinical suspicion of labral tears.

#### Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives for labral tears. Arthrography improves the accuracy especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

#### Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination.

#### Rationale

MR arthrograms have not been evaluated in quality studies among patients with dislocation and/or instability. Although studies are heterogeneous, pooled estimates of the sensitivity for full-thickness tears is estimated at 95% with specificity 93% (Dinnes et al., 2003). There is high prevalence for labral injury with first shoulder dislocation based on MR arthrography (MRA) (Antonio et al., 2007). A comparison of high- versus low-field MR imaging for SLAP tears among symptomatic patients found high field superior for diagnosing SLAP (Tung et al., 2000). The sensitivity of high-field MRA was 90% and specificity was 63%, while sensitivity of low-field MRA was 64% with 70% specificity. MRA was found to be superior to CT arthrography (CTA) and marginally better than MRI for identification of labral tears in a case series of patients with recurrent anterior instability, prior anterior dislocation, or shoulder pain of unknown cause (Chandnani et al., 1993). MRA sensitivity for a labral tear was 96.4%, MRI was 92.9%, and CTA was 73.1%. Specificity was 100% for all three tests; however, this appears overstated as there were only two patients without a tear in this small case series. MR arthrography is invasive, has adverse effects including a low, but definite risk of infection and is painful. It is also costly, although MRA has been felt to provide better cost effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). It is likely the best imaging procedure available for patients thought to have labral tears or patients with good strength in order to assess the labrum and rotator cuff with traumatic injury simultaneously; thus, it is recommended for select use among patients with dislocation and/or instability.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 7 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 11.5.7. ULTRASOUND

Diagnostic ultrasound has been used for evaluating shoulder dislocations and instability (188) (186) (187) (184) (189) (185).

## ULTRASOUND FOR SHOULDER DISLOCATIONS AND INSTABILITY

## Sometimes Recommended

Ultrasound is recommended for selective use on patients with dislocation and/or instability. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

## Indications

Patients with shoulder dislocation and/or instability. May be helpful for evaluating concomitant rotator cuff tears. Ultrasound technicians should have sufficient skill to obviate the need for scanning (Boykin et al., 2010, Hanchard et al., 2013). Otherwise, the test introduces unnecessary redundancy and expense.

## Benefits

Assist in securing a diagnosis and treatment plan.

Harms

Negligible

## Frequency/Dose/Duration

Repeat ultrasound should be based on significant change in symptoms and/or examination findings.

## Rationale

One moderate-quality study suggested 100% sensitivity of US for the detection of shoulder dislocation (Abbasi et al., 2013). There are no quality studies of ultrasound for dislocation or instability. Ultrasound in skilled hands may help define abnormalities, including anatomy, rotator cuff tears, and fractures. Ultrasound is not invasive, is of low to moderate cost, and has little risk of adverse effects; therefore, although there are concerns that MRI may be superior for imaging most shoulder soft tissues, ultrasound is recommended particularly for evaluation of accompanying rotator cuff tears. The main disadvantage is the high dependency on the physician's skills (Boykin et al., 2010, Hanchard

et al., 2013). If the ultrasound does not obviate the need for imaging (MRI or CT), then it introduces unnecessary expense.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 4 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **11.5.8. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

Single-proton emission computed tomography (SPECT) is a three-dimensional imaging technique.

#### SPECT FOR SHOULDER DISLOCATION AND INSTABILITY

#### Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with shoulder disorders.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Indications

SPECT is not recommended for the evaluation of patients with shoulder disorders.

#### Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of shoulder dislocation or instability, and they are not believed to provide significant additional information above more standard imaging techniques. Thus, they are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.5.9. POSITRON EMISSION TOMOGRAPHY (PET)**

## PET FOR SHOULDER DISLOCATION AND INSTABILITY

## Not Recommended

Positron emission tomography (PET) scanning is not recommended for the evaluation of patients with shoulder disorders. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of shoulder dislocation or instability, and they are not believed to provide significant additional information above more standard imaging techniques. Thus, they are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **11.5.10. DIAGNOSTIC INJECTIONS**

Diagnostic injections particularly of the subacromial space, glenohumeral joint, and acromioclavicular joint are sometimes performed. However, they are nearly always performed in combination with a therapeutic intervention, such as a ketorolac or glucocorticosteroid injection. However, a glucocorticoid injection is generally inadvisable if surgery is believed to be likely due to worse outcomes (876,877,878,879). Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both

immediately post-injection for diagnostic purposes and over the longer term for therapeutic purposes (see the recommendation for subacromial injections in Rotator Cuff Tendinopathy).

## **11.6. TREATMENT RECOMMENDATIONS**

## **11.6.1. INITIAL CARE**

In the absence of fractures, initial care of a dislocation involves relocation as soon as possible. Anesthesia may be required if there is sufficient muscle tightness or spasm and manual relocation is unsuccessful. The longer the time after the dislocation, the greater the probability that anesthesia will be necessary for relocation. Surgery may be required for cases with fractures. Over-the-counter analgesics and self-applications of heat and ice are recommended, and slings may be attempted for treatment acutely, with use gradually weaned.

Patients with first-time dislocation or initial instability are recommended to undergo initial immobilization with a sling for 2 to 3 weeks and early exercise program while avoiding at risk position of abduction and external rotation (880). Sling immobilization in external rotation is hypothesized to tighten the musculotendinous complex of the subscapularis, thereby closing the anterior joint cavity and reducing the labrum back to the glenoid rim in more anatomic position and improve healing of Bankhart lesion (881). Multicenter randomized clinical trial of patients with first-time dislocation suggested that the recurrence rate was significantly lower in the external rotation group (26%) than that in the internal rotation group (42%). In the subgroup of patients aged 30 years or younger, the relative risk reduction was 46.1% (882). Once the sling is discontinued, strengthening exercises under the supervision of a physical therapist are recommended (883,884).

#### SELF-REDUCTION FOR TREATMENT OF SHOULDER DISLOCATION

#### Recommended

Self-reduction of recurring shoulder dislocations is recommended for treatment of shoulder dislocation.

Strength of evidence Recommended, Evidence (C) Level of confidence High

#### Indications

Patients with recurrent shoulder dislocation, especially those who delay or decline surgery

#### Benefits

Self-management of the dislocation. May be particularly helpful for those who are distant from medical care.

#### Harms

Negligible

#### Rationale

There are two moderate-quality randomized controlled trials, with both suggesting efficacy in teaching various methods of self-reduction to those patients who have recurrences, especially the

Milch and Boss-Holtzach-Matter techniques, with 53-55% rates of efficacy (Marcano-Fernández et al., 2018, Marcano-Fernández et al., 2020, Chechik et al., 2021). One of these trials suggest self-reduction should also be preferentially performed in the emergency department due to less pain (Marcano-Fernández et al., 2020). Thus, teaching self-reduction is a potential intervention, especially among those at risk of recurrence and especially among those without nearby assistance.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **OTC ANALGESICS FOR TREATMENT OF SHOULDER DISLOCATION**

#### Recommended

Over-the-counter analgesics are recommended for treatment of shoulder dislocation. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Shoulder dislocation and other shoulder pain

## Benefits

Self-management of the pain

#### Harms

Negligible for OTC analgesics unless acetaminophen doses exceed 3.5g, or the patient has liver disease or other contraindication

## Frequency/Dose/Duration

Analgesics should be used per manufacturer's recommendations

#### Rationale

There are no quality trials evaluating analgesics for managing shoulder dislocations. However, analgesics and OTC NSAIDs are likely helpful and there is some quality evidence for the use of prescription NSAIDs for other shoulder nociceptive pain (see NSAIDs for Rotator Cuff Tendinopathy); thus, they are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SELF-APPLICATION OF HEAT OR ICE FOR TREATMENT OF SHOULDER DISLOCATION

#### Recommended

Self-application of heat or ice is recommended for treatment of shoulder dislocation. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Shoulder dislocation and associated injuries.

#### **Benefits**

Self-management of pain

Harms

Negligible

## Frequency/Dose/Duration

Ice and heat are typically used 3-5 times a day

## Rationale

There are no quality trials evaluating ice or heat for managing shoulder dislocations. Self-applications of heat and ice may be helpful for self-management of symptoms, are not invasive, have low adverse effects, not costly, and are believed to be helpful for treating symptoms; thus, they are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.6.2. ACTIVITY MODIFICATION AND EXERCISE**

## **RANGE-OF-MOTION EXERCISES FOR SHOULDER DISLOCATIONS**

#### Recommended

Range-of-motion exercises are recommended for treatment of patients with shoulder dislocation after relocation.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Indications

Shoulder dislocation patients, especially in the acute to subacute and/or postoperative phases. Also for those with limited range of motion (ROM) in the chronic phase. Generally, instability patients do not need range-of-motion exercises.

## Benefits

Improved ROM, prevention of adhesive capsulitis. Accelerated post-operative recovery

#### Harms

May increase pain if aggressive range of motion is instituted, although tolerance of such may be cautiously needed if there is adhesive capsulitis to be treated or has begun to set in

## Frequency/Dose/Duration

A self-directed program as tolerated (patients who also have a rotator cuff tear or labral tear often do not tolerate strenuous stretching). Supervised programs may be indicated for patients who require supervision initially or otherwise need assistance with motivation or concomitant fear avoidant belief training (see Chronic Pain and Low Back Disorders Guidelines) for a few appointments to help initiate the program.

Additional supervised appointments are indicated for patients who fail to progress or need greater

supervision, such as for ongoing fear avoidant beliefs (Ludewig et al., 2003). Dose unclear for patients with shoulder pain; common regimens of ROM exercises performed 1 to 3 times a day. For those needing a supervised program, 2-3 appointments per week for 3-4 weeks are often needed, during which strengthening exercises are added. An additional 2-4 weeks is occasionally needed to fully rehabilitate and achieve a plateau. For those who can adhere to a self-directed program, weekly appointments may suffice. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

#### **Indications for Discontinuation**

Achievement of normal ROM, non-compliance, development of other disorders. If normal range of motion is achieved, but further strengthening is required, additional appointments may be needed (see Strengthening Exercises).

#### Rationale

There are no quality studies for treatment of shoulder dislocations, postoperative rehabilitation, or instability. Range-of-motion exercises are helpful for recovery of function and prevention of adhesive capsulitis and are recommended. Transition to strengthening exercises is typically part of the rehabilitation program.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## STRENGTHENING EXERCISES FOR SHOULDER DISLOCATIONS AND INSTABILITY

## Recommended

Strengthening exercises are recommended for treatment of patients with shoulder dislocations and instability.

Strength of evidence Recommended, Evidence (C) Level of confidence Moderate

#### Indications

Shoulder dislocations, especially after the acute phase post-dislocation or surgery has subsided. Strengthening exercises are also indicated for patients with instability who are not thought to be surgical candidates, or decline surgery.

## Benefits

Improved function and recovery. Potential to reduce instability symptoms.

## Harms

May increase pain as the program progresses and with each step-up in exercise.

## Frequency/Dose/Duration

A supervised treatment program is often begun with a set of appointments, e.g.: 2-3 appointments/week for 2-3 weeks. Another set of appointments may be needed for more severe cases and/or those who have not achieved treatment goals including reaching a plateau. Additional appointments should be based on documentation of ongoing, incremental functional gains. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached

## Indications for Discontinuation

Achievement of normal function, reaching a plateau, development of a strain, noncompliance, failure to improve.

## Rationale

One RCT suggested superiority of shoulder instability neuromuscular exercise compared with a homebased standard program for patients with a traumatic anterior shoulder dislocation (Eshoj et al., 2020). Another trial reported superiority of Watson to Rockwood exercises for multi-directional instability (Warby, 2017). There is one moderate quality experimental trial of a single appointment of exercises suggesting efficacy of glenohumeral plus scapular stabilization exercises for patients with shoulder pain, although the patients are not well characterized (Jeon et al., 2018).

Although there are few quality trials of strengthening exercises for shoulder dislocations or instability, these exercises are thought to be essential to achieve normal function. However, there is insufficient evidence to clearly define superiority of one exercise or regimen over another.

Strengthening/stabilization exercises are not invasive, have low adverse effects, and are low to moderate cost depending on the numbers of appointments. They may be high cost when performed as part of a lengthy supervised program; however, those intensive courses of therapy may be needed in some severe cases and also with cases of fear avoidant beliefs and catastrophizing.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial,

controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.6.3. MEDICATIONS**

NSAIDs and acetaminophen are recommended for pain management for patients with shoulder dislocation. Prescription medications might be needed in moderate to severe cases. In select cases, patients may require judicious short-term use of opioids for acute pain management with a severe first dislocation (i.e., typically not beyond 3 days). Other recommended medications for pain management include muscle relaxants, capsaicin, tricyclic anti-depressants or dual reuptake inhibiting anti-depressants for chronic pain (but not SSRI antidepressants which are not effective for nociceptive pain), or gabapentin for peri-operative use. Patients with instability generally require no medication other than post-operatively.

There is no quality literature specific to shoulder dislocations or postoperative instability for nearly all of the following interventions. In the absence of quality evidence, it is recommended that shoulder dislocations and postoperative instability be managed according to the recommendations for Rotator Cuff Tendinopathy and Shoulder Pain:

- NSAIDs for Treatment of Rotator Cuff Tendinopathies and Shoulder Pain [Strongly Recommended, Evidence (A)]
- Acetaminophen for Acute, Subacute, Chronic, or Post-operative Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Norepinephrine Reuptake Inhibiting Anti-depressants for Subacute or Chronic Shoulder Girdle Pain [Recommended, Insufficient Evidence (I)]
- Selective Serotonin Reuptake Inhibitors for Acute, Subacute, or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Anti-convulsants for Acute, Subacute or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Capsicum Creams for Acute, Subacute, Chronic Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical NSAIDs, including Diclofenac Epolamine for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical Glyceryl Trinitrate, Lidocaine Patches, Eutectic Mixture of Local Anesthetics (EMLA), and Other Creams/Ointments for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]

See also the ACOEM Opioids guideline for the treatment of subacute and chronic pain.

# **11.6.4. DEVICES**

# SLINGS, INCLUDING AN EXTERNAL ROTATION BRACE, FOR INITIAL TREATMENT ACUTELY FOR SHOULDER DISLOCATION

#### Recommended

Slings, especially an external rotation brace, are recommended as an option for initial treatment acutely for shoulder dislocation.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

After relocation for an acute dislocation, especially first dislocations with severe pain and joint swelling. Should be used with physical therapy and/or pendulum exercises approximately 3x/day prior to beginning a formal therapy program.

#### Benefits

Short-term improved pain and rest.

#### Harms

Potential for debility, adhesive capsulitis especially if the sling is used for a prolonged period of time, particularly if without performing range-of-motion exercises.

## Frequency/Dose/Duration

Gradually wean. Pendulum exercises are generally recommended, including within the first few days after injury.

#### **Indications for Discontinuation**

Use beyond approximately 1-2 weeks, reduced acute swelling and inflammatory stage.

#### Rationale

There are several trials regarding external immobilization which conflict regarding efficacy, whether the trials compared external/internal immobilization or external mobilization/slings. Two trials suggest efficacy of external vs. internal immobilization (Itoi et al., 2003) (Liavaag et al., 2009). However, another trial found lack of efficacy (Finestone et al., 2009) and another reported a non-significant 38.9% reduction in dislocations with external immobilization vs. internal immobilization over 2 years with significant risk reduction among those aged 20-40 years (Murray et al., 2020). Trials conflict regarding whether external immobilization is superior to a sling, with one study suggesting efficacy (Itoi et al., 2003) while another suggested no differences (37% vs. 40% dislocations) with external immobilization vs. sling (Whelan et al., 2014). Because the quality studies conflict, there is no recommendation for a specific device or position.

There is no comparative trial with an absence of sling or immobilization, but these devices may help manage acute pain associated with shoulder dislocations and help soft tissue healing; thus, they are

recommended. An external rotation brace may be used instead of a sling to treat anterior glenohumeral dislocations as most of these have an anterior inferior labral tear. The external rotation position theoretically reduces the labrum so that it may heal in a more anatomic position (Itoi et al., 2001). Performance of pendulum exercises is usually indicated in part to prevent the potential development of adhesive capsulitis.

Slings are not recommended for shoulder instability because the condition is chronic and slings promote debility over time.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 8 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SLINGS FOR TREATMENT OF CHRONIC SHOULDER INSTABILITY

## **Not Recommended**

Slings are not recommended for treatment of chronic shoulder instability beyond acute dislocations. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

## Rationale

There are no quality studies of using slings for instability. Slings may help manage acute pain associated with shoulder dislocations and help soft tissue healing. However, slings are not recommended for shoulder instability as the condition is chronic and slings promote debility and increase risk of adhesive capsulitis over time.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## TAPING FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of taping for the treatment of shoulder dislocation or instability. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of taping for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MAGNETS FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of magnets for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of magnets for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.6.5. ALLIED HEALTH INTERVENTIONS**

## ACUPUNCTURE FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### Not Recommended

Acupuncture is not recommended for treatment of shoulder dislocation or instability in the absence of chronic pain.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

Acupuncture may be modestly effective for treatment of chronic shoulder pain (see Rotator Cuff Tendinopathies). However, most patients with a dislocation or instability do not have chronic pain. One trial of dry needling after shoulder stabilization repair found a lack of efficacy over one year (Halle, Crowell et al.). Acupuncture might be indicated for select patients with chronic pain who do not have sufficient pain control with other interventions. Thus, acupuncture is not recommended for treatment of shoulder dislocation and instability.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## DIATHERMY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of diathermy for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of diathermy for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **INFRARED THERAPY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY**

#### **No Recommendation**

There is no recommendation for or against the use of infrared therapy for the treatment of shoulder dislocation or instability. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of infrared therapy for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed,

106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ULTRASOUND FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of ultrasound for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of ultrasound for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## LASER THERAPY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

## No Recommendation

There is no recommendation for or against the use of laser therapy for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of laser therapy for treatment of shoulder dislocation or instability

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MANUAL THERAPY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of manual therapy for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of manual therapy for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MANIPULATION FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of manipulation for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of manipulation for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MASSAGE FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of massage for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of massage for treatment of shoulder dislocation or instability.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# HIGH-VOLTAGE GALVANIC THERAPY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of high-voltage galvanic therapy for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of high-voltage galvanic therapy for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# H-WAVE® DEVICE STIMULATION FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of H-wave<sup>®</sup> Device Stimulation for treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of H-wave<sup>®</sup> Device Stimulation for treatment of shoulder dislocation or instability.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### IONTOPHORESIS FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of iontophoresis for the treatment of shoulder dislocation or instability. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of iontophoresis for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **MICROCURRENT FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY**

#### No Recommendation

There is no recommendation for or against the use of microcurrent for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of microcurrent for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERCUTANEOUS ELECTRICAL NERVE STIMULATION FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of percutaneous electrical nerve stimulation (PENS) for the treatment of shoulder dislocation or instability. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of PENS for treatment of shoulder dislocation or instability.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed,

106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### No Recommendation

There is no recommendation for or against the use of transcutaneous electrical stimulation (TENS) for treatment of shoulder dislocation or instability. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of TENS for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SYMPATHETIC ELECTROTHERAPY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of sympathetic electrotherapy for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of sympathetic electrotherapy for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PULSED ELECTROMAGNETIC FREQUENCY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of pulsed electromagnetic frequency for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of pulsed electromagnetic frequency for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## INTERFERENTIAL THERAPY FOR TREATMENT OF SHOULDER DISLOCATION OR INSTABILITY

#### **No Recommendation**

There is no recommendation for or against the use of interferential therapy for the treatment of shoulder dislocation or instability.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of interferential therapy for treatment of shoulder dislocation or instability.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **11.6.6. INJECTION THERAPIES**

Injections are generally not required for dislocations and are not recommended for treatment of acute dislocations. Injections are occasionally needed subsequently for concomitant rotator cuff tendinopathies or among patients who have delayed recovery for unclear reasons and in whom an empiric injection for diagnostic and therapeutic purposes is performed (see Rotator Cuff Tendinopathy Injections).

One trial of dry needling after shoulder stabilization repair found a lack of efficacy over 1 year (463).

## **11.6.7. SURGICAL CONSIDERATIONS**

Non-operative and surgery have both been widely used for the treatment of dislocations. Surgical techniques vary depending on factors including the kind of dislocation, comitant injuries, timing after injury as well as the skills and strength of the treating provider. Recreational and occupational demands may lead one to have surgery after an initial dislocation, but for most patients the results of surgery after a recurrence should be equivalent to surgery after first dislocation. The dislocation recurrence rate has been reported at 17 to 96% (2010) (2011) (2012) (1993) (2013) (2014) (2015)

(2016) (2017) (1998) (1994) (2018) (2019) (2020) (2021) (2022) (2023) (2024) (2025), (2000) (2026) (2027) (1990) (2028) (2029) (2008) (2004) (2030) (1996) (2005) (2031).

A systematic review found an overall summary estimate of 39% (1350) and a recurrence rate of 53% among those with anterior dislocations managed by physiotherapy (1350). Another systematic review of arthroscopic Bankart repairs vs. conservative management for a first-time anterior dislocation found a recurrent instability rate of 9.7% vs. 67.4%, and a higher rate of return to play of 92.8% vs. 80.8% (2032) and another review found lower recurrence rates with operative repair at 10 years (2033). Recurrence of dislocation has been attributed to anterior labral injuries (2034) (2035) (2008) (2036) (2005) and has been used to justify attempted repairs. Younger age and male sex have been consistently associated with increased risk of recurrence of dislocation (2011) (2013) (1993) (2007) (1350), providing some rationale for greater use of surgical treatments, especially in younger patients with dislocations.

Surgical approaches to shoulder instability include arthroscopic (2037) (2038) (2039) (2040) (2041) (2042) (2043) (2044) (2045) (2022) (2046) (2047) (2048) (2049) (2050) (2051) (2052) (2053) (2054) (2055) (2056) (2057) (2058) (2059) (2060) (2061) (2062) (2063) (2064) (2065) (2066) (2067) (2068) (142) (2036) (2069) (2070) (2071) (2072) (2073) (2074) (2075) (2076) (2077) (2078) (2079) (2080) and open procedures, most frequently Bankart (capsule and labral repairs) repairs (2081) (2013) (2082) (2083) (2084) (2085) (869) (2069) (2086) (2087) (2088).

Trials comparing arthroscopic and open approaches for patients with recurrent anterior dislocations found no unequivocal evidence of superiority of one approach over the other (2089) (2090) (2091), although overall there appears to be modestly faster recovery with arthroscopic approaches over the first several postoperative months (2091). Arthroscopic capsulolabroplasty and capsulolabral augmentation have been reported for management of posteroinferior instability. (2062) (514). For posterior instability, no differences between open and arthroscopic approaches have been reported (1992), although none of the available studies are RCTs.

The outcome of surgical treatment after dislocation is highly correlated with the extent of glenoid bone loss and presence of engaging Hill-Sachs lesion (865,2092,2093). The concept of on-track and off-track lesions describes the relationship of the Hill-Sachs lesion and the glenoid. In on-track lesions, the Hills-Sachs lesion remains within the glenoid normal zone of contact in the end range of motion. In off-track lesion, the edge of the Hill-Sachs lesion translates more medially than the medial border of the glenoid normal zone of contact (2094,2095). The on-track and off-track concept has been shown to reliably predict surgical outcomes and recurrent instability (863,2096). Glenoid bone loss of greater than 20% is major risk factor for failure of arthroscopic Bankhart repair (865,2094). In case of glenoid bone loss greater than 20%, open versus arthroscopic glenoid bone grafting or coracoid sling bone grating technique (example Latarjet procedure) should be considered (2096,2097,2098). In case of off-track lesions, in addition to arthroscopic Bankhart repair, Remplissage procedure to fill in the engaging Hill-Sachs lesion and bone grafting is recommended to reduce surgical failure and risk of recurrent dislocation (2099,2093).

## **REDUCTION OF DISLOCATED SHOULDERS**

#### Recommended

Relocation is recommended after dislocation. Relocation under anesthesia is recommended if an attempted relocation without anesthesia is unsuccessful. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

Indications

**Dislocated shoulder** 

## Benefits

Relocate shoulder and restore function

## Harms

Can fail to relocate, neurovascular injury, (further) rotator cuff injury

#### Frequency/Dose/Duration

None specified.

#### **Indications for Discontinuation**

Generally, after 1-2 attempts to relocate the shoulder, further attempts are best deferred until after the patient is under anesthesia

#### Rationale

Dislocated shoulders are best relocated as soon as possible. The longer the delay, the more likely that muscles will sufficiently contract to result in a need to perform the relocation under anesthesia. After relocation, immobilization and/or sling use is prescribed typically along with therapy. Patients have been successfully taught self-relocation (Chechik et al., 2021, Marcano-Fernández et al., 2018, Marcano-Fernández et al., 2020).

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ARTHROSCOPIC SURGERY FOR SHOULDER DISLOCATION AND INSTABILITY

#### Recommended

Arthroscopy or open surgery is recommended for evaluation and treatment of patients with dislocation and instability.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

## Indications

Shoulder dislocation or instability, including after a first traumatic dislocation. There is no requirement to have rehabilitation prior to surgery if there is a dislocation which has occurred as a consequence of an acute injury. Instability with recurrent dislocations due to congenital laxity may reasonably be rehabilitated first, prior to consideration of surgery.

## Benefits

Reduced probability of dislocation and lessened symptoms of instability. Also provides an opportunity to treat other accompanying problems such as rotator cuff tear or labral tear.

#### Harms

Surgical complications, inadequate repair such that there are ongoing dislocations, infection, adhesive capsulitis

#### Rationale

Once the shoulder dislocates due to trauma, the disrupted joint capsule is lax and prone to redislocation. Thus, surgery after a first dislocation is reasonable, although an estimated 47% will not redislocate after an initial dislocation and treatment with exercise (Olds et al., 2015). There is evidence that open Bankart repair results in somewhat lower recurrence and reoperation rates than arthroscopic Bankart repairs; however the range of motion is better after arthroscopic repair (Jorgensen et al., 1999, Sperber et al., 2001, Grasso et al., 2009, Bottoni et al., 2006, Bottoni et al., 2021, Rhee et al., 2007, Archetti Netto et al., 2012, Mohtadi et al., 2014, Chen, 2015, Olds et al., 2015)

Regardless of the surgical approach used, surgery has been shown to reduce the probability of dislocation and symptoms of instability compared with non-operative management (Kirkley et al., 1999, Kirkley et al., 2005, Edmonds et al., 2003, Bottoni et al., 2002, Jakobsen et al., 2007, Yapp et al., 2020, Minkus et al., 2021, Pougès et al., 2021). One trial found that the benefit persisted and continued to grow after up to 10 years of follow-up (Yapp et al., 2020). Additional information on certain techniques has been reported: addition of electrothermal capsulorrhaphy has been found to be ineffective (McRae et al., 2016); addition of infraspinatus remplissage to arthroscopic Bankart repair for anterior shoulder instability with Hill-Sachs defects (MacDonald et al., 2021) has been found to decrease risk of recurrent instability; and arthroscopic Bankart repair with curettage of the cartilage along the anterior glenoid edge reduced dislocation recurrences (Desai et al., 2021). Bristow and Latarjet techniques were comparable in high-demand athletes (Belangero et al., 2021). Young patients typically have greater problems with recurrent dislocation and thus stronger indications for surgery after a first dislocation.

The outcome of surgical treatment after dislocation is highly correlated with the extent of glenoid bone loss and presence of engaging Hill-Sachs lesion (Wang, 2018, Flatow, 1998, Armitage, 2010). The concept of on-track and off-track lesions describes the relationship of the Hill-Sachs lesion and the glenoid. In on-track lesions, the Hills-Sachs lesion remains within the glenoid normal zone of contact in the end range of motion. In off-track lesion, the edge of the Hill-Sachs lesion translates more medially than the medial border of the glenoid normal zone of contact (Gulati, 2017, Provencher, 2012). The on-track and off-track concept has been shown to reliably predict surgical outcomes and recurrent instability (White, 2019). Glenoid bone loss of greater than 20% is major risk factor for failure of arthroscopic Bankhart repair (Wang, 2018, Provencher, 2012). In case of glenoid bone loss greater

than 20%, open versus arthroscopic glenoid bone grafting or coracoid sling bone grating technique (example Latarjet procedure) should be considered (Boileau, 2012, Cowling, 2016, Allain, 1998). In case of off-track lesions, in addition to arthroscopic Bankhart repair, Remplissage procedure to fill in the engaging Hill-Sachs lesion and bone grafting should be utilized to reduce surgical failure and risk of recurrent dislocation (Garcia, 2016, Armitage, 2010).

Arthroscopy is invasive, has adverse effects and is high cost. However, because repeat dislocations are common and instability can be significantly impairing, surgery is recommended as a treatment option.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 8 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **OPEN SURGERY FOR SHOULDER DISLOCATION AND INSTABILITY**

## Recommended

Open surgery or arthroscopy is recommended for evaluation and treatment of patients with dislocation and instability.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

## Indications

Shoulder dislocation or instability, including after a first traumatic dislocation. There is no requirement to have rehabilitation prior to surgery if there is a dislocation which has occurred as a consequence of an acute injury. Instability with recurrent dislocations due to congenital laxity may reasonably be rehabilitated first, prior to consideration of surgery.

## Benefits

Reduced probability of dislocation and lessened symptoms of instability. Also provides an opportunity to treat other accompanying problems such as rotator cuff tear or labral tear.

## Harms

Surgical complications, inadequate repair such that there are ongoing dislocations, infection, adhesive capsulitis

## Rationale

Once the shoulder dislocates due to trauma, the disrupted joint capsule is lax and prone to redislocation; thus, surgery after a first dislocation is reasonable. There is evidence that open Bankart repair results in somewhat lower recurrence and reoperation rates than arthroscopic Bankart repairs; however the range of motion is better after arthroscopic repair (Jorgensen et al., 1999, Sperber et al., 2001, Grasso et al., 2009, Bottoni et al., 2006, Bottoni et al., 2021, Rhee et al., 2007, Archetti Netto et al., 2012, Mohtadi et al., 2014, Chen, 2015, Olds et al., 2015).

Surgery has been shown to reduce the probability of dislocation and symptoms of instability. Young patients typically have greater problems with recurrent dislocation and thus stronger indications for surgery after a first dislocation. Surgery is invasive, has adverse effects and is high cost. However, as repeat dislocations are common and instability can be significantly impairing, surgery is recommended as a treatment option.

A meta-analysis comparing transglenoid sutures with bioabsorbable tacks found a higher rate of recurrent dislocation (12.6 versus 3.4%); however, it largely relied on case series (Freedman et al., 2004). An experimental cadaveric study evaluated capsular plication versus anchor repair (Provencher et al., 2008). There is insufficient evidence to recommend for or against specific intraoperative techniques.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 5 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SURGERY FOR MULTIDIRECTIONAL INSTABILITY

## Recommended

Inferior capsular shift procedure, capsular plication, or superior shift of redundant inferior capsule is recommended for multidirectional and posterior instability. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Recurrent, multidirectional shoulder instability or dislocation

## Benefits

Reduced probability of dislocation and lessened symptoms of instability. Also provides an opportunity to treat other accompanying problems such as rotator cuff tear or labral tear.

## Harms

Surgical complications, inadequate repair such that there are ongoing dislocations, infection, adhesive capsulitis

## Rationale

There are no quality studies evaluating treatment of multidirectional and posterior instability and no randomized comparative trials of available operative approaches. Surgical results in case series have suggested some benefits (Neer et al., 1980, Tibone et al., 1990, Duncan et al., 1993, Pollock et al., 1993, Tibone et al., 1993, McIntyre et al., 1996, Wolf et al., 1998, Hamada et al., 1999, Treacy et al., 1999, Antoniou et al., 2000). A systematic review including non-randomized studies suggested that capsular plication and capsular shift are associated with better results (Longo et al., 2013). Currently, arthroscopic capsular placation is replacing open capsular shifts.

Surgery is invasive, has adverse effects, and is high cost. However, for some patients there is no other reasonable alternative for treatment; thus, surgery is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## ARTHROSCOPIC LAVAGE FOR SHOULDER DISLOCATIONS

#### No Recommendation

There is no recommendation for or against the isolated use of arthroscopic lavage for shoulder dislocations. Lavage may be a normal part of a treatment procedure, e.g., included with arthroscopy. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are three moderate-quality trials with four reports all suggesting arthroscopic lavage reduces risk of subsequent dislocation (Wintzell et al., 1999, Wintzell et al., 1999, Wintzell et al., 2000, Wintzell et al., 1996). However, there are no quality trials available evaluating a less-invasive procedure. Arthroscopic lavage as an isolated procedure is invasive, has adverse effects, is costly, is less invasive than surgical repair, but does not achieve repair of damaged tissue and there is no recommendation for or against arthroscopic lavage alone.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 22 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.6.8. REHABILITATION PROGRAMS**

## ACCELERATED REHABILITATION FOR PATIENTS AFTER ARTHROSCOPIC BANKART REPAIRS

#### Recommended

Accelerated rehabilitation (compared with standard rehabilitation) is recommended for select patients after arthroscopic Bankart repairs.

Strength of evidence Recommended, Evidence (C)

Level of confidence Moderate

#### Indications

Arthroscopic Bankart repairs for traumatic anterior instability in select, particularly younger patients

#### Benefits

Earlier recovery

## Harms

Negligible

## Frequency/Dose/Duration

Two to 3 appointments a week for 3 weeks, then twice a week for 2 weeks and once weekly to every other week for 6 to 9 additional weeks (Kim et al., 2003). Exact regimen requires individualization; however, the accelerated rehabilitation regimen has been successful and is generally recommended. Additional appointments should be based on documentation of ongoing, incremental functional gains.

