August 11, 2016

Department of Industrial Relations
Office of the Director
Attn: LCP Special Assistant
1515 Clay Street 17*11 Floor
Oakland, CA 94612

RE: Annual Labor Compliance Report

To the Department of Industrial Relations:

Enclosed is the Annual Labor Compliance Report for July 1, 2015 through June 30, 2016, pursuant to CA Code of Regulations 16431.

Your agency name has contracted with a LCP Administrator (North Valley Labor Compliance Services) for projects funded by Proposition 84.

Please be advised that the FPPC Form 700 disclosure statement has been filed for each employee with decision-making authority. Each employee with decision-making authority has completed the Ethics Orientation.

Sincerely,

Erik M. Christeson

CC: File
July 11, 2015

Annual Labor Compliance Report for the Department of Industrial Relations
Due to the DIR August 30, 2016.

Each year in accordance with California Code of Regulations 16431 each Awarding Body has to prepare and submit an annual report of their labor compliance monitoring. Your agency has a State Approved labor compliance program and an annual report must be submitted whether you had active projects or not, this will keep your status active. In the event you apply for any Proposition 84 money you will need this approved program and to contact North Valley Labor Compliance. For all other State funded projects that have labor compliance requirements you will need to work with the Department of Industrial Relations. Be certain to register any public works project over a $1,000.00 with the DIR at www.dir.ca.gov and fill out and submit the PWC-100. All contractors on public works must be registered with the DIR also.

Please read, sign and date the attached report and send to the DIR. Also write a cover letter, on your letterhead, which states:

"Enclosed is the Annual Labor Compliance Report for July 1, 2015 through June 30, 2016, pursuant to CA Code of Regulations 16431.

Your agency name has contracted with a LCP Administrator (North Valley Labor Compliance Services) for projects funded by Proposition 84.

Please be advised that the FPPC Form 700 disclosure statement has been filed for each employee with decision-making authority. Each employee with decision-making authority has completed the Ethics Orientation."

Keep a copy for your files. Mail report, cover letter (on your letterhead) and copies of my online ethics certifications to:

Department of Industrial Relations
Office of the Director
Attn: LCP Special Assistant
1515 Clay Street 17th Floor
Oakland, CA 94612

If your agency is not receiving, and does not anticipate receiving, any funding from Proposition 84 (The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond of 2006) or any other source which may require the operation of a DIR approved program (8 CCR sec. 1622(c)) and file a closing report along with your annual report.

A closing report may use the same LCP AR-1 form with "Closing Report" clearly indicated at the top. Regulation 16422(e)(1) requires an Awarding Body to notify the Director of Industrial Relations if it intends to terminate its LCP. The notice must include the LCP’s individual ID number and the effective date of termination.

Let me know if you have any questions, Carolyn cell 714-408-8687

North Valley Labor Compliance Services
6955 N. Durango Drive Ste. 1115-254
Las Vegas, NV 89149
530-674-3033 Cell 714-408-8687
cplay@sbcglobal.net
### LABOR COMPLIANCE PROGRAM ANNUAL REPORT

*Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects*

Report for the reporting period July 1, 2015- June 30, 2016

1. Name of Labor Compliance Program (LCP): **Amador Water Agency**

2. LCP I.D. Number (assigned by DIR): 2013.01159

3. Date of Initial Approval: 5/20/13

4. Contact person (include name, title, address, telephone, fax, and e-mail, if available)

   Mr. Brandt Cook  
   12800 Ridge Road  
   Sutter Creek, CA 95685

5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period?

   Please check one:  
   - [ ] Yes  
     If Yes, proceed to item 6 on the next page  
   - [x] No  
     If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant, 1515 Clay Street 17th Floor, Oakland, CA 94612

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary)

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**SUBMITTED BY:**

[Signature]  
Gene Mancebo, General Manager  
8- 11-16  

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6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Bid Ad Date</th>
<th>Prime Contractor</th>
<th>Contract Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ione Water Backwash Recycling Improvements</td>
<td>5/8/15</td>
<td>Auburn Constructors</td>
<td>$1,066,000.00</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td>$1,066,000.00</td>
</tr>
</tbody>
</table>

B. Summary of all wages and penalties assessed and/or recovered.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Affected Contractor (who directly employed the worker)</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Approval of Forfeiture Requested from Labor Commissioner?</th>
<th>Description of Violation</th>
</tr>
</thead>
<tbody>
<tr>
<td>None to report</td>
<td></td>
<td></td>
<td></td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>None to report</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>LC §1776(g)</td>
<td>LC § 1775</td>
</tr>
<tr>
<td></td>
<td>LC § 1813</td>
<td>Wages</td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td></td>
</tr>
<tr>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

E. Identify cases that are or were the subject of LC § 1742 proceedings.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Contractor</th>
<th>Nature of Violation</th>
<th>ODL Case #</th>
<th>Current Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?  

Please check one:  
☐ Yes  
☒ No  

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: ____________________________________________

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?  

Please check one:  
☐ Yes  
☒ No  

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: ________________________________
Public Service Ethics Education
Online Proof of Participation Certificate

Date of Completion: Feb 10, 2016  Training Time*: 2 hr. 7 min.

This course is an overview course on all public service ethics issues necessary to satisfy the requirements of Article 2.4 of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code, including the following:

- Laws relating to personal financial gain by public servants, including, but not limited to, laws prohibiting bribery and conflict-of-interest laws.

- Laws relating to claiming perquisites ("perks") of office, including, but not limited to, gift and travel restrictions, prohibitions against the use of public resources for personal or political purposes, prohibitions against gifts of public funds, mass mailing restrictions, and prohibitions against acceptance of free or discounted transportation by transportation companies.

- Government transparency laws, including, but not limited to, financial interest disclosure requirements and open government laws.

- Laws relating to fair processes, including, but not limited to, common law bias prohibitions, due process requirements, incompatible offices, competitive bidding requirements for public contracts, and disqualification from participating in decisions affecting family members; and

- General ethical principles relating to public service.

The Fair Political Practices Commission and Attorney General have reviewed this course for course sufficiency and accuracy.

By signing below, I certify that I fully reviewed the content of the entire online AB 1234 course approved by the Attorney General and Fair Political Practices Commission and am entitled to claim two hours of public service ethics law and principles credit.

Carolyn Lay
Participant Signature

Carolyn Lay
Participant Name

Agency Name

NOTE TO PARTICIPANT: Please provide a copy of this proof of participation to the custodian for such records at your agency. In addition, we recommend you make a copy of this proof of participation for your own records to retain for at least five years. To preserve the integrity of the online certification process; these certificates are only available upon completing the online session. * To satisfy AB 1234 requirements, this certificate must reflect that the public official spent two hours or more reviewing the materials presented in the online course. If the certificate reflects less than two hours, the participant should have on file additional certificates demonstrating that the official has satisfied the entire two hour requirement.