

**State of California**

Department of Industrial Relations  
Division of Occupational Safety and Health  
Redding District Office  
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**Inspection #:** 1482022  
**Inspection Dates:** 07/02/2020 - 09/17/2021  
**Issuance Date:** 09/17/2021  
**CSHO ID:** 17645  
**Optional Report #:** 002-21

**Citation and Notification of Penalty**

**Company Name:** CA Corrections and Rehabilitation - California Correctional Center  
**Establishment DBA:**

and its successors

**Inspection Site:** 711-045 Center Road  
Susanville, CA 96130

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Citation 1 Item 1 Type of Violation: **Serious**

5199(d)(1):California Code of Regulations, Title 8, 5199(d) (1), Aerosol Transmissible Diseases  
(d) Aerosol Transmissible Diseases Exposure Control Plan.

(1) The employer shall establish, implement, and maintain an effective, written ATD Exposure Control Plan (Plan) which is specific to the work place or operation(s), and which contains all of the elements in subsection (d) (2).

REFERENCE:

California Code of Regulations, Title 8, 5199(d) (2), Aerosol Transmissible Diseases

(2) The Plan shall contain all of the following elements:

(A) The name(s) or title(s) of the person(s) responsible for administering the Plan. This person shall be knowledgeable in infection control principles and practices as they apply to the facility, service or operation.

(B) A list of all job classifications in which employees have occupational exposure.

(C) A list of all high hazard procedures performed in the facility, service or operation, and the job classifications and operations in which employees are exposed to those procedures.

(D) A list of all assignments or tasks requiring personal or respiratory protection.

(E) The methods of implementation of subsections (e), (g), (h), (i) and (j) as they apply to that facility, service or work operation. Specific control measures shall be listed for each operation or work area in which occupational exposure occurs. These measures shall include applicable engineering and work practice controls, cleaning and decontamination procedures, and personal protective equipment and respiratory protection. In establishments where the Plan pertains to laboratory operations, it also shall contain the methods of implementation for subsection (f), unless those operations are included in a Biosafety Plan.

(F) A description of the source control measures to be implemented in the facility, service or operation, and the method of informing people entering the work setting of the source control measures.

(G) The procedures the employer will use to identify, temporarily isolate, and refer or transfer AirID cases or suspected cases to All rooms, areas or facilities. These procedures shall include the methods the employer will use to limit employee exposure to these persons during periods when they are not

in airborne infection isolation rooms or areas. These procedures shall also include the methods the employer will use to document medical decisions not to transfer patients in need of All in accordance with subsection (e)(5)(B).

(H) The procedures the employer will use to provide medical services, including recommended vaccinations and follow-up, as required in subsection (h). This shall include the procedures the employer will use to document the lack of availability of a recommended vaccine.

(I) The procedures for employees and supervisors to follow in the event of an exposure incident, including how the employer will determine which employees had a significant exposure, in accordance with subsections (h)(6) through (h)(9).

(J) The procedures the employer will use to evaluate each exposure incident, to determine the cause, and to revise existing procedures to prevent future incidents.

(K) The procedures the employer will use to communicate with its employees and other employers regarding the suspected or confirmed infectious disease status of persons to whom employees are exposed in the course of their duties, in accordance with subsection (h).

(L) The procedures the employer will use to communicate with other employers regarding exposure incidents, including procedures for providing or receiving notification to and from health care providers about the disease status of referred or transferred patients, in accordance with subsection (h).

(M) The procedures the employer will use to ensure that there is an adequate supply of personal protective equipment and other equipment necessary to minimize employee exposure to ATPs, in normal operations and in foreseeable emergencies.

(N) The procedures the employer will use to provide initial and annual training in accordance with subsection (i) to employees in job categories identified in subsection (d)(2)(B).

(O) The procedures the employer will use for recordkeeping, in accordance with subsection (j).

(P) An effective procedure for obtaining the active involvement of employees in reviewing and updating the exposure control plan with respect to the procedures performed in their respective work areas or departments in accordance with subsection (d)(3).