## Indications for Discontinuation

Recovery, reaching a plateau, noncompliance, intolerance

## Rationale

There is one moderate-quality study comparing traditional with accelerated rehabilitation of patients (mean age 29) years, having undergone arthroscopic Bankart repairs for traumatic recurrent anterior instability (Kim et al., 2003). The trial documented multiple advantages of accelerated rehabilitation including greater satisfaction, lower pain scores, and faster recovery. The dislocation rate was not increased by early rehabilitation during the study period (range 27 to 45 months). Caution should be used as excessive early range of motion in first 6 weeks will over stretch repair. Accelerated rehabilitation; however, similar cautions exist (Wintzell et al., 1999, Wintzell et al., 1999, Wintzell et al., 2000, Wintzell et al., 1996). Early rehabilitation is not invasive, appears to result in lower risks of adverse effects, is likely less costly, and thus is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **REHABILITATION FOR POST-OPERATIVE SHOULDER INSTABILITY PATIENTS**

## Recommended

Rehabilitation is recommended for patients undergoing surgery for shoulder instability who do not undergo an accelerated rehabilitation program. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Patients undergoing surgery for shoulder instability or dislocation not addressed above.

#### Benefits

Recovery, regaining normal function

Harms

Negligible

#### Frequency/Dose/Duration

Two to 3 appointments a week for 3 weeks, then 2 a week for 2 weeks, and once a week to every other week for 6 additional weeks. Additional sets of appointments would need to be based on documentation of ongoing, incremental functional gains.

## **Indications for Discontinuation**

Recovery, plateau in recovery, noncompliance, intolerance

#### Rationale

There are many different post-operative rehabilitation regimens reported in quality surgery trials and elsewhere to treat patients with shoulder instability (Kirkley et al., 1999, McDermott et al., 1999, Sperber et al., 2001, Bottoni et al., 2002, Fabbriciani et al., 2004, Kirkley et al., 2005, Jakobsen, 2007, Monteiro et al., 2008). However, there are scant quality studies reported that evaluate these different regimens to help define superior treatment programs. A phone assistance program to help encourage compliance was also reported as successful (Martinez-Rico et al., 2018). Individualization of programs based on various factors, including age, conditioning, and immediate post-surgical results, is needed (O'Brien et al., 2002, O'Brien et al., 1987). Regardless of these weaknesses, postoperative rehabilitation is recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 4 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **11.6.9. FOLLOW-UP VISITS**

Generally, patients with instability require few follow-up appointments unless undergoing active treatment(s). Patients with dislocation generally require periodic appointments to follow the clinical course. Appointment frequency may be greater if workplace limitations are required and job demands are greater. Post-operative rehabilitation can be lengthy, particularly in older patients with associated injuries such as those of the rotator cuff. In those cases, therapy may be required on a prolonged basis for the patient to recover as much function as possible.

# **12. LABRAL TEARS, INCLUDING SUPERIOR LABRAL ANTERIOR POSTERIOR** (SLAP) TEARS

# **12.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing labral tears from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# **12.2. OVERVIEW**

Labral tear management is complex. Appropriate management begins with the anatomy, pathophysiology, epidemiology, and clinical correlation of pathology with symptoms and shoulder dysfunction. Labral tears are more prevalent with advancing age; thus, in patients older than 40 years, they commonly represent a natural degenerative process in the shoulder not unlike meniscal pathology in the knee. Most SLAP tears in patients over age 40 years do not require repair (2100) (2101) (2102) (2103) (2104) (2105) (708) (2106) (1123) (2107) (2108) (2109) (2110).

Superior labral anterior posterior (SLAP) and other labral tears have been clinically recognized (1359) (2111) (2112) (2105) (2113). Labral tears can be considered in conjunction with dislocation and instability for anatomic reasons. In certain cases, SLAP tears may occur with acute traumatic dislocations (2114), but are most commonly associated with other trauma and disorders such as rotator cuff tendinopathies and acromioclavicular disorders (2100) (2101) (2102) (2104) (2105) (708) (2106) (2107) (1123) (2108) (2110).

Superior labral tears are either the result of acute traumatic injury or chronic degenerative pathology. The most common mechanism of acute injury reported is a compressive force on shoulder or a subluxation injury, such as from a fall on an outstretched arm (2112) (2102) (2115) (2105) (2107) (2116) (1123) (2117) or overhead athletic or comparable traction injuries (890). Nevertheless, overhead athletes (e.g., baseball, tennis, handball, badminton, softball, swimming, volleyball, and squash) with SLAP tears seem to not do as well after arthroscopic surgery compared to nonthrowing athletes (2118). SLAP tears in the younger, athletic throwers and overhead athletes are dissimilar from the general population and appear to require different considerations. Extrapolation of management of throwing athletes to the general population is inappropriate and has likely led to over-treatment of SLAP tears.

Labral tears occurring in older population are most commonly associated with other largely degenerative conditions and thus might have relationships to underlying degenerative conditions and not require repair (2100) (2101) (2102) (2103) (2104) (2105) (708) (2106) (2107) (1123) (2108) (2110). For the purposes of this guideline, these tears are considered distinct from the acute traumatic labral tears that can occur with dislocations. Initial patient management is generally non-operative (2109) (2119) Surgery has been utilized for patients who fail non-operative treatment and may be considered in active, younger patients (2109).

The presence of a labral tear does not in, and of itself necessitate surgery. Labral tears are often identified at surgery concurrently with other pathology such as rotator cuff tears, acromial spurring, and glenohumeral arthritis. In many of these cases, especially with advancing age, the labral tear may be irrelevant to the patient's condition and not require specific treatment. For example, if a patient's clinical evaluation is consistent with rotator cuff tear, an incidental labral tear does not need surgical repair (except perhaps in younger patients) and if it is surgically treated, there is a greater chance that the patient will have post-operative stiffness. Though there are no RCTs comparing repair of rotator cuff tears with versus without surgical repair or debridement of labral tears, literature suggests there are no advantages to repairing Type II lesions associated with rotator cuff tears in patients over age 50 (2120).

Indications for surgery for SLAP tears are not standardized and remain somewhat controversial. Expert opinion, including that of the American Academy of Orthopedic Surgeons, recommends initial conservative care management for SLAP tears. In general, conservative care management should generally last a minimum of 6 weeks. Early surgery should only be considered in cases where there is evidence of suprascapular nerve compression. The evidence for or against repairing SLAP tears over age 40 is mixed with no high- or moderate-quality studies (2121). Evidence suggests no improvement with SLAP repair at the time of rotator cuff repair and trends towards worse stiffness with simultaneous surgical repairs (2122). For many years, rotator cuff tears were repaired without ever seeing the inside of the glenohumeral joint (labral pathology was not surgically repaired), and the patients had apparently equivalent outcomes.

# **12.3. DIAGNOSTIC CRITERIA**

There are no consensus diagnostic criteria for labral tears; the diagnosis has been described as difficult and nonspecific (2116) (2109) (2123) (887) (2124) (2125). Symptoms generally include non-radiating shoulder joint pain, increased pain with overhead activity, and painful catching or popping sensations

(2112) (2126) (895). Typical physical examination maneuvers are thought to be mostly nonspecific (2115) (2127) (2107) (2109). Other maneuvers have been developed (56) (44) (951) (41). One study suggested 100% sensitivity and 99.3% specificity of the Porcellini test for detection of posterior labral tears (2128). A comparative study found the most sensitive maneuvers to be active compression (65.2%), Hawkin's (65.2%) and Speed's (47.8%). These relatively low sensitivity values suggest that these tests will perform poorly except in high pre-test probability circumstances. This suggests clinical suspicion and confirmatory imaging or arthroscopy appear to be the best diagnostic methods (2109). The most commonly used classification system is based on the initial large case series by (2105), although additions have been made by several authors (2115) (1123) (895); however, it has been suggested to be unduly complex. The primary issues are proposed to be instability of the biceps tendon anchor or glenohumeral ligaments which then dictate operative approaches of repair of capsuloligamentous structures back to the bony glenoid rim or biceps tenodesis (2109) (see Table 7).

Table 7. Classification of Superior Labrun	n Anterior and Posterior (SLAP) Lesions
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Туре	Description	
Туре І	Superior labrum marked fraying with degenerative appearance. Peripheral labral edge firmly attached to glenoid and biceps tendon intact.	
Type II	Fraying and degenerative changes. Superior labrum and biceps tendon stripped off glenoid. Labral-biceps anchor unstable.	
Type III	Bucket-handle tear in superior labrum. Central portion of tear displaceable into joint. Peripheral portion firmly attached to glenoid and biceps tendon also intact.	
Type IV	Bucket-handle tear of superior labrum as in Type III, but tear extends into biceps tendon.	
Type V	Anteroinferior Bankart lesion continuing superiorly to include separation of biceps tendon	
Type VI	Includes biceps separation with unstable labral flap tear	
Type VII	Superior labrum-biceps tendon separation extending anteriorly beneath middle	
	glenohumeral ligament.	
Type VIII	SLAP extension along posterior glenoid labrum as far as 6 o'clock	
Type IX	Pan-labral SLAP tear around glenoid circumference	
Туре Х	Superior labral tear associated with posterior-inferior labral tear (reverse Bankart lesion)	
Adapted from (2112) (211E) (20E)		

Adapted from (2112) (2115) (895)

## **12.4. WORK LIMITATIONS**

Patients with acute significant labral tears may be able to return to occupational activities. However, limitations are generally required to avoid symptomatic aggravation typically among those performing more physically demanding work. Limitations may include no overhead use, no lifting more than 15 pounds, no repeated forceful use, and avoidance of other activities that significantly increase symptoms. Limitations are gradually reduced as recovery progresses. If surgery is performed, there is a similar need for workplace limitations that are gradually reduced.

# **12.5. DIAGNOSTIC RECOMMENDATIONS**

# 12.5.1. X-RAYS

X-rays show bony structures and are the initial tests for the evaluation of most cases of shoulder pain (126) (127).

## X-RAYS FOR LABRAL TEARS

## Recommended

X-rays are recommended for evaluation of acute, subacute, or chronic shoulder pain thought to be related to labral tear(s).

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

#### Indications

Most patients with shoulder pain are candidates for x-rays, especially for significant trauma, pain without trending towards improvement, impaired use, and those with red flags. Reportedly, x-ray has been helpful for diagnosing os acromiale in shoulder pain patients who were otherwise thought to not have the condition (Burbank et al., 2008).

#### Benefits

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

#### Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

## Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. For patients with chronic shoulder pain, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

X-rays are helpful to evaluate most patients with shoulder pain, both to diagnose and to assist with the differential diagnostic possibilities such as tendinoses and arthroses which may accompany labral tears. X-rays are particularly helpful for diagnosis of calcific tendinitis, which results in different treatment options. They may also help to suggest soft tissue pathology, including large chronic rotator cuff tears.

Because x-ray has been performed for more than 120 years as a diagnostic procedure, it is unsurprising that there is little quality evidence to support its use. The threshold for also ordering x-rays of the cervical spine and/or elbow joint should be low, particularly if the findings on shoulder x-ray are either normal or do not readily explain the degree of abnormality. Patients with shoulder pain might show greater tuberosity osteopenia, cystic degenerative changes, and spurring, thought to be a marker of chronicity of rotator cuff tears. Glenohumeral arthrosis is also more likely if there is a full-thickness

rotator cuff tear (Gartsman et al., 1997). Plain radiographic findings are used to stage disease involvement in osteonecrosis or humeral avascular necrosis. Early x-rays are usually normal or have less distinct trabecular patterns since the living part of the bone does not image (Ficat, 1985, Harreld et al., 2009). As the disease progresses, x-rays begin to show osteoporotic areas, progressing to sclerotic areas and finally flattening and bony collapse (Ficat, 1985, Harreld et al., 2009).

X-rays are non-invasive, low to moderately costly, have little risk of adverse effects, and therefore are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.5.2. SHOULDER ARTHROSCOPY**

Arthroscopy has been used for diagnosis and as part of a therapeutic surgical treatment (143) (58) (144) (145) (142) (141).

See Surgical Considerations for more information.

# **12.5.3. COMPUTED TOMOGRAPHY**

Computerized tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most patients have issues with soft tissue rather than bony abnormalities in the shoulder, thus on a population basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear.

## **COMPUTED TOMOGRAPHY FOR LABRAL TEARS**

## Not Recommended

Computed tomography (CT) is not recommended for the evaluation of labral tears. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

## Rationale

CT without arthrography is not indicated for evaluating labral tears, as arthrography is needed and MRI is superior.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **CT ARTHROGRAPHY FOR LABRAL TEARS**

## Sometimes Recommended

Computed tomography (CT) arthrography is recommended for the select evaluation of labral tears. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Imaging for labral tears in which there are contraindications for MR Arthrography (e.g., ferrous implant). CT may be preferable if there also are concerns about complex proximal humeral and glenoid/scapular fractures or other calcified structures. For most shoulder conditions, MRI and MRA are superior for imaging soft tissues.

#### Benefits

Diagnosis and understanding of the degree of labral tearsn, as well as osseous abnormalities such as complex fractures

#### Harms

Radiation exposure, pain of the procedure, infection

## Frequency/Dose/Duration

Obtaining a CT arthrogram once is generally sufficient. For patients with chronic shoulder pain, it may be reasonable to obtain a second CT later if symptoms substantially change.

## Rationale

MR arthrography is considered superior to CT arthrography for imaging most labral tears, as well as advanced imaging of soft tissues. However, where imaging calcified structures is required, CT is considered superior. This includes complex proximal humeral and glenoid/scapular fractures. CT arthrography is minimally invasive, has few, if any, adverse effects but is costly. It is recommended for select use.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **12.5.4. MAGNETIC RESONANCE IMAGING**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (596) (598) (599) (594) (593) (600) (184) (854) (601) (597) (57) (595) (591).

## MAGNETIC RESONANCE IMAGING FOR LABRAL TEARS

#### Recommended

MRI without arthrography is selectively recommended for evaluation of some labral tears. There are select indications for evaluating bony Bankart lesions or Hill-Sachs lesions. MRI with angiography is preferrable for the evaluation of other potential labral tears. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

Indications

Patients with subacute or chronic shoulder pain with symptoms, or clinical suspicion, of labral tears. MRI may be reasonably successful in identifying tears compared with MRI plus angiography when there is joint swelling, thus especially when there is accompanying acute traumatic injury. Patients should generally have failed non-operative treatment including NSAID and waiting 4 to 6 weeks without trending towards resolution. Among patients lacking acute trauma (e.g., onset of mechanical joint symptoms without an accompanying sports-like event such as acute dislocation or fall producing glenohumeral joint bleeding), MRI with angiography is generally preferable.

## Benefits

Secure a diagnosis.

## Harms

False positives and false negatives for labral tears.

## Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination. If a second study is felt to be needed, there is generally a need for accompanying arthrography.

## Rationale

A high-quality study of indirect MRA found 95-97% sensitivity for labral tears compared with 79-83% for MRI, while accuracy with MRA was 93-95% compared with 84-86% for MRI (Fallahi, 2013). A study comparing MRI, MRA and US found MRA superior for evaluating labral, capsular ligamentous complex lesions while MRI was more accurate for bony Bankart lesions or Hill-Sachs lesions (Pavic et al., 2013). A comparative study found 95-97% sensitivity of indirect MRA, which does not require articular injection, compared with 79-83% sensitivity for MRI (Fallahi, 2013). One study found that adding MRA to MRI added little if the MRI already demonstrated findings (Magee, 2015).

MRI without arthrography is selectively recommended not indicated for evaluating labral tears, especially among patients with joint swelling and/or when there is accompanying acute traumatic injury (e.g., among patients lacking acute trauma such as onset of mechanical joint symptoms without an accompanying sports-like event such as acute dislocation or fall producing glenohumeral joint bleeding). MRI with angiography is generally preferable among patients having mechanical joint symptoms but without joint swelling as MR arthrography has been consistently shown to be superior in multiple quality studies. MRI may also be helpful for select use of evaluating bony Bankart lesions or Hill-Sachs lesions.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Of the 21 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **12.5.5. MAGNETIC RESONANCE ARTHROGRAM**

Magnetic resonance arthrography (MRA) combines an MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is needed as well (173) (174). MR arthrography is particularly thought to be effective for imaging labral pathology (180) (179) (177) (175) (43) (181) (176) (178). MRA is thought to be effective for imaging superior labral anterior posterior (SLAP) or other labral tears (885) (886) (180) (179) (177) (175) (43) (887) (176) (178). MR arthrography combines MRI with an arthrogram to identify both findings available with MRI, as well as the better capability to define labral tears among patients with symptoms of labral injuries in the shoulder (181).

## **MR ARTHROGRAM FOR LABRAL TEARS**

#### Recommended

MR arthrography is recommended for diagnosing labral tears in patients with subacute or chronic shoulder pain. **Strength of evidence** Moderately Recommended, Evidence (B)

Level of confidence Moderate

#### Indications

Patients with subacute or chronic shoulder pain with symptoms (or clinical suspicion) of labral tears. Patients should generally have failed non-operative treatment including NSAID and waiting 4 to 6 weeks without trending towards resolution.

#### Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives for labral tears Arthrography improves the accuracy especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

#### Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination.

#### Rationale

A high-quality study of indirect MRA found 95-97% sensitivity for labral tears compared with 79-83% for MRI (Fallahi, 2013). A moderate-quality study found MRA had 93% sensitivity, 96% specificity, and 94% accuracy compared with arthroscopy (Saba et al., 2017). A study comparing MRI, MRA, and US found MRA to be superior for evaluating labral, capsular ligamentous complex lesions, while MRI was more accurate for bony Bankart lesions or Hill-Sachs lesions (Pavic et al., 2013). Another study found that MRA was 60% sensitive for anterior labral tears, 75% for SLAP tears, 57% for posterior tears, 87% for any labral tear, and 91% for Hill-Sachs lesions compared with arthroscopy (Saqib et al., 2017). A comparative study found 95-97% sensitivity of indirect MRA, which does not require articular injection, compared with 79-83% sensitivity for MRI (Fallahi, 2013).

One comparative study found a combination of MRA and unenhanced MRI as superior to MRA alone (Magee, 2015), and that adding MRA to MRI added little if the MRI already demonstrated findings (Magee, 2016). A comparison of high- versus low-field MR imaging for SLAP tears among symptomatic patients found high field superior for diagnosing SLAP tears (Tung et al., 2000). The sensitivity of high field MRA was 90% and specificity 63%, while sensitivity for low field was 64% and 70% specificity. MRA was found superior to CT arthrography (CTA) and marginally better than MRI for identification of labral tears in a case series of patients with recurrent anterior instability, prior anterior dislocation or shoulder pain of unknown cause (Chandnani et al., 1993). MRA sensitivity for a labral tear was 96.4%, MRI was 92.9%, and CTA was 73.1%. Specificity was 100% for all three tests; however, this appears overstated as there were only two patients without a tear in this small case series.

MR arthrography is invasive, has adverse effects (including a low, but definite, risk of infection), and is painful. It is also costly, although MRA has been shown to provide better cost effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). Consistent studies have shown MRA is the best imaging procedure available for patients thought to have labral tears or patients with good strength in order to assess the labrum and rotator cuff with traumatic injury simultaneously, and is thus recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Of the 21 articles considered for inclusion, 6 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 12.5.6. ULTRASOUND

Diagnostic ultrasound has been used for evaluating labral tears.

## **ULTRASOUND FOR LABRAL TEARS**

#### Not Recommended

Ultrasound is not recommended for evaluation of labral tears. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

#### Rationale

A study comparing MRI, MRA, and ultrasound (US) found MRA superior for evaluating labral, capsular ligamentous complex lesions, while MRI was more accurate for bony Bankart lesions or Hill-Sachs lesions (Pavic et al., 2013). A study assessing US for evaluation of posterior labrocapsular structures suggested US may have limited indications when there are contraindications for MRA (Ogul et al., 2020). A trial evaluating US after injection of gadolinium for an MRA reported the injection helped detection of labral tears (Jeong, 2012). Ultrasound has been compared with MR arthrography and is inferior in quality studies. Thus, ultrasound is not recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Of the 21 articles considered for inclusion, 3 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.5.7. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY FOR LABRAL TEARS

## Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with suspected labral tears.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain with suspected labral tears, and they are not believed to provide significant additional information above more standard imaging techniques. Thus, they are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.5.8. POSITRON EMISSION TOMOGRAPHY (PET)**

## **POSITRON EMISSION TOMOGRAPHY FOR LABRAL TEARS**

#### Not Recommended

Positron emission tomography (PET) is not recommended for the evaluation of patients with suspected labral tears.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain with suspected labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If

relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.5.9. DIAGNOSTIC INJECTIONS**

Diagnostic injections particularly of the subacromial space, glenohumeral joint and acromioclavicular joint are sometimes performed. However, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes (see Injection Therapeis).

# **12.6. TREATMENT RECOMMENDATIONS**

# 12.6.1. INITIAL CARE

Initial care of a labral tear involves identification of other accompanying disorders, such as rotator cuff tendinopathies, tears, and acromioclavicular joint issues, and treated accordingly (888). Over-the-counter (OTC) analgesics and self-applications of heat and ice have been used to treat labral tears. Slings are generally not required, although they might be reasonable for brief treatment of severe symptomatic tears, with use gradually weaned.

## SELF-APPLICATION OF HEAT OR ICE FOR TREATMENT OF LABRAL TEARS

#### Recommended

Self-application of heat or ice is recommended for treatment of superior labral anterior posterior (SLAP) or other labral tears.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Indications

Shoulder injuries with suspected labral tears (or other trauma or injuries).

## Benefits

Self-management of the pain

Harms

Negligible

## Frequency/Dose/Duration

Ice and heat are typically used 3-5 times a day

## **Indications for Discontinuation**

Resolution of pain, lack of efficacy, intolerance, complication

## Rationale

There are no quality trials evaluating analgesics, ice or heat for managing labral tears. Self-applications of heat and ice may be helpful for self-management of symptoms, are not invasive, have low adverse effects, not costly, and are believed to be helpful for treating symptoms; thus, they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **SLINGS FOR TREATMENT OF LABRAL TEARS**

#### Not Recommended

Slings are not recommended for treatment of labral tears other than in the setting of significant trauma.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

#### Rationale

There are no quality trials evaluating slings for management of acute SLAP and other labral tears. Slings are generally not needed for labral tears, and have risks of debility and adhesive capsulitis. They may be reasonable for significant trauma or severe symptomatic tears, but are not normally indicated for isolated labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.6.2. MEDICATIONS**

Over-the-counter medications may be helpful for pain associated with labral tears. Generally, the only medications commonly used for labral tears are NSAIDs (889) (890) (891) (892). Prescription medications such as opioids (see Medications for Rotator Cuff Tendinopathy) for pain management require judicious use and should only be considered in select, severe cases. Patients may also require medications post-operatively.

There is no quality literature specific to shoulder labral tears for nearly all of the following interventions. In the absence of quality evidence, it is recommended that labral tears be managed according to the recommendations for Rotator Cuff Tendinopathy and Shoulder Pain:

- NSAIDs for Treatment of Rotator Cuff Tendinopathies and Shoulder Pain [Strongly Recommended, Evidence (A)]
- Acetaminophen for Acute, Subacute, Chronic, or Post-operative Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Norepinephrine Reuptake Inhibiting Anti-depressants for Subacute or Chronic Shoulder Girdle Pain [*Recommended, Insufficient Evidence (I*)]
- Selective Serotonin Reuptake Inhibitors for Acute, Subacute, or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Anti-convulsants for Acute, Subacute or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Capsicum Creams for Acute, Subacute, Chronic Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical NSAIDs, including Diclofenac Epolamine for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical Glyceryl Trinitrate, Lidocaine Patches, Eutectic Mixture of Local Anesthetics (EMLA), and Other Creams/Ointments for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]

See also the ACOEM Opioids guideline for the treatment of subacute and chronic pain.

# **12.6.3. ALLIED HEALTH INTERVENTIONS**

Self-applications of heat or cryotherapies may be helpful for symptom modulation and are recommended to treat labral tears. Therapy including education and exercise is also recommended. Acupuncture and other physical methods such as massage, diathermy, and magnets have been used to treat shoulder pain that includes labral tears.

## ACUPUNCTURE FOR CHRONIC PAIN FROM LABRAL TEARS

## Sometimes Recommended

Acupuncture is selectively recommended to control chronic pain associated with labral tears. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Indications

Highly selected patients with chronic shoulder pain of 3+ months who have inadequate relief and incapacity after multiple interventions including NSAIDs, a quality active exercise program with which there has been compliance, and potentially surgical repair. Caution that use may augment reliance on passive modalities instead of active, self-care treatment strategies.

## Benefits

Modest reduction in pain.

#### Harms

Rare needling of deep tissue, such as artery, lung, etc. and resultant complications. Use of acupuncture may theoretically increase reliance on passive modality(ies) for chronic pain.

## Frequency/Dose/Duration

Frequency and duration pattern in the quality trial was weekly for 8 weeks for other shoulder disorders. An initial trial of 4 appointments would appear reasonable in combination with a conditioning program of aerobic and strengthening exercises. An additional 4 appointments should be tied to improvements in objective measures after the first 4 treatments, for a total of 8 (de Hoyos et al., 2004). If acupuncture is trialed in a patient, objective functional improvement should be demonstrated after 4 visits.

#### Indications for Discontinuation

Resolution, intolerance, non-compliance including non-compliance with aerobic and strengthening exercises, no functional gains demonstrated.

#### Rationale

The overall body of evidence for the use of acupuncture is relatively weak, and quite limited for labral tears. The more general shoulder literature suggests some potential efficacy (see Rotator Cuff Tendinopathy); thus, by inference, acupuncture is also recommended for chronic shoulder pain related to labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **DIATHERMY FOR TREATMENT OF LABRAL TEARS**

#### No Recommendation

There is no recommendation for or against the use of diathermy for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of diathermy for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **INFRARED THERAPY FOR TREATMENT OF LABRAL TEARS**

## **No Recommendation**

There is no recommendation for or against the use of infrared therapy for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of infrared therapy for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized,

randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **ULTRASOUND FOR TREATMENT OF LABRAL TEARS**

## **No Recommendation**

There is no recommendation for or against the use of ultrasound for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of ultrasound for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## LASER THERAPY FOR TREATMENT OF LABRAL TEARS

## No Recommendation

There is no recommendation for or against the use of laser therapy for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of laser therapy for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## MANUAL THERAPY OR MOBILIZATION FOR TREATMENT OF LABRAL TEARS

#### **No Recommendation**

There is no recommendation for or against the use of manual therapy or mobilization for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of manual therapy or mobilization for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## **MANIPULATION FOR TREATMENT OF LABRAL TEARS**

#### No Recommendation

There is no recommendation for or against the use of manipulation for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of manipulation for treatment of shoulder labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MASSAGE FOR TREATMENT OF LABRAL TEARS

#### No Recommendation

There is no recommendation for or against the use of massage for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of massage for treatment of shoulder labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## HIGH-VOLTAGE GALVANIC THERAPY FOR TREATMENT OF LABRAL TEARS

## No Recommendation

There is no recommendation for or against the use of high-voltage galvanic therapy for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of high-voltage galvanic therapy for treatment of shoulder labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## **H-WAVE® DEVICE STIMULATION FOR TREATMENT OF LABRAL TEARS**

#### **No Recommendation**

There is no recommendation for or against the use of H-wave<sup>®</sup> Device Stimulation for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of H-wave<sup>®</sup> Device Stimulation for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## **IONTOPHORESIS FOR TREATMENT OF LABRAL TEARS**

#### **No Recommendation**

There is no recommendation for or against the use of iontophoresis for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of iontophoresis for treatment of shoulder labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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#### **MICROCURRENT STIMULATION FOR TREATMENT OF LABRAL TEARS**

#### **No Recommendation**

There is no recommendation for or against the use of microcurrent stimulation for the treatment of superior labral anterior posterior (SLAP) or other labral tears.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of microcurrent stimulation for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## SYMPATHETIC ELECTROTHERAPY FOR TREATMENT OF LABRAL TEARS

## No Recommendation

There is no recommendation for or against the use of sympathetic electrotherapy for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence and thus there is no recommendation for the use of sympathetic electrotherapy for treatment of shoulder labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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# PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR TREATMENT OF LABRAL TEARS

#### No Recommendation

There is no recommendation for or against the use of percutaneous electrical nerve stimulation (PENS) for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of percutaneous electrical nerve stimulation (PENS) for treatment of shoulder labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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# TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION (TENS) FOR TREATMENT OF LABRAL TEARS

#### **No Recommendation**

There is no recommendation for or against the use of transcutaneous electrical nerve stimulation (TENS) for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of TENS for treatment of shoulder labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## **TAPING FOR TREATMENT OF LABRAL TEARS**

#### **Not Recommended**

Taping, magnets, pulsed electromagnetic frequency, and interferential therapy are not recommended for the treatment of superior labral anterior posterior (SLAP) or other labral tears. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders, and thus taping is not recommended for treatment of labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

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## **MAGNETS FOR TREATMENT OF LABRAL TEARS**

#### Not Recommended

Magnets are not recommended for the treatment of superior labral anterior posterior (SLAP) or other labral tears.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders, and thus magnets are not recommended for treatment of labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## PULSED ELECTROMAGNETIC FREQUENCY FOR TREATMENT OF LABRAL TEARS

## **Not Recommended**

Pulsed electromagnetic frequency are not recommended for the treatment of superior labral anterior posterior (SLAP) or other labral tears.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders, and thus pulsed electromagnetic frequency is not recommended for treatment of labral tears.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **INTERFERENTIAL THERAPY FOR TREATMENT OF LABRAL TEARS**

#### Not Recommended

Interferential therapy is not recommended for the treatment of superior labral anterior posterior (SLAP) or other labral tears.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders, and thus interferential therapy is not recommended for treatment of labral tears.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>+</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.6.4. INJECTION THERAPIES**

Injections are generally not indicated for labral and SLAP tears. However, they are sometimes utilized to treat patients who have other conditions, such as rotator cuff tendinopathies, or as an injection for combined diagnostic and therapeutic purposes. An injection may also be selectively indicated for patients who have delayed recovery for unclear reasons (see Rotator Cuff Tendinopathy Injections).

## **INJECTIONS FOR TREATMENT OF LABRAL TEARS**

#### **Sometimes Recommended**

Injections are selectively recommended for the combined diagnosis and treatment of labral tears. Subacromial glucocorticosteroid injections may also be selectively indicated for patients who have delayed recovery for unclear reasons (see Rotator Cuff Tendinopathy Injections). However, injections are generally not indicated for labral and SLAP tears.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

See Rotator Cuff Tendinopathies for more information.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.6.5. SURGICAL CONSIDERATIONS**

Nonoperative treatment has been widely used for labral tears. Surgical repair will not improve the clinical outcome if the labral tear is not the cause of the problem. When the tear is the cause of the problem, then repair is usually the treatment of choice if the patient does not improve with non-operative management. The rate of success is unclear as there are no large population-based studies available, although some believe that patients who engage in throwing motions have a worse prognosis (891). A considerable proportion of these cases do not resolve with non-operative treatment. Arthroscopic (2129) (894) (2130) (2131) (2132) (2133) (2134) (2113) (896) (2135) (2136) (892) (898) and some open techniques (2137) or combined approaches (2113) have been utilized for treatment. Some include addressing other abnormalities such as ganglion cysts along with the surgical approach (2130). Surgical approaches involving debridement alone or removal of the SLAP/labral lesion have been mostly abandoned due to low success rates and high rates of subsequent impairment and disability (2100) (2101). Subsequent attempts at repair of the tears (SLAP/labral lesion) have reported better results in case series than non repair approaches (2138) (2139) (2106) (2140) (36) (890) (2131). The risk for poor outcomes after surgery and rehabilitation has been estimated at 32% (2141) and are thought to be worse in workers' compensation patients (2142).

The following text is provided as introductory review of surgical options, with the determination of the procedure and approach depended on surgeon preferences for the patient's presentation. The type of tear is believed to guide the most appropriate surgical treatment (2143) (2125)(2109), although there is not complete agreement on the approaches. For Snyder type I SLAP tears, debridement is most recommended (889) (2109) (887) (896), although some have recommended no debridement as the fraying is believed to be normal (2126). There are several different Snyder type II SLAP lesions that, along with other types of unstable tears, have been recommended for repair with sutures or tacks (2112) (2138) (2144) (2139) (2102) (2104) (2145) (2105) (1123) (889) (2109) (887) (2133) (896) (439). Biceps tenodesis has also been reportedly successful for treatment of some but not all Snyder Type II lesions, particularly in patients over 40 years old in whom repairing SLAP tears is associated with increased post-operative stiffness (2144) (2101) (2146).

Snyder Type III lesions have been recommended for treatment with debridement involving the bucket handle tear and attempted repair with larger labral tears (2109) (887) (896). Snyder Type IV lesions

have been recommended for biceps debridement if there is less than 40% involvement and either repaired or tenodesed if greater than 30 to 50% involved (2116) (2129) (887) (2147). Specific labral pathologies are associated with shoulder injury and dysfunction. Some of these patients will need surgery to treat instability which will involve labral repair. Labral debridement in these cases does not treat the instability. Some chronic degenerative SLAP tears that can be correlated with the patient's symptoms may require repair for management.

# **ARTHROSCOPIC AND/OR OPEN SURGERY FOR LABRAL TEARS**

#### Sometimes Recommended

Arthroscopic or open surgery is selectively recommended for select treatment of labral or superior labral anterior posterior (SLAP) tears, especially Type III and Type IV. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Symptoms with MRA or MRI findings and clinical suspicion of labral or SLAP tear that do not symptomatically resolve after a minimum of approximately 6 weeks of non-operative treatment. MRA findings should generally be larger tears (e.g., Snyder Type III or IV). Most individuals over age 40 do not appear to require surgical repair, although a minority who fail to either resolve or trend towards resolution may need operative repair (Altchek et al., 1992) (Cordasco et al., 1993) (Resch et al., 1993) (Payne, 1994) (Warner et al., 1994) (Snyder et al., 1995) (Berg et al., 1998) (Segmuller et al., 1997) (Handelberg et al., 1998) (Morgan et al., 1998) (Pearce et al., 2000) (Parentis et al., 2002) (Kim et al., 2003).

