

## INDEX

### Foremost Shockwave Solutions, Inc. & Lee Mathis

<b>Exhibit #</b>	<b>Document</b>	<b>File Date</b>
1	USA v. George K. Reese (1), George K. Reese Chiropractic Corporation (2), Lee Mathis (3), Fernando Valdes (4), Foremost Shockwave Solutions (5)	11/6/2015
2	PACER Docket Summary/Lee Mathis enters Not Guilty Plea	11/12/2015
3	CA Secretary of State (SOS) Articles of Incorporation Foremost Shockwave Solution, Inc.	4/11/2005
4	SOS Statement of Information (SI) Foremost Shockwave Solution, Inc.	8/10/2015
5	SOS SI Foremost Shockwave Solution, Inc.	10/21/2016
6	PACER Docket Summary Fernando Valdes	11/6/2015
7	PACER Order Accepting Guilty Plea- Fernando Valdes	7/31/2017

# EXHIBIT 1

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**SEALED**  
UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

June 2014 Grand Jury

UNITED STATES OF AMERICA,

Case No. 15 CR 2822 CAB

Plaintiff,

I N D I C T M E N T

v.

GEORGE K. REESE (1),  
GEORGE K. REESE PROFESSIONAL  
CHIROPRACTIC CORPORATION (2),  
LEE MATHIS (3),  
FERNANDO VALDES (4),  
FOREMOST SHOCKWAVE SOLUTIONS (5),

Title 18, U.S.C., Sec. 371 -  
Conspiracy; Title 18, U.S.C.,  
Sec. 1341 and 1346 - Honest  
Services Mail Fraud; Title 18,  
U.S.C., Sec. 1952(a)(1)(A) and  
(a)(3)(A) - Travel Act; Title 18,  
U.S.C., Sec. 2 - Aiding and  
Abetting; Title 18, U.S.C.,  
Sec. 981(a)(1)(C), and  
Title 28, U.S.C., Sec. 2461(c) -  
Criminal Forfeiture

Defendants.

The Grand Jury charges, at all times material:

INTRODUCTORY ALLEGATIONS

THE DEFENDANTS AND OTHER PARTICIPANTS

1. Defendant GEORGE K. REESE was a chiropractor who has been licensed by the State of California since 1992. REESE practiced through the George K. Reese Professional Chiropractic Corporation. REESE operated his chiropractic practice at his office located at 2859 El Cajon Boulevard, then at 2047 El Cajon Boulevard, San Diego, California, and acted as the primary treating physician for patients at his office.

//

1           2.     Defendant   GEORGE   K.   REESE   PROFESSIONAL   CHIROPRACTIC  
2 CORPORATION was formed on August 28, 2001 and was licensed by the  
3 California Board of Chiropractic Examiners.

4           3.     Defendant   LEE   MATHIS   was   a   licensed   attorney   who   was  
5 admitted to practice in California in 1973. MATHIS was an owner of  
6 defendant FOREMOST SHOCKWAVE SOLUTIONS ("FOREMOST"), and shares  
7 signature authority with defendant FERNANDO VALDES on two of  
8 FOREMOST'S Wells Fargo business bank accounts. MATHIS was also the  
9 full or partial owner of several other companies, including MCLA,  
10 Inc., and L&T Services, Inc. His companies and law practice were  
11 located at 7291 Garden Grove Boulevard, Suite H, in Garden Grove,  
12 California, which was also the address of record for defendant  
13 FOREMOST, and two other companies, Medical Management Network, Inc.  
14 ("MMN") and iMedical, Inc.

15           4.     Defendant Fernando Valdes was an owner of FOREMOST and held  
16 the titles of President, CEO, and agent for service of process.  
17 VALDES was previously the President, CEO, and agent for service of  
18 process for MMN and was an employee of iMedical.

19           5.     Defendant FOREMOST was a California Corporation which was  
20 formed in April 2005, and had an address with the California  
21 Secretary of State of 7291 Garden Grove Boulevard, Suite H, in Garden  
22 Grove, California (the same location as MMN, iMedical, MLCA, and  
23 L&T). FOREMOST provided Extracorporeal Shockwave Therapy  
24 ("shockwave") treatments to patients, as described more fully below.

