State of California Office of Administrative Law

In re:

Division of Workers' Compensation

Regulatory Action:

Title 08, California Code of Regulations

Adopt sections:

46.3

Amend sections: Repeal sections:

NOTICE OF APPROVAL OF EMERGENCY REGULATORY ACTION

Government Code Sections 11346.1 and 11349.6

OAL Matter Number: 2022-0713-05

OAL Matter Type: Emergency Readopt (EE)

In this emergency action, the Division of Worker's Compensation (DWC) re-adopts an emergency regulation regarding medical-legal evaluations in response to continued COVID-19 pandemic. Due to the continued spread of Covid-19, the DWC adopts this emergency regulation, which is similar to section 46.2. This emergency regulation allows Qualified Medical Evaluators (QME), Agreed Medical Evaluators (AME), or other medical-legal evaluations to be performed via telehealth.

OAL approves this emergency regulatory action pursuant to sections 11346.1 and 11349.6 of the Government Code.

This emergency regulatory action is effective on 7/19/2022 and will expire on 10/18/2022. The Certificate of Compliance for this action is due no later than 10/17/2022.

Date: July 19, 2022

Thanh Huynh Senior Attorney

For:

Kenneth J. Pogue

Director

Original: George Parisotto, Administrative Director

Copy:

Nicole L. Richardson

NOTICE PUBLICATION/REGULATIONS

For use by Secretary of State only

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of the State of California

REGULATORY ACTION NUMBER MERGENCY NUMBER 05 EE NOTICE FILE NUMBER OAL FILE **NUMBERS** For use by Office of Administrative Law (OAL) only JUL 1 9 2022 1:57 pm office of admin. Law 2022 JUL 13 PH3:34 REGULATIONS AGENCY WITH RULEMAKING AUTHORITY AGENCY FILE NUMBER (If any) Division of Workers' Compensation within Department of Industrial Relations A. PUBLICATION OF NOTICE (Complete for publication in Notice Register) 1. SUBJECT OF NOTICE FIRST SECTION AFFECTED TITLE(S) 2 REQUESTED PUBLICATION DATE 3. NOTICE TYPE

Notice re Proposed 4. AGENCY CONTACT PERSON TELEPHONE NUMBER FAX NUMBER (Optional) Regulatory Action NOTICE REGISTER NUMBER PUBLICATION DATE OAL USE Approved as Approved as Disapproved Withdrawn B. SUBMISSION OF REGULATIONS (Complete when submitting regulations) 1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER 1a. SUBJECT OF REGULATION(S) 2022-0105-02E, 2022-0708-01EE QME Regulations in Response to COVID-19 2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related) ADOP1 SECTION(S) AFFECTED 46.3 (List all section number(s) AMEND individually. Attach additional sheet if needed.) TITLE(S) REPEAL 8 CCR 3. TYPE OF FILING Emergency Readopt Regular Rulemaking (Gov. Certificate of Compliance: The agency officer named Changes Without Code §11346) below certifies that this agency complied with the (Gov. Code, §11346.1(h)) Regulatory Effect (Cal. provisions of Gov. Code §§11346.2-11347.3 either Code Regs., title 1, §100) Resubmittal of disapproved before the emergency regulation was adopted or or withdrawn nonemergency within the time period required by statute. File & Print Print Only filing (Gov. Code §§11349.3, 11349.4) Resubmittal of disapproved or withdrawn Emergency (Gov. Code, Other (Specify) emergency filing (Gov. Code, §11346.1) §11346,1(b)) 4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1) EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100) Effective on filing with Effective other July 19, 2022 §100 Changes Without Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a)) Secretary of State Regulatory Effect CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY Department of Finance (Form STD, 399) (SAM §6660) Fair Political Practices Commission State Fire Marshal Other (Specify) TELEPHONE NUMBER FAX NUMBER (Optional) E-MAIL ADDRESS (Optional) CONTACT PERSON Nicole L. Richardson 510-286-0656 510-286-0687 nrichardson@dir.ca.gov 8. I certify that the attached copy of the regulation(s) is a true and correct copy For use by Office of Administrative Law (OAL) only of the regulation(s) identified on this form, that the information specified on this form FNDORSED APPROVED is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification. SIGNATURE OF AGENCY HEAD OR DESIGNEE DATE JUL 19 2022

07/13/2022

Digitally signed by George Parisol Date: 2022.07.13 14:25:03 -07'00'

TYPED NAME AND TITLE OF SIGNATORY George Parisotto, Administrative Director

George Parisotto

Office of Administrative Law

<u>DWC – Proposed QME Emergency Regulation in Response to COVID-19</u> Pandemic

§ 46.3 Emergency Regulation Regarding Medical-Legal Evaluations in Response to continued COVID-19 Pandemic

- (a) During the period that this emergency regulation is in effect, a QME, AME, or other medical-legal evaluation may be performed in the circumstance where the physician and the injured worker are not in the same physical space or site during the evaluation. The evaluation shall be performed by way of telehealth through the use of electronic means of creating a virtual meeting between the physician and the injured worker.
 - (1) A QME or AME may complete a medical-legal evaluation through telehealth when a hands on physical examination is not necessary and all of the following conditions are met:
 - (A) There is a medical issue in dispute which involves whether or not the injury is AOE/COE (Arising Out of Employment / Course of Employment), or the physician is asked to address the termination of an injured worker's indemnity benefit payments or address a dispute regarding work restrictions; and
 - (B) There is agreement in writing to the telehealth evaluation by the injured worker, the carrier or employer, and the QME. Agreement to the telehealth evaluation cannot be unreasonably denied. If a party to the action believes that agreement to the telehealth evaluation has been unreasonably denied under this section, they may file an objection with the Worker's Compensation Appeals Board, along with a Declaration of Readiness to Proceed to set the matter for a hearing;
 - (C) The telehealth evaluation conducted by means of a virtual meeting is consistent with appropriate and ethical medical practices, as determined by the QME and the relevant medical licensing board; and
 - (D) The QME attests in writing that the evaluation does not require an in person physical exam.

- (2) For purposes of evaluations pursuant to subdivision (a) of this emergency regulation, telehealth means remote visits via video-conferencing, video-calling, or such similar technology that allows each party to see and converse with the other via a video and audio connection. The evaluation must be conducted with the same standard of care as an in person visit and must comply with all relevant state and federal privacy laws.
- (b) During the time this regulation is in effect, section 34(b) of title 8 of the California Code of Regulations is suspended and the following is effective:
 - (1) The QME shall schedule an appointment for the first comprehensive medical-legal evaluation which shall be conducted at a medical office listed on the panel selection form or any office listed with the Medical Director provided there is agreement by the parties. Any subsequent evaluation appointments may be performed at another medical office of the selected QME if it is listed with the Medical Director and is within a reasonable geographic distance from the injured worker's residence.
 - (2) For purposes of QME telehealth evaluations conducted under this regulation, the medical office listed on the panel selection form for the QME shall be deemed the site of the telehealth evaluation. For all other telehealth evaluations conducted under this regulation, the medical office of the physician that is within a reasonable geographic distance from the injured worker's residence shall be deemed the site of the telehealth evaluation.

Note: Authority Cited: Section 133, Labor Code. Reference Citations: Sections 4060 and 4603.5, Labor Code.