# Benefits

Improvement in symptoms, especially with large tears

#### Harms

Stiffness, reduced function, operative complications, adhesive capsulitis

# Rationale

There is one high-quality RCT showing that surgical repair of type II tears is not superior to sham surgery (Schrøder et al., 2017), and there are no sick leave or return-to-work benefits (Brox et al., 2020). There are no quality studies of larger, type III and IV tears. There are no quality trials comparing non-operative with operative management of labral and SLAP tears. The current low-quality evidence suggests results with surgical repair are superior to non-operative management for larger tears. There is one quality trial among patients with SLAP and rotator cuff tears that reported biceps tenotomy plus rotator cuff tear was superior to repair of the SLAP (Franceschi et al., 2008); however, this trial is unable to address the central issue of appropriateness of surgery and surgical indications. Thus, while surgery is invasive, has adverse effects, and is high cost, surgical repair is selectively recommended for patients whose larger labral tears are likely the cause of the clinical picture and do not resolve or trend towards resolution over a minimum of 6-12 weeks.

The evidence for or against repairing larger SLAP tears over age 40 is mixed with no high- or moderatequality studies (Provencher et al., 2019). Evidence suggests no improvement with SLAP repair at the time of rotator cuff repair and trends towards worse stiffness with simultaneous surgical repairs (Alpert et al., 2010). For many years, rotator cuff tears were repaired without ever seeing the inside of the glenohumeral joint (labral pathology was not surgically repaired). The patients had equivalent outcomes to current reports.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Of the 21 articles considered for inclusion, 7 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **12.6.6. POSTOPERATIVE REHABILITATION**

Many different rehabilitation protocols have been reported that address rehabilitation for labral and SLAP tears (893) (894) (895) (36) (896) (891) (897). There is no single protocol that is deemed most appropriate for all labral and SLAP tears. Individualization of programs based on various factors, including age, conditioning, and immediate post-surgical results is needed.

One protocol involved immobilizer use for 3 weeks with passive forward elevation and full elbow ROM. During weeks 4 to 6, ROM is increased up to 90 degrees of abduction and flexion. After 6 weeks, full ROM is begun; with gradual strengthening, biceps contraction begins. Overhead activities and strenuous biceps activity are avoided for 12 weeks. At 12 to 16 weeks, physical therapy is discontinued and normal activities resumed. Throwing does not resume for 4 to 5 months with full return to overhead sports at 8 to 9 months (895).

Another protocol used an immobilizer for 4 weeks with active/active-assisted to 40 degrees of external rotation; 140 degrees of forward flexion and exercises of wrist, hand, and elbow ROM; grip strengthening; isometric abduction; and internal/external rotation at side. Weeks 4 to 6 used increased ROM to full and exercises of wrist/hand ROM, grip strengthening, Theraband for isometrics, prone extensions, and scapular stabilizing. In weeks 6 to 12, patients progressed to full active ROM and exercises, advanced to weights, and began upper-body ergometer. Weeks 12 to 6 months included full active motion without discomfort and exercises of progression to work/sport, return to weight room at 3 months and return to contact sports at 6 months (896). However, another protocol utilized an immobilizer for 7 to 10 days followed by gentle pendulum exercises and passive ROM and isometric strengthening. Active-assisted exercises were added at 4 weeks with a goal of full ROM at 6 to 8 weeks. Rotator cuff and periscapular strengthening with Theraband was added at 6 weeks and progressive strengthening at 16 weeks with a goal for return to usual activities at 4 to 6 months (898). Individualization of programs based on various factors, including age, conditioning, and immediate post-surgical results is needed.

# **REHABILITATION FOR PATIENTS AFTER ARTHROSCOPIC OR OPEN LABRAL TEAR REPAIRS**

## Recommended

Rehabilitation is recommended for patients after arthroscopic or open labral and superior labral anterior posterior (SLAP) tear repairs. **Strength of evidence** Recommended, Insufficient Evidence (I)

Level of confidence Moderate

## Indications

Arthroscopic or open repairs of labral and SLAP tears.

## Benefits

Improved recovery and function

# Frequency/Dose/Duration

Two to 3 appointments per week for 3 weeks, then 2 per week for 2 weeks and once weekly to every other week for 6 to 9 additional weeks (Kim et al., 2003). Exact regimen requires individualization; however, regimens are provided for guidance as examples of published protocols and are recommended. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached

## Indications for Discontinuation

Recovery, plateau in recovery, noncompliance, or intolerance

#### Rationale

One moderate-quality RCT found no differences between a 12-month home based exercise program and usual care (Multanen et al., 2020). There are no quality trials that address rehabilitation for labral and SLAP tears. However, exercise appears necessary and education with a home-exercise program appears to be required for nearly all patients. Rehabilitation is not invasive, has low adverse effects, but is moderate to high cost; however, it seems necessary and is thus recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder labral tear, SLAP; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,039 articles in PubMed, 13,800 in Google Scholar, and 2 from other sources<sup>†</sup>. We considered for inclusion 4 from PubMed, 15 from Google Scholar, and 2 from other sources. Of the 21 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

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# **12.6.7. FOLLOW-UP VISITS**

Most patients with labral tears generally require a few follow-up appointments for purposes of monitoring symptoms, advancing treatment, and gradually reducing limitations if the tear is gradually resolving with non-operative care. Patients with slower resolution, need of operative care, or with other accompanying disorders will require a considerably greater number of appointments. Frequencies of appointments may also be greater if workplace limitations are required and job demands are higher. Post-operative rehabilitation can be extensive, particularly in older patients with other associated injuries such as rotator cuff injuries. In those cases, there may be a requirement for therapy on a prolonged basis to recover as much function as possible, until the achievement of objective evidence of a plateau.

# **13. ACROMIOCLAVICULAR SPRAINS AND DISLOCATIONS**

# **13.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing acromioclavicular sprains and dislocations from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# **13.2. OVERVIEW**

Acromioclavicular (AC) sprains and dislocations are common injuries, especially in contact sports (899,900), but they can also occur in settings of automobile crashes, falls, and accidents (901,902). Thus, they are occasionally work-related conditions. Long-term risks include secondary rotator cuff tendinopathies, acromioclavicular instability, and osteoarthrosis in 50% (903).

# **13.3. DIAGNOSTIC CRITERIA**

The most commonly used scale grades AC sprains and dislocations from I to VI (904,905,906). Grades I and II are managed non-operatively. Grade III includes severe dislocation of the AC joint with

elevation of the distal clavicle of at least 1 clavicular diameter on AP radiograph. Grades IV to VI are believed to require surgery (901,907,902).

# Table 8. Acromioclavicular Joint Disruptions with Pathophysiology and Basic Treatment\*

Grade

I Mild disruption of AC joint ligaments

Non-operative

- II Moderate force and disruption of AC ligaments and sprained coracoclavicular ligaments Non-operative
- III Severe force with disruption of AC and CC ligaments. Joint dislocation usually present.Mostly non-operative. Sometimes operative, especially if heavy physical demands on shoulder
- IV Severe force usually with disruption of AC and CC ligaments.

Posterior clavicle displacement present

Operative

- V Severe force with marked superior displacement of lateral clavicle.
   Disrupted AC and CC ligaments as well as deltoid and trapezius attachment to clavicle.
   Operative
- VI Severe force with lateral clavicle displacement under the coracoid.

Operative

\*Adapted from (904,905,906,908).

# **13.4. DIAGNOSTIC RECOMMENDATIONS**

# 13.4.1. X-RAYS

X-rays are the initial test for evaluation of most cases of AC shoulder pain (127,126).

# X-RAYS FOR ACROMIOCLAVICULAR JOINTS

# Recommended

X-rays are recommended for evaluation of the AC joint. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

# Indications

Most patients with shoulder trauma. Also for those with bony pain, abnormalities on exam and/or those not responding to treatment as expected.

# Benefits

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

## Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

# Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient. For patients with chronic AC/shoulder pain, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

X-rays are helpful to evaluate most patients with shoulder pain, especially to assess fractures in the setting of trauma. X-rays are helpful to also identify other bony abnormalities and thus are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.4.2. BONE SCANS**

Bone scans involve intravenous administration of Technetium Tc-99m, a radioactive tracer medication that is preferentially concentrated in areas of metabolic activity (turnover) in bone. The radioactivity is then detected by a large sensor and converted into skeletal images showing areas of increased uptake. There are many causes for abnormal radioactive uptake, thus positive bone scans are not highly specific. Bone scans have been used for diagnosis of early osteonecrosis prior to findings on x-ray, among other uses. Bone scans are generally not needed for AC joint problems unless there are other conditions and/or symptoms present, such as osteonecrosis, symptoms elsewhere, and/or systemic disorders.

# **13.4.3. COMPUTED TOMOGRAPHY**

Computed tomography (CT) remains an important imaging procedure, particularly for bony anatomy, whereas magnetic resonance imaging (MRI) is superior for soft tissue abnormalities. However, most

patients have issues with soft tissue rather than bony abnormalities in the shoulder, thus on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., acromioclavicular fractures, complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear.

# COMPUTED TOMOGRAPHY FOR EVALUATION OF ACROMIOCLAVICULAR JOINT

# Sometimes Recommended

Computed tomography is selectively recommended for evaluation of complex or unusual fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Imaging for complex or unusual AC joint fractures and/or proximal humeral and glenoid/scapular fractures or other calcified structures. For most shoulder conditions, particularly for concerns about the rotator cuff, MRI is superior. CT is also indicated where advanced imaging is indicated but there is a contraindication for MRI (e.g., ferrous/metal implant). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging.

# Benefits

Diagnosis and understanding of the degree of complex fractures, calcific tendinitis.

#### Harms

Radiation exposure.

## Frequency/Dose/Duration

Obtaining a CT once is generally sufficient. For patients with chronic shoulder pain, it may be reasonable to obtain a second CT later to re-evaluate the patient's condition, particularly if symptoms change.

#### Rationale

X-rays generally suffice for the vast majority of AC trauma. However, where there are complex or unusual AC joint fractures and/or proximal humeral and glenoid/scapular fractures or other calcified structure concerns, CT is recommended. MRI is considered superior to CT for imaging most shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern, especially regarding rotator cuff tendinopathies. CT is minimally invasive, has few, if any, adverse effects but is costly. It is recommended for select use.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.4.4. CT ARTHROGRAPHY**

Arthrography involves the injection of contrast into the joint. It was modified in the 1970s to include injection of air ("double contrast") (131). Arthrography under fluoroscopy in isolation has now been almost entirely replaced by other procedures, including MRI and MR arthrography, primarily due to its low sensitivity for full-thickness tears and essentially no sensitivity for partial thickness tears (875). Most arthrograms, including MR arthrogram and CT arthrogram, are performed using fluoroscopy to localize the joint and inject the contrast agent.

CT arthrography is considered preferable to MR arthrography when bony structure definition is needed as well (173,174). MR arthrography is more effective for imaging labral pathology, but does not image the AC joint as well as CT (180,179,177,175,43,181,176,178).

# CT ARTHROGRAM FOR EVALUATING THE ACROMIOCLAVICULAR JOINT WHILE ALSO DIAGNOSING LABRAL TEARS

# Recommended

CT arthrography is recommended for concerns about AC joint injury with labral involvement and contraindications for MRA.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

# Indications

Patients with subacute or chronic shoulder pain with symptoms of, or clinical suspicion of labral tears. Patients should generally have failed non-operative treatment including NSAID and waiting 4 to 6 weeks without trending towards resolution. MRA is generally preferable to CTA for imaging soft tissues, unless there are contraindications for MRI.

#### Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives for labral tears. Arthrography improves the accuracy especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

# Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination.

# Rationale

MR arthrograms have not been evaluated in quality studies. Although studies are heterogeneous, pooled estimates of the sensitivity for full-thickness tears is estimated at 95% with specificity 93% (Dinnes et al., 2003). There is a high prevalence of labral injury with a first shoulder dislocation based on MR arthrography (MRA) (Antonio et al., 2007). Arthrography with low-field MR was found to be equivalent to high-field in a series of 38 patients (Loew et al., 2000). A comparison of high-versus lowfield MR imaging for SLAP tears among symptomatic patients found high field superior for diagnosing SLAP (Tung et al., 2000). The sensitivity of high field MRA was 90% and specificity 63%, while sensitivity for low field was 64% and 70% specificity. MRA was found superior to CT arthrography (CTA) and marginally better than MRI for identification of labral tears in a case series of patients with recurrent anterior instability, prior anterior dislocation or shoulder pain of unknown cause (Chandnani et al., 1993). MRA sensitivity for a labral tear was 96.4%, MRI was 92.9%, and CTA was 73.1%. Specificity was 100% for all three tests; however, this appears overstated as there were only two patients without a tear in this small case series. MR arthrography is invasive, has adverse effects including a low, but definite risk of infection and is painful. It is also costly, although MRA has been felt to provide better cost effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). It is likely the best imaging procedure available for patients thought to have labral tears or patients with good strength in order to assess the labrum and rotator cuff with traumatic injury simultaneously, and is recommended for select use.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

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# **13.4.5. MAGNETIC RESONANCE IMAGING**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (596,598,599,594,593,600,184,592,601,597,57,595,591).

# MRI FOR DIAGNOSING ACROMIOCLAVICULAR JOINT DISORDERS WHILE ALSO DIAGNOSING LABRAL TEARS

## Sometimes Recommended

MRI is selectively recommended for patients with AC joint problems who are also suspected of having acute, clinically significant rotator cuff tears or other soft tissue concerns. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Patients thought to need imaging who not only have AC joint problems but who also have soft tissue concerns such as an acute, clinically significant rotator cuff tear. Patients with only bony concerns but needing imaging are recommended to instead undergo CT scanning which is superior for osseous imaging. Patients with concerns about AC joint pathology and labral tears may be better evaluated with CT Arthrography, while those with primarily soft tissue concerns accompanying a reasonably defined AC joint problem would be better candidates for MRA.

## Benefits

Secure a diagnosis and identify a second disorder.

#### Harms

False positives and false negatives for rotator cuff tears.

# Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination.

#### Rationale

There are no quality studies identifying the need of MRI imaging for AC joint problems. CT is superior for osseous structure imaging, thus MRI use is limited to AC joint problems while also having concerns for soft tissue abnormalities such as rotator cuff tendinopathy. If there are concerns for labral involvement, concomitant arthrography (CT arthrography) may be preferable.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials,

random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.4.6. MAGNETIC RESONANCE ARTHROGRAM**

Magnetic resonance (MR) arthrography combines an MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is needed as well (173,174). MR arthrography is particularly thought to be effective for imaging labral pathology (180,179,177,175,43,181,176).

# MAGNETIC RESONANCE ARTHROGRAM FOR DIAGNOSING ACROMIOCLAVICULAR JOINT DISORDERS

# Not Recommended

Magnetic resonance (MR) arthrography is not recommended for evaluating AC joint problems. For concerns about AC joint injury with labral involvement, CT arthrography would generally be preferable.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

# Rationale

MR arthrography is not recommended for evaluating AC joint problems. For concerns about AC joint injury with labral involvement, CT arthrography would generally be preferable for bony concerns. MRA may be indicated when there are less concerns for the AC joint, but there are concerns about soft tissues.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If

relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 13.4.7. ULTRASOUND

## ULTRASOUND FOR DIAGNOSING ACROMIOCLAVICULAR JOINT DISORDERS

#### Not Recommended

Ultrasound is not recommended for evaluating AC joint problems. Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

Ultrasound is not recommended for evaluating AC joint problems. X-rays are preferable for visualizing the bony structures involved.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.4.8. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR ACROMIOCLAVICULAR JOINT DISORDERS

#### Not Recommended

SPECT is not recommended for the evaluation of patients with AC Joint disorders. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain due to occupational injuries including AC

Joint disorders. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.4.9. POSITRON EMISSION TOMOGRAPHY (PET)**

POSITRON EMISSION TOMOGRAPHY (PET) FOR ACROMIOCLAVICULAR JOINT DISORDERS

# **Not Recommended**

PET scanning is not recommended for the evaluation of patients with AC joint disorders. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain due to occupational injuries including AC joint disorders. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials,

random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.4.10. DIAGNOSTIC INJECTIONS**

Diagnostic injections are sometimes performed, particularly in the subacromial space, glenohumeral joint, and acromioclavicular joint. However, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable because it is less invasive to perform only a single injection; in addition, the patient can be assessed both immediately post-injection for diagnostic purposes and over the longer term for therapeutic purposes.

# **13.5. TREATMENT RECOMMENDATIONS**

# **13.5.1. INITIAL CARE**

Initial care of an AC sprain or separation involves identification of the grade of injury, as well as of other accompanying disorders such as fractures, rotator cuff tendinopathies, and labral injuries, and treated accordingly (902). Over-the-counter analgesics and self-applications of ice and heat are recommended. Slings may be helpful acutely. Early range-of-motion exercises are recommended.

# SLINGS FOR TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

# Recommended

Slings are selectively recommended for treatment of acromioclavicular sprains or dislocations. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

# Indications

Acute severe AC spains, particularly where the appliance is utilized as part of a plan to briefly rest the shoulder and promptly, gradually increase activity level. Non-operative patients are recommended to have an ROM exercise program instituted in nearly all circumstances.

# Benefits

Short-term improvement in pain. Equivalent clinical results to surgical management but without risk of surgery (Society, 2015) (Joukainen et al., 2014) (Mah, 2017) (Murray et al., 2018) (Windhamre et al., 2022).

# Harms

Delayed recovery, development of adhesive capsulitis and debility.

# **Indications for Discontinuation**

Generally should be weaned off within 1-2 weeks.

# Rationale

There are no quality studies comparing slings with other devices. There are many quality trials comparing various operative techniques with non-operative management, with all trials suggesting equivalent clinical recovery with non-operative management (Society, 2015) (Joukainen et al., 2014) (Mah, 2017) (Murray et al., 2018) (Windhamre et al., 2022). Short-term use of slings and supports may help with short-term pain reductions. However, they come with considerable increased risks of adhesive capsulitis, delayed recovery and increased debility. Thus, slings and supports are selectively recommended for only shoulder severe pain and short-term use after surgery and acute severe pain. Range of motion exercises are generally advised (e.g., pendulum, wall-walks) during the time when using the sling. Generally wean of the support use within 1-2 weeks.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.5.2. MEDICATIONS**

Over-the-counter (OTC) medications, particularly NSAIDs, may be helpful for pain management and provide sufficient relieve for many Grade I and II AC sprains (908,907,902). Select patients may require judicious use of opioids for pain management. Patients may also require medications post-operatively.

There is no quality literature specific to AC joint disorders for nearly all of the following interventions. In the absence of quality evidence, it is recommended that AC joint disorders be managed according to the recommendations for Rotator Cuff Tendinopathy and Shoulder Pain:

• NSAIDs for Treatment of Rotator Cuff Tendinopathies and Shoulder Pain [Strongly Recommended, Evidence (A)]

- Acetaminophen for Acute, Subacute, Chronic, or Post-operative Shoulder Pain [Recommended, Insufficient Evidence (I)]
- Norepinephrine Reuptake Inhibiting Anti-depressants for Subacute or Chronic Shoulder Girdle Pain [Recommended, Insufficient Evidence (I)]
- Selective Serotonin Reuptake Inhibitors for Acute, Subacute, or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Anti-convulsants for Acute, Subacute or Chronic Shoulder Pain and Rotator Cuff Tendinopathies [Not Recommended, Insufficient Evidence (I)]
- Capsicum Creams for Acute, Subacute, Chronic Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical NSAIDs, including Diclofenac Epolamine for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]
- Topical Glyceryl Trinitrate, Lidocaine Patches, Eutectic Mixture of Local Anesthetics (EMLA), and Other Creams/Ointments for Shoulder Pain and Rotator Cuff Tendinopathy [Not Recommended, Insufficient Evidence (I)]

See also the ACOEM Opioids guideline for the treatment of subacute and chronic pain.

# **13.5.3. ACTIVITY MODIFICATION AND EXERCISE**

THERAPY FOR TREATMENT OF SEVERE ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

# Recommended

Therapy, including exercises and education, is recommended for patients with moderate to severe acromioclavicular sprains, or dislocations, or who are in need of surgery. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

# Indications

Acromioclavicular sprains and separations, as well as post-operative use (Nuber et al., 1997, Lemos, 1998).

# Benefits

Earlier recovery of function

Harms

Negligible

# Frequency/Dose/Duration

Pendulum exercises are generally initiated, along with other ROM exercises and education. These are typically followed by isometric strengthening program, then isotonic strengthening and endurance exercises. Programs require individualization based on factors such as patient's injury severity, age, experience, comorbid conditions, and compliance. A range of options includes weekly appointments to oversee and advance a home exercise program for several weeks until sufficiently recovered for lower grade injuries and self-motivated patients. Patients with more severe injuries or need for supervision may require appointments 2 to 3 a week to initiate program exercises, tapering to 1 a week in approximately 4 weeks before being discharged to a home-exercise program in approximately

2 months for more severe injuries. Results of the exercise prescription should be regularly monitored and failure to progressively improve is an indication to either revisit the differential diagnosis and/or seek a second opinion/consultation, particularly by the time approximately 6-12 therapy appointments is reached.

## **Indications for Discontinuation**

Recovery of function, resolution of pain, non-compliance

## Rationale

Education is often helpful for patient understanding of the condition and to facilitate exercises, especially in the post-operative period.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder dislocation, shoulder instability; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 5,125 articles in PubMed, 106,000 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 28 from PubMed, 16 from Google Scholar, and 0 from other sources. Of the 44 articles considered for inclusion, 4 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.5.4. INJECTION THERAPIES**

Injections are generally not indicated for AC sprains and separations. However, they are sometimes utilized for treatment of patients who have other conditions such as rotator cuff tendinopathies or who have an injection for combined diagnostic and therapeutic purposes. An injection may be indicated for patients who have delayed recovery for unclear reasons in whom an empiric injection for diagnostic and therapeutic purposes is performed (see Rotator Cuff Tendinopathy Injections). An injection is recommended prior to consideration of distal clavicle resection for patients with ongoing pain of at least 6 months to ascertain whether the injection will resolve the pain; then if the pain recurs after an injection, this may help ascertain whether distal clavicle resection may be successful. An AC joint injection is also potentially indicated for a patient with ongoing pain for at least 3 months after injury and lack of resolution or improvement with NSAIDs and exercise.

For intraarticular shoulder viscosupplementation injections, see Knee Osteoarthrosis for most relevant literature that is used under the assumption of physiological similarity.

# GLUCOCORTICOSTEROID INJECTIONS FOR ACUTE TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

#### Not Recommended

Glucocorticosteroid injections are not recommended for the treatment of acute isolated acromioclavicular sprains or dislocations.

Strength of evidence Not Recommended, Insufficient Evidence (I)

Level of confidence Moderate

#### Rationale

There is no quality evidence and no sound rationale for injections for acute AC sprains to alter and improve on the naturally good prognosis for these problems and thus they are not recommended. Steroid injections may be indicated for short-term relief of arthritis (see Osteoarthrosis).

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **GLUCOCORTICOSTEROID INJECTIONS FOR CHRONIC ACROMIOCLAVICULAR JOINT PAIN**

#### Recommended

A glucocorticosteroid injection is selectively recommended prior to consideration of distal clavicle resection for patients with ongoing pain of at least 6 to 12 months to ascertain whether the injection will resolve the pain. If an injection resolves the pain and the pain recurs, then it helps define that distal clavicle resection may be more likely to be successful provided there is no acromioclavicular instability.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Patients with ongoing pain of at least 6 to 12 months and for whom surgery is considered.

# Benefits

Improvement or resolution of pain, clarification of the pain source, improved predictability of surgical success

## Harms

**Rare infection** 

## Frequency/Dose/Duration

Dose is unclear as there are no controlled trials evaluating dosage. Simultaneous administration of a local anesthetic with a glucocorticosteroid is highly recommended to ascertain whether there is immediate relief on injection.

## Rationale

There are no quality trials. An injection is recommended prior to consideration of distal clavicle resection for patients with ongoing pain of at least 6 months to ascertain whether the injection will resolve the pain; if an injection then resolves the pain and the pain recurs, it may suggest that to suggest whether distal clavicle resection may be successful and should be recommended for grade I or II acromioclavicular dislocations. An AC joint injection is also potentially indicated for a patient with ongoing pain for at least 3 months after injury and lack of resolution or improvement with NSAIDs and exercise.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.5.5. ALLIED HEALTH INTERVENTIONS**

# TAPING FOR TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

#### Not Recommended

Taping is not recommended for the treatment of acromioclavicular sprains or dislocations. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

Taping has been evaluated in quality trials for other musculoskeletal disorders, including low back pain, and was found to be ineffective. Thus, it is not recommended for treatment of acromioclavicular sprains or dislocations.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MAGNETS FOR TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

# Not Recommended

Magnets are not recommended for the treatment of acromioclavicular sprains or dislocations. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

Magnets have been evaluated in quality trials for other musculoskeletal disorders, including low back pain, and was found to be ineffective. Thus, they are not recommended for treatment of acromioclavicular sprains or dislocations.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PULSED ELECTROMAGNETIC FREQUENCY FOR TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

#### Not Recommended

Pulsed electromagnetic frequency is not recommended for the treatment of acromioclavicular sprains or dislocations. **Strength of evidence** Not Recommended, Insufficient Evidence (I)

Level of confidence Low

# Rationale

Pulsed electromagnetic frequency has been evaluated in quality trials for other musculoskeletal disorders, including low back pain, and was found to be ineffective. Thus, it is not recommended for treatment of acromioclavicular sprains or dislocations.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# INTERFERENTIAL THERAPY FOR TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

#### Not Recommended

Interferential therapy is not recommended for the treatment of acromioclavicular sprains or dislocations.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

Interferential therapy has been evaluated in quality trials for other musculoskeletal disorders, including low back pain, and was found to be ineffective. Thus, it is not recommended for treatment of acromioclavicular sprains or dislocations.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# OTHER PHYSICAL MODALITIES FOR TREATMENT OF ACROMIOCLAVICULAR SPRAINS OR DISLOCATIONS

## No Recommendation

There is no recommendation for or against the use of diathermy, infrared therapy, ultrasound, laser therapy, manual therapy, mobilization, manipulation, massage, high-voltage galvanic, H-Wave<sup>®</sup> device stimulation, iontophoresis, microcurrent, percutaneous electrical nerve stimulation (PENS), sympathetic electrotherapy, or transcutaneous electrical stimulation (TENS) for treatment of acromioclavicular sprains or dislocations.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality studies and the clinical benefit remains uncertain. Thus there is no recommendation for or against the use of diathermy, infrared therapy, ultrasound, laser therapy, manual therapy, mobilization, manipulation, massage, high-voltage galvanic, H-wave stimulation, iontophoresis, microcurrent, percutaneous electrical nerve stimulation (PENS), sympathetic electrotherapy, or transcutaneous electrical stimulation (TENS) for treatment of acromioclavicular sprains or dislocations.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476

articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.5.6. SURGICAL CONSIDERATIONS**

Grade I and II AC sprains are managed non-operatively (2148,2149,2150,2151,2152,902). Patients with AC joint separation managed non-operatively should anticipate pain for approximately 3 weeks, with pain gradually decreasing. If pain persists after recovery and return to activities, resection of the outer clavicle may be indicated after 6 months to 1 year, although local cortisone injection(s) should generally be attempted. The initial deformity may decrease as healing and scar contracture takes place. Persistence of the deformity is not an indication for surgery. In one series, 79% of patients with moderate-to-severe AC separations had good-to-excellent late results with non-operative treatment; of the remainder, 90% had good-to-excellent results with simple excision of the outer clavicle.

Grade III separations have been managed both surgically and non-operatively; however, multiple reviews have opined the evidence fails to clearly support a need for surgery and some outcomes were better in the non-operatively treated patients (2153,2154,2150,2148,2155,2149), other than potentially improved appearance (2156,2150,2153). Late symptoms, without surgery, include popping (sometimes painful), clicking, painful AC joint, and arthrosis.

Grades IV to VI have been mostly managed surgically (2157), although some are managed nonoperatively. Surgical approaches include acromioclavicular reduction, coracoclavicular ligament repair, coracoclavicular screw fixation, cerclage wire, autologous tissue coracoclavicular ligament reconstruction, acromioclavicular ligament reconstruction, and hook plates (2158,2159,2160,905,2161,2162,2163,2164,2165,2166,2167,2168,2150,2169,2154,2151,2170,2171, 2172,2173,715,2153,2174,2175,2176,2177,2178,2179,2180,902). However, there are no quality trials to define an optimal surgical approach or procedure. The AC joint has a fibrocartilaginous disk that exists but degenerates and involutes with age (2181), although it is frequently removed from injured joints of younger patients.

# NON-OPERATIVE MANAGEMENT OF ACROMIOCLAVICULAR JOINT SPRAIN – GRADES I TO II

# Recommended

Non-operative management is recommended as the initial treatment for patients with Grade I to II acromioclavicular joint sprains.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

# Indications

Grade I to II acromioclavicular joint sprains.

# Benefits

# Earlier recovery of function

Harms

Negligible

## Rationale

There are no quality trials comparing surgical with non-operative management of Grades I to II acromioclavicular joint separations. The former are believed to be satisfactorily addressed conservatively with excellent results and the latter are thought to be an indication for surgical treatment. As there is no quality evidence of efficacy of surgery and non-operative management is often successful, non-operative management is recommended for at least 6 months.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 36 articles considered for inclusion, 5 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SURGICAL GRAFTING FOR ACROMIOCLAVICULAR JOINT SEPARATION

#### **No Recommendation**

There is no recommendation for or against surgical grafting for acromioclavicular joint separations. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are two small studies, one of which may have a randomization failure, resulting in a sparse database from which to draw meaningful conclusions. Thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation;

controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 36 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **ROUTINE SURGICAL REPAIR OF ACROMIOCLAVICULAR JOINT SEPARATION – GRADE III**

## Not Recommended

Routine surgical repair is moderately not recommended for Grade III acromioclavicular joint separations (Larsen et al., 1986, Bannister et al., 1989).

Strength of evidence Moderately Not Recommended, Evidence (B) Level of confidence Moderate

# Rationale

There are multiple moderate-quality trials comparing non-operative with operative management of Grade III AC separations (Larsen et al., 1986, Bannister et al., 1989, Joukainen et al., 2014, Society, 2007, Mah, 2017, Murray et al., 2018, Windhamre et al., 2022), with a few trials including Rockwood Type IV (Society, 2007, Mah, 2017, Murray et al., 2018) and a couple including Rockwood Type V (Society, 2007, Mah, 2017, Windhamre et al., 2022). Studies consistently report equivalent clinical results but faster recovery and earlier return to work and sports. Yet, surgical treatment is associated with higher complications in the operatively managed group (Bannister et al., 1989), and multiple other case series document complications in surgically managed patients. Surgery is invasive, has adverse effects, and is high cost. It is not recommended for the vast majority of Grade III AC separations. However, there may be patients with either particularly severe separations or with high physical demands who may potentially benefit from such an intervention. Thus, there is a separate recommendation for consideration of surgery for those selected patient groups.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 36 articles considered for inclusion, 15 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SURGICAL REPAIR OF ACROMIOCLAVICULAR JOINT SEPARATION FOR SELECT PATIENTS – GRADES III

## Sometimes Recommended

Surgical repair is recommended for highly select patients with Grade III acromioclavicular joint separations.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Indications

Symptomatic Grade III acromioclavicular joint separation in patients with concerns about cosmesis, or those with unusually high physical occupational or sports demands, particularly if there are symptoms not trending towards resolution over at least a month (Larsen et al., 1986).

## Benefits

Theoretical potential for improved outcomes

## Harms

Surgical complications including infection

# Rationale

There are multiple moderate-quality trials comparing non-operative with operative management of Grade III AC separations (Larsen et al., 1986, Bannister et al., 1989, Joukainen et al., 2014, Society, 2007, Mah, 2017, Murray et al., 2018, Windhamre et al., 2022), with a couple trials including Rockwood Type IV (Society, 2015, Mah, 2017, Murray et al., 2018) and a couple including Rockwood Type V (Society, 2015, Mah, 2017, Windhamre et al., 2022). Studies consistently report equivalent clinical results but faster recovery and earlier return to work and sports. Yet, surgical treatment is associated with higher complications in the operatively managed group (Bannister et al., 1989), and multiple other case series document complications in surgically managed patients. Surgery is invasive, has adverse effects, and is high cost. It is not recommended for the vast majority of Grade III AC separations. However, there may be patients with either particularly severe separations or with high physical demands who may theoretically benefit, thus there is a recommendation for consideration of surgery for those highly select groups.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion

30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SURGICAL REPAIR OF ACROMIOCLAVICULAR JOINT SEPARATION – GRADES IV TO VI

# Recommended

Surgical repair is recommended for treatment of Grades IV to VI acromioclavicular joint separation. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Symptomatic Grade IV to VI acromioclavicular joint separation.