25           6.     "Dr. B" was a physician who conducted examinations and  
26 evaluations of patients in the Southern District of California, who  
27 owed a fiduciary duty to his patients. Dr. B referred patients to  
28 defendant FOREMOST for shockwave treatments, and received payments

1 from insurers for purportedly "supervising" FOREMOST technicians as  
2 they performed shockwave treatments on patients, as compensation for  
3 having referred the patient to FOREMOST for shockwave.

4 7. "Dr. C" was a therapist who operated as a medical marketer  
5 in Central and Southern California.

6 8. "Dr. D" was a chiropractor who operated numerous medical  
7 offices in the Central District of California, and caused patients to  
8 be referred for shockwave treatments with defendant FOREMOST.

9 9. "Doctors" refers to certain medical professionals  
10 (including defendant GEORGE K. REESE, Dr. B and Dr. D) and their  
11 affiliated entities (including GEORGE K. REESE PROFESSIONAL  
12 CHIROPRACTIC CORPORATION), who: practiced in the State of California,  
13 owed a fiduciary duty to their patients, referred or caused to be  
14 referred patients to FOREMOST for shockwave treatments, and were  
15 paid, either directly by FOREMOST or through Intermediaries, for  
16 supplying patients to FOREMOST for shockwave treatments.

17 10. "Person A" was a medical marketer in Los Angeles, Orange,  
18 and San Diego counties who brokered bribe and kickback arrangements  
19 between FOREMOST and Doctors.

20 11. "Intermediaries" refers to certain entities and  
21 individuals, including Person A and Dr. C, who brokered arrangements  
22 and acted as middlemen in bribe and kickback arrangements between  
23 FOREMOST and Doctors.

24 CALIFORNIA WORKERS' COMPENSATION PROGRAM

25 12. The California Workers' Compensation System ("CWCS")  
26 provided benefits including healthcare coverage to workers who are  
27 injured on the job. CWCS required employers in California to provide  
28 benefits to workers for qualifying injuries sustained in the course

1 of their employment. The medical benefits available to an injured  
2 worker under the CWCS included those that are reasonably required to  
3 cure or relieve them from the effects of their injury. Providers of  
4 medical services to qualifying patients sought payment for these  
5 services by mailing claims to CWCS employers and insurers.

#### 6 SHOCKWAVE THERAPY

7 13. Shockwave, as used by FOREMOST, was a treatment modality  
8 that used low energy sound waves to initiate tissue repair of  
9 musculoskeletal conditions. The treatment was not a surgical  
10 procedure and patients were not placed under anesthesia. To  
11 administer shockwave treatments, FOREMOST'S technicians utilized a  
12 Sonocur Basic machine. The Sonocur Basic has been approved by the  
13 Federal Drug Administration only for the treatment of chronic lateral  
14 epicondylitis (tennis elbow) for which the symptoms are unresponsive  
15 to standard therapy for more than six months.

#### 16 CALIFORNIA'S CWCS ANTI-BRIBERY LAWS

17 14. Multiple California laws prohibited paying or receiving  
18 fees for patient referrals. Specifically, California Labor Code  
19 § 139.3 prohibited physicians (including chiropractors) whose  
20 services were paid under the CWCS from referring any person for  
21 certain services if the physician had a financial interest in the  
22 party receiving the referral. The same law prohibited physicians  
23 from accepting or receiving consideration as compensation or  
24 inducement for a referred evaluation or consultation. Also,  
25 insurance providers were prohibited from knowingly paying for any  
26 services resulting from referrals, and faced criminal and civil  
27 penalties for engaging in such conduct. Section 139.3 also required  
28 a physician to disclose to the patient any financial interest in any