(Q) Surge procedures. Employers of employees who are designated to provide services in surge conditions, and employers of employees who are designated to provide services to persons who have been contaminated as the result of a release of a biological agent as described in subsection (a)(1)(B), shall include procedures for these activities in the plan. The plan shall include work practices, decontamination facilities, and appropriate personal protective equipment and respiratory protection for such events. The procedures shall include how respiratory and personal protective equipment will be stockpiled, accessed or procured, and how the facility or operation will interact with the local and regional emergency plan.

## VIOLATION

Prior to and during the course of the inspection, including, but not limited to, on July 2, 2020, the employer failed to maintain an effective written Aerosol Transmissible Disease (ATD) Exposure Control Plan, in the following instances.

### Instance 1:

Prior to July 2020, the employer failed to develop, implement and maintain an Aerosol Transmissible Disease Exposure Control Plan meeting the requirements Section 5199(d)(2) that was specific to this facility and associated worksites.

### Instance 2:

The employer's Aerosol Transmissible Disease Exposure Control Plan was not specific in identifying all job classifications in which employees have occupational exposure. [5199(d)(2)(B)]

Instance 3:

The employer's Plan was incomplete, as it referenced other plans for compliance with the requirement to identify methods of implementation of subsections (e), (g), (h), (i) and (j) as they apply to that facility, service or work operation. Specific control measures, including applicable engineering and work practice controls, cleaning and decontamination procedures, and personal protective equipment and respiratory protection, were not listed for each operation or work area in which occupational exposure occurs.

The Plan also lacked procedures for employees to review the employer's determination that the use of powered air purifying respirators (PAPRs) for certain high hazard procedures would interfere with the successful completion of the required tasks or tasks (Ref. 5199(g)(3)(B). [5199(d)(2)(E)]

Instance 4:

The employer's Plan did not include specific procedures for transfer of patients needing airborne infection isolation. The employer's ATD Exposure Control Plan lacked methods to limit employee exposure to those patients during periods when they were not in All rooms or areas, and it lacked methods the employer would use to document medical decisions not to transfer patients in need of All in accordance with subsection (e)(5)(B). The plan also lacked procedures to ensure that onsite rooms or areas designated for the purpose of airborne infection isolation met the requirements of subsection (e)(5)(B). [5199(d)(2)(G)]

Instance 5:

The employer did not establish specific procedures within the Plan to cover employees who are assigned to provide custodial duties for inmates who are transferred for medical treatment at off-site health care facilities. [5199(d)(2)(G)]

Instance 6:

The employer's Plan did not include procedures the employer will use to provide medical services, including recommended vaccinations and follow-up, as required in subsection (h). It also did not include the procedures the employer will use to document the lack of availability of a recommended vaccine. [5199(d)(2)(H)]

Instance 7:

The employer's procedures for investigation and follow-up for exposure incidents did not include procedures for employees and supervisors to follow in the event of an exposure incident, including but not limited to the SARs-CoV-2 virus, including how the employer will determine which employees had significant exposures to SARs-CoV-2 virus, in accordance with subsections (h)(6) through (h)(9). [5199(d)(2)(I)]

Instance 8:

The ATD Plan was incomplete for the procedures the employer will use to evaluate each exposure incident, including but not limited to the SARs-CoV-2 virus, to determine the cause and to revise existing procedures to prevent future incidences [5199(d)(2)(J)]

Instance 9:

The employer's Plan did not establish specific procedures the employer will use to communicate with other employers regarding exposure incidents, including procedures for providing or receiving notification to and from health care providers about the disease status of referred or transferred patients, in accordance with subsection (h). [5199(d)(2)(L)]

Instance 10:

The employer's Plan did not include procedures the employer will use to ensure that there is an adequate supply of personal protective equipment and other equipment necessary to minimize employee exposure to ATPs, in normal operations and in foreseeable emergencies. [5199(d)(2)(M)]

Instance 11:

The employer's Plan did not contain procedures for obtaining the active involvement of employees in reviewing and updating the exposure control plan with respect to the procedures performed in their respective work areas or departments. [5199(d)(2)(P)]

**Date By Which Violation Must be Abated:**

**October 13, 2021**

**Proposed Penalty:**

**\$9000.00**

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John Wendland  
Compliance Officer / District Manager