# Benefits

Theoretical potential for improved outcomes

#### Harms

Surgical complications including infection

# Rationale

There are a few trials including Rockwood Type IV (Society, 2015, Mah, 2017, Murray et al., 2018) and a couple including Rockwood Type V (Society, 2015, Mah, 2017, Windhamre et al., 2022) suggesting non-operative management is an option. However, most of these have been managed surgically (Yan et al., 2017). Surgical approaches include acromioclavicular reduction, coracoclavicular ligament repair, coracoclavicular screw fixation, cerclage wire, autologous tissue coracoclavicular ligament reconstruction, acromioclavicular ligament reconstruction, and hook plates (Bosworth, 1941, Dewar et al., 1965, Norrell et al., 1965, Allman, 1967, Weaver et al., 1972, Ejeskar, 1974, Katznelson et al., 1976, Sethi et al., 1976, Bargren et al., 1978, Vainionpaa et al., 1981, Paavolainen et al., 1983, Vandekerckhove et al., 1985, Eskola et al., 1987, Taft et al., 1987, Ho et al., 1988, Skjeldal et al., 1988, Bannister et al., 1989, Ferris et al., 1989, Jalovaara et al., 1991, Morrison et al., 1995, Sim et al., 1995, Levine et al., 1998, Phillips et al., 1998, Faraj et al., 2001, Jones et al., 2001, Boldin et al., 2004, Stewart et al., 2004, Lafosse et al., 2005, LaPrade et al., 2005, Yoo et al., 2006, Wang et al., 2008, Simovitch et al., 2009, Fauci et al., 2013, Darabos et al., 2015, Wang, 2021, Ye et al., 2016, Xu et al., 2017, Cai, 2018, Müller et al., 2018, Shui et al., 2018, Yin et al., 2018, Abdelrahman et al., 2019, Lu et al., 2016, Sun et al., 2019). There also are no quality trials to define an optimal surgical approach or procedure for a given condition. The AC joint has a fibrocartilaginous disk that exists, but degenerates and involutes with age (DePalma, 1959), although it is frequently removed from injured joints of younger patients. These more severe AC sprains are thought to obtain superior outcomes with surgery and thus surgery is recommended for Grades IV to VI AC sprains.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ARTHROSCOPIC OR MINIMALLY INVASIVE SURGERY FOR ACROMIOCLAVICULAR JOINT DISLOCATIONS

#### Recommended

Arthroscopic or minimally invasive surgical techniques are recommended for acromioclavicular joint separations.

Strength of evidence Recommended, Evidence (C) Level of confidence Low

#### Indications

Grade III-V AC separations thought to need surgical repair.

#### Benefits

Potential improved function, reduced symptoms and cosmesis.

#### Harms

Infection, operative complications

#### Rationale

An RCT comparing open with arthroscopic repair with TightRope systems of Rockwood III-IV AC separations found similar results (Abdelrahman et al., 2019). One comparative trial suggested similar results with a one- vs. two-button technique for Rockwood Types III-V AC separations (Sun et al., 2019). Another trial comparing the TightRope system with screw found comparable results for Rockwood III AC separations, although satisfaction was higher with the former (Darabos et al., 2015). A trial comparing clavicular hook plate with TightRope wire for Rockwood III separations found less operations were needed with the TightRope wire (Cai, 2018). There is one trial comparing open with arthroscopic repair and suggested comparable results. The other quality RCTs use different techniques

for varying types of AC separation, although they nevertheless suggest potential efficacy of arthroscopic and/or minimally invasive surgical procedures and thus they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 36 articles considered for inclusion, 7 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.5.7. POSTOPERATIVE REHABILITATION**

Different rehabilitation protocols have been reported for AC separations (902). One post-operative rehabilitation protocol entails use of a sling and cold therapy device. At 2 weeks, active and passive ROM exercises are initiated. Full active and passive ROM exercises are added when the screw is removed at 2 to 3 months. Progressive strengthening is then prescribed for 6 to 8 weeks (902). Individualization of these protocols is likely required based on various factors, including immediate operative results, age, conditioning, compliance, and prior experiences. Rehabilitation programs should include education.

# REHABILITATION FOR PATIENTS AFTER SURGICAL REPAIR OF ACROMIOCLAVICULAR SEPARATIONS

#### Recommended

Rehabilitation is recommended for patients after surgical repair of acromioclavicular sprains/separations.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

#### Indications

Surgical repairs of AC separations. Individualization is recommended based on various factors, including immediate operative results, age, conditioning, compliance, and prior experiences.

# Benefits

Improved function and earlier recovery

## Harms

Negligible

# Frequency/Dose/Duration

Weekly to 3 times a week for the first 2 weeks, then weekly to twice weekly for the next 4 weeks, then weekly to twice weekly for the following 6 to 8 weeks.

# Indications for Discontinuation

Recovery, plateau in recovery, noncompliance, or intolerance

# Rationale

There are no quality trials evaluating rehabilitation for AC separation patients (Simovitch et al., 2009). One trial suggested that outcomes were not affected by the posture (erect or supine) posture used during rehabilitation sessions (Ibrahim et al., 2020). However, exercises appear necessary, and education along with a home-exercise program appears to be required for nearly all patients. Rehabilitation is not invasive, has low adverse effects, is moderate to high cost, but is important for optimal recovery for many of these patients and is thus recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: acromioclavicular joint sprains, acromioclavicular joint strains, acromioclavicular joint dislocations, acromioclavicular sprain, acromioclavicular dislocation; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 476 articles in PubMed, 8,500 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 36 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **13.5.8. FOLLOW-UP VISITS**

Patients with AC sprains generally require a few follow-up appointments for purposes of monitoring symptoms, advancing treatment, and gradually reducing limitations as the sprain resolves. Patients with more severe sprains, slower resolution, in need of operative care, or with other accompanying disorders will require a considerably greater number of appointments. Frequencies of appointments may also be greater where workplace limitations are required and job demands are higher. Early post-operative rehabilitation is advanced slowly to protect the repair.

# 14. SHOULDER FRACTURES

# **14.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing shoulder fractures from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# **14.2. OVERVIEW**

Shoulder fractures are common in all age groups, from youth engaged in sports to adults in motor vehicle crashes to elderly who have fallen (2182,2183,2184,2185,2186,2187,2188,2189). Many elderly patients are in relatively poor health and susceptible other fractures to (919,2190,2191,2192,2193,2194,2195). A minority of shoulder fractures occur in the course of employment, from falls, falls from heights, motor vehicle crashes (2196,2197,2198), and industrial crush injuries (2197). Most quality evidence for osteopenic and osteoporotic patients is found in the literature addressing hip fractures (see Hip and Groin Disorders Guideline). Among patients with shoulder fractures, especially those with risks for osteoporosis, assessment of bone quality is recommended for purposes of secondary prevention. Treatment options include calcium and vitamin D supplementation to correct deficiencies (2199,2200) and bisphosphonates for those with low bone mass density, but also include adequate dietary and/or supplementary calcium and vitamin D (2201,2202,2199). There also is consideration for anabolic steroids (2201).

Proximal humeral fractures are among the most common fractures and are the predominant shoulder fracture in the elderly (2192,2184,2189,2191,919). Approximately 50 to 80% of proximal humeral fractures may be treated non-operatively (2184,2189,919,912,2203,913,914,2204,2205,2206,2207,2208). Surgery has been suggested for more complex fractures (2203), but there is not a consensus (2209). Surgery increases rates of complications including hardware-related, osteonecrosis, and infection (2203,2210,2211,2212,2213,2214,2215). The overall quality of available evidence for the treatment of shoulder fractures is weak.

Fractures of the scapula occur infrequently and constitute less than 5% of shoulder fractures (2216,2217,2218,2219). However, many scapular fracture patients have other injuries, such as thoracic and/or head injuries (2218,2219,2220,936,2221,2222,940,937,2223); thus, careful evaluation and management is recommended. There are no quality trials evaluating scapula fracture treatment. Many scapular fractures may be managed non-operatively (2220,2224). However, some fractures are managed surgically, particularly when they involve the displaced glenoid or scapular neck fractures, lateral margin of the acromial process, or displaced coracoids fractures, severely displaced scapular

body fractures (936,2224,2225,2226,2227,2228,2229,2230,2231,2232,2233,2234,2235,2236,2237,2238,2239,2240, 2241).

Clavicular fractures are addressed separately (see Clavicular Fractures).

# **14.3. WORK LIMITATIONS**

Shoulder fractures generally require work limitations. Limitations typically include no use of the affected extremity and gradually allow increased use as healing occurs.

# **14.4. DIAGNOSTIC RECOMMENDATIONS**

# 14.4.1. X-RAYS

**X-RAYS FOR SHOULDER OR CLAVICULAR FRACTURES** 

## Recommended

X-rays are recommended for evaluation of shoulder or clavicular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

All patients suspected of having shoulder or clavicular fractures, as well as those with trauma without suspicion of fracture to help rule out fracture. Scapular views are required to evaluate scapular fractures.

# Benefits

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

#### Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

# Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. After initially negative x-rays, a second set of x-rays 7-14 days after trauma is advisable if there is either a suspicion of fracture or lack of major interval improvements. For patients with chronic shoulder pain, it may be reasonable to obtain another set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

# Rationale

X-rays are helpful to evaluate for fracture. They also assist in differential diagnostic possibilities such as tendinosis, calcific tendinitis, and arthroses, which result in different treatment options. X-rays are non-invasive, low to moderate costly, and have little risk of adverse effects, and therefore are recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **14.4.2. DIAGNOSTIC ARTHROSCOPY**

While arthroscopy is not indicated for simple fractures, there are other indications. See Rotator Cuff Tendinopathy.

# **14.4.3. BONE SCANS**

While bone scanning is not indicated for simple fractures, there are other indications. See Rotator Cuff Tendinopathy.

# **14.4.4. COMPUTED TOMOGRAPHY**

Computed tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most patients have issues with soft tissue rather than bony abnormalities in the shoulder, thus on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear.

# COMPUTED TOMOGRAPHY FOR EVALUATION OF SHOULDER FRACTURES

## **Sometimes Recommended**

Computed tomography is selectively recommended for the evaluation of complex proximal humeral and glenoid/scapular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Imaging for complex proximal humeral and glenoid/scapular fractures or other calcified structures. For most shoulder fractures, x-rays suffice. CT may also be indicated as well for scapular fractures with concerns about thoracic injury. CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging.

## Benefits

Diagnosis and understanding of the degree of complex fractures, calcific tendinitis.

# Harms

Radiation exposure.

# Frequency/Dose/Duration

Obtaining a CT once is generally sufficient. For patients with chronic shoulder pain, it may be reasonable to obtain a second CT later to re-evaluate the patient's condition, particularly if symptoms change.

# Rationale

X-rays are sufficient for most fracture patient evaluations; however, CT is superior where imaging calcified structures is required, such as for complex proximal humeral and glenoid/scapular fractures. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear. MRI is considered superior to computerized tomography for imaging most shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern. A contrast CT study is minimally invasive, has few, if any, adverse effects but is costly. It is recommended for select use.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **14.4.5. MAGNETIC RESONANCE IMAGING**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff.

## MAGNETIC RESONANCE IMAGING FOR SHOULDER OR CLAVICULAR FRACTURES

#### **Sometimes Recommended**

MRI is selectively recommended for patients with shoulder or clavicular fractures who are also suspected of having acute, clinically significant rotator cuff tears. It is also recommended for select patients with subacute or chronic shoulder pain thought to potentially have a symptomatic rotator cuff tear.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

#### Indications

Patients with shoulder fractures who are also thought to have an acute, clinically significant rotator cuff tear or subacute or chronic shoulder pain suspected of having a rotator cuff tear. If there is significant rotator cuff weakness, immediate imaging may be indicated. MRI may be indicated as well for scapular fractures with concerns about thoracic injury. Exceptions include elderly patients, those who would not undergo surgical repair, or those who have substantial signs of pre-existing large/massive rotator cuff tear. It is also reasonable to wait for 1 or 2 weeks to ascertain whether the condition is likely to resolve with conservative care without obtaining an MRI. Most acute tears without significant weakness should wait 2+ weeks prior to imaging as some patients with acute pain and limited ROM resolve clinically. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise after fracture healing and injection(s).

## Benefits

Secure a secondary diagnosis.

#### Harms

False positives and false negatives for rotator cuff tears.

#### Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

One moderate-quality study compared MRI with arthrography, suggesting MRI is superior to arthrography to evaluate for rotator cuff tears (Blanchard et al., 1999); however, arthrography alone has been largely replaced by other procedures. Otherwise, MRI has not been evaluated in high-quality

studies for shoulder joint pathology (Dinnes et al., 2003, Leunig et al., 2004, Kassarjian et al., 2005). MRI appears particularly helpful for soft tissue abnormalities and has been suggested for evaluations of patients with symptoms over 3 months (Bredella et al., 2005, Kassarjian et al., 2005). MRI was compared with arthroscopy in 57 patients with shoulder pain of unclear cause (Torstensen et al., 1999). MRI was found to be accurate in detecting 68% of rotator cuff tears and 62% accurate in detecting labral injuries. MRI sensitivity for RC tears was 96% and specificity 49% (for labral tears, 73% sensitive, 58% specific). The authors concluded that "MRI does not appear to be an accurate effective tool for assessing shoulder pathologic conditions in patients in whom the clinical picture is not clear and therefore may not be of assistance in surgical planning for patients with these difficult conditions."

MRI was compared with arthroscopic findings among 16 patients with trauma (Kirkley et al., 2003). The authors found moderate correlation for superior labral lesions (k = 0.60), fair agreement for rotator cuff tear (k = 0.355), Hill-Sachs (k = 1.0), and moderate for size (k = 0.44). A consecutive case series of 104 patients with shoulder problems were evaluated and randomized to MRI first versus arthrography first. There were modestly fewer changes in diagnostic categories with MRI (30%) than arthrography (37%), p >0.5. MRI led to slightly more changes in planned therapy (36% vs. 25%, p >0.3). MRI was found to be 79% accurate, 81% sensitive and 78% specific for full-thickness rotator cuff tears. Arthrography was found to be 82% accurate, 50% sensitive and 96% specific (Blanchard et al., 1999). A cross-sectional comparison of MRI (1.5T loop-gap resonator surface coil), double-contrast arthrography, high-resolution sonography, and surgery among 38 patients with suspected rotator cuff tears did not include all patients receiving all tests or surgery (other than MRI and arthrography) and reported a sensitivity of MRI of 100% (Burkhead WZ, 2007). Ultrasound detected 9/15 (60%) of tears. However, the study population was small and biased in favor of overestimating the tests' sensitivity. MRI has shown increased changes in the rotator cuff and tears with increased age (Sher et al., 1995, Needell et al., 1996), as well as a high prevalence of bony and peritendinous shoulder abnormalities among those without symptoms (Needell et al., 1996). MRI has reasonably good operant characteristics for full-thickness tears, although it does not have good sensitivity for partial thickness tears (Dinnes et al., 2003). Fatty infiltration of the rotator cuff tendons is also found on MRI and thought to signify chronicity as well as portending a poorer surgical outcome (Berhouet et al., 2009). A comparative assessment of T-2 weighted fast spin-echo technique with vs. without fat-suppression MRI for assessment of rotator cuff tears among 177 patients thought to have tears found no differences in assessments of complete tears, but differed in interpretations of partial tears (Singson et al., 1996). Compared with surgery, sensitivity was 100% for full-thickness tears and specificity for intact tendons was 86%. Fat suppression was felt helpful for partial tears. MRI demonstrates acromial abnormalities and there is a higher prevalence of Type 3 acromion processes among those with either rotator cuff tear or impingement syndrome (Epstein et al., 1993). It has been suggested increased T2 signal in the distal clavicle may be an indication for surgical resection.

MRIs are considered the gold standard for evaluation of osteonecrosis patients and are used to quantify volume of affected tissue including marrow edema which is inversely correlated with prognosis (Coombs et al., 1994, Koo et al., 1995, Scheiber et al., 1999, Cherian et al., 2003, Radke et al., 2003, Jones et al., 2004, Harreld et al., 2009).

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (Cartland et al., 1992, Tirman et al., 1994, Wnorowski et al., 1997, Connell et al., 1999, Tuite et al., 2000, Tung et al., 2000, Ardic et al., 2006, Chang et al., 2006, Reuss et al., 2006, Chang et al., 2008, Pandya et al., 2008, McFarland et al., 2009, Mulyadi et al., 2009). Although studies are not

heterogeneous, pooled estimates of the sensitivity for full-thickness tears has been calculated and is 89% with specificity 93%, while for partial thickness tears, these estimates are only 44% sensitivity and 90% specificity (Dinnes et al., 2003). Similarly accuracy is lower for smaller than larger tears (Yamakawa et al., 2001). There are concerns that MRI is inferior to MR arthrography for evaluating the labrum (Schmerl et al., 2005); thus, MRA is recommended for evaluation of the joint.

MRI is not invasive, has potential adverse effects from issues of claustrophobia or complications of medication, but is costly. MRI is not recommended for routine shoulder imaging and is not indicated solely to evaluate fractures, especially because CT is superior for osseous imaging. However, it is recommended for select shoulder joint pathology particularly involving concerns regarding secondary soft tissue pathology.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **14.4.6. MAGNETIC RESONANCE ARTHROGRAM**

Magnetic resonance (MR) arthrography combines an MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is needed as well. MR arthrography is particularly thought to be effective for imaging labral pathology.

# MAGNETIC RESONANCE ARTHROGRAM FOR DIAGNOSING SHOULDER OR CLAVICULAR FRACTURES

#### **Sometimes Recommended**

Magnetic resonance arthrography (MRA) is selectively recommended for diagnosing labral tears in patients with shoulder or clavicular fracture(s). **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

Patients with shoulder fracture(s) who also have symptoms of, or clinical suspicion of labral tears. Patients should generally have failed non-operative treatment of the labral tear or soft tissue including NSAID and waiting 4 to 6 weeks without trending towards resolution.

#### Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives for labral tears. Arthrography improves the accuracy especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

#### Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

MRA has not been evaluated in quality studies among patients with shoulder fracture(s). Although studies are heterogeneous, pooled estimates of the sensitivity for full-thickness tears is estimated at 95% with specificity 93% (Dinnes et al., 2003). There is high prevalence for labral injury with first shoulder dislocation based on MRA (Antonio et al., 2007). Arthrography with low-field MR was found to be equivalent to high-field in a series of 38 patients (Loew et al., 2000). A comparison of high- versus low-field MR imaging for SLAP tears among symptomatic patients found high field superior for diagnosing SLAP (Tung et al., 2000). The sensitivity of high field MRA was 90% and specificity was 63%, while sensitivity for low field was 64% and 70% specificity. MRA was found superior to CT arthrography (CTA) and marginally better than MRI for identification of labral tears in a case series of patients with recurrent anterior instability, prior anterior dislocation, or shoulder pain of unknown cause (Chandnani et al., 1993). MRA sensitivity for a labral tear was 96.4%, MRI was 92.9%, and CTA was 73.1%. Specificity was 100% for all three tests; however, this appears overstated as there were only two patients without a tear in this small case series.

MR arthrography is invasive, has adverse effects (including a low but definite risk of infection), and is painful. It is also costly, although MRA is believed to provide better cost effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). It is likely the best imaging procedure available for

patients thought to have labral tears or patients with good strength in order to assess the labrum and rotator cuff with traumatic injury simultaneously, and is recommended for select use.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## 14.4.7. ULTRASOUND

Diagnostic ultrasound has been used for evaluating rotator cuff tears.

## ULTRASOUND FOR DIAGNOSING SHOULDER OR CLAVICULAR FRACTURES

#### Sometimes Recommended

Ultrasound is selectively recommended for shoulder or clavicular fracture patients also suspected of having rotator cuff tears, tendinoses, or impingement. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Ultrasound technicians should have sufficient skill to obviate the need for scanning (Boykin et al., 2010, Hanchard et al., 2013); otherwise, the test introduces unnecessary redundancy. Patients with shoulder fracture(s) who also have symptoms and signs of a clinically significant rotator cuff tear, tendinosis, or impingement (Naredo et al., 1999, Iannotti et al., 2005, Ardic et al., 2006, Wall et al., 2012). Most clinical presentations should wait approximately 2 weeks prior to imaging as some patients with acute pain and limited range of motion resolve clinically; obvious tears are an exception to waiting two weeks. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise, and injection(s) (Moosikasuwan et al., 2005,

Ottenheijm et al., 2010). A MR arthrogram is recommended for suspected labral injury (see below) (Ardic et al., 2006).

## Benefits

Secure a diagnosis.

#### Harms

False positives and false negatives.

#### Frequency/Dose/Duration

Repeat ultrasound should be based on significant change in symptoms and/or examination findings.

#### Rationale

Ultrasound has been compared with physical examination findings, suggesting physical exam identified fewer abnormalities compared with ultrasound, though there was not clinical correlation with treatment outcomes (Kim et al., 2007). Ultrasound utilized to evaluate asymptomatic shoulders found increased prevalence of full-thickness tears with increased age (Sher et al., 1995, Tempelhof et al., 1999), with approximately 6% among 212 individuals (Schibany et al., 2004) and in 7.6% of 420 patients (Moosmayer et al., 2009). Asymptomatic tears increase in prevalence by age – 50 to 59 (2.1%) versus 60 to 69 (5.7%) versus 70 to 79 (15%) (Moosmayer et al., 2009).

Ultrasound is thought to be relatively effective for identifying full-thickness tears (Middleton et al., 1986, Furtschegger et al., 1988, Mack et al., 1988, Mack et al., 1988, Brenneke et al., 1992, Iannotti et al., 1996, Zehetgruber et al., 2002, Ottenheijm et al., 2010, Smith et al., 2011); however, it appears somewhat less effective for identifying partial-thickness tears (Brenneke et al., 1992, Naredo et al., 1999, Ottenheijm et al., 2010, Smith et al., 2011). A surgical case series of 42 patients attempted to determine the diagnostic accuracy of ultrasound. Ultrasound detected all full-thickness tears (100% sensitive, 97% specific), but only 6 of 13 of the partial-thickness tears (46% sensitive, 97% specific). One full-thickness tear was falsely diagnosed. Another study has suggested sensitivity for detection of tear size of 83 to 86%. (lanotti) Ultrasound has advantages of being able to move the arm actively or passively during the examination; it is less expensive; and it may be available in most centers. (Boykin, Friedman et al.) When conservative treatment failed, skilled physician's usingultrasound reportedly had high diagnostic accuracy identifying tendinopathy, calcifying tendonitis, and partial- and fullthickness tears. (Moosikasuwan, Miller et al., Ottenheijm, Jansen et al.) SLAP lesions cannot be well visualized using ultrasound. (Hanchard, Lenza et al.) Impingement was felt to have been diagnosed in 27 of 34 cases (79% sensitive, 96% positive predictive value). (Read and Perko) A small study of ultrasound the day before surgery for shoulder arthritis in 20 patients suggested that ultrasound was accurate for evaluating hypertrophy of the bursa (93% sensitive, 83% specific), biceps tendon rupture (70% sensitive, 100% specific) and rotator cuff tear (83% sensitive, 57% specific). (Alasaarela, Leppilahti et al.) Ultrasound-guided MR arthrography was evaluated in an RCT with anterior versus posterior approaches and found equal ratings of discomfort. (Koivikko and Mustonen) Ultrasound is not invasive, is of low to moderate cost, and has little risk of adverse effects; therefore, although there are concerns that MRI may be superior for imaging most of shoulder soft tissue, ultrasound is recommended particularly for evaluation of rotator cuff tears as a complication of shoulder fracture(s). The main disadvantage is the high dependency on the physician's skills. (Boykin, Friedman et al., Hanchard, Lenza et al.)

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 14.4.8. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR SHOULDER OR CLAVICULAR FRACTURES

## Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with shoulder or clavicular fractures. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment, and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial,

controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 14.4.9. POSITRON EMISSION TOMOGRAPHY (PET)

# POSITRON EMISSION TOMOGRAPHY (PET) FOR SHOULDER OR CLAVICULAR FRACTURES

## Not Recommended

Positron emission tomography (PET) is not recommended for the evaluation of patients with shoulder or clavicular fractures.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

## Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **14.5. TREATMENT RECOMMENDATIONS**

# **14.5.1. INITIAL CARE**

Initial care of a patient with fracture generally involves preclusion of use, prompt assessment of the severity, a determination of whether surgery or non-operative management is best, and education. Identification of accompanying disorders, such as rotator cuff tear, allows for treatment of a second condition to substantially reduce or resolve the symptoms. Over-the-counter analgesics, self-applications of heat and ice, and slings and immobilizers have been used to treat non-displaced fractures and manage pain.

# OVER-THE-COUNTER (OTC) ANALGESICS FOR TREATMENT OF SHOULDER OR CLAVICULAR FRACTURES

#### Recommended

Over-the-counter analgesics are recommended for treatment of shoulder or clavicular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Shoulder fractures, usually as adjuncts to other treatments

## Benefits

Self-management of the pain

#### Harms

Negligible for OTC analgesics unless acetaminophen doses exceed 3.5 g, or the patient has liver disease or other contraindications

## Frequency/Dose/Duration

Analgesics should be used per manufacturer's recommendations

## **Indications for Discontinuation**

Fracture healing, resolution of pain, lack of efficacy, intolerance, complication

#### Rationale

There are no quality trials evaluating analgesics for managing shoulder fractures. There is some evidence of delayed fracture healing with NSAIDs. However, analgesics and OTC NSAIDs are likely helpful and there is some quality evidence for the use of prescription NSAIDs for other shoulder nociceptive pain (see NSAIDs for rotator cuff tendinopathy). Thus, they are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SELF-APPLICATION OF HEAT OR ICE FOR TREATMENT OF SHOULDER OR CLAVICULAR FRACTURES

#### Recommended

Self-application of heat or ice is recommended for treatment of shoulder or clavicular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Shoulder fractures, usually as adjuncts to other treatments

## Benefits

Self-management of the pain

## Harms

Possible cold injuries or burns

## Frequency/Dose/Duration

Self-applications of ice or heat are typically 3-5 times/day. Ice is more typically used in the acute phase for fractures.

#### Indications for Discontinuation

Fracture healing, resolution of pain, lack of efficacy, intolerance, complication

#### Rationale

There are no quality trials evaluating ice or heat for managing shoulder fractures. However, they may be helpful, have generally negligible adverse effects, are of negligible cost, and thus they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 14.5.2. MEDICATIONS

Over-the-counter medications may be helpful to manage pain. These especially include acetaminophen and NSAIDs (646,645), with NSAIDs showing greater efficacy, but overall acetaminophen has a generally greater safety profile. Generally, the only medications commonly used for fracture patients are NSAIDs and brief, post-operative use of opioids in select patients. Other medications may be indicated if there is osteopenia.

# 14.5.3. DEVICES

Slings, braces, and immobilizers are used in the acute and post-operative treatment phases to facilitate fracture healing. They are then weaned off, as they naturally promote debility and predispose towards adhesive capsulitis.

SLINGS, BRACES, AND IMMOBILIZERS FOR TREATMENT OF SHOULDER FRACTURES

#### Recommended

Slings, braces, and immobilizers are not recommended for the treatment of shoulder fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Acute shoulder fractures. Also used in the perioperative timeframe. Should be weaned off when able, with institution of range-of-motion exercises at the earliest clinically-indicated opportunity.

#### Benefits

Facilitate fracture and operative healing

#### Harms

Promotes debility and adhesive capsulitis

#### **Indications for Discontinuation**

Must be determined by the orthopedist or other treating physician with respect to fracture healing and/or operative fixation

#### Rationale

One RCT suggested there was no difference between 1 and 3 weeks of immobilization for nonoperatively treated proximal humeral fractures (Martinez, 2021). There are no other quality trials evaluating slings, braces and immobilizers for managing shoulder fractures. However, slings, braces, and immobilizers are indicated for shoulder fractures with any instability and/or need to immobilize while fracture callous formation or operative healing is underway and minimization of movement is clinically required.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **MAGNETS FOR TREATMENT OF SHOULDER FRACTURES**

#### Not Recommended

Magnets and magnetic stimulation are not recommended for treatment of shoulder fractures. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

## Rationale

Magnets and magnetic stimulation have been evaluated in quality trials for other muscloskeletal disorders and were found to be ineffective. Thus, they are not recommended for treatment of shoulder fractures.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized

controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

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#### **TAPING FOR TREATMENT OF SHOULDER FRACTURES**

#### Not Recommended

Taping is not recommended for treatment of shoulder fractures. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Rationale

Taping has been evaluated in quality trials for other musculoskeletal disorders and was found to be ineffective. Thus, it is not recommended for treatment of shoulder fractures.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **OTHER MODALITIES FOR TREATMENT OF SHOULDER FRACTURES**

#### No Recommendation

There is no recommendation for or against the use of diathermy, infrared therapy, laser therapy, highvoltage galvanic, H-wave stimulation, iontophoresis, microcurrent, percutaneous electrical nerve stimulation (PENS), sympathetic electrotherapy, interferential therapy, or transcutaneous electrical stimulation (TENS) for the treatment of shoulder fractures.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials demonstrating efficacy of these treatments for these shoulder fracture patients; thus, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **14.5.4. ALLIED HEALTH INTERVENTIONS**

## **ACUPUNCTURE FOR TREATMENT OF SHOULDER FRACTURES**

#### **No Recommendation**

There is no recommendation for or against acupuncture to treat shoulder fractures. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials of acupuncture for treatment of shoulder fractures and thus there is no recommendation for or against acupuncture.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MANUAL THERAPY FOR TREATMENT OF SHOULDER FRACTURES

## **No Recommendation**

There is no recommendation for or against the use of manual therapy for shoulder fractures. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

## Rationale

There are no quality trials demonstrating efficacy of manual therapy for shoulder fracture patients. Thus, there is no recommendation for or against its use.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MANIPULATION OR MOBILIZATION FOR TREATMENT OF SHOULDER FRACTURES

#### **No Recommendation**

There is no recommendation for or against the use of mobilization or manipulation for shoulder fractures. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials demonstrating efficacy of these treatments for shoulder fracture patients; thus, there is no recommendation for or against their use.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search,

and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## MASSAGE FOR TREATMENT OF SHOULDER FRACTURES

#### **No Recommendation**

There is no recommendation for or against the use of massage for shoulder fractures. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials demonstrating efficacy of massage for shoulder fracture patients; thus, there is no recommendation for or against its use.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## LOW-INTENSITY PULSED ULTRASOUND FOR TREATMENT OF FRACTURES

## Not Recommended

Low-intensity pulsed ultrasound is not recommended for treatment of Type I (mid-shaft) clavicular fractures.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

## Rationale

While there is no quality evidence regarding shoulder fractures, there is one high-quality RCT for clavicular fractures showing lack of efficacy to accelerate healing (Lubbert et al., 2008). Thus, by inference, ultrasound is also not indicated for treatment of shoulder fractures.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **14.5.5. INJECTION THERAPIES**

Steroid, viscosupplementation, platelet-rich plasma, and prolotherapy injections are generally not indicated for shoulder fractures. There may be other indications.

## **14.5.6. SURGICAL CONSIDERATIONS**

## NONOPERATIVE TREATMENT FOR SHOULDER FRACTURES

#### Recommended

Nonoperative treatment for shoulder fractures is recommended for most patients with nondisplaced or minimally displaced fractures. **Strength of evidence** Recommended, Insufficient Evidence (I)

Level of confidence High

#### Indications

Non- or minimally displaced fractures. Also, based on numerous factors assessed and evaluated in aggregate by the orthopedic surgeon, including surgeon's preferences and experiences, open

fractures, multiple-part fractures, associated vascular injuries, polytrauma, age, bone quality, status of the rotator cuff, hand dominance, smoking status, preexisting pathology, medical comorbidities, bilateral humeral fractures, radial nerve palsy after manipulation, neurological loss after penetrating injuries, and unacceptable alignment (Bell et al., 1985, Brumback et al., 1986, Robinson et al., 1993, Changulani et al., 2007, Drosdowech et al., 2008).

## Benefits

Faster recovery without surgery if able to be treated non-operatively.

## Harms

Negligible provided there is sufficient alignment and approximation to result in healing.

## Rationale

Fracture classification systems have been developed for these fractures (Kocher, 1896, Codman, 1990, Neer, 1960, Hertel, 1996, Mora Guix et al., 2009), although interrater reliability is low and their impact on management remains unclear (Sidor et al., 1993, Siebenrock et al., 1993, Brien et al., 1995). For proximal humeral fractures, there are few quality trials comparing operative treatment with non-operative treatment or comparing various operative treatments and approaches. Two moderate-quality trials have compared operative with non-operative treatments in fairly narrow indications; thus, the value of these trials is sharply limited in their ability to address the operative vs. non-operative indications for the broad group of proximal humeral fracture patients (Kristiansen et al., 1988, Zyto et al., 1997). One moderate-quality trial evaluating 3 or 4-part displaced proximal humeral fractures in the elderly failed to find superiority of the operative approach (Zyto et al., 1997). The second trial found an external fixator, although not commonly used, was superior to a sling to manage displaced proximal humeral fractures that had been reduced (Kristiansen et al., 1988).

Surgical indications are numerous, but most proximal humeral fractures are treated non-operatively with good results (Neer, 1970, Neer, 1968, Balfour et al., 1982, Mills et al., 1985). Thus, non-operative treatment is recommended for many of these fractures.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 5 randomized trials and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We

considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## SURGICAL TREATMENT FOR SHOULDER FRACTURES

#### Sometimes Recommended

Surgical intervention for shoulder fractures is recommended for select patients with displaced fractures. Arthroplasty, most commonly hemiarthroplasty, is recommended for select patients with displaced proximal humeral fractures.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

## Indications

Based on numerous factors assessed and evaluated in aggregate by the orthopedic surgeon, including (but not limited to) the surgeon's preferences and experiences, open fractures, multiple-part fractures, associated vascular injuries, polytrauma, age, bone quality, status of the rotator cuff, hand dominance, smoking status, preexisting pathology, medical comorbidities, bilateral humeral fractures, radial nerve palsy after manipulation, neurological loss after penetrating injuries, and unacceptable alignment (Bell et al., 1985, Brumback et al., 1986, Robinson et al., 1993, Changulani et al., 2007, Drosdowech et al., 2008).

## Benefits

Superior recovery

#### Harms

Operative complications including hardware-related, osteonecrosis, and infection. For arthroplasty, potential for worse results compared with less major surgical treatment.

