DEPARTMENT OF INDUSTRIAL RELATIONS DIVISION OF WORKERS' COMPENSATION LEGAL UNIT 1515 Clay Street, Suite 1700 Oakland, California 94612 Tel (510) 286 -7100 Fax (510) 286-0687



July 20, 2018

Karen Margaret Setterfield 5675 Thille Street, #18 Ventura, CA 93003

NOTICE OF PROVIDER SUSPENSION – WORKERS' COMPENSATION

Dear Ms. Setterfield:

The Administrative Director of the Division of Workers' Compensation (DWC) is required by Labor Code section 139.21(a)(1)(C) to suspend you from participation in the California workers' compensation system because your license, certification, or approval to provide health care services has been surrendered or revoked. Enclosed are copies of the documents relied upon by the Administrative Director as the basis for taking this action.

Your suspension will start 30 calendar days after the date of mailing of this notice, unless you submit a written request for a hearing, which will stay the suspension pending the outcome of the hearing. Your request must be made within 10 calendar days of the date of mailing of this notice. If you do not request a hearing within the 10-day time limit, you will be suspended from participation in the California workers' compensation system pursuant to California Code of Regulations, title 8, section 9788.2(b).

Your request for a hearing must contain:

- Your current mailing address;
- The legal and factual reasons as to why you do not believe Labor Code section 139.21(a)(1) is applicable to you; and
- Your original signature or the original signature of your legal representative.

The scope of the hearing is limited to whether or not Labor Code section 139.21(a)(1) is applicable to you. The Administrative Director is required to suspend you unless you provide proof in the hearing that Labor Code section 139.21(a)(1) does not apply.

Your original request for a hearing and one copy of the request must be filed with the Administrative Director. Additionally, you must also serve one copy of the request for a hearing on the DWC Legal Unit. The addresses for the Administrative Director and the Legal Unit are:

Karen Margaret Setterfield July 20, 2018

Hearing Request Administrative Director Division of Workers' Compensation 1515 Clay Street, Suite 1800 Oakland, California 94612

and

Hearing Request
Department of Industrial Relations
Officer of the Director
Anti-Fraud Unit
1515 Clay Street, Suite 1700
Oakland, CA 94612

The original and all copies of the request for hearing must have a proof of service attached. A sample proof of service, containing all necessary elements, can be found on the DWC website at https://www.dir.ca.gov/dwc/forms.html, under the category "Court Forms," and then "Proof of Service." The Administrative Director is required to hold your hearing within 30 days of the receipt of your written request. The hearing will be conducted by a hearing officer appointed by the Administrative Director. You will be notified shortly after the receipt of your request of the date and time of the hearing.

For more information about the suspension procedure, please refer to Provider Suspension Regulations, California Code of Regulations, title 8, sections 9788.1 - 9788.4, which can be found on the DWC website at http://www.dir.ca.gov/dwc/DWCPropRegs/Provider-Suspension-Procedure/Clean-Version/Text-of-Regulations.pdf.

Sincerely

George Parisotto

Administrative Director

Division of Workers' Compensation

Encls:

-Stipulated Surrender of License and Order (Decision and Order) – *In the Matter of the Stipulated Surrender of License and Order Against Karen Margaret Setterfield, a.k.a. Karen Margaret McKeown* (Case No. 2016-953) Before the Board of Registered Nursing, California Department of Consumer Affairs, with accompanying: Stipulated Surrender of License and Order, dated July 27, 2017

-Decision and Order - In the Matter of the Accusation Against Karen Margaret Setterfield, a.k.a. Karen Margaret McKeown (Case No. 2016-953), dated June 20, 2017, with accompanying Stipulated Settlement and Disciplinary Order, dated January 27, 2017; and Accusation, dated March 28, 2016

-Declaration of Socorro Tongco in Support of Notice of Provider Suspension

-Proof of Service

BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Stipulated Surrender of License and Order Against:

KAREN MARGARET SETTERFIELD AKA KAREN MARGARET MCKEOWN 5675 Thille St. #18 Ventura, CA 93003 Case No. 2016-953 OAH No. 2016061012

STIPULATED SURRENDER OF LICENSE AND ORDER

Registered Nurse License No. 390386

Respondent

DECISION AND ORDER

The attached Stipulated Surrender of License and Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as its Decision in this matter.

