**LCP-AR1**

**LABOR COMPLIANCE PROGRAM ANNUAL REPORT**

*Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects*

Report for the reporting period **7/1/2011** to **6/30/2012**

1. Name of Labor Compliance Program (LCP): Carlsbad Unified School District

2. LCP I.D. Number (assigned by DIR): **2003.00291**
   
3. Date of Initial Approval: **July 14, 2003**

4. Contact person (include name, title, address, telephone, fax and e-mail, if available):
   
   Michelle Johnson, Labor Compliance Officer
   
   6225 El Camino Real, Carlsbad, CA 92009
   
   T: 760-331-5000, F: 619-760-6707, E Mail: Mjohnson@carlsbadusd.net

5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period?

   Please check one:  
   
   [X] If Yes, proceed to item 6 on the next page
   
   [ ] If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant,
   
   455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary)

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**SUBMITTED BY:**

Michelle Johnson  
Signature: ____________________________

Ms. Michelle Johnson, LCO

Date: 7/34/12
6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary)

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Bid Advertisement Date</th>
<th>Prime Contractor</th>
<th>Contract Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Lease Lease Back</td>
<td>McCarthy Building Company</td>
<td>$38,728,419.59</td>
</tr>
<tr>
<td>Sage Creek High School - Grading &amp; Utilities (Increment I)</td>
<td>Lease Lease Back</td>
<td>Balfour Beatty Construction</td>
<td>$9,613,430.00</td>
</tr>
<tr>
<td>Sage Creek High School Building Phase (Increment II)</td>
<td>Lease Lease Back</td>
<td>Balfour Beatty Construction</td>
<td>$68,624,478.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td><strong>$116,966,327.59</strong></td>
</tr>
</tbody>
</table>
### B. Summary of all wages and penalties assessed and/or recovered.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Affected Contractor (who directly employed the worker)</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Approval of Forfeiture Requested from Labor Commissioner?</th>
<th>Description of Violation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Yes/No</td>
<td></td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Valley Crest Landscape</td>
<td>$26,839.92</td>
<td>$19,839.92</td>
<td>Yes/No</td>
<td>Misclassification of Employees</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>RAP Engineering</td>
<td>$621.62</td>
<td>$221.62</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Alcala</td>
<td>$562.85</td>
<td>$172.82</td>
<td>Yes/No</td>
<td>Unsupervised Apprentice</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Valley Crest Landscape</td>
<td>$54,681.17</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage/Benefits</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Vertex Coatings</td>
<td>$1,061.33</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Pacific Coast Cleaning</td>
<td>$710.44</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Failure to Pay Sunday Rate</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>Brand Scaffolding</td>
<td>$16,435.56</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>ISEC</td>
<td>$269.40</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Unsupervised Apprentice</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>Nissho Of California</td>
<td>$6,784.70</td>
<td>$5,734.70</td>
<td>Yes/No</td>
<td>Ratio Violation</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>David Shaposhnick Contracting</td>
<td>$10,140.48</td>
<td>$4,565.48</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>Jacobbson Engineering</td>
<td>$1,227.44</td>
<td>$307.44</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>Marathon General</td>
<td>$12,444.23</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage/Benefits</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>Valley Crest Landscape</td>
<td>$5,268.98</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Underpayment of Prevailing Wage</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>Cleanline Concrete, Inc.</td>
<td>$5,450.96</td>
<td>Pending</td>
<td>Yes/No</td>
<td>Failure to pay Pre Determined Increase</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>$142,499.08</strong></td>
<td><strong>$30,841.98</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>$621.62</td>
<td>$221.62</td>
<td>Amount under $1,000.00 - DLSE Notified of Outcome</td>
</tr>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>$562.85</td>
<td>$172.82</td>
<td>Amount under $1,000.00 - DLSE Notified of Outcome</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>$10,140.48</td>
<td>$4,565.48</td>
<td>Contractor Rectified Issue Immediately</td>
</tr>
</tbody>
</table>

Total | $11,324.95 | $4,959.92 |

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Amount Assessed</th>
<th>Amount Recovered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carlsbad High School New Classrooms</td>
<td>$0.00</td>
<td>$8,750.00</td>
</tr>
<tr>
<td></td>
<td>$8,750.00</td>
<td>$1,600.00</td>
</tr>
<tr>
<td></td>
<td>$16,489.2</td>
<td>$26,839.92</td>
</tr>
<tr>
<td>Sage Creek High School</td>
<td>$0.00</td>
<td>$2,650.00</td>
</tr>
<tr>
<td></td>
<td>$2,650.00</td>
<td>$0.00</td>
</tr>
<tr>
<td></td>
<td>$5,362.14</td>
<td>$8,012.14</td>
</tr>
</tbody>
</table>

Total | $0.00 | $11,400.00 | $1,600.00 | $21,852.06 | $0.00 | $2,430.00 | $1,600.00 | $21,852.06 | $25,882.06 |
E. Identify cases that are or were the subject of LC §1742 proceedings.

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Contractor</th>
<th>Nature of Violation</th>
<th>ODL Case #</th>
<th>Current Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

F. Did you refer any contractor to the Labor Commissioner for debarment per LC §1777.1?

Please check one: [ ] Yes [X] No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral:

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)

Please check one: [ ] Yes [X] No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral:

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Contractor</th>
<th>Date Referred</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>