# LABOR COMPLIANCE PROGRAM ANNUAL REPORT

*Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects*

**Report for the reporting period 7/1/2014 to 6/30/2015**

### 1. Name of Labor Compliance Program (LCP):

OCEAN INSTITUTE

### 2. LCP I.D. Number (assigned by DIR):

LCP ID: 2012-01142

### 3. Date of Initial Approval:

3/18/2013

### 4. Contact person (include name, title, address, telephone, fax, and e-mail, if available):

ROBERT PORTERAJ
CFO / LCP Officer
24200 Dana Point Harbor Dr.
PHONE: 949-496-2274 x 112
EMAIL: rpoteraj@ocean-institute.org

### 5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period?

- [x] Yes
  - If Yes, proceed to item 6 on the next page

- [ ] No
  - If No, complete the information below, sign the form and submit to
    
**DIR, Office of the Director, Attn: LCP Special Assistant**
455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102

**What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary)**

1. The DAS website should have a portal that is available to contractors that allows them to research which Apprenticeship Programs they must submit their DAS140/DAS142 (if they are not approved to train) for EACH CRAFT. The current Apprenticeship Program website lists many apprenticeship training programs imbedded within the general craft's training jurisdiction, but this confuses the novice contractor. E.g. There should be a pull down option for “Brick Tenders,” “Plaster Tenders” separate / apart from its current location under the “Laborer” option.

### SUBMITTED BY:

Sophia E. Ramirez, LCP Administrator
July 14, 2015

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LCP ANNUAL REPORT 8 CCR § 16431 — AB limited

Ocean Institute / 2014 – 2015
6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Bid Advertisement Date</th>
<th>Prime Contractor</th>
<th>Contract Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maddie James Seaside Learning Center Phase 2</td>
<td>10/04/2016</td>
<td>Split Rock Studios</td>
<td>$500,000.00</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td>$500,000.00</td>
</tr>
</tbody>
</table>

B. Summary of all wages and penalties assessed and/or recovered.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Affected Contractor (who directly employed the worker)</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Approval of Forfeiture Requested from Labor Commissioner?</th>
<th>Description of Violation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maddie James Seaside Learning Center Phase 2</td>
<td>12:24 Construction</td>
<td>$9.15</td>
<td>$9.15</td>
<td>_ YES ✓ _ No</td>
<td>1777.5; Training Fund Contribution Underpayment</td>
</tr>
<tr>
<td>Maddie James Seaside Learning Center Phase 2</td>
<td>Concrete Solutions</td>
<td>$261.46</td>
<td>$261.46</td>
<td>_ YES ✓ _ No</td>
<td>1774; Prevailing Wage Underpayment 1777.5; Training Fund Contribution Underpayment</td>
</tr>
<tr>
<td>Maddie James Seaside Learning Center Phase 2</td>
<td>Split Rock Studios</td>
<td>$6,135.18</td>
<td>$6,135.18</td>
<td>_ YES ✓ _ No</td>
<td>1774; Prevailing Wage Underpayment 1777.5; Training Fund Contribution Underpayment</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>$6,405.79</td>
<td>$6,405.79</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maddie James Seaside Learning Center</td>
<td>$6,135.18</td>
<td>$6,135.18</td>
<td>The contractor willfully corrected the violations for the one (1) assessment over $1000 amount before the Forfeiture Request was issued to the Labor Commissioner for approval.</td>
</tr>
<tr>
<td>Total</td>
<td>$6,405.79</td>
<td>$6,405.79</td>
<td></td>
</tr>
</tbody>
</table>

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:
### E. Identify cases that are or were the subject of LC § 1742 proceedings.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Contractor</th>
<th>Nature of Violation</th>
<th>ODL Case #</th>
<th>Current Status</th>
</tr>
</thead>
</table>

### F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?  
**Please check one:**  
- [ ] Yes  
- [x] No  
If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: ____________________________

### G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?  
**Please check one:**  
- [ ] Yes  
- [x] No  
If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: ____________________________
State of California – Dept. of Industrial Relations
Office of the Director
Attn: Special Assistant to the Director
455 Golden Gate Avenue, 10th Floor
San Francisco, CA 94102

Re: Ocean Institute (LCP ID: 2012-01142)
LCP Annual Report 2014 - 2015

To whom it may concern:

On behalf of the Ocean Institute, enclosed you will find the LCP Annual Report for the 7/1/2014 thru 6/30/2015 period. This annual report is submitted in accordance with California Code Regulations 16431 for the agency’s Prop. 84-funded projects, which were subject to the monitoring of an LCP during the reporting period.

Should you require any additional information not provided in this report, please contact me by e-mail at inbox@mylcp.org or by phone at (951) 686-3482.

Respectfully,

Sophia E. Ramirez
Labor Compliance Administrator

Enclosure

cc: Ocean Institute