

LABOR COMPLIANCE PROGRAM ANNUAL REPORT

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Format for Awarding Body that enforces its own Labor Compliance Program for all projects (Labor Code §1771.5(a))

Report for the reporting period 07/01/2014 to 06/30/2015
(mm/dd/yyyy) (mm/dd/yyyy)

1. Name of Labor Compliance Program (LCP): San Mateo County Transit District & Peninsula Corridor Joint Powers Board (SamTrans)		
2. LCP I.D. Number (assigned by DIR): 2006.00533	3. Date of Initial Approval: 2/25/2010	
4. Contact person (include name, title, address, telephone, fax, and e-mail, if available): John Barker, Labor Compliance Officer 1250 San Carlos Avenue San Carlos, CA 94070-1306 Phone: 650-508-7940 Fax: 650-508-6458 Email: barkerj@samtrans.com		
5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period? Please check one: <input checked="" type="checkbox"/> Yes If Yes, proceed to item 6 on the next page <input type="checkbox"/> No If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant, 455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102		
What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary) None.		
SUBMITTED BY:		
 Signature	John Barker, Labor Compliance Officer Name and Title	7/28/2015 Date

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6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
HVAC Maintenance Services	6/18/2008	Heathorn Mechanical Services	\$ 499,280.00
Elevator Maintenance Services	9/15/2008	KONE Inc.	\$ 360,271.00
Plumbing Maintenance Services	12/5/2008	Burr Plumbing & Pumping, Inc.	\$ 370,985.00
Bus Stop Maintenance Services	5/26/2009	Impec Group	\$ 570,755.00
San Bruno Grade Separation	3/11/2010	Granite Construction Company	\$ 77,699,000.00
Provision of Rail Operations, Maintenance and Support Services	5/5/2010	TransitAmerica Services, Inc.	\$ 398,591,286.00
Positive Train Control System for Caltrain - Phase 1	8/2/2010	Parsons Transportation Group	\$ 138,135,673.00
Electrical Maintenance Services	12/2/2010	Liberty Electric of San Mateo Inc.	\$ 948,094.00
Inspection and Maintenance of Fire Suppression Equipment and the related Alarm Monitoring Services	6/10/2011	Siemens Industry, Inc.	\$ 289,258.00
Overhead and Mechanical Door Services	6/22/2011	Chandler Garage Door Service	\$ 200,937.00
Provision of Fencing along the Caltrain Right-of-Way	10/4/2011	Central Fence Company	\$ 432,600.00
Underground Storage Tank Inspection & Maintenance Services	1/25/2012	Technology, Engineering & Construction, Inc.	\$ 750,000.00
Landscape and Grounds Maintenance Services	7/3/2012	Commercial Environmental Landscape	\$ 397,260.00
Painting and Related Services	9/7/2012	Seven Island Painting, Inc.	\$ 200,880.00
Signal Preemption Improvement Project	12/27/2012	Shimmick Construction	\$ 1,849,500.00
Wayside Power @ Diridon Station	3/13/2013	The Ryan Company, Inc.	\$ 1,906,000.00
North / South Road Radio Channel Project	4/29/2013	Day Management Corporation	\$ 37,948.00
On-call Construction Management Service	9/5/2013	Vali Cooper	\$ 15,000,000.00

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Elevator Maintenance Service	11/7/2013	Vintage Elevator Services	\$ 266,298.00
PA & VMS @ Four (4) Stations	1/21/2014	BECI Electric, Inc.	\$ 479,000.00
Overhaul In-Ground Bus Lifts	4/8/2014	Ferris Hoist & Repair	\$ 669,342.00
San Mateo Bridges Replacement Project	5/7/2014	Proven Management Inc.	\$ 22,958,777.00
HVAC Maintenance Services	7/3/2014	MTech Corp	\$ 1,056,347.00
Sequoia Station Garage Security Improvements Project	8/8/2014	G4S Technology LLC	\$ 273,153.30
San Francisco Roadway Bridges Replacement Project	8/13/2014	Disney Construction Inc.	\$ 8,393,333.00
Access Control Improvements Project	11/7/2014	Anthem Builders Inc.	\$ 1,299,781.00
Total			\$673,635,758.30

B. List any project subject to the limited exemption clause of LC § 1771.5(a), if applicable.

Project Name	Description of Project	Contract Amount
Total		\$0

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C. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (who directly employed the worker)	Amount Assessed	Amount Recovered	Approval of Forfeiture Requested from Labor Commissioner?	Description of Violation
Bus Stop Maintenance Services	IMPEC Group	\$33,856.89	\$33,856.89	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Worker Misclassification
San Bruno Grade Separation	Vinyl Designyl	\$2,429.63	\$2,429.63	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Wage and Training Fund Underpayment
Positive Train Control System for Caltrain	Traffic Management Inc.	\$294.47	\$294.47	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Trainee owed Journeyman wages
Positive Train Control System for Caltrain	Bennett Telecom	\$1,103.28	\$1,103.28	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Training Fund Underpayment
Provision of Rail Operations, Maintenance and Support Services	Interstate Fence Co.	\$3,140.58	\$3,140.58	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Wage and Training Fund Underpayment
Provision of Rail Operations, Maintenance and Support Services	TransitAmerica Services, Inc.	\$5,440.36	\$5,440.36	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Wage Underpayment
Total		\$46,265.21	\$46,265.21		

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D. For any amount identified in item C for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
Bus Stop Maintenance Services	\$33,856.89	\$33,856.89	Workers installing and maintaining bus shelters and signs were paid at the "Clean-Up Laborer" rate. Contractor IMPEC voluntarily paid all prevailing wages owed.
San Bruno Grade Separation	\$2,429.63	\$2,429.63	Workers were paid at less than the prevailing wage rate and training fund contributions were not made. Contractor Vinyl Designyl voluntarily paid all wages and contributions owed.
Positive Train Control System for Caltrain	\$294.47	\$294.47	Trainees were used in excess of the allowable ratio. Traffic Management Inc. voluntarily paid Trainees who were out of ratio at the Journeyman rate of pay.
Positive Train Control System for Caltrain	\$1,103.28	\$1,103.28	Required training fund contributions were not paid. Contractor Bennett Telecom voluntarily paid training fund contributions to the California Apprenticeship Council in the amount owed.
Provision of Rail Operations, Maintenance and Support Services	\$3,140.58	\$3,140.58	Workers were paid at less than the prevailing wage rate and training fund contributions were not made. Contractor Interstate Fence Co. voluntarily paid all wages and contributions owed.
Provision of Rail Operations, Maintenance and Support Services	\$5,440.36	\$5,440.36	Workers were paid at less than the prevailing wage rate. Contractor TransitAmerica Services, Inc. voluntarily paid all wages owed.
Total		\$46,265.21	

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E. For any amount identified in item C for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC § 1776(g)	LC § 1775	LC § 1813	Wages	Total
Not Applicable										
Total										

F. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
Not Applicable				

G. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one: Yes No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

H. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one: Yes No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____