IT IS SO ORDERED July 27, 2017.

This Decision shall become effective on July 27, 2017.

Joseph L. Morris, PhD, MSN., R.N. Executive Officer FOR THE BOARD OF REGISTERED NURSING

DEPARTMENT OF CONSUMER AFFAIRS

BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

1	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA	
2		
3	In the Matter of the Stipulated Surrender of License and Order Against:	Case No. 2016-953 OAH No. 2016061012
4 5 6	KAREN MARGARET SETTERFIELD AKA KAREN MARGARET MCKEOWN 5675 Thille St. #18 Ventura, CA 93003	STIPULATED SURRENDER OF LICENSE AND ORDER
7	Registered Nurse License No. 390386	
8	Respondent.	
9		•
10	IT IS HEREBY STIPULATED AND AGREED by and between the parties that	
11	the following matters are true:	
12	PARTIE	<u>s</u>
13	1. Joseph L. Morris PhD, MSN,	RN. (Complainant) is the Executive Officer
14	of the Board of Registered Nursing, who brought this	s action solely in his official capacity.
15	2. Karen Margaret Setterfield, a.l	k.a. Karen Margaret McKeown
16	(Respondent), is representing herself in this proceeding and has chosen not to exercise her right	
17	to be represented by counsel.	
18	3. On or about August 31,1985,	the Board of Registered Nursing issued
19	Registered Nurse License No. 390386 to Karen Margaret Setterfield, a.k.a. Karen Margaret	
20	McKeown (Respondent). The Registered Nurse License No. 390386 will expire on April 30,	
21	2019, unless renewed.	
22	<u>JURISDIC</u>	NOI
23	4. On June 20, 2017, the Board of	of Registered Nursing adopted Stipulated
24	Settlement and Disciplinary Order No. 2016-953, OAH No. 2016061012, which became	
25	effective on July 20, 2017. The Stipulated Settlement and Disciplinary Order requires, inter alia	
26	the respondent to serve a 3-year probation term that includes Probation Conditions # 1-19. The	
27	Stimulated Settlement and Disciplinary Order is attached as exhibit A and incorporated herein h	

reference.

5. At all times after the effective date of Respondent's probation, Condition 13 states,

"License Surrender. During Respondent's term of probation, if she ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender her license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing.

Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

- (1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness; or
- (2) One year for a license surrendered for a mental or physical illness."

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read and understands Stipulated Settlement and Disciplinary Order No. 2016-953, OAH No. 2016061012. Respondent has carefully read, and understands the effects of this Stipulated Surrender of License and Order and understands that this Stipulated Surrender, if accepted by the Board, is considered as formal discipline of her license.
- 7. Respondent understands that by signing this stipulation she enables the Board to accept the surrender of her Registered Nurse License without further process.

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CONTINGENCY

- 8. This stipulation shall be subject to approval by the Board of Registered Nursing. The Respondent understands and agrees that by signing this Stipulated Surrender of License and Order, she may not withdraw her agreement or seek to rescind the stipulation prior to the date it becomes effective. If the Board declines to accept this stipulation as its Decision and Order, the Stipulated Surrender of License and Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 9. This Stipulated Surrender of License and Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Surrender of License and Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 10. The parties understand and agree that facsimile copies of this Stipulated Surrender of License and Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 11. In consideration of the foregoing stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

ORDER

IT IS HEREBY ORDERED that Registered Nurse License No. 390386, issued to Respondent Karen Margaret Setterfield, a.k.a. Karen Margaret McKeown is surrendered and the surrender is accepted by the Board of Registered Nursing.

1. The surrender of Respondent's Registered Nurse License and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent. This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Board.

- 2. Respondent shall lose all rights and privileges as a Registered Nurse in California as of the effective date of the Board's Decision and Order.
- 3. Respondent shall cause to be delivered to the Board both her pocket license and wall certificate, if one was issued, on or before the effective date of the Decision and Order.
- 4. Respondent fully understands and agrees that if she ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked or surrendered license in effect at the time the petition is filed, and all of the charges and allegations contained in Accusation No. 2016-953 shall be deemed to be true, correct and admitted by Respondent when the Board determines whether to grant or deny the petition.
- 5. Upon reinstatement of the license by the Board, Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$11,529.00 which is the amount currently owed pursuant to Stipulated Settlement and Disciplinary Order No. 2016-953, OAH No. 2016061012 (Exhibit A). If the reinstatement of Respondent's license is granted, Respondent shall be permitted to pay these costs in a payment plan approved by the Board.
- 6. Respondent shall not apply for licensure or petition for reinstatement for one (1) year from the effective date of the Board of Registered Nursing's Decision and Order.