1 entity to which the physician referred the patient for services to be  
2 paid for under the CWCS. In addition, California Labor Code § 3215  
3 applied the prohibition on referral fees to "any person," that is,  
4 the prohibition was not limited to physicians. That statute provided  
5 that "any person ... who offers, delivers, receives, or accepts any  
6 rebate, refund, commission, preference, patronage, dividend, discount  
7 or other consideration" for referring clients or patients to perform  
8 or obtain services or benefits pursuant to the CWCS "is guilty of a  
9 crime." California Business and Professions Code § 650(a) prohibited  
10 "the offer, delivery, receipt, or acceptance" by physicians and  
11 chiropractors of "any rebate, refund, commission, preference,  
12 patronage dividend, discount, or other consideration," as  
13 compensation or inducement for referring patients to any person. And  
14 California Insurance Code § 750 prohibits the offer, delivery,  
15 receipt, or acceptance of any rebate, refund, commission, or other  
16 consideration, for the referral of clients by any person who engages  
17 in the practice of processing, presenting, or negotiating insurance  
18 claims.

#### 19 DOCTORS' FIDUCIARY DUTY TO PATIENTS

20 15. Physicians owed a fiduciary duty to their patients. This  
21 duty required that physicians act in their patients' best interests,  
22 and not for their own professional, pecuniary, or personal gain.  
23 Under California law, a physician had a fiduciary duty to disclose  
24 all information material to the patient's decision when soliciting a  
25 patient's consent to a medical procedure; such information included  
26 personal interests unrelated to the patient's health, whether  
27 research-related or economic, that may have affected the physician's  
28 professional judgment. Accepting kickbacks, bribes, and referral

1 fees without the patient's consent was a breach of a physician's  
2 fiduciary duty to his patient.

3 Count 1

4 CONSPIRACY TO COMMIT HONEST SERVICES MAIL FRAUD, MAIL FRAUD, AND TO  
5 VIOLATE THE TRAVEL ACT

6 18 U.S.C. § 371

7 [ALL DEFENDANTS]

8 16. Paragraphs 1 through 15 of this Indictment are realleged  
9 and incorporated by reference.

10 17. Beginning on a date unknown and continuing up through the  
11 date of this Indictment, within the Southern District of California  
12 and elsewhere, defendants GEORGE K. REESE, GEORGE K. REESE  
13 PROFESSIONAL CHIROPRACTIC CORPORATION, LEE MATHIS, FERNANDO VALDES,  
14 and FOREMOST SHOCKWAVE SOLUTIONS did knowingly and intentionally  
15 conspire with each other, with Dr. B, Dr. C, Dr. D, MCLA, L&T  
16 Services, MMN, and iMEDICAL, and with others to:

17 a. commit Honest Services Mail Fraud, that is, knowingly  
18 and with the intent to defraud, devising a material scheme to defraud  
19 and to deprive patients of the intangible right to Doctors' honest  
20 services, and to cause mailings in furtherance of the scheme, in  
21 violation of Title 18, United States Code, Sections 1341 and 1346;

22 b. commit Mail Fraud, that is, knowingly and with the  
23 intent to defraud, devising a material scheme to defraud, and to  
24 obtain money and property, by means of materially false and  
25 fraudulent pretenses, representations, promises, and omissions and  
26 concealments of material facts, and causing mailings in furtherance  
27 of the scheme, in violation of Title 18, United States Code,  
28 Section 1341; and

c. violate the Travel Act, that is, to use and cause to  
be used facilities in interstate commerce with intent to promote,

1 manage, establish, carry on, distribute the proceeds of, and  
2 facilitate the promotion, management, establishment, carrying on, and  
3 distribution of the proceeds of, an unlawful activity, that is,  
4 bribery in violation of California Labor Code §§ 139.3 and 3215,  
5 California Business and Professions Code § 650, and California  
6 Insurance Code § 750, and, thereafter, to promote and attempt to  
7 perform acts to promote, manage, establish, carry on, distribute the  
8 proceeds of, and facilitate the promotion, management, establishment,  
9 carrying on, and distribution of the proceeds of such unlawful  
10 activity, in violation of Title 18, United States Code,  
11 Section 1952(a)(1)(A), and (a)(3)(A).

12 **FRAUDULENT PURPOSE**

13 18. It was a purpose of the conspiracy to fraudulently obtain  
14 money from CWCS insurers by submitting claims for shockwave  
15 treatments that were secured through a pattern of bribes and  
16 kickbacks to Doctors, in violation of the Doctors' fiduciary duty to  
17 their patients, concealing from patients, insurers, and employers the  
18 material fact of the bribe and kickback agreement.