## Rationale

Though proximal humeral fractures have a relatively high incidence, the great variability in the fractures themselves and number of viable options for surgical treatment leads to difficulty comparing treatment modalities. One RCT suggested comparable results at 2 years when comparing surgical and nonsurgical treatment of displaced proximal humeral fractures (Handoll et al., 2015, Rangan et al., 2015, Handoll et al., 2017, Norman et al., 2020). The lack of quality literature comparing options has been widely noted and confirmed by systematic reviews (Misra et al., 2001, Handoll et al., 2003, Bhandari et al., 2004, Lanting et al., 2008, Brorson et al., 2009, Handoll et al., 2015, Nijs et al., 2009).

Operative procedures include conventional, angular stable, and locked plates (Brunner et al., 2009,

Szyszkowitz et al., 1993, Sturzenegger et al., 1982, Drosdowech et al., 2008, Misra et al., 2001, Lin, 2006, Sehr et al., 1988, Plecko et al., 2005, Moda et al., 1990, Fankhauser et al., 2005, Esser, 1994, Rouleau et al., 2009, Ziegler et al., 2019, Chen et al., 2019, Rouleau et al., 2020, Sohn et al., 2017, Sudkamp et al., 2009), external fixators (Kristiansen et al., 1987, Kristiansen et al., 1989, Karatosun et al., 2002), partial or hemiarthroplasty (Szyszkowitz et al., 1993, Jones et al., 1987, Neer, 1970, Brorson et al., 2009, Nijs et al., 2009, Neer, 1968, Mighell et al., 2003, Kay et al., 1988, Kontakis et al., 2008, Kontakis et al., 2008, Moeckel et al., 1992, Bosch et al., 1998, Green et al., 1993, Movin et al., 1998, Zyto et al., 1995, Zyto et al., 1998, Robinson et al., 2008, Rietveld et al., 1988, Kraulis et al., 1976, Boileau et al., 2001, Demirhan et al., 2003, Dimakopoulos et al., 1997, Paavolainen et al., 1983, Tanner et al., 1983, Stableforth, 1984, Goldman et al., 1995, Prakash et al., 2002, Skutek et al., 1998, Wretenberg et al., 1997, Schlegel, 1997), reverse arthroplasty (Kontakis et al., 2008, Matsen et al., 2007, Rockwood, 2007, Martin et al., 2008), screws and cannulated screws (Sturzenegger et al., 1982, Zingg et al., 2002, Bungaro et al., 1998, Chen et al., 1998), nails (Lee et al., 1981, Young et al., 2008, Rodriguez-Merchan, 1995, Benegas et al., 2014, Gracitelli et al., 2016), compression plates (Rodriguez-Merchan, 1995), cerclage wire (Lee et al., 1981, Szyszkowitz et al., 1993), Kirschner wires (Jakob et al., 1991, Bungaro et al., 1998, Darder et al., 1993), use of intramedullary bone cement (Matsuda et al., 1999), and a combination tension band technique (Darder et al., 1993, Wijgman et al., 2002, Kristiansen et al., 1989, Zyto et al., 1997). Pins are usually removed in 3 to 6 weeks. Robotic approaches have been used (Kröger et al., 2021).

Despite a plethora of techniques, quality comparative trials are nearly completely lacking (Lanting et al., 2008). Conclusions for younger populations and high-physical demand patients are lacking quality evidence. Additionally, the variability of the types of fractures provides additional uncertainty regarding optimal intervention(s). Glenohumeral joint lavage has been shown to not be of benefit (Biermann et al., 2020). Thus, there is no recommendation for or against the use of a specific product; however surgical treatment of complex or displaced fractures is recommended.

For proximal humeral fractures, there are no quality trials comparing arthroplasty and hemiarthroplasty with other surgical approaches to ascertain whether these approaches are superior. Clinical results suggest these are successful treatments, and thus they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 14 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **ARTHROPLASTY FOR SHOULDER FRACTURES**

#### Sometimes Recommended

Arthroplasty, most commonly hemiarthroplasty, is recommended for select patients with displaced proximal humeral fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Select patients with displaced proximal humeral fractures.

#### Benefits

Superior results compared with other approaches

#### Harms

Operative complications including hardware-related, osteonecrosis, and infection. Potential for worse results compared with less major surgeries.

#### **Indications for Discontinuation**

Not specified.

#### Rationale

For proximal humeral fractures, there are no quality trials comparing arthroplasty and hemiarthroplasty with other surgical approaches to ascertain whether these approaches are superior. Clinical results suggest these are successful treatments, and thus they are recommended.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms.

Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **REVERSE ARTHROPLASTY FOR SHOULDER FRACTURES**

## Sometimes Recommended

Reverse shoulder arthroplasty is recommended for select patients with displaced proximal humeral fractures.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

## Indications

Select patients with displaced proximal humeral fractures.

#### Benefits

Superior results compared with other approaches

#### Harms

Operative complications including hardware-related, osteonecrosis, and infection. Potential for worse results compared with less major surgeries.

## Rationale

For select patients with proximal humerus fractures, reverse total shoulder arthroplasty is recommended over hemiarthroplasty or plate fixation. One multicenter RCT demonstrated improved outcomes in elderly patients treated with RTSA as compared to ORIF for intra-capsular proximal humerus fractures (Fraser, 2020). There have been three RCT comparing RTSA to hemiarthroplasty that suggest improved patient outcome scores, better overall range of motion, and lower reoperation rate with RTSA (Sebastia-Forcada, 2014, Jonsson, 2021, Laas, 2021). Systematic reviews and meta-analyses also suggest more favorable clinical outcomes in patients treated with RTSA as compared to hemiarthroplasty (Pizzo, 2021, Austin, 2019, Shukla, 2016).

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized

controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **14.5.7. REHABILITATION PROGRAMS**

Therapy including education and exercise is thought to be particularly important, especially for more severely affected patients, those with complications, the elderly, or those with comorbidities (909,910,911). There are variable durations of immobilization prior to exercise that have been used to treat non-operatively treated impacted proximal humeral fractures (912,913,914,915,909,916,917,918). Slings have been utilized, especially for the first 1 to 3 weeks of treatment (919,920,921,922). Early ROM has been advocated (917,923,924).

## EARLY MOBILIZATION FOR SHOULDER FRACTURES

## Recommended

Early mobilization is strongly recommended for most stable shoulder fractures, including proximal humeral fractures.

**Strength of evidence** Strongly Recommended, Evidence (A) **Level of confidence** High

## Indications

Most patients with stable shoulder fractures, including proximal humeral fractures (Hodgson et al., 2003, Hodgson et al., 2007, Lefevre-Colau et al., 2007, Agorastides et al., 2007, Kristiansen et al., 1989).

## Benefits

Earlier and improved return to function

## Harms

Theoretical aggravation of a fracture if begun before sufficiently healed.

## Frequency/Dose/Duration

The goal is to begin range of motion as early as possible to help prevent stiffness. Frequent follow-up may help to transition the patient from immobilization to motion. Begin range of motion once fracture is stable- very early for stable impacted fractures. Other fractures can wait until the proximal humerus moves as if it is one unit- up to four weeks for most fractures. Treating orthopedist must ascertain

whether early mobilization is appropriate. Considerations include patient age, fracture type, postreduction or post-surgical results, comorbidities. Early mobilization generally starts within 1 week.

## Rationale

Four high- and moderate-quality trials have evaluated early mobilization in patients with various types of proximal humeral fractures. All quality trials either show superiority or equivalency of early mobilization. A high-quality trial of impacted proximal fractures treated non-operatively suggested early mobilization is superior (Lefevre-Colau et al., 2007). An early mobilization program for minimally displaced two-part non-operatively managed proximal humeral fractures was compared with delayed with the beginning within 1 week (Hodgson et al., 2003, Hodgson et al., 2007). A moderate-quality study of an early mobilization program for fractures that were reduced found less pain and better function with earlier mobilization (Kristiansen et al., 1989). An additional trial in post-operative patients also suggested early mobilization is appropriate. Early mobilization is cost effective; therefore, it is recommended for most patients.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 8 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## EDUCATION AND EXERCISES FOR SHOULDER FRACTURES

#### Recommended

Education and exercise are strongly recommended for most shoulder fractures, including proximal humeral and/or scapular fracture patients. **Strength of evidence** Strongly Recommended, Evidence (A)

Level of confidence High

#### Indications

Most patients with shoulder fractures, including proximal humeral fractures

#### Benefits

Improved compliance with the rehabilitation program and faster return of function

## Harms

Negligible

## Frequency/Dose/Duration

Education may include adaptive techniques and use of adaptive equipment (as indicated) to facilitate continued participation in daily activities despite limitations of shoulder

## Rationale

Four high- and moderate-quality trials have evaluated early mobilization in patients with various types of proximal humeral fractures. All quality trials either show superiority or equivalency of early mobilization. A high-quality trial of impacted proximal fractures treated non-operatively suggested early mobilization is superior (Lefevre-Colau et al., 2007). An early mobilization program for minimally displaced 2-part non-operatively managed proximal humeral fractures was compared with delayed with the beginning within 1 week (Hodgson et al., 2003, Hodgson et al., 2007). A moderate-quality study of an early mobilization program for fractures that were reduced found less pain and better function with earlier mobilization (Kristiansen et al., 1989). An additional trial in post-operative patients also suggested early mobilization is appropriate. One trial suggested task-oriented exercises improved disability compared to general physical therapy over a one-year timeline (Monticone et al., 2021). Education and exercises are not invasive, appear to have few adverse effects, and are likely cost effective; therefore, they are recommended for most patients.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **SELF-TRAINING FOR SHOULDER FRACTURES**

## Sometimes Recommended

Self-training exercise is moderately recommended for select shoulder fractures, incuding patients with proximal humeral fractures.

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence High

## Indications

Patients with shoulder fractures including proximal humeral fractures who are motivated and compliant with exercises (Lungberg et al., 1979, Bertoft et al., 1984, Revay et al., 1992).

#### Benefits

Improved results from the rehabilitation program and faster return of function.

#### Harms

Negligible

#### Rationale

Three moderate-quality trials have documented self-training is equivalent to supervised training (Lungberg et al., 1979, Bertoft et al., 1984, Revay et al., 1992). Considerations include patient age, fracture type, post-reduction or post-surgical results, comorbidities. Self-training is not invasive, has few adverse effects, and is low to moderate cost; thus, it is recommended for most proximal humeral fracture patients.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **14.5.8. FOLLOW-UP VISITS**

Patients with fractures generally require several follow-up appointments for purposes of monitoring symptoms, advancing treatment, advancing activities, and gradually reducing limitations. The number

of follow-up appointments is higher when surgery is needed, the type of surgery and post-operative treatment care (e.g., AAOS guideline), patient-specific factors and/or there are needs to treat a coexistent condition. Frequencies of appointments may also be greater if workplace limitations are required, job demands are higher, or where job modifications or adaptive equipment are required. . Frequencies may also be higher based on employer and insurer requests or needs. Post-operative rehabilitation can be considerable, particularly in older patients with other associated injuries such as rotator cuff injuries. In those cases, there may be a requirement for therapy on a prolonged basis to recover as much function as possible.

# **15. CLAVICULAR FRACTURES**

# **15.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing clavicular fractures from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# **15.2. OVERVIEW**

Fractures of the clavicle are among the most common fractures of the shoulder, constituting an estimated 35 to 66% (925,926,927,928,929,930). They particularly occur among children and young adults, and are typically related to lateral falls on the shoulder, falls on an outstretched arm, sporting injuries, vehicular crashes, and other accidents (931,932). Most fractures involve the middle third of the clavicle (905,925,926,931,933,934,935). A "floating shoulder" is a term used to describe ipsilateral fractures of the clavicular shaft and the scapular neck (936,937,938,939,940,941,942,943,944).

## **15.3. WORK LIMITATIONS**

Shoulder fractures generally require work limitations. For simple clavicular fractures, limitations typically include minimizing use of the affected extremity and gradually allow increased use as healing occurs. In contrast with the acute phase of managing humeral fractures, patients with clavicular fractures generally wear a brace and are allowed unrestricted light use, keyboarding, paperwork and other tasks involving under 5 pounds of force (push, pull, lift).

# **15.4. DIAGNOSTIC RECOMMENDATIONS**

# 15.4.1. X-RAYS

## **X-RAYS FOR SHOULDER OR CLAVICULAR FRACTURES**

#### Recommended

X-rays are recommended for evaluation of shoulder or clavicular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

## Indications

All patients suspected of having shoulder or clavicular fractures, as well as those with trauma without suspicion of fracture to help rule out fracture. Scapular views are required to evaluate scapular fractures.

## Benefits

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

#### Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

#### Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. After initially negative x-rays, a second set of x-rays 7-14 days after trauma is advisable if there is either a suspicion of fracture or lack of major interval improvements. For patients with chronic shoulder pain, it may be reasonable to obtain another set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

## Rationale

X-rays are helpful to evaluate for fracture. They also assist in differential diagnostic possibilities such as tendinosis, calcific tendinitis, and arthroses, which result in different treatment options. X-rays are non-invasive, low to moderate costly, and have little risk of adverse effects, and therefore are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures,

clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.4.2. COMPUTED TOMOGRAPHY**

Computerized tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most patients have issues with soft tissue rather than bony abnormalities in the shoulder; thus, on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear.

## COMPUTED TOMOGRAPHY FOR EVALUATION OF CLAVICLE FRACTURES

## **Not Recommended**

Computerized tomography is rarely needed for isolated clavicular fractures. It is selectively recommended for the evaluation of complex proximal humeral and glenoid/scapular fractures. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

## Indications

Generally not indicated for clavicular fractures. Imaging may be indicated for complex proximal humeral and glenoid/scapular fractures or other calcified structures. For most shoulder fractures, x-rays suffice. CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging.

## Benefits

Diagnosis and understanding of the degree of complex fractures, calcific tendinitis.

## Harms

#### Radiation exposure.

## Frequency/Dose/Duration

Obtaining a CT once is generally sufficient. For patients with chronic shoulder pain, it may be reasonable to obtain a second CT later to re-evaluate the patient's condition, particularly if symptoms change.

#### Rationale

X-rays are sufficient for most clavicular fractures. CT Is superior where imaging calcified structures is required, such as for complex proximal humeral and glenoid/scapular fractures. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear. MRI is considered superior to computerized tomography for imaging most shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern. A contrast CT study is minimally invasive, has few, if any, adverse effects but is costly. It is recommended for select use.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

## **15.4.3. DIAGNOSTIC ANESTHETIC INJECTIONS**

Diagnostic injections are not needed for patients with simple clavicular fractures. Diagnostic injections particularly of the subacromial space, glenohumeral joint and acromioclavicular joint are sometimes performed. However, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes. See Rotator Cuff Tendinopathy Injections.

## **15.4.4. MAGNETIC RESONANCE IMAGING**

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems because it tends to be helpful for imaging soft tissues, particularly the rotator cuff.

## MAGNETIC RESONANCE IMAGING FOR SHOULDER OR CLAVICULAR FRACTURES

## **Sometimes Recommended**

MRI is selectively recommended for patients with shoulder or clavicular fractures who are also suspected of having acute, clinically significant rotator cuff tears. It is also recommended for select patients with subacute or chronic shoulder pain thought to potentially have a symptomatic rotator cuff tear.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

#### Indications

Patients with shoulder fractures who are also thought to have an acute, clinically significant rotator cuff tear or subacute or chronic shoulder pain suspected of having a rotator cuff tear. If there is significant rotator cuff weakness, immediate imaging may be indicated. MRI may be indicated as well for scapular fractures with concerns about thoracic injury. Exceptions include elderly patients, those who would not undergo surgical repair, or those who have substantial signs of pre-existing large/massive rotator cuff tear. It is also reasonable to wait for 1 or 2 weeks to ascertain whether the condition is likely to resolve with conservative care without obtaining an MRI. Most acute tears without significant weakness should wait 2+ weeks prior to imaging as some patients with acute pain and limited ROM resolve clinically. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise after fracture healing and injection(s).

## Benefits

Secure a secondary diagnosis.

#### Harms

False positives and false negatives for rotator cuff tears.

## Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

One moderate-quality study compared MRI with arthrography, suggesting MRI is superior to arthrography to evaluate for rotator cuff tears (Blanchard et al., 1999); however, arthrography alone has been largely replaced by other procedures. Otherwise, MRI has not been evaluated in high-quality studies for shoulder joint pathology (Dinnes et al., 2003, Leunig et al., 2004, Kassarjian et al., 2005). MRI appears particularly helpful for soft tissue abnormalities and has been suggested for evaluations of patients with symptoms over 3 months (Bredella et al., 2005, Kassarjian et al., 2005). MRI was compared with arthroscopy in 57 patients with shoulder pain of unclear cause (Torstensen et al., 1999). MRI was found to be accurate in detecting 68% of rotator cuff tears and 62% accurate in detecting labral injuries. MRI sensitivity for RC tears was 96% and specificity 49% (for labral tears, 73% sensitive, 58% specific). The authors concluded that "MRI does not appear to be an accurate effective tool for assessing shoulder pathologic conditions in patients in whom the clinical picture is not clear and therefore may not be of assistance in surgical planning for patients with these difficult conditions."

MRI was compared with arthroscopic findings among 16 patients with trauma (Kirkley et al., 2003). The authors found moderate correlation for superior labral lesions (k = 0.60), fair agreement for rotator cuff tear (k = 0.355), Hill-Sachs (k = 1.0), and moderate for size (k = 0.44). A consecutive case series of 104 patients with shoulder problems were evaluated and randomized to MRI first versus arthrography first. There were modestly fewer changes in diagnostic categories with MRI (30%) than arthrography (37%), p >0.5. MRI led to slightly more changes in planned therapy (36% vs. 25%, p >0.3). MRI was found to be 79% accurate, 81% sensitive and 78% specific for full-thickness rotator cuff tears. Arthrography was found to be 82% accurate, 50% sensitive and 96% specific (Blanchard et al., 1999). A cross-sectional comparison of MRI (1.5T loop-gap resonator surface coil), double-contrast arthrography, high-resolution sonography, and surgery among 38 patients with suspected rotator cuff tears did not include all patients receiving all tests or surgery (other than MRI and arthrography) and reported a sensitivity of MRI of 100% (Burkhead WZ, 2007). Ultrasound detected 9/15 (60%) of tears. However, the study population was small and biased in favor of overestimating the tests' sensitivity. MRI has shown increased changes in the rotator cuff and tears with increased age (Sher et al., 1995, Needell et al., 1996), as well as a high prevalence of bony and peritendinous shoulder abnormalities among those without symptoms (Needell et al., 1996). MRI has reasonably good operant characteristics for full-thickness tears, although it does not have good sensitivity for partial thickness tears (Dinnes et al., 2003). Fatty infiltration of the rotator cuff tendons is also found on MRI and thought to signify chronicity as well as portending a poorer surgical outcome (Berhouet et al., 2009). A comparative assessment of T-2 weighted fast spin-echo technique with vs. without fat-suppression MRI for assessment of rotator cuff tears among 177 patients thought to have tears found no differences in assessments of complete tears, but differed in interpretations of partial tears (Singson et al., 1996). Compared with surgery, sensitivity was 100% for full-thickness tears and specificity for intact tendons was 86%. Fat suppression was felt helpful for partial tears. MRI demonstrates acromial abnormalities and there is a higher prevalence of Type 3 acromion processes among those with either rotator cuff tear or impingement syndrome (Epstein et al., 1993). It has been suggested increased T2 signal in the distal clavicle may be an indication for surgical resection.

MRIs are considered the gold standard for evaluation of osteonecrosis patients and are used to quantify volume of affected tissue including marrow edema which is inversely correlated with prognosis (Coombs et al., 1994, Koo et al., 1995, Scheiber et al., 1999, Cherian et al., 2003, Radke et al., 2003, Jones et al., 2004, Harreld et al., 2009).

Magnetic resonance imaging (MRI) is often used as a secondary test after x-ray for many shoulder joint problems since it tends to be helpful for imaging soft tissues, particularly the rotator cuff (Cartland et al., 1992, Tirman et al., 1994, Wnorowski et al., 1997, Connell et al., 1999, Tuite et al., 2000, Tung et al., 2000, Ardic et al., 2006, Chang et al., 2006, Reuss et al., 2006, Chang et al., 2008, Pandya et al., 2008, McFarland et al., 2009, Mulyadi et al., 2009). Although studies are not heterogeneous, pooled estimates of the sensitivity for full-thickness tears has been calculated and is 89% with specificity 93%, while for partial thickness tears, these estimates are only 44% sensitivity and 90% specificity (Dinnes et al., 2003). Similarly accuracy is lower for smaller than larger tears (Yamakawa et al., 2001). There are concerns that MRI is inferior to MR arthrography for evaluating the labrum (Schmerl et al., 2005); thus, MRA is recommended for evaluation of the joint.

MRI is not invasive, has potential adverse effects from issues of claustrophobia or complications of medication, but is costly. MRI is not recommended for routine shoulder imaging and is not indicated solely to evaluate fractures, especially because CT is superior for osseous imaging. However, it is

recommended for select shoulder joint pathology particularly involving concerns regarding secondary soft tissue pathology.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Of the 29 articles considered for inclusion, 1 diagnostic study and 0 systematic reviews met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.4.5. MAGNETIC RESONANCE ARTHROGRAM**

Magnetic resonance arthrography (MRA) combines and MRI with an arthrogram to overcome MRI limitations and is usually performed in preference to CT arthrography unless bony structure definition is needed as well. MRA is particularly thought to be effective for imaging labral pathology.

# MAGNETIC RESONANCE ARTHROGRAM FOR DIAGNOSING SHOULDER OR CLAVICULAR FRACTURES

#### Sometimes Recommended

Magnetic resonance arthrography (MRA) is selectively recommended for diagnosing labral tears in patients with shoulder or clavicular fracture(s). **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Patients with shoulder fracture(s) who also have symptoms of, or clinical suspicion of labral tears. Patients should generally have failed non-operative treatment of the labral tear or soft tissue including NSAID and waiting 4 to 6 weeks without trending towards resolution.

## Benefits

Secure a diagnosis.

## Harms

False positives and false negatives for labral tears. Arthrography improves the accuracy especially regarding complete rotator cuff tears and significant labral tears. Small risk of infection and complications from the injection.

## Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

## Rationale

MRA has not been evaluated in quality studies among patients with shoulder fracture(s). Although studies are heterogeneous, pooled estimates of the sensitivity for full-thickness tears is estimated at 95% with specificity 93% (Dinnes et al., 2003). There is high prevalence for labral injury with first shoulder dislocation based on MRA (Antonio et al., 2007). Arthrography with low-field MR was found to be equivalent to high-field in a series of 38 patients (Loew et al., 2000). A comparison of high- versus low-field MR imaging for SLAP tears among symptomatic patients found high field superior for diagnosing SLAP (Tung et al., 2000). The sensitivity of high field MRA was 90% and specificity was 63%, while sensitivity for low field was 64% and 70% specificity. MRA was found superior to CT arthrography (CTA) and marginally better than MRI for identification of labral tears in a case series of patients with recurrent anterior instability, prior anterior dislocation, or shoulder pain of unknown cause (Chandnani et al., 1993). MRA sensitivity for a labral tear was 96.4%, MRI was 92.9%, and CTA was 73.1%. Specificity was 100% for all three tests; however, this appears overstated as there were only two patients without a tear in this small case series.

MR arthrography is invasive, has adverse effects (including a low but definite risk of infection), and is painful. It is also costly, although MRA is believed to provide better cost effectiveness than MRI or CT arthrography for select diagnoses (Oh et al., 1999). It is likely the best imaging procedure available for patients thought to have labral tears or patients with good strength in order to assess the labrum and rotator cuff with traumatic injury simultaneously, and is recommended for select use.

## Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures,

clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

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# 15.4.6. ULTRASOUND

Diagnostic ultrasound has been used for evaluating rotator cuff tears.

# ULTRASOUND FOR DIAGNOSING SHOULDER OR CLAVICULAR FRACTURES

#### Sometimes Recommended

Ultrasound is selectively recommended for shoulder or clavicular fracture patients also suspected of having rotator cuff tears, tendinoses, or impingement. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

# Indications

Ultrasound technicians should have sufficient skill to obviate the need for scanning (Boykin et al., 2010, Hanchard et al., 2013); otherwise, the test introduces unnecessary redundancy. Patients with shoulder fracture(s) who also have symptoms and signs of a clinically significant rotator cuff tear, tendinosis, or impingement (Naredo et al., 1999, Iannotti et al., 2005, Ardic et al., 2006, Wall et al., 2012). Most clinical presentations should wait approximately 2 weeks prior to imaging as some patients with acute pain and limited range of motion resolve clinically; obvious tears are an exception to waiting two weeks. Those with subacute or chronic pain should generally have failed additional non-operative treatment including NSAID, exercise, and injection(s) (Moosikasuwan et al., 2005, Ottenheijm et al., 2010). A MR arthrogram is recommended for suspected labral injury (see below) (Ardic et al., 2006).

# Benefits

Secure a diagnosis.

# Harms

False positives and false negatives.

# Frequency/Dose/Duration

Repeat ultrasound should be based on significant change in symptoms and/or examination findings.

#### Rationale

Ultrasound has been compared with physical examination findings, suggesting physical exam identified fewer abnormalities compared with ultrasound, though there was not clinical correlation with treatment outcomes (Kim et al., 2007). Ultrasound utilized to evaluate asymptomatic shoulders found increased prevalence of full-thickness tears with increased age (Sher et al., 1995, Tempelhof et al., 1999), with approximately 6% among 212 individuals (Schibany et al., 2004) and in 7.6% of 420 patients (Moosmayer et al., 2009). Asymptomatic tears increase in prevalence by age – 50 to 59 (2.1%) versus 60 to 69 (5.7%) versus 70 to 79 (15%) (Moosmayer et al., 2009).

Ultrasound is thought to be relatively effective for identifying full-thickness tears (Middleton et al., 1986, Furtschegger et al., 1988, Mack et al., 1988, Mack et al., 1988, Brenneke et al., 1992, Jannotti et al., 1996, Zehetgruber et al., 2002, Ottenheijm et al., 2010, Smith et al., 2011); however, it appears somewhat less effective for identifying partial-thickness tears (Brenneke et al., 1992, Naredo et al., 1999, Ottenheijm et al., 2010, Smith et al., 2011). A surgical case series of 42 patients attempted to determine the diagnostic accuracy of ultrasound. Ultrasound detected all full-thickness tears (100% sensitive, 97% specific), but only 6 of 13 of the partial-thickness tears (46% sensitive, 97% specific). One full-thickness tear was falsely diagnosed. Another study has suggested sensitivity for detection of tear size of 83 to 86%. (lanotti) Ultrasound has advantages of being able to move the arm actively or passively during the examination; it is less expensive; and it may be available in most centers. (Boykin, Friedman et al.) When conservative treatment failed, skilled physician's usingultrasound reportedly had high diagnostic accuracy identifying tendinopathy, calcifying tendonitis, and partial- and fullthickness tears. (Moosikasuwan, Miller et al., Ottenheijm, Jansen et al.) SLAP lesions cannot be well visualized using ultrasound. (Hanchard, Lenza et al.) Impingement was felt to have been diagnosed in 27 of 34 cases (79% sensitive, 96% positive predictive value). (Read and Perko) A small study of ultrasound the day before surgery for shoulder arthritis in 20 patients suggested that ultrasound was accurate for evaluating hypertrophy of the bursa (93% sensitive, 83% specific), biceps tendon rupture (70% sensitive, 100% specific) and rotator cuff tear (83% sensitive, 57% specific). (Alasaarela, Leppilahti et al.) Ultrasound-guided MR arthrography was evaluated in an RCT with anterior versus posterior approaches and found equal ratings of discomfort. (Koivikko and Mustonen) Ultrasound is not invasive, is of low to moderate cost, and has little risk of adverse effects; therefore, although there are concerns that MRI may be superior for imaging most of shoulder soft tissue, ultrasound is recommended particularly for evaluation of rotator cuff tears as a complication of shoulder fracture(s). The main disadvantage is the high dependency on the physician's skills. (Boykin, Friedman et al., Hanchard, Lenza et al.)

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

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reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.4.7. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR SHOULDER OR CLAVICULAR FRACTURES

# Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with shoulder or clavicular fractures.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment, and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.4.8. POSITRON EMISSION TOMOGRAPHY (PET)**

# POSITRON EMISSION TOMOGRAPHY (PET) FOR SHOULDER OR CLAVICULAR FRACTURES

# **Not Recommended**

Positron emission tomography (PET) is not recommended for the evaluation of patients with shoulder or clavicular fractures.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.5. TREATMENT RECOMMENDATIONS**

# 15.5.1. INITIAL CARE

Initial care of a patient with fracture generally involves preclusion of use, prompt assessment of the severity, a determination of whether surgery or non-operative management is best, and education. Identification of accompanying disorders, such as rotator cuff tear, allows for treatment of a second condition to substantially reduce or resolve the symptoms. Over-the-counter analgesics, self-applications of heat and ice, and slings are used to treat non-displaced fractures and manage pain.

# OVER-THE-COUNTER (OTC) ANALGESICS FOR TREATMENT OF SHOULDER OR CLAVICULAR FRACTURES

#### Recommended

Over-the-counter analgesics are recommended for treatment of shoulder or clavicular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Shoulder fractures, usually as adjuncts to other treatments

#### Benefits

Self-management of the pain

#### Harms

Negligible for OTC analgesics unless acetaminophen doses exceed 3.5 g, or the patient has liver disease or other contraindications

# Frequency/Dose/Duration

Analgesics should be used per manufacturer's recommendations

#### **Indications for Discontinuation**

Fracture healing, resolution of pain, lack of efficacy, intolerance, complication

#### Rationale

There are no quality trials evaluating analgesics for managing shoulder fractures. There is some evidence of delayed fracture healing with NSAIDs. However, analgesics and OTC NSAIDs are likely helpful and there is some quality evidence for the use of prescription NSAIDs for other shoulder nociceptive pain (see NSAIDs for rotator cuff tendinopathy). Thus, they are recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation,

random<sup>\*</sup>, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SELF-APPLICATION OF HEAT OR ICE FOR TREATMENT OF SHOULDER OR CLAVICULAR FRACTURES

#### Recommended

Self-application of heat or ice is recommended for treatment of shoulder or clavicular fractures. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Shoulder fractures, usually as adjuncts to other treatments

#### Benefits

Self-management of the pain

Harms

Possible cold injuries or burns

# Frequency/Dose/Duration

Self-applications of ice or heat are typically 3-5 times/day. Ice is more typically used in the acute phase for fractures.

#### **Indications for Discontinuation**

Fracture healing, resolution of pain, lack of efficacy, intolerance, complication

#### Rationale

There are no quality trials evaluating ice or heat for managing shoulder fractures. However, they may be helpful, have generally negligible adverse effects, are of negligible cost, and thus they are recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.5.2. MEDICATIONS**

Over-the-counter medications may be helpful to manage pain. These especially include acetaminophen and NSAIDs (646,645), with NSAIDs showing greater efficacy, but overall acetaminophen has a generally greater safety profile. Generally, the only medications commonly used for fracture patients are NSAIDs and brief, post-operative use of opioids in select patients. Other medications may be indicated if there is osteopenia.

# **15.5.3. SURGICAL CONSIDERATIONS**

Clavicular fractures are mostly managed non-operatively (sling and figure-8 brace) (2242,2243,2244,2245), while some are managed surgically with various techniques and procedures, including open reduction internal fixation with plates (2243,2246,2247,2248,2249), pins (930,2242,2243,2247,2250), wires, and nails (935,2243,2247,2251). Increased risks for nonunions include increasing age, female sex, comminution, and displacement (2074). Low-intensity pulsed ultrasound has also been used to attempt to accelerate healing of these fractures (2252,2253,2254,2255,2256,2257,2258,2259,2260,2261,2262).

NON-OPERATIVE TREATMENT FOR CLAVICULAR FRACTURES

# Recommended

Non-operative treatment is recommended for most patients with clavicular fractures, especially among those with non-displaced fractures. Although non-operative management is an acceptable treatment option for some patients, most workers with displaced mid-clavicular fractures may prefer to have operative management due to faster functional recovery, faster return to work, and lower risk of non-union. Non-operative management is not recommended for comminuted fractures. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** High

# Indications

Simple clavicular fractures, typically 2-part that are non-displaced and especially in younger patients. Selective displaced mid-clavicular fractures have also been shown to be successfully treated non-operatively (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Virtanen et al., 2012). Comminuted mid-shaft fractures are poor candidates for non-operative management due to nonunion and malunions (Mirzatolooei, 2011). Surgery appears superior for management of ipsilateral scapular neck and clavicular shaft fractures (Lin et al., 2015).

# Benefits

Typically, the fastest recovery with return of function among those with non-displaced fractures is achieved with non-operative management. Among worker's compensation patients with displaced mid-clavicular fractures, recovery may be faster among those operatively managed (Melean et al., 2015). Among general patients, recovery is faster with lower risk of non-union among those surgically treated for displaced midclavicular factures (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Virtanen et al., 2012)(Tamaoki et al., 2017, Hall et al., 2021, Woltz et al., 2017, Robinson et al., 2013, Qvist et al., 2018, Bhardwaj et al., 2018).

# Harms

Negligible among those with non-displaced fractures, unless there are complicating conditions present, co-morbidities or a more complex fracture. Among those with displaced mid-clavicular fractures, there is ~10-fold risk of non-union with non-operative compared with operative management in the RCT data, although long-term outcomes are comparable (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Virtanen et al., 2012)(Tamaoki et al., 2017, Hall et al., 2021, Woltz et al., 2017, Robinson et al., 2013, Qvist et al., 2018, Bhardwaj et al., 2018).However, large registry data suggest nonunion rates of 5.9% among those nonoperatively treated compared with 5-6% among those operatively treated (Zlowodzki et al., 2005), which raise questions about risks in the general population compared with centers involved in RCTs. Among those with either 1) comminuted midshaft fractures or 2) psilateral scapular neck and clavicular shaft fractures, attempted non-operative management resulted in high rates of nonunion and malunion (Mirzatolooei, 2011, Lin et al., 2015).