ACCEPTANCE

I have carefully read the Stipulated Surrender of License and Order. I understand
the stipulation and the effect it will have on my Registered Nurse License. I enter into this
Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and agree t
be bound by the Decision and Order of the Board of Registered Nursing.

DATED:

Karen Margaret Setterfield, a.k.a. Karen Margaret McKeown Respondent

ENDORSEMENT

The foregoing Stipulated Surrender of License and Order is hereby respectfully accepted by Joseph L. Morris PhD, MSN, RN. (Complainant) as the Executive Officer for the Board of Registered Nursing.

DATED:

Joseph L. Morris PhD, MSN, RN. Executive Officer

BOARD OF REGISTERED NURSING

Exhibit A

BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

KAREN MARGARET SETTERFIELD AKA KAREN MARGARET MCKEOWN 5675 Thille St. #18 Ventura, CA 93003 Case No. 2016-953 OAH No. 2016061012

Registered Nurse License No. 390386

Respondent

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on July 20, 2017.

IT IS SO ORDERED June 20, 2017.

Michael D. Jackson, President

Board of Registered Nursing

Department of Consumer Affairs

State of California

1 2	XAVIER BECERRA Attorney General of California MARC D, GREENBAUM Supervising Deputy Attorney General		
3	MICHAEL A. CACCIOTTI Deputy Attorney General		
4	State Bar No. 129533 300 So. Spring Street, Suite 1702	·	
5	Los Angeles, CA 90013 Telephone: (213) 897-2932		
6	Facsimile: (213) 897-2804	ı. [†]	
7	Attorneys for Complainant		
8	BOARD OF REGIS	BEFORE THE BOARD OF REGISTERED NURSING DEBARTMENT OF CONSUMER A MEATING	
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10	T d N. a. C. s.		
	In the Matter of the Accusation Against:	Case No. 2016-953	
11	KAREN MARGARET SETTERFIELD aka KAREN MARGARET MCKEOWN	OAH No. 2016061012	
12 13	931 Brockton Lane Ventura, CA 93001	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER	
14	Registered Nurso License No. 390386		
15	Respondent.		
16	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
17	entitled proceedings that the following matters a	re true:	
18	PAR	<u>eties</u>	
19	1. Louise R. Bailey, M.Ed., RN (Comp	lainant) was the Executive Officer of the Board	
20	of Registered Nursing (Board). She brought this action solely in her official capacity. Joseph		
21	Morris, PhD, MSN, RN is currently the Executive Officer of the Board and is represented in this		
22	matter by Xavier Becerra, Attorney General of the State of California, by Michael A. Cacciotti,		
23	Deputy Attorney General.		
24	2. Respondent Karen Margaret Setterfi	eld aka Karen Margaret McKeown (Respondent)	
25	is represented in this proceeding by attorney Elizabeth Brady, whose address is: Law Office of		
26	Elizabeth M. Brady 8880 Rio San Diego Drive,	Suite 800 San Diego, CA 92108-1642.	
27	3. On or about August 31, 1985, the Bo	oard issued Registered Nurse License No. 390386	
28	to Respondent. The Registered Nurse License will expire on April 30, 2017, unless renewed.		
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JURISDICTION

- 4. Accusation No. 2016-953 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on March 28, 2016. Respondent timely filed her Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 2016-953 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 2016-953. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent understands and agrees that the charges and allegations in Accusation No. 2016-953, if proven at a hearing, constitute cause for imposing discipline upon her Registered Nurse License.
- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondent hereby gives up her right to contest those charges.

11. Respondent agrees that her Registered Nurse License is subject to discipline and she agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

RESERVATION

12. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- 13. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 15. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 16. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Registered Nurse License No. RN 390386 issued to Respondent Karen Margaret Setterfield is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following conditions.

IT IS FURTHER ORDERED that any new certification(s) issued while Respondent remains on probation shall also be placed on probation subject to the same terms and conditions applicable to Respondent's registered nurse license.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. Obey All Laws. Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board, and, cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's license shall be fully restored.