19 **MANNER AND MEANS**

20 19. The conspirators used the following manners and means,  
21 among others, to carry out the conspiracy:

22 a. It was a part of the conspiracy that MATHIS, VALDES,  
23 and FOREMOST enlisted the help of Intermediaries, including Person A  
24 and Dr. C, to locate Doctors in the Central and Southern Districts of  
25 California and elsewhere in California who would be willing to refer  
26 or cause their patients to be referred to FOREMOST for shockwave  
27 treatments in exchange for bribes and kickbacks.

28

1           b.     It was a part of the conspiracy that, knowing that  
2 offering and paying bribes and kickbacks to Doctors to refer patients  
3 was unlawful, MATHIS, VALDES, FOREMOST, and Intermediaries, offered  
4 and paid kickbacks and bribes to Doctors, including REESE, Dr. B, and  
5 Dr. D, to refer patients or cause patients to be referred to FOREMOST  
6 for shockwave treatments.

7           c.     It was a part of the conspiracy that, knowing that  
8 accepting bribes and kickbacks to refer patients was unlawful,  
9 Doctors accepted bribes from MATHIS, VALDES, FOREMOST and the  
10 Intermediaries to refer their patients and cause patients to be  
11 referred to FOREMOST for shockwave treatments.

12           d.     It was a part of the conspiracy that, knowing that  
13 paying bribes and kickbacks to Doctors to refer patients was  
14 unlawful, MATHIS, VALDES, and FOREMOST agreed with the Intermediaries  
15 and Doctors to conceal the true nature of the financial and other  
16 relationships among them.

17           e.     It was a part of the conspiracy that the conspirators  
18 concealed from patients, and caused to be concealed from patients,  
19 the kickback and bribe payments Doctors agreed to accept in exchange  
20 for referring their patients to FOREMOST for shockwave treatments, in  
21 violation of the Doctors' fiduciary duty to their patients.

22           f.     It was a part of the conspiracy that the conspirators  
23 submitted claims to insurers and employers to collect payment for  
24 services provided to patients, and concealed the material fact that  
25 the treatments were the result of bribes and kickbacks paid to  
26 Doctors and procured in violation of the Doctors' fiduciary duty to  
27 their patients.

28

1 g. It was a part of the conspiracy that, in addition to  
2 failing to disclose the material fact that bribes and kickbacks were  
3 paid to Doctors and that Doctors had breached their fiduciary duty to  
4 patients, the conspirators made and caused to be made additional  
5 material misrepresentations on the claims mailed to insurers,  
6 including using incorrect billing codes, billing two different fees  
7 for the same treatment, and falsely claiming that a certain doctor  
8 had performed a shockwave treatment.

9 h. It was a part of the conspiracy that MATHIS concocted,  
10 and MATHIS, VALDES, MMN, and iMedical billed on behalf of Doctors, an  
11 impermissible "professional fee" for shockwave treatments, as a  
12 kickback to compensate the referring Doctors, including Dr. B and Dr.  
13 D, for referring their patients to FOREMOST for shockwave treatments.

14 i. It was a part of the conspiracy that the  
15 coconspirators employed numerous deceptive and fraudulent tactics to  
16 conceal their illegal bribe and kickback scheme, including inserting  
17 Intermediaries to facilitate payments from FOREMOST to the Doctors  
18 and proposing sham rent, lease, marketing, and management services  
19 agreements among the parties to try to make the payments appear  
20 legitimate, when in fact the corrupt payments were made in exchange  
21 for the referral of patients to FOREMOST for shockwave services.

22 j. It was a part of the conspiracy that the  
23 coconspirators used and caused to be used the mails in order to carry  
24 out their bribery and kickback scheme.

25 k. It was a further part of the conspiracy that the  
26 coconspirators utilized interstate facilities, including telephones,  
27 in order to carry out their bribery and kickback scheme.

28



1 e. On or about August 20, 2012, REESE agreed to accept a  
2 \$6,000 per month bribe to refer patients to FOREMOST for shockwave  
3 treatments.