# Frequency/Dose/Duration

There is quality evidence from many quality RCTs that some closed displaced midclavicular fractures may be successfully treated with a sling or other non-operative means (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Virtanen et al., 2012)(Tamaoki et al., 2017, Hall et al., 2021, Woltz et al., 2017, Robinson et al., 2013, Qvist et al., 2018, Bhardwaj et al., 2018)(Mirzatolooei, 2011, Lin et al., 2015, Judd et al., 2009, Ersen et al., 2015). Either sling or Figure-8 braces may be used with some evidence suggesting a simple sling is superior to figure-of eight bracing for midclavicular fractures

(Andersen et al., 1987). Non-displaced fractures can be treated with either, and there is not a consensus on preferred treatment as the figure-8 allows distal extremity movement (Eiff, 1997, Stanley et al., 1988, Andersen et al., 1987, McCandless et al., 1979, Khan et al., 2009). A low-quality trial suggested superiority of the addition of kinesiotaping to an arm sling (Dedeoglu et al., 2022). Slings and braces are used until tenderness and crepitance is resolved (Eiff, 1997).

#### **Indications for Discontinuation**

Operative management is indicated among those with nonunion, malunion, or poor/delayed healing.

#### Rationale

There is one trial comparing non-operative treatments and evidence in evaluating different outcome or complication factors is not uniformly in favor of one treatment approach. Thus, either a simple sling or a figure -8 brace is recommended (Andersen et al., 1987). The majority of clavicular fractures are believed to not require surgery (Eiff, 1997, Zlowodzki et al., 2005, Khan et al., 2009, Kim et al., 2008, Jeray, 2007, Denard et al., 2005, Craig, 1990, Graves et al., 2005, Miller et al., 1992, Quigley, 1950, Smekal et al., 2009). However, a sizeable minority of these fractures may be better treated with surgery which is often recommended for all open fractures, and for many patients with neurovascular compromise (Barbier et al., 1997, Chen et al., 2000, Chen et al., 2002, Connolly et al., 1989, Howard et al., 1965, Fujita et al., 2001, Miller et al., 1969, Kay et al., 1986, Bateman, 1968), multiple trauma, floating shoulders, Type II distal fractures and proximal fractures associated with sternoclavicular dislocations, coracoclavicular ligament disruption (Chen et al., 2002), malunions, and painful non-unions (Eiff, 1997, Jeray, 2007, Graves et al., 2005, Chen et al., 2002, Jones et al., 2000).

There is quality evidence from many RCTs that displaced midclavicular fractures achieve the same long-term outcomes whether treated with a sling or other non-operative means compared with surgical fixation (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Virtanen et al., 2012, Mirzatolooei, 2011, Tamaoki et al., 2017, Hall et al., 2021, Woltz et al., 2017, Robinson et al., 2013, Qvist et al., 2018, Bhardwaj et al., 2018, Lin et al., 2015, Judd et al., 2009, Ersen et al., 2015). Most studies suggest contrasting risks of: 1) small but detectable increased risks of reoperations in the surgical arms (e.g., plate removals), while 2) also showing higher rates of non-union in the non-operative arms. One trial in worker's compensation patients reported faster return to work and function among those treated operatively (2.9 vs. 3.7 months) (Melean et al., 2015). The available evidence shows higher rates of union in those receiving surgery, but the overall numbers of complications are not improved with surgery. While the quality evidence in favor of lower non-union and malunion rates moderately supports surgical approaches, the total numbers of complications is higher in the operative than non-operative group. Thus, careful consideration must be used with either approach (Canadian Orthopaedic Trauma Society, 2007, Judd et al., 2009, Smekal et al., 2009, Altamimi et al., 2008).

Comminuted mid-shaft fractures are poor candidates for non-operative management due to high rates of nonunion and malunions (Mirzatolooei, 2011). Surgery appears superior for management of ipsilateral scapular neck and clavicular shaft fractures (Lin et al., 2015).

Simple clavicular fractures have excellent healing, full return of function, require minimal management, and are recommended for non-operative treatment. Among patients with displaced midclavicular fractures, non-operative management may be selectively recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Of the 32 articles considered for inclusion, 15 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### SURGICAL TREATMENT FOR CLAVICULAR FRACTURES

#### Sometimes Recommended

Surgical intervention is moderately recommended for select patients with clavicular fractures. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** High

#### Indications

Surgical indications are largely based on numerous factors that are assessed and evaluated in aggregate by the orthopedic surgeon, including surgeon's preferences and experiences, open fractures, multiple-part fractures, degree of displacement, associated vascular injuries, polytrauma, increasing age, bone quality, hand dominance, medical comorbidities, bilateral fractures, neurological loss after penetrating injuries, unacceptable alignment, and failure of non-operative treatment (Society, 2007, Judd et al., 2009, Smekal et al., 2009, Altamimi et al., 2008). Fractures of the lateral end of the clavicle are recommended for surgical treatment. Another consideration is that there is one trial of worker's compensation patients with displaced midclavicular fractures, and it showed faster recovery among those operatively managed compared with non-operative management (Melean et al., 2015).

#### Benefits

Superior healing and recovery. Reduced risk of infection if the fracture was open. Reduced risk of nonunion if surgical repaired (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Virtanen et al., 2012).

# Harms

Surgical complications, infection, adhesive capsulitis. Risk of a second surgical procedure being needed.

#### Rationale

The majority of clavicular fractures do not require surgery (Eiff, 1997, Zlowodzki et al., 2005, Khan et al., 2009, Kim et al., 2008, Jeray, 2007, Denard et al., 2005, Craig, 1990, Graves et al., 2005, Miller et al., 1992, Quigley, 1950, Smekal et al., 2009, Preston et al., 2009). However, a sizeable minority of these fractures may be better treated with surgery, which is often recommended for all open fractures, and for many patients with neurovascular compromise (Barbier et al., 1997, Chen et al., 2000, Chen et al., 2002, Connolly et al., 1989, Howard et al., 1965, Fujita et al., 2001, Miller et al., 1969, Kay et al., 1986, Bateman, 1968), multiple trauma, displaced fractures (Smekal et al., 2009), floating shoulders, Type II distal fractures and proximal fractures associated with sternoclavicular dislocations, coracoclavicular ligament disruption (Chen et al., 2002), malunions, and painful non-unions (Eiff, 1997, Jeray, 2007, Graves et al., 2005, Chen et al., 2002, Jones et al., 2000).

There is evidence from many quality RCTs that displaced midclavicular fractures achieve the same long-term outcomes whether treated with a sling or other non-operative means compared with surgical fixation (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Judd et al., 2009, Ersen et al., 2015). However, most studies suggest contrasting risks of: 1) small but detectable increased risks of reoperations in the surgical arms (e.g., plate removals), while 2) also showing ~10-fold higher rates of non-union in the non-operative arms. However, large registry data suggest nonunion rates of 5.9% amongt those nonoperatively treated compared with 5-6% among those operatively treated (628), which raises questions about risks in the general population compared with centers involved in RCTs. One trial in worker's compensation patients reported faster return to work and function among those treated operatively (2.9 vs. 3.7 months) (Melean et al., 2015). Thus, among most worker's compensation patients, surgery may be preferable to non-operative management of these fractures, although non-operative management may be acceptable under select circumstances (e.g., risk of surgery). Surgical fixation of mid-shaft fractures most commonly involves intramedullary fixation (Grassi et al., 2001, Neviaser et al., 1975) and plate fixation (Kloen et al., 2009, Graves et al., 2005, Kabak et al., 2004, Bradbury et al., 1996, Jupiter et al., 1987, Fuglesang et al., 2017, van der Meijden et al., 2015, Hulsmans et al., 2017, Sohn et al., 2015, Andrade-Silva et al., 2015, King et al., 2019, Zehir et al., 2015, Calbiyik et al., 2017, Narsaria et al., 2014). While the quality evidence in favor of lower non-union and malunion rates moderately supports surgical approaches, the total numbers of complications is higher in the operative than non-operative group. Thus, careful consideration must be used with either approach (Society, 2007, Judd et al., 2009, Altamimi et al., 2008, Smekal et al., 2009).

Comminuted mid-shaft fractures are poor candidates for non-operative management due to high rates of nonunion and malunions (Mirzatolooei, 2011). Surgery appears superior for management of ipsilateral scapular neck and clavicular shaft fractures (Lin et al., 2015).

Fractures of the lateral end of the clavicle have a high rate of non-union ((Robinson et al., 2004, Khan et al., 2009). Type II fractures of the distal third of the clavicle (Neer, 1968) (coracoclavicular ligaments remain attached to distal fragment and proximal fragment displaced superiorly) are recommended for referral to an orthopedist for consideration of operative treatment due to high rates of non-union (Eskola et al., 1987, Eiff, 1997, Zenni et al., 1981, Anderson, 2003, Edwards et al., 1992, Post, 1989, Katznelson et al., 1976, Poigenfurst et al., 1992, Wang et al., 2020, Orlandi et al., 2022, Yan et al., 2017). Fractures of the proximal 1/3 of the clavicle with either significant displacement or sternoclavicular dislocation are recommended for referral to an orthopedist (Eiff, 1997).

Treatment for simple displaced fractures remains controversial (Melean et al., 2015, Ban et al., 2021, Ahrens et al., 2017, Judd et al., 2009, Ersen et al., 2015, Khan et al., 2009). There are some trials of more complex clavicular fracture management. There are many indications for surgical management,

although the vast majority of clavicular fractures are able to be managed non-operatively. Surgical management is recommended for these many indications (see common examples above).

Mid-shaft fractures are fixed with either intramedullary screws/pins or plates with screws; however, there are few quality trials comparing the different options. Thus, the overall evidence is not definitive. There are many studies of biomechanical responses to assess risks of fracture (Kemper et al., 2009), as well as failure of surgical fixation (Robertson et al., 2009, Celestre et al., 2008, Proubasta et al., 2002). Importantly, these failure rates might be more important for patients with higher physical activities.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 1 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 1 from other sources. Of the 33 articles considered for inclusion, 33 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.5.4. REHABILITATION PROGRAMS**

Supervised therapy is infrequently needed for clavicular fractures (945). Exceptions may include complicated fractures, fractures in the elderly, or fractures in patients with comorbidities.

# LOW-INTENSITY PULSED ULTRASOUND FOR TREATMENT OF TYPE I CLAVICULAR FRACTURES

#### Not Recommended

Low-intensity pulsed ultrasound is moderately not recommended for treatment of Type I (mid-shaft) clavicular fractures. **Strength of evidence** Moderately Not Recommended, Evidence (B)

Level of confidence Moderate

#### Rationale

One high-quality RCT of Type I clavicle (diaphyseal) fractures found no evidence of efficacy of ultrasound to accelerate healing (Lubbert et al., 2008). Thus, this intervention is not recommended for those fractures. However, favorable results reported for healing disparate nonunion fracture types in uncontrolled studies (Nolte et al., 2001) and some evidence for other fractures (Busse, 2009) does

suggest that there may be some role for low-intensity pulsed ultrasound for select clavicle fractures that has not yet been defined but, if successful, may involve more severe fracture types, risks for non-unions, or post-operative settings with risks of non-union.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Of the 32 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# LOW-INTENSITY PULSED ULTRASOUND FOR TREATMENT OF NON-TYPE 1 CLAVICULAR FRACTURES

# **No Recommendation**

Post-operative use of low-intensity pulsed ultrasound is not recommended for treatment of all other (non-Type 1) clavicle fractures or non-unions.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

One high-quality RCT of Type I clavicle (diaphyseal) fractures found no evidence of efficacy of ultrasound to accelerate healing (Lubbert et al., 2008). Thus, this intervention is not recommended for those fractures. However, favorable results reported for healing disparate nonunion fracture types in uncontrolled studies (Nolte et al., 2001) and some evidence for other fractures (Busse, 2009) does suggest that there may be some role for low-intensity pulsed ultrasound for select clavicle fractures that has not yet been defined but, if successful, may involve more severe fracture types, risks for non-unions, or post-operative settings with risks of non-union.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# EARLY MOBILIZATION FOR CLAVICULAR FRACTURES

#### **Sometimes Recommended**

Early mobilization is selectively recommended for clavicular fractures, post-surgical patients and/or among those with co-morbidities. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Select patients with complex clavicular fractures, post-surgical patients and/or among those with comorbidities.

#### Benefits

Earlier and improved return to function

#### Harms

Theoretical aggravation of a fracture if begun before sufficiently healed.

# Frequency/Dose/Duration

The goal is to begin range of motion as early as possible to help prevent stiffness. Frequent follow-up may help to transition the patient from immobilization to motion. Begin range of motion once fracture is stable (very early for stable impacted fractures). Other fractures can wait until the clavicle moves as if it is one unit- up to four weeks for most fractures. Treating orthopedist must ascertain whether early mobilization is appropriate. Considerations include patient age, fracture type, post-reduction or post-surgical results, comorbidities. Early mobilization generally starts within 1 week.

# Rationale

There are no quality studies of clavicular fractures, but multiple trials of proximal humeral fractures suggesting efficacy of early mobilization .(Hodgson et al., 2007, Hodgson et al., 2003, Lefevre-Colau et al., 2007, Agorastides et al., 2007)(Kristiansen et al., 1989). Thus, by analogy to proximal humeral fractures, early mobilization is not invasive, appears to have few adverse effects, is likely cost-effective, and thus recommended for complex clavicular fractures, post-surgical patients and/or among those with co-morbidities.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# EDUCATION AND EXERCISES FOR CLAVICULAR FRACTURES

#### Sometimes Recommended

Education and exercise are selectively recommended for complex clavicular fractures, post-surgical patients, and/or among those with co-morbidities. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Select patients with complex clavicular fractures, post-surgical patients, and/or among those with comorbidities.

#### Benefits

Improved compliance with the rehabilitation program and faster return of function.

#### Harms

Negligible

#### Frequency/Dose/Duration

Education may include adaptive techniques and use of adaptive equipment (as indicated) to facilitate continued participation in daily activities despite limitations of shoulder.

#### Rationale

There are no quality studies of clavicular fractures, but multiple trials of proximal humeral fractures suggesting efficacy of education and exercises (Kristiansen et al., 1989, Hodgson et al., 2003, Lefevre-Colau et al., 2007, Hodgson et al., 2007, Agorastides et al., 2007). Thus, by analogy to proximal humeral fractures, education and exercises are not invasive, appear to have few adverse effects, are likely cost-effective, and thus are recommended for complex clavicular fractures, post-surgical patients, and/or among those with co-morbidities.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SELF-TRAINING FOR CLAVICULAR FRACTURES

#### Sometimes Recommended

Self-training exercise is selectively recommended for those with clavicular fractures, post-surgical patients and/or among those with co-morbidities.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Select patients with complex clavicular fractures, post-surgical patients, and/or among those with comorbidities who are motivated and compliant with exercises to rehabilitate the injury (Lungberg et al., 1979, Bertoft et al., 1984, Revay et al., 1992).

#### Rationale

There are no quality studies of clavicular fractures, but multiple trials of proximal humeral fractures suggesting efficacy of early mobilization (Lungberg et al., 1979, Bertoft et al., 1984, Revay et al., 1992). Thus, by analogy to proximal humeral fractures, self-training is not invasive, appears to have few adverse effects, likely cost-effective, and thus is recommended for complex clavicular fractures, post-surgical patients and/or among those with co-morbidities.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: shoulder fractures, shoulder fracture; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,782 articles in PubMed, 39,900 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 27 from PubMed, 2 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: clavicle fractures bone, clavicular fractures bone, clavicle fractures, clavicular fractures; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,280 articles in PubMed, 17,100 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 32 from PubMed, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **15.5.5. FOLLOW-UP VISITS**

Patients with clavicular fractures generally require a few follow-up appointments as the prognosis is mostly excellent. Appointments are needed for monitoring symptoms, advancing treatment, advancing activities, and gradually reducing limitations. For those patients needing surgery, there are far more apointments needed and/or there are needs to treat a co-existent condition. Frequencies of appointments may also be greater if workplace limitations are required and job demands are higher or may require job modifications or adaptive equipment. Post-operative rehabilitation can be considerable, particularly in older patients with other associated injuries such as rotator cuff injuries. In those cases, there may be a requirement for therapy on a prolonged basis to recover as much function as possible.

# **16. BRACHIAL PLEXUS INJURIES**

# **16.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing brachial plexus injuries from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level

- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# **16.2. OVERVIEW**

Brachial plexopathies among workers have many, mostly high-force causes including motor vehicle accidents, sporting activities (especially football), bicycle accidents, industrial accidents, falls from heights, objects falling on a shoulder, and sequelae of fractures. However, there are many other cuases including birth palsies, use of backpacks (Rucksack paralysis), autoimmune neuropathies, infections, space occupying vascular lesions, hematomas from axillary artery punctures and procedures, sequelae of orthopedic shoulder or chest procedures, and primary tumors, metastases and post-radiation

(2263,2264,2265,2266,148,2267,2268,2269,2270,2271,2272,2273,1174,2274,2275,2276). Brachial plexus injuries from trauma in the context of work are considered occupational (948,148). Moderate to severe brachial plexus injuries previously had poor prognoses and remotely, amputation was sometimes performed (949). More recent results are considerably more promising. Only brachial plexopathy due to injuries will be reviewed in this section. Thoracic outlet syndrome is addressed in a separate module.

Brachial plexus injuries are quite heterogenous, ranging from mild "burner" or "stinger" football injuries (946) to complete avulsions of nerve roots (947). Depending on the degree of axonal damage, the prognoses vary from excellent to poor (947). These injuries have been divided into supraclavicular and infraclavicular injuries based on the main location of the injury as proximal or distal to nerve branches (148), with the supraclavicular thought to be more severe and more painful (948). Case series suggest most of these injuries occur in young males involved in motor vehicle accidents (948,2277). They are frequently accompanied by other injuries including concussions, other head trauma, rib fractures, shoulder girdle fractures, shoulder dislocations, humeral fractures, cervical spine injuries, and internal thoracic injuries, suggesting an associated death rate of 3.7% for those presenting to a regional trauma facility (948); many additional mild or isolated cases are not treated in trauma facilities.

Clinical suspicion leading to a careful history and focused physical examination is usually diagnostic (148,947). Weakness is present, sometimes with pain (148). Evaluating traumatic cases often involves x-ray to screen for fractures, potentially including the humerus, clavicle, scapula, cervical spine, and chest (148,947). Computerized tomography, sometimes with myelography, may be helpful in select cases for imaging the spine (947). However, MRI is generally the imaging procedure of choice after fractures have been ruled out or if additional studies are necessary (947,148,2278,2279,2280,2281). Electrodiagnostic studies are thought to be confirmatory in moderate to severely affected patients when performed at least 3 to 4 weeks after the injury to allow sufficient time for Wallerian degeneration (148,947). Electrodiagnostics are also used for intraoperative assessments (947).

# **16.3. DIAGNOSTIC RECOMMENDATIONS**

# **16.3.1. LABORATORY TESTS**

# LABORATORY TESTS FOR NEUROPATHIC PAIN

#### Recommended

Laboratory tests are selectively recommended as a screen to evaluate specific disorders (e.g., diabetes mellitus, alcohol) that may cause or contribute to peripheral neuropathic pain. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

# Indications

Patients with ongoing peripheral neuropathic pain that is not responding clinically as expected, including brachial plexopathies, and without prior diagnostic evaluations. Diagnostic testing should generally include fasting glucose and either hemoglobin A1c and/or 2-hour glucose tolerance testing. The threshold should be low for testing for signs of alcohol abuse (i.e., CBC with Mean Cell Volume, GGTP, AST and ALT). Testing is advisable even if other diagnostic testing finds another disorder (e.g., occupational neurotoxin) to assure there is not a treatable contributing factor.

#### Benefits

Diagnosing a latent condition. As there is evidence that multiple disorders interact to raise risk of neuropathy, addressing all causes is also thought to produce a more favorable prognosis.

#### Harms

Negligible

# Frequency/Dose/Duration

One evaluation. A second evaluation may be indicated when either there is a significant change in exposure (e.g., substantial weight gain) or symptoms change.

#### Rationale

Diabetes mellitus (or pre-diabetes/glucose intolerance) and alcohol abuse are important to treat to facilitate improvements in peripheral neuropathic pain. Serological tests are minimally invasive, unlikely to have substantial adverse effects, are low to moderately costly depending on the specific test ordered, have evidence of diagnostic efficacy and are thus recommended for focused testing of a few diagnostic considerations.

#### Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: X-Ray, Radiography, Diagnostic Imaging; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 6,059 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6,059 articles, 23 in CINAHL, 213 in Cochrane Library, 32,400 in Google Scholar,

and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 16.3.2. X-RAYS

# **RADIOGRAPHS (X-RAYS) TO DIAGNOSE BRACHIAL PLEXUS INJURIES**

# Recommended

Laboratory tests are selectively recommended as a screen to evaluate specific disorders (e.g., diabetes mellitus, alcohol) that may cause or contribute to peripheral neuropathic pain. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

# Indications

Most patients with brachial plexus injuries are candidates for cervical spine and/or shoulder x-rays, especially for significant trauma, pain without trending towards improvement, impaired use, and those with red flags.

# Benefits

Diagnosis of a fracture, calcific tendinitis, or otherwise latent medical condition(s).

# Harms

Medicalization or worsening of otherwise benign shoulder condition; minor radiation exposure.

# Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views of the cervical spine and/or shoulder. For patients with chronic pain, it may be reasonable to obtain a second set of cervical spine and/or shoulder x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

# Rationale

X-rays are helpful to evaluate most patients with brachial plexus-related, both to diagnose and to assist with the differential diagnostic possibilities such as fractures and arthroses (Harreld et al., 2009, Ficat, 1985). X-rays are non-invasive, low to moderate costly, and have little risk of adverse effects, and therefore are recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: X-Ray, Radiography, Diagnostic Imaging; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 6,059 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6,059 articles, 23 in CINAHL, 213 in Cochrane Library, 32,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.3.3. COMPUTED TOMOGRAPHY**

Computed tomography remains an important imaging procedure, particularly for bony anatomy, whereas MRI is superior for soft tissue abnormalities. However, most patients have issues with soft tissue rather than bony abnormalities in the shoulder, thus on a population-basis, far fewer CT scans are ordered. CT may nevertheless be useful for shoulder joint abnormalities where advanced imaging of the bones is required (i.e., complex proximal humerus fracture, scapular fracture). CT also may be useful to evaluate the anatomy in patients with contraindications for MRI (most typically an implanted metallic-ferrous device). CT arthrogram is often preferred when evaluating posterior or anterior glenohumeral instability when the bony anatomy needs to be better defined – glenoid deficiency and humeral Hill-Sachs – as MRI is not as good for bone imaging. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear.

# **CT MYELOGRAPHY TO DIAGNOSE BRACHIAL PLEXUS INJURIES**

#### Sometimes Recommended

CT myelography is selectively recommended for the evaluation of brachial plexus injuries. Strength of evidence Moderately Recommended, Evidence (B) Level of confidence Moderate

#### Indications

Patients thought to have an acute, clinically significant brachial plexus injury who also have concerns for osseous injury (e.g., cervical spine) and/or potentially confounding conditions with a contraindication for MRI (e.g., ferrous implant). CT myelography is generally superior to CT for evaluation of plexopathy cases and is helpful to identify nerve root lesions.

#### Benefits

Secure a diagnosis and that of a confounding condition.

#### Harms

Negligible, radiation exposure

# Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

# Rationale

There are no quality trials on the use of CT to evaluate brachial plexus injuries. However, there are studies of CT myelography and data conflict regarding whether CT myelography is superior to MRI (Bordalo-Rodrigues et al., 2020, Carvalho et al., 1997) or whether the two imaging techniques have comparable rates of detection of cervical nerve root avulsion (Van der Linde et al., 2015, Doi et al., 2002). These injuries are disparate in nature and require an individualized approach, particularly for the more severe cases. MRI is considered superior to CT for imaging most neck/shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern. However, where imaging calcified structures is required, CT is considered superior. This includes complex and occult fractures. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear. CT myelography is helpful for evaluation of plexopathies. CT is also helpful for imaging of potentially confounding injuries such as osseous injuries and occult fractures, and thus for most brachial plexus injuries, MRI is considered the best imaging tool available and so CT is only selectively recommended. CT myelography however has a more central role in evaluation of these injuries.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Of the 42 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **COMPUTED TOMOGRAPHY (CT) TO DIAGNOSE BRACHIAL PLEXUS INJURIES**

#### Sometimes Recommended

Computed tomography (without myelography) is selectively recommended for the evaluation of brachial plexus injuries.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

# Indications

Patients thought to have an acute, clinically significant brachial plexus injury who also have concerns for osseous injury (e.g., cervical spine) and/or potentially confounding conditions with a contraindication for MRI (e.g., ferrous implant). CT myelography is generally superior to CT for evaluation of plexopathy cases and is helpful to identify nerve root lesions.

# Benefits

Secure a diagnosis and that of a confounding condition.

#### Harms

Negligible, radiation exposure

#### Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

#### Rationale

There are no quality trials on the use of CT to evaluate brachial plexus injuries. However, there are studies of CT myelography, and data conflict regarding whether CT myelography is superior to MRI (Bordalo-Rodrigues et al., 2020, Carvalho et al., 1997) or whether the two imaging techniques have comparable rates of detection of cervical nerve root avulsion (Doi et al., 2002, Van der Linde et al., 2015). These injuries are disparate in nature and require an individualized approach, particularly for the more severe cases. MRI is considered superior to CT for imaging most neck/shoulder abnormalities where advanced imaging of soft tissues is usually the primary concern. However, where imaging calcified structures is required, CT is considered superior. This includes complex and occult fractures. CT arthrogram can be used in place of MRI to evaluate for rotator cuff tear. CT myelography is helpful for evaluation of plexopathies. CT is helpful for imaging of potentially confounding injuries such as osseous injuries and occult fractures, and thus for most brachial plexus injuries, MRI is considered the best imaging tool available and so CT is only selectively recommended. CT myelography however has a more central role in evaluation of these injuries.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.3.4. ELECTROMYOGRAPHY (INCLUDING NERVE CONDUCTION STUDIES)**

See the ACOEM Cervical and Thoracic Spine Disorders and Hand, Wrist, and Forearm Disorders for discussions regarding use of electrodiagnostic studies for evaluation of cervical spine and distal upper extremity-related disorders that may present as shoulder pain. Electrodiagnostic studies have also been used to confirm diagnostic impressions of other peripheral nerve entrapments, brachial plexopathies, and neurologic component of thoracic outlet syndrome.

# ELECTROMYOGRAPHY AND NERVE CONDUCTION STUDIES TO DIAGNOSE BRACHIAL PLEXUS INJURIES

#### Recommended

Electrodiagnostic studies are recommended to assist in the diagnosis of subacute or chronic brachial plexus injuries, as well as injuries to the long thoracic nerve and suprascapular nerve. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** High

#### Indications

Patients with subacute or chronic paresthesias with or without pain, particularly when the diagnosis is unclear. As EMG abnormalities require at least 3 weeks to develop changes, indications require failure to resolve or trend towards resolution after waiting 4 to 6 weeks (which also allows for potential clinical improvement), equivocal imaging findings such as CT or MRI, and suspicion by history and physical examination that a brachial plexopathy and/or radiculopathy may be present and there is a need to define the disorder with an anticipated potential need to change the treatment approach.

#### Benefits

Identification of neurological disorders, including plexopathy, radiculopathy, and peripheral nerve entrapment.

#### Harms

Negliglble.

# Frequency/Dose/Duration

Generally only one test is needed. If the test is obtained too early, a repeat study may be needed if symptoms persist or progress to ascertain whether the test becomes abnormal with time.

#### Rationale

Electrodiagnostic studies may assist in confirming peripheral nerve entrapments such as the long thoracic nerve and suprascapular nerve. These studies are minimally invasive, have minimal potential for adverse effects, and are moderate to high cost depending on the extent of the testing required.

Two comparative studies suggest nerve conduction studies are superior to MRI for the detection of plexus injuries (Caporrino et al., 2014, Chanlalit et al., 2005). Electrodiagnostic studies are considered

to have a central role in the evaluation of brachial plexus injuries, there is evidence of their utility, and thus, they are recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Electromyography, EMG; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 3,543 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 3,543 articles, 13 in CINAHL, 27 in Cochrane Library, 12,200 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 2 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 1 from Google Scholar, and 0 from other sources. Of the 3 articles considered for inclusion, 3 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.3.5. MAGNETIC RESONANCE IMAGING**

# **MAGNETIC RESONANCE IMAGING TO DIAGNOSE BRACHIAL PLEXUS INJURIES**

# Recommended

Magnetic resonance imaging (MRI) is recommended for patients suspected of having acute, clinically significant brachial plexus injuries. It is also recommended for select patients with subacute or chronic shoulder pain thought to potentially confounding conditions such as a symptomatic rotator cuff tear. **Strength of evidence** Moderately Recommended, Evidence (B) **Level of confidence** Moderate

# Indications

Patients thought to have an acute, clinically significant brachial plexus injury. MRI is also helpful for those injury cases where other confounding conditions may be present, such as cervical radiculopathy or rotator cuff injury. An MRI order would require specification of the body part(s) to be imaged.

# Benefits

Secure a diagnosis and that of a confounding condition.

Harms

Negligible

# Frequency/Dose/Duration

A second study is rarely needed, and should be based on significant changes in symptoms and examination.

# Rationale

MRI has reported 39-96% sensitivity and 26-95% specificity for the detection of brachial plexus injury compared with surgical results (Hems et al., 1999, Tagliafico et al., 2012, Gad et al., 2020, Hung et al., 2020, Veronesi et al., 2018, Acharya et al., 2020, Trung, 2021, Ochi et al., 1994, Zhang et al., 2018, Gerevini et al., 2008). MRI also has 79% sensitivity for cervical nerve root avulsion compared with surgical results (788). Data conflict regarding whether CT myelography is superior to MRI (Bordalo-Rodrigues et al., 2020, Carvalho et al., 1997) or whether the two imaging techniques have comparable rates of detection of cervical nerve root avulsion (Doi et al., 2002, Van der Linde et al., 2015). Comparable images are obtained, although with faster acquisition, with 3-dimensional isotropic resolution fast spin echo MRI compared with conventional MRI (Tagliafico et al., 2012). Axial T2-drive MRI myelography was found 100% sensitive and 97% specific compared with surgical exploration (Elsakka et al., 2022), and 3D MR myelography is reportedly 89% sensitive and 95% specific for detection of avulsed nerve roots (Gasparotti et al., 1997). 3D diffusion-weighted steady-state free precession high resolution MRI is reportedly 97% sensitive and 90% specific for the detection of brachial plexus injuries (Qin et al., 2016). Two comparative studies suggest nerve conduction studies are superior to MRI for the detection of plexus injuries (Caporrino et al., 2014, Chanlalit et al., 2005). A study of US found MRI was overall superior and there was only limited utility of US compared with MRI (Caldana et al., 2018).

MR neurography has also been used to evaluate brachial plexus injuries (Fisher et al., 2016, Crim et al., 2017, Sneag et al., 2020, Mabrouk et al., 2021, Du et al., 2010). The sensitivity was reported to be 41-71% and specificity was 97-100% (Crim et al., 2017). However, the comparisons do not include findings based on surgery.

These injuries are disparate in nature and require an individualized diagnostic approach, particularly for the more severe cases. MRI is considered the best imaging test for soft tissues. MRI is also helpful for evaluation of potentially confounding injuries such as rotator cuff. Thus, for most brachial plexus injuries, MRI is considered the best imaging tool available and is recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Magnetic Resonance Imaging, MRI; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 2113 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 2113 articles, 78 in CINAHL, 32 in Cochrane Library, 24200 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 37 from PubMed, 4 from CINAHL, 0 from Cochrane Library, 16 from Google Scholar, and 0 from other sources. Of the 57 articles considered for inclusion, 54 diagnostic studies and 3 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MAGNETIC RESONANCE NEUROGRAPHY FOR DIAGNOSING BRACHIAL PLEXUS INJURIES

#### Recommended

Magnetic resonance neurography (MRN) is recommended for patients suspected of having acute, clinically significant brachial plexus injuries. It is also recommended for select patients with subacute or chronic shoulder pain thought to potentially confounding conditions such as a symptomatic rotator cuff tear.

Strength of evidence Moderately Recommended, Evidence (B) Level of confidence Moderate

# Indications

Patients thought to have an acute, clinically significant brachial plexus injury. MRN is also helpful for those injury cases where other confounding conditions may be present, such as cervical radiculopathy or rotator cuff injury. An MRN order would require specification of the body part(s) to be imaged.

#### Benefits

Secure a diagnosis and that of a confounding condition.

#### Harms

Negligible

# Frequency/Dose/Duration

A second study is rarely needed and should be based on significant changes in symptoms and examination.

# Rationale

MRN has reported 39-96% sensitivity and 26-95% specificity for the detection of brachial plexus injury compared with surgical results (Hems et al., 1999, Tagliafico et al., 2012, Gad et al., 2020, Hung et al., 2020, Veronesi et al., 2018, Acharya et al., 2020)(Trung, 2021, Zhang et al., 2018, Gerevini et al., 2008). MRI also has 79% sensitivity for cervical nerve root avulsion compared with surgical results (Wade et al., 2018). Data conflict regarding whether CT myelography is superior to MRI (Bordalo-Rodrigues et al., 2020, Carvalho et al., 1997) or whether the two imaging techniques have comparable rates of detection of cervical nerve root avulsion (Doi et al., 2002, Van der Linde et al., 2015). Comparable images are obtained, although with faster acquisition, with 3-dimensional isotropic resolution fast spin echo MRI compared with conventional MRI (Tagliafico et al., 2012). Axial T2-drive MRI myelography was found 100% sensitive and 97% specific compared with surgical exploration (Elsakka et al., 2022), and 3D MR myelography is reportedly 89% sensitive and 95% specific for detection of avulsed nerve roots (Gasparotti et al., 1997). 3D diffusion-weighted steady-state free precession high resolution MRI is reportedly 97% sensitive and 90% specific for the detection of brachial plexus injuries (Qin et al., 2016). Two comparative studies suggest nerve conduction studies are superior to MRI for the detection of plexus injuries (Caporrino et al., 2014, Chanlalit et al., 2005). A study of US found MRI was overall superior and there was only limited utility of US compared with MRI (Caldana et al., 2018).