- 3. Report in Person. Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when she resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of residency or practice outside the state, and within 30 days prior to re-establishing residency or returning to practice in this state.

Respondent shall provide a list of all states and territories where she has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status during the term of probation. Respondent shall inform the Board if she applies for or obtains a new nursing license during the term of probation.

5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which she has a registered nurse license.

6. Function as a Registered Nurse. Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing.

for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation shall apply.

7. Employment Approval and Reporting Requirements. Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to her employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after she obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after she is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. Supervision. Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

(a) Maximum - The individual providing supervision and/or collaboration is present in

the patient care area or in any other work setting at all times.

- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.
- (c) Minimum The individual providing supervision and/or collaboration has person-toperson communication with Respondent at least twice during each shift worked.
- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by Respondent with or without Respondent present.
- 9. Employment Limitations. Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses.

The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at her own expense, shall enroll in and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of her probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s).

Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. Cost Recovery. Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$11,529.00. Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation will apply.

12. Violation of Probation. If Respondent violates the conditions of her probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation/suspension) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be extended and shall not expire until the accusation or petition has been acted upon by the Board.

13. License Surrender. During Respondent's term of probation, if she ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation,

Respondent may surrender her license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

- (1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness; or
 - (2) One year for a license surrendered for a mental or physical illness.
- 14. Physical Examination. Within 45 days of the effective date of this Decision, Respondent, at her expense, shall have a licensed physician, nurse practitioner, or physician assistant, who is approved by the Board before the assessment is performed, submit an assessment of the Respondent's physical condition and capability to perform the duties of a registered nurse. Such an assessment shall be submitted in a format acceptable to the Board. If medically determined, a recommended treatment program will be instituted and followed by the Respondent with the physician, nurse practitioner, or physician assistant providing written reports to the Board on forms provided by the Board.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed physician, nurse practitioner, or physician assistant making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and shall not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required until the Board has notified Respondent that a medical determination permits Respondent to resume practice. This period of suspension will not apply to the reduction

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of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

15. Participate in Treatment/Rehabilitation Program for Chemical Dependence.

Respondent, at her expense, shall successfully complete during the probationary period or shall have successfully completed prior to commencement of probation a Board-approved treatment/rehabilitation program of at least six months duration. As required, reports shall be submitted by the program on forms provided by the Board. If Respondent has not completed a Board-approved treatment/rehabilitation program prior to commencement of probation,

Respondent, within 45 days from the effective date of the decision, shall be enrolled in a program. If a program is not successfully completed within the first nine months of probation, the Board shall consider Respondent in violation of probation.

Based on Board recommendation, each week Respondent shall be required to attend at least one, but no more than five 12-step recovery meetings or equivalent (e.g., Narcotics Anonymous, Alcoholics Anonymous, etc.) and a nurse support group as approved and directed by the Board. If a nurse support group is not available, an additional 12-step meeting or equivalent shall be added. Respondent shall submit dated and signed documentation confirming such attendance to the Board during the entire period of probation. Respondent shall continue with the recovery plan recommended by the treatment/rehabilitation program or a licensed mental health examiner and/or other ongoing recovery groups.

16. Abstain from Use of Psychotropic (Mood-Altering) Drugs. Respondent shall completely abstain from the possession, injection or consumption by any route of <u>all controlled</u> <u>substances</u> and all psychotropic (mood altering) drugs, including alcohol, except when the same

are ordered by a health care professional legally authorized to do so as part of documented medical treatment. Respondent shall have sent to the Board, in writing and within fourteen (14) days, by the prescribing health professional, a report identifying the medication, dosage, the date the medication was prescribed, the Respondent's prognosis, the date the medication will no longer be required, and the effect on the recovery plan, if appropriate.

Respondent shall identify for the Board a single physician, nurse practitioner or physician assistant who shall be aware of Respondent's history of substance abuse and will coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled substances or moodaltering drugs. The coordinating physician, nurse practitioner, or physician assistant shall report to the Board on a quarterly basis Respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances.

The Board may require the single coordinating physician, nurse practitioner, or physician assistant to be a specialist in addictive medicine, or to consult with a specialist in addictive medicine.