4 f. On or about August 20, 2012, MATHIS confirmed that  
5 FOREMOST would pay \$100 to Person A for each of REESE's shockwave  
6 referrals, and offered Person A an additional 10% of the amounts  
7 FOREMOST or iMedical collected for the treatments, as a further  
8 inducement to secure REESE's referrals.

9 g. On or about September 5, 2012, FERNANDO VALDES, REESE,  
10 and Person A discussed in a phone call that FOREMOST would initially  
11 come to REESE's clinic every other week to administer the shockwave  
12 treatments, eventually increasing to weekly visits.

13 h. On or about September 17, 2012, REESE participated in  
14 a phone call to make arrangements to receive his first \$6,000 bribe  
15 payment from Person A, specifying that the payment should be in cash.

16 i. Around mid-September, REESE caused staff in his office  
17 to schedule patients for shockwave treatments due to the \$6,000 bribe  
18 he had received.

19 j. On or about September 27, 2012, MATHIS explained in a  
20 phone call that he was agreeing to have FOREMOST conduct shockwave  
21 treatments at REESE's clinic because he was "relying on the fact"  
22 that REESE had "promised at least a hundred" patient referrals.

23 k. In the same September 27, 2012 phone conversation,  
24 Person A told MATHIS that REESE was expecting his upfront money, and  
25 MATHIS urged Person A to give REESE the first half of the \$6,000  
26 bribe for the month of October right away if Person A had it.

27 l. On or about September 28, 2012, explaining why he  
28 needed Person A to front the bribe payment to REESE, MATHIS said that

1 he was "already paying thirty thousand dollars a month" to Dr. D for  
2 referrals, to do "300, 350 shockwaves a month," which was "a lot of  
3 money out of pocket every month" for MATHIS.

4 m. On or about September 28, 2012, explaining why he, and  
5 not VALDES, would be the one to front the bribe payment to REESE,  
6 MATHIS said that "Fernando has no money..This is a flash to you, I  
7 know, but any money Fernando gets is for me. It's my money.  
8 Everything is my money. Everything in this office runs on my money."

9 n. On or about September 28, 2012, VALDES told Person A  
10 that splitting REESE's \$6,000 bribe into two separate \$3,000 payments  
11 should be acceptable to REESE and encouraged Person A to pay the  
12 first \$3,000 quickly.

13 o. On or about September 29, 2012, REESE accepted a  
14 \$6,000 cash bribe in a gift bag from Person A, pursuant to the bribe  
15 agreement with Person A, MATHIS, VALDES, and FOREMOST, in the parking  
16 lot of a Jolly Roger restaurant in Oceanside, California.

17 p. On or about September 29, 2012, after receiving the  
18 \$6,000, REESE agreed that the payment could be adjusted if he did not  
19 make 100 referrals per month, but reassured Person A that they  
20 "wouldn't have any trouble with the numbers."

21 q. On or about September 29, 2012, Person A informed  
22 MATHIS that Person A had met with REESE and "took care of  
23 everything," referring to the \$6,000 payment, and MATHIS said that  
24 FOREMOST was ready and had hired someone just to do the treatments at  
25 REESE's office.

26 r. Prior to October 2, 2012, MATHIS, VALDES, and FOREMOST  
27 caused REESE to refer patients to FOREMOST for shockwave treatments  
28

1 on October 2, 2012, and to conceal from those patients the bribe  
2 REESE had received for making those referrals.

3 s. On or about October 2, 2012, MATHIS, VALDES, and  
4 FOREMOST sent a shockwave technician to conduct shockwave treatments  
5 on 5 patients supplied by REESE as a result of the bribery agreement  
6 and the \$6,000 bribe for the month of October.

7 t. On or about October 3, 2012, MATHIS told Person A that  
8 REESE "need[ed]" to schedule additional patients for shockwave  
9 treatments to "catch up" on the number of patients expected for the  
10 month of October in exchange for the \$6,000 bribe payment.

11 u. Prior to October 16, 2012, MATHIS, VALDES, and  
12 FOREMOST caused REESE to refer patients to FOREMOST for shockwave  
13 treatments on October 16, 2012, and to conceal from those patients  
14 the bribe REESE had received for making those referrals.