MR neurography has also been used to evaluate brachial plexus injuries (Fisher et al., 2016, Crim et al., 2017, Sneag et al., 2020, Mabrouk et al., 2021, Du et al., 2010). The sensitivity is reported as 41-71% and specificity 97-100% (Crim et al., 2017). However, the comparisons do not include findings based on surgery.

These injuries are disparate in nature and require an individualized diagnostic approach, particularly for the more severe cases. MRI/MRN is considered the best imaging test for soft tissues, MRI is also helpful for evaluation of potentially confounding injuries such as rotator cuff, and thus for most brachial plexus injuries, MRI/MRN is considered the best imaging tool available and is thus recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Of the 42 articles considered for inclusion, 5 diagnostic studies and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 16.3.6. ULTRASOUND

# **ULTRASOUND TO DIAGNOSE BRACHIAL PLEXUS INJURIES**

# **Not Recommended**

Ultrasound is not recommended for evaluation of brachial plexus injuries. There are other indications for its use.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

# Rationale

A study found MRI was generally superior to ultrasound, which had limited utility compared with MRI (Caldana et al., 2018). Because there is no clear rationale for its use in the evaluation of brachial plexus injuries and evidence of inferiority, ultrasound is not recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Ultrasound, Ultrasonography; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive

value, predictive value of tests, efficacy, and efficiency. We found and reviewed 6,213 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 6,213 articles, 94 in CINAHL, 397 in Cochrane Library, 22,800 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 1 from PubMed, 1 from CINAHL, 0 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 3 diagnostic studies and 1 systematic review met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.3.7. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) TO DIAGNOSE BRACHIAL PLEXUS INJURIES

# Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with brachial plexus injuries.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain including brachial plexus injuries. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders including brachial plexus injuries.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Positron Emisson Tomography, Single-Photon Emission-Computed Tomography, PET, SPECT; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 114 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 114 articles, 1 in CINAHL, 214 in Cochrane Library, 3,310 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.3.8. POSITRON EMISSION TOMOGRAPHY (PET)**

**POSITRON EMISSION TOMOGRAPHY (PET) TO DIAGNOSE BRACHIAL PLEXUS INJURIES** 

# Not Recommended

Positron emission tomography (PET) scanning is not recommended for the evaluation of patients with brachial plexus injuries. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain including brachial plexus injuries. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders including brachial plexus injuries.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Positron Emission Tomography, Single-Photon Emission-Computed Tomography, PET, SPECT; brachial plexus; diagnosis, diagnostic, sensitivity, specificity, positive predictive value, negative predictive value, predictive value of tests, efficacy, and efficiency. We found and reviewed 114 articles in PubMed using Most Recent tab, and we did a secondary search in PubMed using Best Match tab to find and review 114 articles, 1 in CINAHL, 214 in Cochrane Library, 3,310 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.4. TREATMENT RECOMMENDATIONS**

# **16.4.1. MEDICATIONS**

Mild cases of brachial plexopathy, such as mild football traction injuries, have an excellent prognosis with non-operative treatment (148,946,947). Provided the extent of axonal damage is minimal, non-operative treatment has been recommended (947,148). In the absence of quality evidence, these mild

injuries should be treated as neuropathic pain (see recommendations in the ACOEM Chronic Pain Guideline). Briefly, recommended medications include NSAIDs (Evidence C); concomitant use of cytoprotective agents in patients with a high risk factor profile who also have indications for NSAIDs (Evidence C); acetaminophen particularly if NSAIDs are contraindicated (Evidence C); tricyclic anti-depressants (Evidence C); carbamazepine as a potential adjunct as a 4th- or 5th-line treatment for neuropathic pain (Evidence C); carbamazepine as a potential adjunct as a fourth- or fifth-line treatment (Evidence I); gabapentin and pregabalin (Evidence A); dextromethorphan for select patients (Evidence I); and opioids for select patients (Evidence I).

# **16.4.2. ALLIED HEALTH INTERVENTIONS**

Self-applications of heat or cryotherapies may be helpful for symptom modulation and are recommended to treat brachial plexus injuries. Therapy including education and exercise is also recommended. Acupuncture and other physical methods such as massage, diathermy, and magnets have been used to treat shoulder pain that includes brachial plexus injuries.

#### **ACUPUNCTURE FOR TREATMENT OF CHRONIC PAIN FROM BRACHIAL PLEXUS INJURIES**

#### Not Recommended

Acupuncture is not recommended to control chronic pain associated with brachial plexus injuries. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

Trials of acupuncture to treat neuropathic pain have not shown success. Thus, acupuncture is not recommended for treatment of brachial plexopathies. See also the ACOEM Chronic Pain Guideline.

#### Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: Acupuncture, Acupuncture Therapy; brachial plexus; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 46 articles in PubMed, 3 in CINAHL, 67 in Cochrane Library, 9160 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **DIATHERMY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### No Recommendation

There is no recommendation for or against the use of diathermy for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of diathermy for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **INFRARED THERAPY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### **No Recommendation**

There is no recommendation for or against the use of infrared therapy, for the treatment of brachial plexopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

#### Rationale

There is no recommendation for or against the use of infrared therapy, for the treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MANUAL THERAPY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### No Recommendation

There is no recommendation for or against the use of manual therapy for the treatment of brachial plexopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of manual therapy for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **MANIPULATION FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### **No Recommendation**

There is no recommendation for or against the use of manipulation for the treatment of brachial plexopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence and thus there is no recommendation for the use of manipulation for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **MASSAGE FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### **No Recommendation**

There is no recommendation for or against the use of massage for the treatment of brachial plexopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of massage for treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.4.3. ELECTRICAL THERAPIES**

# HIGH-VOLTAGE GALVANIC STIMULATION FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### No Recommendation

There is no recommendation for or against the use of high-voltage galvanic stimulation for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of high-voltage galvanic stimulation for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **H-WAVE® DEVICE STIMULATION FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### **No Recommendation**

There is no recommendation for or against the use of H-Wave<sup>®</sup> Device Stimulation for the treatment of brachial plexopathies.

# Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of H-Wave<sup>®</sup> Device Stimulation for treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation,

random<sup>\*</sup>, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **IONTOPHORESIS FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### **No Recommendation**

There is no recommendation for or against the use of iontophoresis for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There is no quality evidence and thus there is no recommendation for the use of iontophoresis for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion critera.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **MICROCURRENT FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### No Recommendation

There is no recommendation for or against the use of microcurrent for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no quality evidence and thus there is no recommendation for the use of microcurrent for treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### **No Recommendation**

There is no recommendation for or against the use of percutaneous electrical nerve stimulation (PENS) for the treatment of brachial plexopathies. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

# Rationale

There is no quality evidence and thus there is no recommendation for the use of PENS for treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SYMPATHETIC ELECTROTHERAPY FOR BRACHIAL PLEXOPATHIES

#### No Recommendation

There is no recommendation for or against the use of sympathetic electrotherapy for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TRANSCUTANEOUS ELECTRICAL STIMULATION (TENS) FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

# No Recommendation

There is no recommendation for or against the use of transcutaneous electrical stimulation (TENS) for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There is no quality evidence and thus there is no recommendation for the use of transcutaneous electrical stimulation (TENS) for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PULSED ELECTROMAGNETIC FREQUENCY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### Not Recommended

Pulsed electromagnetic frequency is not recommended for the treatment of brachial plexopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders. Thus, pulsed electromagnetic frequency is not recommended for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **INTERFERENTIAL THERAPY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### Not Recommended

Interferential therapy is not recommended for the treatment of brachial plexopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders. Thus, interferential therapy is not recommended for treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial,

controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.4.4. DEVICES**

#### **TAPING FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### Not Recommended

Taping is not recommended for the treatment of brachial plexopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders. Thus, taping is not recommended for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **MAGNETS FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

#### Not Recommended

Magnets are not recommended for the treatment of brachial plexopathies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders. Thus, magnets are not recommended for treatment of brachial plexopathies.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Of the 42 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERIPHERAL MAGNETIC STIMULATION FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### **No Recommendation**

There is no recommendation for peripheral magnetic stimulation for the treatment of brachial plexopathies.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Indications

Patients with inadequate improvements with strengthening exercises, therapy, medications over at least 4 weeks (Khedr et al., 2012).

# Benefits

Improvements in pain and function

#### Harms

Negligible

# Frequency/Dose/Duration

10 treatment sessions. The sole trial administered 1 daily treatment for 5 days per week for 2 weeks (Khedr et al., 2012).

# Rationale

There is one sham-controlled trial of 10 treatment sessions of peripheral magnetic stimulation for treatment of brachial plexus injuries which suggested significant efficacy, with improvements persisting for 30 days after completion of treatments (Khedr et al., 2012). As there is pilot study suggesting some limited efficacy which has not yet been reproduced, there is no recommendation and further studies are clearly indicated for both rTMS and tDCS. Among those unresponsive to other treatments, this may be a consideration.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed, 27,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.4.5. INJECTION THERAPY**

Diagnostic injections particularly of the subacromial space, glenohumeral joint and acromioclavicular joint are sometimes performed. However, they are nearly always performed in combination with a therapeutic intervention, such as a glucocorticosteroid injection. Injection with a therapeutic agent is nearly always preferable due to less overall invasiveness with 1 injection rather than 2, as well as the potential to assess the patient both immediately post-injection for diagnostic purposes as well as longer term for therapeutic purposes. See the ACOEM Chronic Pain Guideline.

# **INJECTIONS FOR TREATMENT OF BRACHIAL PLEXUS INJURIES**

# Sometimes Recommended

Injections are selectively recommended for combined diagnosis/treatment of brachial plexopathies. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Either brachial plexopathy or a suspicion for brachial plexopathy needing diagnostic confirmation. Among those with brachial plexopathy, injection would typically be reserved for those with moderate to severe symptoms not trending towards resolution.

# Benefits

# Potential improvement in symptoms

#### Harms

Symptom exacerbation and/or complication as brachial plexus blocks/injections are risks for brachial plexopathy (Koff, 2008).

# Frequency/Dose/Duration

Generally only one injection would be performed, typically combining an anesthetic and glucocorticosteroid. A second injection would only be performed among those with a significant, but incomplete, response to a prior injection. Repeat injections without progressive improvements towards resolution would not be recommended.

#### Rationale

There is no quality evidence of efficacy and there is evidence suggesting inefficacy for other shoulder disorders. Thus, injections are not recommended for treatment of brachial plexopathies.

#### Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: **Injections**; brachial plexus; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 840 articles in PubMed, 52 in CINAHL, 95 in Cochrane Library, 2,300 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 6 from PubMed, 0 from CINAHL, 0 from Cochrane Library, 0 from Google Scholar, and 0 from other sources. Of the 6 articles considered for inclusion, 4 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.4.6. SURGICAL CONSIDERATIONS**

Due to the large variety of injuries involving the brachial plexus, treatment should be individualized, especially in the more severe cases. Immediate surgery has been recommended for patients who sustain penetrating trauma (947). Delayed surgical exploration for non-penetrating trauma cases that fail to resolve sufficiently at 3 to 6 months has also been recommended (148,947). Extensive physical or occupational therapy is recommended for treating cases with limited debility. Some cases are severe and may require surgical exploration and reconstructions (948). Techniques have evolved over time (949,950) to include neurolysis (951), nerve grafting, (951) nerve transfer (neurotization) (952,953,954,955,956), and free muscle transfer (957,958,959,960,961,962,963,964,965). Evidence-based guidance on specific surgical approaches and techniques is not possible at this time as there is a combination of wide array of injuries with a lack of quality trials. Post-operative extensive rehabilitation generally is required.

# DIAGNOSTIC ARTHROSCOPY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### Sometimes Recommended

Diagnostic arthroscopy is recommended for evaluation of carefully select patients with shoulder pain and brachial plexopathies, including subsequent, definitive operative approaches. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

One or more of the following in addition to brachial plexopathies: 1) rotator cuff tear with surgical indications and the expectation that surgical treatment will immediately follow arthroscopy (see below); 2) labral tear with surgical indications (see below); 3) impingement syndrome with surgical indications (see below); 4) glenohumeral instability, 5) recurrent dislocations, 6) labral tears, 7) other moderate or severe shoulder joint pain, acromioclavicular arthritis, or mechanical symptoms with substantially reduced ROM or functional impairment and failure to resolve with at least 1 trial of glucocorticosteroid injection and/or physical or occupational therapy (or exercise program).

#### Benefits

Diagnostic confirmation and the opportunity for definitive treatment

#### Harms

Infections, operative complications

# Frequency/Dose/Duration

Arthroscopy would rarely be repeated other than for new Indications

#### Rationale

Arthroscopy is infrequently needed for brachial plexopathy patients, but may be helpful is performed where there is thought to be a treatable abnormality, rather than merely for diagnostic purposes (Dinnes et al., 2003, Fouse et al., 2007, Abrams, 2006, Baker et al., 2003, Ahmad et al., 2004, Boszotta et al., 2004). If a specific diagnosis is not suggested by and supported by the evaluation with history, physical examination, and imaging studies, then surgical intervention is much less likely to be successful and caution should be taken in doing a purely diagnostic arthroscopy. Arthroscopy is thought to be superior to MRI and ultrasound for diagnosing partial thickness rotator cuff tears. Arthroscopy is invasive, has adverse effects and is high cost. However, in select patients with brachial plexus injuries, arthroscopy may be the best option and is thus recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: brachial plexus, brachial plexus injury; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 1,050 articles in PubMed,

27,600 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 30 from PubMed, 12 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SURGERY FOR TREATMENT OF BRACHIAL PLEXUS INJURIES

#### Sometimes Recommended

Surgery is selectively recommended for treatment of carefully select patients with brachial plexus injuries.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Moderate

#### Indications

Moderate to severe brachial plexus injuries, generally with supportive studies including at least electrodiagnostic studies and imaging (e.g., MRI or CT myelography). Earlier surgery is generally only indicated when there is clear indication(s) such as completely severed/torn nerve structure. Otherwise, a period of supervised therapy with progressive strengthening is generally indicated, typically requiring several weeks to 3 months depending on severity and demonstrating progressive benefit.

# Benefits

Improved function and pain.

# Harms

Infections, operative complications, worsened pain

# Frequency/Dose/Duration

A second surgical procedure would be rarely indicated.

#### Rationale

Brachial plexus injuries are highly variable and diverse. Thus, clinical and surgical care must be individualized. There are no quality studies for most surgical procedures used to treat patients with brachial plexus injuries. However, one trial compared single with double fascicular transfer to restore elbow flexion, and found no differences at one year (Martins et al., 2013). One trial compared spinal accessory nerve transfer with intercostal nerve transfer and spinal accessory nerve transfer was superior for better motor power, while intercostal nerve transfer was better for earlier reinnervation of elbow flexion, sensory function, pain and shoulder subluxation (Waikakul S, 1999). Another small pilot study found photobiomodulation added to Oberlin neurotization resulted in superior outcomes

(Foo et al., 2020). Surgery is invasive, as adverse effects and is high cost. However, in select patients with brachial plexus injuries, surgery may be the best option and is thus recommended.

# Evidence

A comprehensive literature search was conducted using PubMed, CINAHL, Cochrane Library, and Google Scholar without date limits using the following terms: General Surgery, Surgery, Surgical Repair; brachial plexus; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly, systematic, systematic review, retrospective, prospective studies. We found and reviewed 3,676 articles in PubMed, 753 in CINAHL, 578 in Cochrane Library, 28,600 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 0 from PubMed, 1 from CINAHL, 1 from Cochrane Library, 2 from Google Scholar, and 0 from other sources. Of the 4 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **16.4.7. REHABILITATION PROGRAMS**

In the absence of quality evidence, it is recommended that brachial plexopathies be treated as neuropathic pain and managed according to the recommendations in the ACOEM Chronic Pain Guideline. Briefly, these recommendations include altering of sleep posture to determine if there is a reduction in pain or other symptoms (Evidence I); aerobic exercise (Evidence A); trial of aquatic therapy for patients who meet referral criteria for supervised exercise therapy and have comorbidities that preclude effective participation in a weight-bearing physical activity (Evidence I); self-application of low-tech heat therapy (Evidence I); transcutaneous electrical nerve stimulation (TENS) as an adjunct for more efficacious treatments (Evidence C); psychological evaluation as part of evaluation and management of patients with chronic pain in order to assess whether psychological factors will need to be considered and treated as part of treatment plan (Evidence I); cognitive-behavioral therapy as an adjunct to an interdisciplinary program for the treatment of chronic pain (Evidence C); multidisciplinary or interdisciplinary pain rehabilitation program with a focus on behavioral or cognitive-behavioral approaches combined with conditioning exercise for patients with chronic pain who demonstrate partial or total work incapacity due to pain (Evidence I); and work conditioning, work hardening, and early intervention programs (Evidence I).

# **17. TRIGGER POINTS AND MYOFASCIAL PAIN 17.1. SUMMARY OF RECOMMENDATIONS**

The following summary table contains recommendations for evaluating and managing trigger points and myofascial pain from the Evidence-Based Shoulder Disorders Panel. These recommendations are based on critically appraised higher-quality research evidence or, when such evidence was unavailable or inconsistent, on expert consensus as required in ACOEM's Methodology. Recommendations are made under the following categories:

- Strongly Recommended, "A" Level
- Moderately Recommended, "B" Level
- Recommended, "C" Level
- Insufficient Recommended (Consensus-based), "I" Level
- Insufficient No Recommendation (Consensus-based), "I" Level
- Insufficient Not Recommended (Consensus-based), "I" Level
- Not Recommended, "C" Level
- Moderately Not Recommended, "B" Level
- Strongly Not Recommended, "A" Level

# **17.2. OVERVIEW**

"Tender points" is a term used to characterize unusually tender areas of muscle, tendon, or over boney prominences that reproduce the patient's pain when palpated (2282,2283,2284,2285,2286,2287,2288). Trigger points include those points with tenderness, "knots" of muscle or overlying connective tissue, reproduction of the patient's pain when palpated, and elicitation of symptoms distally during palpation (2283,2284,2289,2290). As the diagnostic entity heavily relies upon subjective complaints without purely objective findings, the existence of this condition has been questioned (2291,2292,2293). Work-relatedness of the condition is controversial with an absence of quality data. There are lower quality studies of prevalence or incidence. Lifetime prevalence has been estimated at up to 85% (2294). A survey of pain practitioners estimated a point prevalence of 46.1±27.4% (2294). A cross-sectional study of 9,952 patients found 345 (3.5%) with widespread pain, 4.5% with widespread allodynia, and 2.5% with fibromyalgia (2295).

Patients with muscle tenderness are often given the diagnosis of "myofascial pain." This term was initially developed to characterize patients presenting with muscle tenderness accompanied by trigger points, "taut bands," subtle shortening and weakness of involved muscles, referred symptoms on compression or needling, and postural abnormalities, which were hypothesized as reflective of microtrauma and the generation of excessive force per muscle fiber leading to hypoxia, acidosis, and metabolic depletion. However, multiple aspects of this construct have been disproven; thus, it is now controversial, particularly as it has become increasingly clear that the development of prolonged and disabling muscular pain is often linked to the presence of underlying psychosocial issues that foster inactivity and dependence on palliative passive modalities and pharmacologic interventions (2292,2295,2296,2297,2298,1364,2299). Hence, in the absence of a clear objective anatomic abnormality to differentiate between patients with various forms of muscle pathology, they will be characterized by the descriptive diagnosis of "trigger points."

Most RCTs reviewed herein and in the Fibromyalgia section of the ACOEM Chronic Pain Guideline have not distinguished between tender and trigger points. However, these studies frequently note pain limited to a body region, suggestive of trigger points/myofascial pain. Many RCTs of fibromyalgia have cited adherence to the American College of Rheumatology case definition, which requires widespread tender points (11 of 18 anatomically defined points) (2300). Approximately 25% of fibromyalgia patients did not satisfy the American College of Rheumatology (ACR) 1990 classification criteria at the time of the study (2300). Quality literature shows that the presentation, risk factors, and management of patients with fibromyalgia differs markedly from other patients with chronic pain. There are RCTs of temporomandibular joint syndrome and facial pain that are classified as addressing myofascial pain syndrome (2301,2302,2303,2304,2305). However, as these conditions are not considered occupational, they are not reviewed in detail. Treatment of fibromyalgia has primarily involved active exercise and medications. Judicious use of injections and acupuncture has also been widely used (see the Fibromyalgia section of the ACOEM Chronic Pain Guideline).

Work-relatedness of trigger points/myofascial pain is controversial as there are no quality epidemiological studies demonstrating a relationship to work. There is epidemiological evidence that certain cases of muscle tension syndrome may be occupational and that disorder may be related to myofascial pain. However, the quality of the studies reported has been suboptimal and true risk factors are not well defined (1363). There is less controversy about work-relatedness of trigger points/myofascial pain when the disorder arises in a body part subject to a clear occupational injury. In practice, a fair number of these cases are determined to be occupational (especially if there is an inciting event, no prior history, and the pain and signs are limited to one body region and not bilateral or disseminated), although supportive epidemiological evidence may be lacking. There is no quality epidemiological evidence that tender points/fibromyalgia (or the closely related conditions involving chronic widespread pain) are occupational conditions (see the ACOEM Chronic Pain Guideline).

The physical examination of a patient with trigger points is typically normal other than for muscle tenderness (and frequent evidence of depression, dysthymia, or other affective disorders in fibromyalgia) (2292,2306). Myofascial pain-related tenderness should be isolated to the body part affected by pain and not be widespread as with fibromyalgia. It also should generally not cross the midline if there was an inciting event to one side of the body. Trigger points and myofascial pain most commonly involve the periscapular muscles on one side of the body. This condition may be indistinguishable from "muscle tension syndrome." Most patients have an apparent "knot" or tender point in the muscle. That tenderness is perceived as unusually tender to palpation compared with surrounding tissue, as well as compared with other patients' perceptions. Trigger points require the elicitation of distal symptoms in addition to usually being painful on palpation. The amount of palpatory force used to elicit pain complaints is unclear. The most widely used criteria have used 4kg of force, which are the same criteria used for fibromyalgia (2300). A physical examination of a patient with muscle tenderness also requires palpating other structures that are not involved in the complaints to ascertain the distribution and character of potential tender points and trigger points. Diffuse pain complaints, while needing to be clinically addressed, may be reflective of a chronic pain syndrome and do not require a diagnostic label of myofascial pain or fibromyalgia. There may be some limitation of ROM; however, in general, while active ROM to an extreme may elicit or augment the patient's pain, the final extent is usually nearly or completely normal among these patients.

Diagnostic testing is generally not required for myofascial pain patients. Occasionally, testing for rheumatological disorders is indicated. This may include erythrocyte sedimentation rate, sedimentation rate, C-reactive protein, anti-rheumatoid factor, anti-nuclear antibodies, anti-Sm, anti-Ro, anti-La for rheumatoid arthritis, lupus, Sjogren syndrome, and evaluation for mixed connective tissue disorder.

# **17.3. WORK LIMITATIONS**

There is no evidence that activity limitations are beneficial for myofascial pain/trigger point patients. It is recommended that patients be maintained at the maximal levels of activity. Those with limitations are recommended to have their limitations gradually reduced. As a regional pain syndrome, patient

catastrophizing and fear avoidant beliefs may also be present and require addressing. Those removed from work may need participatory ergonomic evaluation and behavioral interventions to facilitate earlier return to work (see ACOEM Chronic Pain guideline).

# **17.4. DIAGNOSTIC RECOMMENDATIONS**

# 17.4.1. X-RAYS

# X-RAYS FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Sometimes Recommended

X-rays are selectively recommended for evaluation of acute, subacute, or chronic shoulder pain that includes trigger points/myofascial pain.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence Low

#### Indications

Most patients with myofascial pain/trigger points do not require imaging. There are other indications for x-rays including significant trauma, pain without trending towards improvement, impaired use, and those with red flags.

#### Benefits

Diagnosis of a fracture, calcific tendinitis, osseous abnormality, or otherwise latent medical condition(s).

# Harms

Medicalization or worsening of otherwise benign condition; minor radiation exposure.

# Frequency/Dose/Duration

Obtaining x-rays once is generally sufficient with two to three views. Imaging of the thoracic and/or cervical spine may sometimes be needed for evaluating myofascial pain/trigger points, particularly for persistent symptoms, lack of response to treatment and other risk factors. For patients with chronic shoulder pain, it may be reasonable to obtain a second set of x-rays later to re-evaluate the patient's condition, particularly if symptoms change.

#### Rationale

Myofascial pain/trigger points rarely require imaging. However, x-rays may help evaluate patients with myofascial pain/trigger points, primarily to assist with the differential diagnostic possibilities such as tendinoses and arthroses. X-rays are not invasive, low to moderate costly, and have little risk of adverse effects and, therefore, are selectively recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We

found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.2. DIAGNOSTIC ARTHROSCOPY**

# DIAGNOSTIC ARTHROSCOPIC SURGERY FOR TRIGGER POINTS AND MYOFASCIAL PAIN

# Not Recommended

Arthroscopy is not recommended for the evaluation of trigger points/myofascial pain. There are other indications for arthroscopy.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

#### Rationale

Tendons and other tissues have not been shown to be involved in trigger point/myofascial patients. Thus, arthroscopy is not recommended. There are other indications for arthroscopy.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.3. BONE SCANS**

# BONE SCANS FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# Not Recommended

Bone scanning is not recommended for evaluation of trigger points/myofascial pain. There are other indications for bone scanning.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

# Rationale

Bones are not believed to be involved in trigger points or myofascial pain syndrome. Thus, imaging with bone scanning is not recommended. There are other indications for bone scanning.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.4. COMPUTED TOMOGRAPHY**

# COMPUTED TOMOGRAPHY (CT) FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# **Not Recommended**

Computed tomography (CT) is not recommended for evaluation of trigger points/myofascial pain. There are other indications for CT. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

# Rationale

Tendons, bones, and tissues have been shown to be normal in patients with trigger points and myofascial pain syndrome. Thus, imaging with CT is not recommended. There are other indications for CT.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# HELICAL COMPUTED TOMOGRAPHY (CT) FOR TRIGGER POINTS AND MYOFASCIAL PAIN

#### Not Recommended

Helical computed tomography (CT) is not recommended for the evaluation of trigger points or myofascial pain. There are other indications for helical CT. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

# Rationale

Tendons, bones, and tissues have been shown to be normal in patients with trigger points and myofascial pain syndrome. Thus, imaging with helical CT is not recommended. There are other indications for helical CT.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.5. LOCAL ANESTHETIC INJECTIONS**

See Injection Therapies for Trigger Points.

# **17.4.6. ELECTROMYOGRAPHY (INCLUDING NERVE CONDUCTION STUDIES)**

# ELECTROMYOGRAPHY AND NERVE CONDUCTION STUDIES FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Electrodiagnostic studies are not recommended to evaluate trigger points or myofascial pain syndrome. There are other indications for electrodiagnostic studies. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

#### Rationale

Trigger points and myofascial pain do not involve abnormalities on electromyography or nerve conduction studies. Thus, electrodiagnostic studies are not recommended. There are other indications for electromyography and nerve conduction studies.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.7. MAGNETIC RESONANCE IMAGING**

#### MAGNETIC RESONANCE IMAGING FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Magnetic resonance imaging (MRI) is not recommended for the evaluation of trigger points or myofascial pain. There are other indications for MRI.

Strength of evidence Not Recommended, Insufficient Evidence (I)

Level of confidence Moderate

# Rationale

Tendons and tissues have been shown to be normal in patients with trigger points and myofascial pain syndrome. Thus, imaging with MRI is not recommended. There are other indications for MRI.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.8. MAGNETIC RESONANCE ARTHROGRAM**

# MAGNETIC RESONANCE ARTHROGRAM FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Magnetic resonance arthrography (MRA) is not recommended for trigger points or myofascial pain. There are other indications for MRA.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

# Rationale

Tendons and tissues have been shown to be normal in patients with trigger points and myofascial pain syndrome. Thus, imaging with MRA is not recommended. There are other indications for MRA.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# 17.4.9. ULTRASOUND

# ULTRASOUND FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Ultrasound is not recommended for use on patients with trigger points or myofascial pain. There are other indications for ultrasound.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

#### Rationale

Muscles and tissues have been shown to be normal in patients with trigger points and myofascial pain. Thus, imaging with ultrasound is not recommended. There are other indications for ultrasound.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.10. SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT)**

# SINGLE-PROTON EMISSION COMPUTED TOMOGRAPHY (SPECT) FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# Not Recommended

Single-proton emission computed tomography (SPECT) is not recommended for the evaluation of patients with trigger points or myofascial pain syndrome. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain, including trigger points or myofascial pain. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds

something to the diagnosis, treatment, and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders, including trigger points and myofascial pain.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.4.11. POSITRON EMISSION TOMOGRAPHY (PET)**

# POSITRON EMISSION TOMOGRAPHY (PET) FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# Not Recommended

Positron emission tomography (PET) scanning is not recommended for the evaluation of patients with trigger points or myofascial pain syndrome.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Moderate

# Rationale

There is no quality evidence with patient-related outcomes that either SPECT or PET is helpful in improving care of acute, subacute, or chronic shoulder pain, including trigger points or myofascial pain. One study found SPECT helpful in evaluating patients with inflammatory arthropathies, particularly if there are concerns about the SI joints (Hanly, 1993). Some data suggest SPECT may outperform bone scanning. Additional studies are needed to determine if SPECT or PET adds something to the diagnosis, treatment, and outcomes beyond that obtained by a careful history, physical examination, plain x-rays, and clinical impression before it can be recommended for evaluating shoulder disorders, including trigger points and myofascial pain.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.5. TREATMENT RECOMMENDATIONS**

# **17.5.1. ACTIVITY MODIFICATION AND EXERCISE**

Patients with myofascial pain/trigger points should be maintained as physically active as possible. Patients with limited activity levels require advancement of activity levels and education as inactivity appears detrimental despite the potential for temporary relief of symptoms that may accompany rest or inactivity.

Exercise has been used to treat trigger points/myofascial pain. Most studies are low quality and appear to have emphasized stretching exercises (966,787). However, there are few quality studies that assess which types of exercise and what regimens are most efficacious. Aerobic exercise and strengthening exercises are also believed to be important, although quality studies are limited to support those beliefs (967,968). Aquatic therapy involves the performance of aerobic and/or flexibility and/or strengthening exercises in a pool to minimize the effects of gravity, particularly where reduced weightbearing status is desirable.

Yoga for the treatment of myofascial pain/trigger points has not been standardized, but tends to include postures that involve isometric muscle activity, stretches, breath control, and relaxation. Traditional yoga also involves rules for personal conduct, sense withdrawal, concentration, meditation, and "self-realization" (969,970), and different versions are practiced. This review focuses on the exercise aspects of yoga and does not endorse nor support spiritual elements or specific religious beliefs (971).

# AEROBIC EXERCISE FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# Recommended

Aerobic exercise is recommended for treatment of trigger points/myofascial pain, although quality evidence is lacking regarding its efficacy.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

# Indications

Trigger points/myofascial pain. Patients with potential for or with significant cardiac disease should be evaluated prior to institution of vigorous exercises. Follow the American College of Sports Medicine (ACSM) *Guidelines for Exercise Testing and Prescription* for health screening and risk stratification (Pescatello, 2014).

# Benefits

Improved pain, resolution of the disorder, improved cardiovascular risks.

#### Harms

Negligible

# **Indications for Discontinuation**

Development of other disorders

# Rationale

One trial found aerobic exercise effective for treatment of trigger points among patients with breast cancer (Cantarero-Villanueva et al., 2012). Another trial found no statistical additive benefit of exercise to acupuncture; however, sample sizes were small and the data trended towards efficacy (Eftekharsadat et al., 2018). There are many other trials where exercises are co-interventions in both groups. Although thought to be a different diagnosis, myofascial pain/trigger points also appear to have similarities with fibromyalgia, for which aerobic exercise is the most important therapeutic intervention (see the ACOEM Chronic Pain Guideline). Aerobic exercise is not invasive, has some evidence of benefits and thus is recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# STRETCHING EXERCISES FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Sometimes Recommended

Stretching exercises are selectively recommended for treatment of trigger points/myofascial pain, accompanied by a loss of joint range of motion, and to attempt to increase overall capacity and activity tolerance. Stretching is recommended to be combined with aerobic exercise. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Myofascial pain/trigger points with reduced range of motion.

# Benefits

Improved range of motion, potential to modestly reduce pain

# Harms

Negligible

# Frequency/Dose/Duration

Stretching exercises may be taught with several appointments and then transitioned to a home exercise program.

# Indications for Discontinuation

Restoration of normal ROM, failure to improve or non-compliance

# Rationale

There are no quality studies of stretching exercises for myofascial pain/trigger points. Myofascial pain/trigger points appear to have similarities with fibromyalgia, for which stretching exercises are believed to have some modest benefits; however, aerobic exercises appear to be the most important therapeutic intervention, followed by strengthening exercises (see the ACOEM Chronic Pain Guideline). Stretching exercises are not invasive, may have some limited benefits among those with reduced ranges of motion, and thus are selectively recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 4 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# STRENGTHENING EXERCISES FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# Recommended

Strengthening exercises are recommended for treatment of trigger points/myofascial pain to attempt to increase overall capacity and activity tolerance. Strengthening exercises are recommended to be

combined with aerobic exercise. Stretching exercises would be recommended among those with reduced ranges of motion. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

# Indications

Myofascial pain/trigger points

#### Benefits

Reduced or eliminated pain; improve strength and capacity

#### Harms

Negligible

# Frequency/Dose/Duration

Strengthening exercises may be taught with several appointments and then transitioned to a home exercise program.