17. Submit to Tests and Samples. Respondent, at her expense, shall participate in a random, biological fluid testing or a drug screening program which the Board approves. The length of time and frequency will be subject to approval by the Board. Respondent is responsible for keeping the Board informed of Respondent's current telephone number at all times. Respondent shall also ensure that messages may be left at the telephone number when she is not available and ensure that reports are submitted directly by the testing agency to the Board, as directed. Any confirmed positive finding shall be reported immediately to the Board by the program and Respondent shall be considered in violation of probation.

In addition, Respondent, at any time during the period of probation, shall fully cooperate with the Board or any of its representatives, and shall, when requested, submit to such tests and samples as the Board or its representatives may require for the detection of alcohol, narcotics, hypnotics, dangerous drugs, or other controlled substances.

If Respondent has a positive drug screen for any substance not legally authorized and not

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reported to the coordinating physician, nurse practitioner, or physician assistant, and the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to participate in a random, biological fluid testing or drug screening program within the specified time frame, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. After taking into account documented evidence of mitigation, if the Board files a petition to revoke probation or an accusation, the Board may suspend Respondent from practice pending the final decision on the petition to revoke probation or the accusation. This period of suspension will not apply to the reduction of this probationary time period.

18. Mental Health Examination. Respondent shall, within 45 days of the effective date of this Decision, have a mental health examination including psychological testing as appropriate to determine her capability to perform the duties of a registered nurse. The examination will be performed by a psychiatrist, psychologist or other licensed mental health practitioner approved by the Board. The examining mental health practitioner will submit a written report of that assessment and recommendations to the Board. All costs are the responsibility of Respondent. Recommendations for treatment, therapy or counseling made as a result of the mental health examination will be instituted and followed by Respondent.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed mental health care practitioner making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and may not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required, until the Board has notified Respondent that a mental health determination permits Respondent to resume practice. This period of suspension will not apply to the reduction of this probationary time period.

If Respondent fails to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately cease practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be permitted.

Therapy or Counseling Program. Respondent, at her expense, shall participate in an on-going counseling program until such time as the Board releases her from this requirement and only upon the recommendation of the counselor. Written progress reports from the counselor will be required at various intervals.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Elizabeth Brady. I understand the stipulation and the effect it will have on my Registered Nurse License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board.

DATED:

KAREN MARGARET SETTERFIELD aka KAREN MARGARET MCKEOWN Respondent

I have read and fully discussed with Respondent the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED:

ELIZABETH BRADY Attorney for Respondent

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If Respondent falls to have the above assessment submitted to the Board within the 45-day requirement, Respondent shall immediately come practice and shall not resume practice until notified by the Board. This period of suspension will not apply to the reduction of this probationary time period. The Board may waive or postpone this suspension only if significant, documented evidence of mitigation is provided. Such evidence must establish good faith efforts by Respondent to obtain the assessment, and a specific date for compliance must be provided. Only one such waiver or extension may be perinfitted.

Thornpy or Connsoling Program. Respondent, at her expense, shall participate in 19. an on-going counseling program until such time as the Board releases her from this requirement and only upon the recommendation of the counselor. Written progress reports from the counselor will be required at various intervals.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my atterney. Elizabeth Brady. I understand the adjulation and the effect it will have on my Registered Nurse License. I enter into this Silpulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Docision and Order of the Board.

- Wargaret Suffer Erelie DATED:

MARGARET MCKEOWN

Respondent

I have read and fully discussed with Respondent the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: January 27, 2017

HLIZABETE BRADY Attorney for Respondent

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ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Registered Nursing.

1/27/17 Dated:

Respectfully submitted,

XAVIER BECERRA Attorney General of California MARC D. GREENBAUM Supervising Deputy Attorney General