15 v. On or about October 16, 2012, VALDES confirmed in a  
16 phone call with an employee at REESE's office that 31 patients were  
17 scheduled for shockwave treatments that day.

18 w. On or about October 16, 2012 MATHIS, VALDES, and  
19 FOREMOST sent a shockwave technician to conduct shockwave treatments  
20 on 17 patients supplied by REESE as a result of the bribery and  
21 kickback agreement.

22 x. Around mid-October, REESE caused staff in his office  
23 to schedule patients for shockwave treatments on October 30 due to  
24 the \$6,000 bribe he received for that month.

25 y. On or about October 19, 2012, with VALDES present,  
26 MATHIS explained the structure he used to secretly pay bribes and  
27 kickbacks for referrals - that is, MATHIS arranged for an  
28 Intermediary to "lease" FOREMOST to perform shockwave treatments and

1 acquire the receivables, and then required the Intermediary to  
2 contract with iMedical to collect on the receivables. MATHIS said he  
3 also arranged for the Intermediary to pay the bribes and kickbacks to  
4 Doctors through an untraceable "management company," but warned that  
5 these "kickback things" violated the law.

6 z. On or about October 25, 2012, VALDES agreed to try to  
7 persuade MATHIS to give Person A some of the upfront bribe money to  
8 be paid to REESE.

9 aa. On or about October 25, 2012, VALDES provided to  
10 Person A a list showing that FOREMOST had submitted claims for 5 of  
11 REESE's patients from October 2, and 17 patients from October 16.

12 bb. On or about October 25, 2012, REESE, MATHIS, VALDES,  
13 and FOREMOST caused a claim for \$4,200 to be mailed to the employer  
14 of REESE's patient L.S., in the Southern District of California, for  
15 shockwave treatments for L.S. on October 2 and 16, 2012, which was  
16 billed under the wrong code, falsely and fraudulently reported that  
17 REESE was the provider for the shockwave treatments, and which  
18 omitted the material information that the claim had been procured as  
19 a result of a bribe paid to REESE.

20 cc. On or about October 25, 2012, REESE, MATHIS, VALDES,  
21 and FOREMOST caused a claim for \$4,200 to be mailed to a CWCS insurer  
22 for shockwave treatment for REESE's patient M.F. on October 2 and 16,  
23 2012, which was billed under the wrong code, falsely and fraudulently  
24 reported that REESE was the provider for the shockwave treatments,  
25 and which omitted the material information that the claim had been  
26 procured as a result of a bribe paid to REESE.

27 dd. On or about October 26, 2012, when Person A told  
28 MATHIS and VALDES that REESE wanted his money for November, MATHIS

1 complained that REESE was not "keeping up with his numbers," but  
2 agreed to continue with the treatments scheduled for October 30, 2012  
3 because REESE had already been paid for October.

4 ee. On or about October 26, 2012, REESE accepted \$3,000 in  
5 cash from Person A at a restaurant in Del Mar, pursuant to the bribe  
6 agreement with Person A, MATHIS, VALDES, and FOREMOST, as partial  
7 payment of the November monthly bribe.

8 ff. Prior to October 30, 2012, MATHIS, VALDES, and  
9 FOREMOST caused REESE to refer patients to FOREMOST for shockwave  
10 treatments on October 30, 2012, and to conceal from those patients  
11 the bribe REESE had received for making those referrals.

12 gg. On or about October 30, 2012, MATHIS, VALDES, and  
13 FOREMOST sent a shockwave technician to conduct shockwave treatments  
14 on 19 patients referred by REESE as a result of the bribery agreement  
15 and the \$6,000 bribe for the month of October.

16 hh. On or about October 30, 2012, MATHIS gave Person A  
17 \$500 to be given to REESE in partial payment of the bribe for  
18 November.

19 ii. On or about November 1, 2012, REESE accepted a \$500  
20 bribe from Person A and FOREMOST, pursuant to the bribe agreement  
21 with Person A, MATHIS, VALDES, and FOREMOST, and committed to finding  
22 more of his patients to refer to FOREMOST for shockwave treatments in  
23 exchange for bribes and kickbacks.

