LABOR COMPLIANCE PROGRAM ANNUAL REPORT

Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects

Report for the reporting period July 1, 2014 to June 30, 2015

1. Name of Labor Compliance Program (LCP): Sonoma County Water Agency

2. LCP I.D. Number (assigned by DIR): 2011.00778

3. Date of Initial Approval: 09/01/2011

4. Contact person (include name, title, address, telephone, fax, and e-mail, if available):
   Sonoma County Water Agency
   Joan Hultberg, Administrative Services Officer
   404 Aviation Blvd.
   Santa Rosa, CA 95403
   Phone: 707-547-1902 Email: joan.hultberg@scwa.ca.gov

5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period?
   Please check one:  
   ☐ Yes If Yes, proceed to item 6 on the next page
   ☐ No If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant,
   455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary)

SUBMITTED BY:

Joan Hultberg  Administrative Services Officer  8/10/2015

Signature Name and Title Date
6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Bid Advertisement Date</th>
<th>Prime Contractor</th>
<th>Contract Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mirabel Fish Screen and Ladder Replacement</td>
<td>4/8/14</td>
<td>F&amp;H Construction Inc.</td>
<td>$12,032,000</td>
</tr>
<tr>
<td>Laguna de Santa Rosa Channel Restoration</td>
<td>8/1/12</td>
<td>Laguna de Santa Rosa Foundation</td>
<td>$630,000</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td>$12,662,000</td>
</tr>
</tbody>
</table>

B. Summary of all wages and penalties assessed and/or recovered.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Affected Contractor (who directly employed the worker)</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Approval of Forfeiture Requested from Labor Commissioner?</th>
<th>Description of Violation</th>
</tr>
</thead>
<tbody>
<tr>
<td>None to report</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>None to report</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
</tr>
</thead>
<tbody>
<tr>
<td>None to report</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

E. Identify cases that are or were the subject of LC § 1742 proceedings.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Contractor</th>
<th>Nature of Violation</th>
<th>ODL Case #</th>
<th>Current Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>None to report</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one: □ Yes  ☑ No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral:

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one: □ Yes  ☑ No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral:
August 11, 2015

Department of Industrial Relations
Office of the Director
Attn: LCP Special Assistant
1515 Clay Street, 17th Floor
Oakland, CA 94612

RE: 2015 Annual Report for Sonoma County Water Agency

Enclosed is the Annual Labor Compliance Report for July 1, 2014 through June 30, 2015, for Sonoma County Water Agency, pursuant to CA Labor Code of Regulations 16431.

Sonoma County Water Agency Contact Person:
Joan Hultberg
404 Aviation Boulevard
Santa Rosa, CA 95403
(707) 547-1902

Sonoma County Water Agency has contracted with an LCP Administrator for projects with contracts signed prior to January 1, 2012 and any projects funded by Proposition 84. Sonoma County Water Agency had construction projects as outlined in our attached Annual Report.

Please be advised the FPPC Form 700 disclosure statement has been filed for each employee with decision making authority. Each employee with decision-making authority has completed the Ethics Orientation.

If you have any questions, please contact me.

Sincerely,

Joan Hultberg
Administrative Services Officer

Encl.
Ethics Training for State Officials
Certificate of Completion

Date of Completion: 07/13/2015
Training Time: 00:32 hours

This course is offered by the Attorney General and the Fair Political Practices Commission to satisfy the ethics training requirement for state officials. (Government Code section 11146 et seq.)

By signing below, I certify that I fully reviewed the content of this online course.

Carolyn Lay
Participant Name

North Valley Labor Compliance Services
Agency Name

Participant Signature

NOTE TO PARTICIPANT: Please provide a copy of this proof of participation to the custodian for such records at your agency. In addition, we recommend you make a copy of this proof of participation for your own records to retain for at least five years. If this core course is a part of your agency’s ethics orientation as mandated by the law, you need to make sure that you are following your agency’s procedures in completing this aspect of the orientation. Your agency may also require you to review its incompatible activities statement or other conflict-of-interest laws specific to your agency.