Deputy Attorney General Attorneys for Complainant

Exhibit A

Accusation No. 2016-953

1 KAMALA D. HARRIS Attorney General of California MARC D. GREENBAUM 2 Supervising Deputy Attorney General 3 MICHAEL A. CACCIOTTI Deputy Attorney General. State Bar No: 129533 300 So. Spring Street, Suite 1702 5 Los Angeles, CA 90013 Telephone: (213) 897-2932 6 Faosimile: (213) 897-2804 Attorneys for Complainant BEFORE THE 8 BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS. 9 STATE OF CALIFORNIA 10 Case No. 2016-953 In the Matter of the Accusation Against: 11 KAREN MARGARET SETTERFIELD aka ACCUSATION 12 KAREN MARGARET MCKEOWN 931 Brockton Lane 13 Ventura, CA 93001 14 Registered Nurso License No. 390386 15 Respondent. 16 17 18 Complainant alleges: 19 PARTIES 20 Louise R. Bailey, M.Ed., RN (Complainant) brings this Accusation solely in her official capacity as the Executive Officer of the Board of Registered Nursing, Department of 21 22 Consumer Affairs. 23 On or about August 31, 1985, the Board of Registered Nursing Issued Registered 2. Nurse License Number 390386 to Karen Margaret Setterfield aka Karen Margaret McKeown 24 (Respondent). The Registered Nurse License will expire on April 30, 2017, unless renewed. 25 26 /// . 27 28 /// (KAREN MARGARET SETTERFIELD) ACCUSATION

JURISDICTION

3. This Accusation is brought before the Board of Registered Nursing (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

STATUTORY PROVISIONS

- 4. Section 2750 of the Business and Professions Code (Code) provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
- 5. Section 2764 of the Code provides, in pertinent part, that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary proceeding against the licensee or to render a decision imposing discipline on the license. Under section 2811(b) of the Code, the Board may renew an expired license at any time within eight years after the expiration.
 - 6. Section 2761 of the Code states:

"The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

- "(a) Unprofessional conduct, which includes, but is not limited to, the following:
- "(1) Incompetence, or gross negligence in carrying out usual certified or licensed nursing functions.
- "(d) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violating of, or conspiring to violate any provision or term of this chapter [the Nursing Practice Act] or regulations adopted pursuant to it.
 - 7. Section 2762 of the Code states:

"In addition to other acts constituting unprofessional conduct within the meaning of this chapter [the Nursing Practice Act], it is unprofessional conduct for a person licensed under this chapter to do any of the following:

"(b) Use any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code, or any dangerous drug or dangerous device as defined in Section 4022, or alcoholic beverages, to an extent or in a manner dangerous or injurious to himself or herself, any other person, or the public or to the extent that such use impairs his or her ability to conduct with safety to the public the practice authorized by his or her license.

COST RECOVERY

8. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case, with failure of the licentiate to comply subjecting the license to not being renewed or reinstated. If a case settles, recovery of investigation and enforcement costs may be included in a stipulated settlement.

REGULATIONS

9. California Code of Regulations, title 16, section 1443, states:

"As used in Section 2761 of the Code, 'incompetence' means the lack of possession of or the failure to exercise that degree of learning, skill, care and experience ordinarily possessed and exercised by a competent registered nurse as described in Section 1443.5."

10. California Code of Regulations, title 16, section 1443.5 states:

"A registered nurse shall be considered to be competent when he/she consistently demonstrates the ability to transfer scientific knowledge from social, biological and physical sciences in applying the nursing process, as follows:

- "(1) Formulates a nursing diagnosis through observation of the client's physical condition and behavior, and through interpretation of information obtained from the client and others, including the health team.
- "(2) Formulates a care plan, in collaboration with the client, which ensures that direct and indirect nursing care services provide for the client's safety, comfort, hygiene, and protection, and for disease prevention and restorative measures.

- "(3) Performs skills essential to the kind of nursing action to be taken, explains the health treatment to the client and family and teaches the client and family how to care for the client's health needs.
- "(4) Delegates tasks to subordinates based on the legal scopes of practice of the subordinates and on the preparation and capability needed in the tasks to be delegated, and effectively supervises nursing care being given by subordinates.
- "(5) Evaluates the effectiveness of the care plan through observation of the client's physical condition and behavior, signs and symptoms of illness, and reactions to treatment and through communication with the client and health team members, and modifies the plan as needed.
- "(6) Acts as the client's advocate, as circumstances require, by initiating action to improve health care or to change decisions or activities which are against the interests or wishes of the client, and by giving the client the opportunity to make informed decisions about health care before it is provided."