#### Indications for Discontinuation

Development of a strain during treatment course, failure to improve, non-compliance, fully independent in a home exercise program

# Rationale

There are no quality studies of strengthening exercises for myofascial pain/trigger points. Myofascial pain/trigger points appear to have similarities with fibromyalgia, for which aerobic exercises are most important, likely followed by strengthening exercises (see ACOEM Chronic Pain Guideline). Strengthening exercises are not invasive, have some benefits among those with reduced ranges of motion, and thus are recommended. They are recommended to be combined with aerobic exercises. They are also recommended to be combined with stretching exercises among those with significant reductions in ranges of motion.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# INCLUSION OF FEAR AVOIDANCE BELIEF TRAINING FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Recommended

Inclusion of fear avoidance belief training (FABT) during the course of treatment with either a supervised or home exercise program is recommended.

Strength of evidence Recommended, Insufficient Evidence (I) Level of confidence High

#### Indications

Myofascial pain/trigger points

#### Benefits

Improved compliance with exercises and activity advancements; reduced or eliminated pain; improved capacity

#### Harms

Negligible

# Frequency/Dose/Duration

FABT is typically taught over several appointments along with institution of a structured exercise program and advancements of activities.

# Rationale

Fear avoidance belief training (FABT) is believed to be quite important in the management of myofascial pain/trigger points. Inclusion of FABT principles in the course of exercise training or supervision is thus highly desirable. Inclusion of FABT principles also strengthens the patient's education about these problems that should be a concordant message among all members of the team treating the patient.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# AQUATIC THERAPY FOR MYOFASCIAL PAIN OR TRIGGER POINTS

#### Sometimes Recommended

Aquatic therapy is selectively recommended for treatment of trigger points/myofascial pain. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Moderate

# Indications

Trigger points/myofascial pain patients who hare a contraindication for traditional aerobic exercise and/or a strong belief and rationale that compliance would be significantly higher with aquatic therapy than progressive walking/aerobic exercises which are typically much easier to schedule/accomplish. Patients with potential for or with significant cardiac disease should be evaluated prior to institution of vigorous exercises. Follow ACSM's Guidelines for Exercise Testing and Prescription (Pescatello, 2014) for health screening and risk stratification.

# Benefits

Improved pain, resolution of the disorder, improved cardiovascular risks.

Harms

Negligible

# Frequency/Dose/Duration

A structured, progressive aquatic program (at least 4 times a week) at an intensity to reach at least 60% of predicted maximum heart rate is recommended. Activity is recommended to be gradually increased over days to weeks, beginning at 30 minutes/day and increasing to 45 minutes/day.

#### **Indications for Discontinuation**

Development of other disorders.

#### Rationale

There are no quality studies of aquatic exercise for myofascial pain/trigger points. Myofascial pain/trigger points appear to have similarities with fibromyalgia for which aerobic exercises are the most important therapeutic intervention (see the ACOEM Chronic Pain Guideline). Aquatic exercise is not invasive, is believed to have benefits due to its aerobic benefits, and thus is efficacious. It is selectively recommended among those with a strong belief and rationale that compliance would be significantly higher with aquatic therapy than progressive walking or aerobic exercises, which are typically much easier to schedule and accomplish.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# YOGA FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### **No Recommendation**

There is no recommendation for or against the use of yoga for treatment of trigger points/myofascial pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are no quality trials of yoga for myofascial pain/trigger points. There is moderate-quality evidence of effectiveness of yoga for the treatment of chronic LBP (Williams et al., 2005, Sherman et al., 2005, Galantino et al., 2004). Yoga is not invasive, has low potential for adverse effects, and is low cost. Aerobic and strengthening exercises are believed to be important for treatment of myofascial pain/trigger points, thus there is no recommendation for yoga in the absence of quality evidence of efficacy.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.5.2. HOT AND COLD THERAPIES**

Cold or cryotherapies have sometimes been used for treatment of trigger points/myofascial pain. There are many forms of heat therapy for treatment of musculoskeletal pain, including hot packs, moist hot packs, sauna, warm baths, infrared, diathermy, and ultrasound. Many of these have been utilized for treatment of patients with myofascial pain/trigger points.

Diathermy is a heat treatment that has been used clinically to heat tissue. There are two forms of diathermy – short wave and microwave. (High-dose diathermy is also used to coagulate tissue.) Proponents believe diathermy penetrates deeper than hot packs or heating pads and stimulates healing. Infrared therapy is a heat treatment created by various devices producing electromagnetic radiation in the infrared spectrum.

#### HOME USE OF CRYOTHERAPIES FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### No Recommendation

There is no recommendation for or against the home use of cryotherapies for trigger points/myofascial pain. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials. Self-applications of cryotherapies using towels or reusable devices are not invasive, generally without complications and do not have any appreciable costs. As there is no evidence of efficacy, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# SELF-APPLICATION OF HEAT THERAPY FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### No Recommendation

There is no recommendation for or against self-application of heat for treatment of trigger points/myofascial pain. **Strength of evidence** No Recommendation, Insufficient Evidence (I)

Level of confidence Low

#### Rationale

There are no quality trials. Self-applications of heat is not invasive, generally without complications, and do not have any appreciable costs. As there is no evidence of efficacy, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# DIATHERMY FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Diathermy is not recommended for treatment of trigger points/myofascial pain. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

Diathermy has not been shown to be more effective than placebo diathermy for treatment of multiple conditions (Sweetman et al., 1993, Koes et al., 1992, Koes et al., 1992, Koes et al., 1993, Koes et al., 1992), although trigger points/myofascial pain have not been directly studied. Diathermy is not invasive, has low adverse effects, is moderately costly, and lacks evidence of efficacy. Thus, it is not recommended for treatment of trigger points/myofascial pain.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder,

myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **INFRARED THERAPY FOR TRIGGER POINTS OR MYOFASCIAL PAIN**

#### **Not Recommended**

Provider-based infrared therapy is not recommended for trigger points/myofascial pain. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There are no quality trials on infrared therapy for trigger points/myofascial pain. Infrared is not invasive, has low potential for adverse effects, but is of moderate cost in aggregate and thus is not recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.5.3. DEVICES**

Taping and kinesiotaping are used on the extremities, particularly in sports settings. Taping (white athletic taping, cotton mesh adhesive tape often over gauze) is intended to stabilize and support while restricting ROM; thus, it is used for treatment and preventive purposes (388,389,390,391). Taping has been used to treat myofascial pain. It is often utilized immediately prior to an activity and then removed, or the cotton mesh may be applied and removed after hours of use. Kinesiotaping (thinner,

elastic tape) is also intended for treatment, including pain relief; however, it allows full ROM in contrast with traditional taping (390,392,393,394,396,397,398,385,384). Kinesiotaping is proprietary; proponents believe the tape should be applied in specific patterns and may or may not be stretched depending on the injury. Regardless, all types of taping are utilized to attempt to treat musculoskeletal disorders. Difficulty with tolerating the various types of tape may be problematic for some patients.

High-intensity magnetic stimulation purportedly causes depolarization of nerves and has been found to result in an antinociceptive effect in rats (399). Electromagnetic fields have been known to increase osteoblastic activity. Therefore, proponents believe that magnetic fields have therapeutic value in the treatment of MSDs.

# MECHANICAL MASSAGE DEVICES FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

The use of mechanical massage devices to administer massage is not recommended for trigger points/myofascial pain.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality studies. Massage devices have no significant adverse effects, are moderate to high cost, and thus are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 12 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TAPING AND KINESIOTAPING FOR TRIGGER POINTS OR MYOFASCIAL PAIN

# Not Recommended

Taping and kinesiotaping are not recommended for the treatment of trigger points/myofascial pain. **Strength of evidence** Not Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials of treatment for myofascial pain/trigger points. There is one high-quality trial of kinesiotaping for treatment of shoulder pain, of short-term duration that failed to show improvements in pain (Thelen et al., 2008); there are no other quality trials. Kinesiotaping and taping have not been shown to have sustained efficacy. As use and movement are thought to be helpful for treating trigger points/ myofascial pain, the rationale for taping the shoulder and back for myofascial pain/trigger points is inapparent. These interventions are not invasive. Taping and kinesiotaping have potential adverse effects from intolerance of among those who do not tolerate it or the adhesives, but they are generally minor. When the fees for both the tape and its application are considered, taping is costly, especially since there are alternative interventions that have been shown to be effective. As there is no quality evidence of durable effects, and these interventions are counter to increasing activity, they are not recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# MAGNETS AND MAGNETIC STIMULATION FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Magnets and magnetic stimulation are not recommended for the treatment of trigger points or myofascial pain.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There is no significant evidence base from which to draw conclusions on the utility of magnets as a treatment of these patients. Magnets have been shown to be ineffective for treatment of other MSDs including back pain and plantar fasciitis (see the ACOEM Low Back Disorders and Ankle and Foot Disorders Guidelines). Other treatments have demonstrated efficacy. Magnets are not invasive, have no adverse effects, and are low cost. However, without evidence of efficacy, they are not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.5.4. ALLIED HEALTH INTERVENTIONS**

Acupuncture has been used for treatment of chronic pain patients, including patients with trigger points or myofascial pain (966). Therapeutic ultrasound has been used for treatment of myofascial pain/trigger points (787). Low-level laser treatment usually involves laser energy that does not induce significant heating. It is theorized that the mechanism of action is through photoactivation of the oxidative chain (284).

Manipulation and mobilization are two types of manual therapy that have been used widely to treat musculoskeletal disorders, although mostly for spine disorders (972,973,974,975,976,977,978,979,980,981,982) or in patients with shoulder pain not of trigger points/myofascial pain origin (983,984,985). Massage is a commonly used treatment for chronic muscular pain administered by providers as well as others. It is sometimes referred to as soft tissue mobilization. A complicating factor in this review is the varying methods of massage that are employed, including in more recent trials described below.

# ACUPUNCTURE FOR CHRONIC TRIGGER POINTS/MYOFASCIAL PAIN

# Recommended

Acupuncture is recommended for select use in chronic moderate to severe chronic trigger points/myofascial pain as an adjunct to more efficacious treatments. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

# Indications

Moderate to severe chronic trigger points/myofascial pain not adequately confrolled after NSAIDs, aerobic and strengthening exercises, and a trial of dry needling or injection(s) with bupivacaine. Patients should also be prescribed, and be compliant with, progressive aerobic and strengthening exercises while undergoing acupuncture.

# Benefits

Modest improvement in pain

# Harms

Negligible other than deep needling, which can puncture or lacerate deep viscera in inexperienced hands

# Frequency/Dose/Duration

A limited course of 6 sessions as an adjunct to a conditioning program that has both graded aerobic exercise and strengthening and stretching exercises (if range of motion decrements) for treatment of trigger points/myofascial pain during which time there are clear objective and functional goals that are to be achieved. Additional subsequent appointments may be supportable if there are functional benefits that have not reached a demonstrable plateau.

# Indications for Discontinuation

Resolution, intolerance, non-compliance with acupuncture, as well as non-compliance with aerobic and strengthening and stretching exercises

# Rationale

There are few quality studies evaluating acupuncture for the treatment of tender and trigger points; they tend to have significant design flaws which limit the strength of conclusions. Efficacy of acupuncture for this indication is suggested by the highest quality available study that has a non-acupuncture comparison group (Edwards et al., 2003), yet that study has significant flaws. Considering acupuncture's efficacy in treating chronic low back pain (LBP), efficacy for this indication would not be surprising. For LBP, there is no quality evidence suggesting that one type of acupuncture is superior to another (e.g., Chinese vs. Japanese). High-quality studies with sizable populations and long follow-up periods are needed.

Acupuncture is minimally invasive, has low adverse effects, and is moderately costly. Acupuncture is recommended to assist in increasing functional activity levels more rapidly and the primary attention should remain on the conditioning program. In patients not involved in a conditioning program, or who are non-compliant with graded increases in activity levels, this intervention is not recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 7 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search,

and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ISCHEMIC COMPRESSION THERAPY FOR MYOFASCIAL PAIN SYNDROME

#### **No Recommendation**

There is no recommendation for ischemic compression therapy for myofascial pain syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

There are two RCTs and the results conflict. One crossover RCT suggested efficacy (Hains et al., 2010), while another suggested a lack of efficacy although there were multiple co-interventions (Akbaba et al., 2019). Because results conflict, there is no recommendation.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# ULTRASOUND FOR THE TREATMENT OF TRIGGER POINTS OR MYOFASCIAL PAIN

# Not Recommended

Ultrasound is not recommended for treatment of trigger points/myofascial pain. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Moderate

# Rationale

One quality trial found ultrasound ineffective for treatment of trigger points/myofascial pain (Gam et al., 1998). The other trial compared two different active ultrasound techniques for acute pain of less than 2 weeks duration, raising questions about applicability to the typical trigger point/myofascial pain patient (Majlesi et al., 2004). Ultrasound is not invasive, has few adverse effects, but is moderately costly and appears ineffective; thus, it is not recommended.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# LOW-LEVEL LASER THERAPY FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### **No Recommendation**

There is no recommendation for or against the use of low-level laser therapy for the treatment of trigger points/myofascial pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

# Rationale

There are quality trials for treatment of trigger points/myofascial pain, however different lasers and treatment regimens have been used and no long-term results have been reported. Quality evidence is conflicting (Gur et al., 2004, Dundar et al., 2007, Altan et al., 2005), with the highest quality studies finding no benefit (Dundar et al., 2007, Altan et al., 2005). The quality study that showed benefit found minimal difference between the treatment groups (Gur et al., 2004). Low-level laser therapy is not invasive, is unlikely to have significant adverse effects, but in aggregate is moderate to high cost. Longer-term evaluation, utilization of objective measures, and standardization of the treatment regimens is required in addition to consistent, quality evidence of efficacy. Thus, there is no recommendation for or against low-level laser therapy for treating patients with trigger points or myofascial pain.

# Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 3 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MANIPULATION AND MOBILIZATION FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### **No Recommendation**

There is no recommendation for or against the use of manipulation and mobilization for trigger points or myofascial pain. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

# Rationale

One study of the ischemic compression of trigger points failed to find efficacy in comparison with a standardized treatment program (Akbaba et al., 2019). Another trial comparing combinations of manual compression of trigger points, manual stretching, intermittent cold, and stretching compared with a wait-listed control reported some improvements (Bron et al., 2011). Another trial of dry needling compared to manual pressure technique reported comparable results, suggesting equivalent efficacy (De Meulemeester et al., 2017). Another trial found manual compression therapy to be superior to compression therapy in other locations (Hains et al., 2010). Another trial of diadynamic currents and manual therapy found the combination superior to the individual treatments (Gomes et al., 2018). These studies are small, heterogenous, and have strong risks of biases. Manipulation and mobilization are not invasive, have some adverse effects, and are moderately costly depending on numbers of treatments. In the absence of clear evidence of efficacy for patients specifically with trigger points/myofascial pain, there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 6 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MASSAGE FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Recommended

Massage is recommended for select use in patients with trigger points/myofascial pain as an adjunct to active treatments consisting primarily of a graded aerobic and strengthening exercise program. **Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** Low

#### Indications

Moderate to severe chronic pain associated with trigger points/myofascial pain without underlying serious pathology as an adjunct to a conditioning program that has both graded aerobic exercises, strengthening, and stretching exercises. Massage is recommended to assist in increasing functional activity levels more rapidly and the primary attention should remain on the conditioning program. In those not involved in a conditioning program, or who are non-compliant with graded increases in activity levels, this intervention is not recommended.

#### Benefits

Modest improvement in pain

Harms

Negligible

#### Frequency/Dose/Duration

A limited course as an adjunct to a conditioning program that has both graded aerobic exercise and strengthening and stretching exercises (if range of motion decrements) for treatment of trigger points/myofascial pain during which time there are clear objective and functional goals that are to be achieved. Three to 5 visits; if ongoing objective improvement, up to 8 to 10 additional treatments appropriate. In unusual circumstances involving more severe cases with ongoing transitions to an active treatment program and with evidence of improvement, an additional 3 to 5 treatments may be indicated.

#### **Indications for Discontinuation**

Resolution, intolerance, non-adherence with massage appointments, as well as non-adherence with aerobic and strengthening and stretching exercises

#### Rationale

Massage is a commonly used treatment for musculoskeletal pain (Cen et al., 2003), but few studies have evaluated disorders other than LBP (Preyde, 2000, Kalauokalani et al., 2001, Melzack et al., 1983) and there are few quality studies for treatment of trigger points/ myofascial pain. One study of myofascial trigger points was negative (Gam et al., 1998). Another of neck pain was negative (Irnich et al., 2001). Thus, the literature suggests massage is a weakly effective treatment that is not as effective as active exercise or acupuncture. Massage is not invasive, has low risk of adverse effects aside from short-term pain (Cherkin et al., 2001), and is moderately costly. It is recommended for treatment of chronic pain as an adjunct to a conditioning program.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MYOFASCIAL RELEASE FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### No Recommendation

There is no recommendation for myofascial release for trigger points/myofascial pain. It may be used as an option in place of trigger point injections (not to exceed 4 to 6 treatments). **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

Myofascial release has not been shown to be effective in quality studies. It is not invasive, but the treatment is passive and moderately costly. There are active interventions shown to be efficacious, and thus it is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.5.5. ELECTRICAL THERAPIES**

There are multiple forms of electrical therapies used to treat musculoskeletal pain. These include transcutaneous electrical stimulation (TENS), percutaneous electrical nerve stimulation (PENS), H-Wave<sup>®</sup> Device stimulation, sympathetic electrotherapy, microcurrent, and interferential. The mechanism(s) of action, if any, are unclear.

High voltage galvanic, H-wave stimulation, interferential therapy, microcurrent, and iontophoresis are electrical therapies. They are commonly believed to be efficacious through distraction or through promoting healing. Iontophoresis additionally attempts to transdermally deliver medications, typically glucocorticosteroids NSAIDs, lidocaine, etc., through the dermis to the target tissue. Percutaneous electrical nerve stimulation involves inserting needles to a depth of 1 to 4 centimeters around a nerve serving a painful area. The techniques described in available studies differ.

Transcutaneous electrical nerve stimulation (TENS) is used to attempt to control pain through electrical stimulation delivered by pads placed on the surface of the skin for the treatment of many painful conditions, including both non-inflammatory and inflammatory disorders (986,987,988,989,990). Either a low-intensity prolonged (30 plus minutes) stimulation through an active electrode over the painful area or a higher intensity over the painful area for a brief (15 to 30 minutes) amount of time (commonly referred to as hyperstimulation analgesia) are the two most common treatment protocols (991). There are reports that both low- and high-frequency TENS stimulate the endogenous opioid system, but the type of response is dependent on frequency of stimulation. Through these mechanisms, it is theorized that there may be a mechanism for increased physical activity in TENS users (992). Low intensity, high-frequency stimulation is generally 80 to 200Hz, whereas brief higher intensity low frequency is generally 4 to 8Hz. Some studies do not report the frequency of the stimulation.

#### HIGH-VOLTAGE GALVANIC THERAPY FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### **No Recommendation**

There is no recommendation for or against high-voltage galvanic therapy for the treatment of trigger points/myofascial pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials for these electrical therapies. Electrical therapies are not invasive, have low adverse effects, and are moderately costly in aggregate. As there is no quality evidence, there is no recommendation for or against these treatments.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### H-WAVE® DEVICE STIMULATION FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### No Recommendation

There is no recommendation for or against H-wave<sup>®</sup> Device Stimulation for the treatment of trigger points/myofascial pain. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There are no quality trials for these electrical therapies. Electrical therapies are not invasive, have low adverse effects and are moderately costly in aggregate. As there is no quality evidence, there is no recommendation for or against these treatments.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### INTERFERENTIAL THERAPY FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### No Recommendation

There is no recommendation for or against interferential therapy for the treatment of trigger points/myofascial pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials for these electrical therapies. Electrical therapies are not invasive, have low adverse effects and are moderately costly in aggregate. As there is no quality evidence, there is no recommendation for or against these treatments.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### MICROCURRENT FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### **No Recommendation**

There is no recommendation for or against microcurrent for the treatment of trigger points/myofascial pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials for these electrical therapies. Electrical therapies are not invasive, have low adverse effects and are moderately costly in aggregate. As there is no quality evidence, there is no recommendation for or against these treatments.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue

this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### IONTOPHORESIS FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### No Recommendation

There is no recommendation for or against iontophoresis for the treatment of trigger points/myofascial pain.

Strength of evidence No Recommendation, Insufficient Evidence (I) Level of confidence Low

#### Rationale

There are no quality trials for these electrical therapies. Electrical therapies are not invasive, have low adverse effects and are moderately costly in aggregate. As there is no quality evidence, there is no recommendation for or against these treatments.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# PERCUTANEOUS ELECTRICAL NERVE STIMULATION (PENS) FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Percutaneous electrical nerve stimulation (PENS) is not recommended for treating trigger points/myofascial pain.

Strength of evidence Not Recommended, Insufficient Evidence (I) Level of confidence Low

#### Rationale

PENS has been evaluated in small scale, short-term studies of low back pain but no quality studies have been reported for treatment of trigger points/myofascial pain. PENS is minimally invasive and no significant adverse effects have been reported (although most articles failed to address complications). However, it is high cost in aggregate and with absence of evidence of efficacy, it is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 2 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# TRANSCUTANEOUS ELECTRICAL NERVE STIMULATION (TENS) FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### **No Recommendation**

There is no recommendation for or against use of transcutaneous electrical nerve stimulation (TENS) for treatment of trigger points/myofascial pain. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

There is one trial of acupuncture-like TENS compared with conventional TENS and reported that both treatments were superior to a sham TENS, however the baseline DASH scores are markedly different (Ebadi et al., 2021). There are no quality studies for trigger points/myofascial pain (Hsueh et al., 1997, Chee et al., 1986). Most of the quality evidence addresses treatment of spine pain (Oosterhof et al., 2008, Oosterhof et al., 2006, Koke et al., 2004, Vitiello et al., 2007, Bloodworth et al., 2004, Deyo et al., 1990, Jarzem et al., 2005). TENS is not invasive, has no significant adverse effects, and is moderately costly, but there is no quality evidence of efficacy for trigger points/myofascial pain. Other treatments have documented efficacy; thus, there is no recommendation for or against the use of TENS.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### **NEUROMODULATION (TDCS) FOR MYOFASCIAL PAIN SYNDROME**

#### **No Recommendation**

There is no recommendation for neuromodulation (tDCS) for myofascial pain syndrome. **Strength of evidence** No Recommendation, Insufficient Evidence (I) **Level of confidence** Low

#### Rationale

One short-term RCT with tiny sample sizes found no significant between group differences that included an attempted sham (Choi et al., 2014) and thus there is no recommendation.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 1 randomized trial and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

# **17.5.6. INJECTION THERAPIES**

Injections into the muscle "knots" or clinically tender spots have typically consisted of an anesthetic with or without glucocorticoid (2307,2308). Botulinum injections have antinociceptive properties and have been used to produce muscle paresis (502,2309,2310,2311). Adherents believe that this pharmacologically induced resting of the muscle is useful as a treatment for a number of MSDs. However, these injections have primarily been used for numerous non-occupational conditions such as cervical dystonia

(2312,2313,2314,2315,2316,2317,2318,2319,2320,2321,2322,2323,2324,2325,2326,2327,2328), torticollis (2329,2330,2331,2332,2333,2334,2335), strabismus, migraine prophylaxis (2336), blepharospasm (2337), neuropathic pain after neck dissection (2338), and severe primary axillary

hyperhidrosis (see ACOEM Chronic Pain Guideline) (2337,2339). They have also been used to treat upper back and myofascial pain (502,2340,2341). Botulinum injections have been used to treat trigger points and myofascial pain. These injections are thought to directly treat a taut muscle band and to have analgesic properties (2309,2310,2311).

#### TRIGGER POINT INJECTIONS AND DRY NEEDLING USING LOCAL ANESTHETIC

#### Recommended

Trigger point injections consisting solely of a topical anesthetic such as bupivacaine are recommended as a secondary or tertiary option for subacute or chronic trigger points that are not resolving. Dry needling is an acceptable alternative. Adjunctive use of a glucocorticosteroid is not recommended. **Strength of evidence** Recommended, Evidence (C) **Level of confidence** Low

#### Indications

Persistent moderate to severe trigger points not resolving with more conservative means (e.g., NSAIDs, progressive aerobic exercises, and other exercises). Trigger points proposed for injection should also be shown to be persistent in one anatomic location prior to performing an injection. Patients should also be prescribed, and be compliant with, progressive aerobic and strengthening exercises.

#### Benefits

Modest improvements in pain

#### Harms

Medicalization, hematomas, low risk of infection

#### Frequency/Dose/Duration

Up to 4 injections a session with a follow-up appointment to assess subjective and objective measures of efficacy. Injections should not be repeated more than every 3 to 4 weeks (Ojala et al., 2006). If results are not satisfactory after the first injection, a second may be attempted. If there are not subjective and objective improvements at that point, further injections are not recommended (Ojala et al., 2006). In general, up to 3 sets of injections are recommended to allow sufficient time to (re)establish a program of active therapy or identify modalities for successful self-application. Repeated injections should be linked to subjective and objective functional improvements. The use of therapeutic injections without participation in an active exercise (both progressive aerobic and strengthening) or rehabilitation program is not recommended (Kamanli et al., 2005, Wheeler et al., 2001).

#### Indications for Discontinuation

Resolution, intolerance, completion of 2 set(s) of injections without materially affecting the condition.

#### Rationale

The literature on this subject is heterogeneous. Study designs, health outcomes assessed, interventions performed all differ widely across these studies (Byrn, 1993, Sonne et al., 1985). The

highest quality study that addressed a typical patient with periscapular or cervical tender points or trigger points found no difference between bupivacaine and botulinum other than much lower cost for bupivacaine (Graboski et al., 2005). The next highest quality study of typical patients suggests anesthetic injections were superior to saline (Hameroff et al., 1981). There are no long-term studies or follow-up to suggest enduring benefits of these injections. There is no evidence that a steroid is required for efficacy of these injections, particularly those that are tender point injections (Porta, 2000). Considering glucocorticosteroids also have adverse effects, use of glucocorticosteroids in these injections is not recommended.

A study evaluated injection with 1% lidocaine versus lidocaine/water mixture and suggested that the lidocaine/water mixture had less injection site pain and better pain outcomes at 14 days after injection (Iwama et al., 2000). However, another report by the same author found no differences among 4 injection mixtures (Iwama et al., 2001). A trial comparing transcranial direct current stimulation and trigger point injection with sham stimulation found few differences over 5 days (Choi et al., 2014). A pilot trial of deep dry needling reported some improvements after one treatment (Calvo-Lobo et al., 2017). Another trial of dry needling compared to manual pressure technique reported comparable results, suggesting equivalent (in)efficacy (De Meulemeester et al., 2017). These injections are invasive, have rare adverse effects, (Garvey et al., 1989) and are moderately costly depending on number. An injectable anesthetic, typically either lidocaine or bupivacaine are recommended (Kamanli et al., 2005, Iwama et al., 2000). There are no studies evaluating them on a longer term basis, though there are studies suggesting benefits lasting up to 14 days (Collee et al., 1991). Acupuncture is an alternative to these injections.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 9 randomized trials and 0 systematic reviews met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### TRIGGER POINT INJECTIONS USING GLUCOCORTICOSTEROIDS

#### Not Recommended

Glucocorticosteroids are not recommended for use in trigger point injections. **Strength of evidence** Not Recommended, Evidence (C) **Level of confidence** Low

#### Indications

#### Rationale

Quality studies suggest there is no benefit from including a glucocorticosteroid with these injections (Porta, 2000). Considering glucocorticosteroids also have adverse effects, use of glucocorticosteroids in these injections is not recommended.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>†</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

<sup>+</sup> The results for databases are sorted by relevancy based on customized search term algorithms. Algorithms for each database determine relevancy. The first 100 articles are reviewed in each search, and if relevant literature appears in the first 100 articles, we review an additional 100 articles. If relevant articles appear in these additional 100 articles, we then review another 100. We continue this pattern of review until we review a batch of 100 articles that contains no relevant literature. When this happens then the remaining articles are not reviewed due to a lack of relevancy.

#### BOTULINUM INJECTIONS FOR TRIGGER POINTS OR MYOFASCIAL PAIN

#### Not Recommended

Botulinum injections are moderately not recommended for treating trigger points/myofascial pain. **Strength of evidence** Moderately Not Recommended, Evidence (B) **Level of confidence** Moderate

#### Rationale

Botulinum toxin A to treat trigger points/myofascial pain has been evaluated in multiple placebocontrolled quality studies with nearly all studies finding a lack of benefit. Within this body of evidence, there are five high-quality studies with the four largest studies all finding a lack of clear benefit (Ojala et al., 2006, Gobel et al., 2006, Ferrante et al., 2005, Lew et al., 2008). One study suggested some modest results compared with saline injection (Gobel et al., 2006), but this study utilized a more severely affected patient population, suggested low magnitude of benefit, and the benefit was eliminated by the end of the trial. Moderate-quality studies also failed to find benefits from botulinum injection (Ojala et al., 2006, Qerama et al., 2006). There is one study favoring botulinum (Porta, 2000), but the control group utilized a depot preparation of methylprednisolone. Botulinum does not appear superior to bupivacaine (Graboski et al., 2005), and the latter has a much lower adverse effect profile.

Botulinum injections are invasive, have adverse effects that include fatalities (Li et al., 2005), and are costly. There are no quality studies available with long-term follow-up (Argoff, 2003, Difazio et al., 2002). These injections induce weakness, yet many of the most successful interventions build strength

and/or endurance. With quality evidence suggesting a lack of meaningful benefits, botulinum injections are moderately not recommended for treatment of trigger points/myofascial pain.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Of the 23 articles considered for inclusion, 11 randomized trials and 0 systematic reviews met the inclusion criteria.

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# **17.5.7. SURGICAL CONSIDERATIONS**

There are no surgical indications for trigger points/myofascial pain. There are indications for surgery for other disorders.

# **17.5.8. BEHAVIORAL AND PSYCHOLOGICAL INTERVENTIONS**

Psychological and behavioral factors are key components of chronic nonmalignant pain conditions. Evaluation of patients with chronic trigger points/myofascial pain or pain that is not resolving as expected for psychological factors is frequently utilized (see ACOEM Chronic Pain Guideline for indications for cognitive behavioral therapy).

## **PSYCHOLOGICAL EVALUATION FOR CHRONIC TRIGGER POINTS OR MYOFASCIAL PAIN**

#### Recommended

A psychological evaluation is recommended as part of the evaluation and management of patients with chronic trigger points/myofascial pain to assess whether psychological factors will need to be considered and treated as part of the overall treatment plan.

**Strength of evidence** Recommended, Insufficient Evidence (I) **Level of confidence** High

#### Indications

Chronic, moderate to severe trigger points/myofascial pain, especially if there is ongoing disability or need of treatment. Select patients with subacute moderate to severe trigger points/myofascial pain are also candidates, particularly if not trending towards resolution and/or with debility.

#### Benefits

Identification of contributing factors

#### Harms

Negligible

#### Rationale

There are no quality trials. Psychological assessments are routinely accomplished to evaluate for the existence and impacts of psychological factors. Evaluations are generally low to moderate cost and can help identify contributing factors, and thereby suggest treatment options. Thus, psychological evaluation is recommended particularly for chronic, moderate to severe trigger points/myofascial pain, especially if there is ongoing disability or need of treatment. Select patients with subacute moderate to severe trigger points/myofascial pain are also candidates, particularly if not trending towards resolution and/or with debility.

#### Evidence

A comprehensive literature search was conducted using PubMed and Google Scholar between 2013 to 2022 using the following terms: myofascial pain syndromes shoulder, trigger points shoulder, myofascial pain shoulder; controlled clinical trial, controlled trials, randomized controlled trial, randomized controlled trials, random allocation, random\*, randomized, randomization, randomly. We found and reviewed 31 articles in PubMed, 15,400 in Google Scholar, and 0 from other sources<sup>+</sup>. We considered for inclusion 17 from PubMed, 6 from Google Scholar, and 0 from other sources. Zero articles met the inclusion criteria.

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# **17.5.9. FOLLOW-UP VISITS**

Patients with trigger points/myofascial pain generally require at least a few to many follow-up appointments depending on severity, persistence, response to therapy, fear avoidant beliefs, and compliance with treatments including exercises. Follow-up appointments are required every 1 to 4 weeks until resolution or an end-of-improvement plateau is reached.

# 18. CONTRIBUTORS AND DISCLOSURES 18.1. CONTRIBUTORS TO THE SHOULDER DISORDERS GUIDELINE

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These panel members represent expertise in occupational medicine, preventive medicine and public health, family medicine, orthopedic surgery, hand surgery, physical medicine and rehabilitation, physical therapy, occupational therapy, and health and rehabilitation sciences. As required for quality guidelines (Institute of Medicine's (IOM) Standards for Developing Trustworthy Clinical Practice Guidelines and Appraisal of Guidelines for Research and Evaluation (AGREE)), a detailed application process captured conflicts of interest. The above panel has none to declare relevant to this guideline.

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ACOEM acknowledges the following organizations and their representatives who served as reviewers of the Shoulder Disorders Guideline. Their contributions are greatly appreciated. By listing the following individuals or organizations, it does not infer that these individuals or organizations support or endorse the Shoulder Disorders Guideline developed by ACOEM.

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