STATE OF CALIFORNIA

DEPARTMENT OF INDUSTRIAL RELATIONS DIVISION OF WORKERS' COMPENSATION OFFICE OF THE ADMINISTRATIVE DIRECTOR 1515 Clay Street, Suite 1700 Oakland, California 94612 Tel (510) 286-7100 Fax (510) 286-0687



November 29, 2022

Rosen Anesthesia Group, Inc. Attn: Alan L. Tivoli 500 S. Sepulveda Blvd., #303 Los Angeles, CA 90049

NOTICE OF PROVIDER SUSPENSION – WORKERS' COMPENSATION

Dear Rosen Anesthesia Group, Inc.:

The Administrative Director of the Division of Workers' Compensation (DWC) is required by Labor Code section 139.21(a)(1)(D) to suspend you from participation in the California workers' compensation system because you are controlled by an individual [Randy Scott Rosen] who has been convicted of a felony or misdemeanor described in subparagraph (A) of Labor Code section 139.21. Per Labor Code section 139.21(a)(3), an entity is controlled by an individual if: (1) the individual is or was an officer or director of the entity; (2) is or was a shareholder with a 10 percent or greater interest in the entity; or (3) held de facto ownership of the entity or de facto control consistent with the rights and duties of an officer or directory of the entity (see *Villanueva v. Teva Foods* (2019) WCAB Case No. ADJ9332041, 84 Cal. Comp. Cases 198 [significant panel decision].). Enclosed are copies of the documents relied upon by the Administrative Director as the basis for taking this action.

Your suspension will start 30 calendar days after the date of mailing of this notice, unless you submit a written request for a hearing, which will stay the suspension pending the outcome of the hearing. Your request must be made within 10 calendar days of the date of mailing of this notice. If you do not request a hearing within the 10-day time limit, you will be suspended from participation in the California workers' compensation system pursuant to California Code of Regulations, title 8, section 9788.2(b).

Your request for a hearing must contain:

- Your current mailing address;
- The legal and factual reasons as to why you do not believe Labor Code section 139.21(a)(1) is applicable to you; and
- Your original signature or the original signature of your legal representative.

The scope of the hearing is limited to whether or not Labor Code section 139.21(a)(1) is applicable to you. The Administrative Director is required to suspend you unless you provide proof in the hearing that Labor Code section 139.21(a)(1) does not apply.

November 29, 2022 Rosen Anesthesia Group, Inc.

Your original request for a hearing and one copy of the request must be filed with the Administrative Director. Additionally, you must also serve one copy of the request for a hearing on the DIR Anti-Fraud Unit. The addresses for the Administrative Director and the Anti-Fraud Unit are:

Hearing Request Administrative Director Division of Workers' Compensation 1515 Clay Street, Suite 1700 Oakland, CA 94612

and

Hearing Request
Department of Industrial Relations
Office of the Director – Anti-Fraud Unit
1515 Clay Street, Suite 701
Oakland, CA 94612

The original and all copies of the request for hearing must have a proof of service attached. A sample proof of service, containing all necessary elements, can be found on the DWC website at https://www.dir.ca.gov/dwc/DWCPropRegs/Provider-Suspension-Procedure/Provider-Suspension-Procedure.htm, under the category "Court Forms," and then "Proof of Service." The Administrative Director is required to hold your hearing within 30 days of the receipt of your written request. The hearing will be conducted by a hearing officer appointed by the Administrative Director. You will be notified shortly after the receipt of your request of the date and time of the hearing.

For more information about the suspension procedure, please refer to Provider Suspension Regulations, California Code of Regulations, title 8, sections 9788.1 - 9788.4, which can be found on the DWC website at https://www.dir.ca.gov/dwc/DWCPropRegs/Provider-Suspension-Procedure/Clean-Version/Text-of-Regulations.doc.

Sincerely,
/S/ George Parisotto
George Parisotto
Administrative Director
Division of Workers' Compensation

Encls:

 Rosen Anesthesia Group, A Professional Medical Corporation, Secretary of State Corporation – Statement of Information No Change. Filed 11/12/2021 November 29, 2022 Rosen Anesthesia Group, Inc.

- Rosen Anesthesia Group, A Professional Medical Corporation, Secretary of State Statement of Information. Filed 8/7/2014
- Rosen Anesthesia Group, A Professional Medical Corporation, Secretary of State Articles of Incorporation. Filed 8/31/2001
- Advisement and Waiver of Rights for a Felony Guilty Plea. People vs. Randy Scott Rosen, Superior Court of California, County of Orange, Case No. 20CF1675. Filed 8/12/2022
- Declaration of Louis Andrew in Support of Notice of Provider Suspension
- Proof of Service (Certificate of Service by Mailing)