FIRST CAUSE FOR DISCIPLINE

(Incompetence)

- 11. Respondent is subject to disciplinary action under section 2761 subdivision (a) (1) and California Code of Regulations, title 16, sections 1443 and 1443.5 in that she was incompetent while on duty as a staff Registered Nurse (RN) at Los Robles Medical Center (LRMC), Critical Care Unit. Specifically, Respondent was determined to be under the influence of alcohol during an assigned RN shift. The circumstances are as follows:
- 12. On July 12, 2012, Respondent telephoned LRMC's management prior to her scheduled July 13, 2012 morning shift and requested that she be absent from her shift because she was allegedly experiencing diarrhea. Respondent's request was denied by LRMC's management as a result of required staffing needs for that shift.
- 13. When Respondent reported to work on July 13, 2012, she was observed by staff exhibiting the following mannerisms and symptoms: (a) Nausea, (b) Vomiting, (c) Blank stares, (d) Cold and sweaty palms, (e) Shaky hands, (f) Excessive sweating, and (g) Dizziness.
 - 14. As a result of the aforementioned observations and per LRMC's drug and alcohol

-	DATED: March 28, 2016	Louise R. Bailey
		CZOURE R. BAILEY, M.ED., RN Executive Officer
		Board of Registered Nursing Department of Consumer Affairs
		State of California Complainant
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Declaration of Socorro Tongco (in Support of Notice of Provider Suspension)

I, Socorro Tongco, hereby declare and state as follows:

- 1. I make this declaration of my own personal knowledge and if called to testify, I could and would testify competently to the matters stated herein.
- 2. I am employed by the State of California, Department of Industrial Relations ("Department"), Office of the Director, as a Special Investigator. I have been an investigator with the Department since 2006. I make this Declaration in support of the "Notice of Provider Suspension Workers' Compensation" issued by the Acting Administrative Director of the Division of Workers' Compensation, attached herein.
- 3. As part of my duties as a Special Investigator, I have access to investigative tools and internet-based information databases such as Thomson Reuters Clear, and LexisNexis Accurint. These database resources provide access to public and non-public records that we use as necessary, for purposes of our legal work and representation of the Department in workers' compensation cases and in other litigation, to locate individuals, uncover assets, and verify identities.
- 4. On or about June 5, 2018, I noted the address of record for Karen Margaret Setterfield with the State of California, Department of Consumer Affairs, Board of Registered Nursing as: 5675 Thille Street #18, Ventura, CA 93003.
- 5. On or about June 29, 2018, I ran a search on Ms. Setterfield in the Lexis Nexis Accurint database. The searches provided the following information: Karen Margaret Setterfield aka Karen McKeown is associated with an address in Ventura, CA (I will not state the address so as to not reveal Ms. Setterfield's home address).

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 29th day of June, 2018, in Oakland, California.

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CERTIFICATE OF SERVICE BY MAIL

(C.C.P. section 1013(a), 2015.5)

I am over the age of 18 years and not a party to the entitled action. My business address is 1515 Clay Street, 18th Floor, Oakland, California 94612.

I served the following document(s):

- Notice of Provider Suspension Workers' Compensation
- Stipulated Surrender of License and Order (Decision and Order) In the Matter of the Stipulated Surrender of License and Order Against Karen Margaret Setterfield, a.k.a. Karen Margaret McKeown (Case No. 2016-953) Before the Board of Registered Nursing, California Department of Consumer Affairs, with accompanying: Stipulated Surrender of License and Order, dated July 27, 2017
- Decision and Order In the Matter of the Accusation Against Karen Margaret Setterfield, a.k.a. Karen Margaret McKeown (Case No. 2016-953), dated June 20, 2017, with accompanying Stipulated Settlement and Disciplinary Order, dated January 27, 2017; and Accusation, dated March 28, 2016
- Declaration of Socorro Tongco in Support of Notice of Provider Suspension

on the following person(s) at the following address(es):

Karen Margaret Setterfield 5675 Thille Street, #18 Ventura, CA 93003

Karen Margaret Setterfield 931 Brockton Lane Ventura, CA 93001

The document(s) was/were served by the following means:

- [X] (BY U.S. CERTIFIED MAIL) I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the address(es) listed above and:
- [X] Placed the envelope or package for collection and mailing, following our ordinary business practices. I am readily familiar with the firm's practice for collection and processing correspondence for mailing. Under that practice, on the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the U.S. Postal Service, in a sealed envelope or package with the postage fully prepaid.

I declare under penalty of perjury under the laws of State of California that the above is true and correct.

Executed on July 20, 2018, at Oakland, California.