24 jj. On or about November 1, 2012, REESE asked Person A to  
25 supply an employee to help review REESE's patient files to identify  
26 candidates whom REESE could refer to FOREMOST for shockwave  
27 treatments in exchange for bribes.

28

1           kk. On or about November 2, 2012, when Person A told  
2 MATHIS that Person A did not have the funds to pay REESE the rest of  
3 the \$6,000 for November, MATHIS responded that since REESE had  
4 already been paid for half of November, they should continue for the  
5 time being, and suggested that MATHIS might find someone else who  
6 could help "invest" in the deal with REESE.

7           ll. On or about November 2, 2012, when Person A told  
8 MATHIS that REESE wanted the entire payment for November before  
9 scheduling more patients for shockwave, MATHIS said he would cancel  
10 the FOREMOST technician's planned trip to REESE's clinic.

11           mm. On or about November 5, 2012, REESE accepted a \$2,500  
12 check, wrapped inside a newspaper, representing the rest of the bribe  
13 payment for the month of November.

14           nn. Around early November, REESE caused staff in his  
15 office to schedule patients for shockwave treatments on November 17  
16 due to the \$6,000 in bribes he received for that month.

17           oo. On or about November 9, 2012, REESE, MATHIS, VALDES,  
18 and FOREMOST caused a claim for \$2,100 to be mailed to the patient's  
19 employer in the Southern District of California, for shockwave  
20 treatment for REESE's patient L.S. on October 30, 2012, which was  
21 billed under the wrong code, falsely and fraudulently reported that  
22 REESE was the provider for the shockwave treatments, and which  
23 omitted the material information that the claim had been procured as  
24 a result of a bribe paid to REESE.

25           pp. After REESE had provided only about 41 patient  
26 referrals for the month of October, on about November 9, 2012, MATHIS  
27 said that he would continue the deal only if REESE "could get close  
28 to doing one hundred" patient referrals per month.

1           qq. On or about November 11, 2012, REESE, MATHIS, VALDES,  
2 and FOREMOST caused a claim for \$2,100 to be mailed to a CWCS insurer  
3 for shockwave treatment for REESE's patient A.P. on October 30, 2012,  
4 which was billed under the wrong code, falsely and fraudulently  
5 reported that REESE was the provider for the shockwave treatments,  
6 and which omitted the material information that the claim had been  
7 procured as a result of a bribe paid to REESE.

8           rr. On or about November 13, 2012, MATHIS, VALDES, and  
9 FOREMOST sent a shockwave technician to conduct shockwave treatments  
10 on patients supplied by REESE as a result of the bribery agreement.

11           ss. On or about November 13, 2012, REESE, MATHIS, VALDES,  
12 and FOREMOST concealed from REESE's patients that REESE had referred  
13 them to FOREMOST for shockwave treatments as a result of a bribery  
14 agreement.

15           tt. Around mid-November, REESE caused staff in his office  
16 to schedule patients for shockwave treatments on November 27 due to  
17 the \$6,000 bribe he received for that month.

18           uu. On or about November 27, 2012, MATHIS, VALDES, and  
19 FOREMOST sent a shockwave technician to conduct shockwave treatments  
20 on patients supplied by REESE as a result of the bribery agreement.

21           vv. On or about November 27, 2012, REESE, MATHIS, VALDES,  
22 and FOREMOST concealed from REESE's patients that REESE had referred  
23 them to FOREMOST for shockwave treatments as a result of a bribery  
24 agreement.

25           ww. On or about November 28, 2012, REESE, MATHIS, VALDES,  
26 and FOREMOST caused a claim for \$2,100 to be mailed to a CWCS insurer  
27 for shockwave treatment for REESE's patient G.A. on November 13,  
28 2012, which was billed under the wrong code, falsely and fraudulently

1 reported that REESE was the provider for the shockwave treatments,  
2 and which omitted the material information that the claim had been  
3 procured as a result of a bribe paid to REESE.

4 xx. On or about November 28, 2012, REESE, MATHIS, VALDES,  
5 and FOREMOST caused a claim for \$2,100 to be mailed to a CWCS insurer  
6 for shockwave treatment for REESE's patient S.M. on November 13,  
7 2012, which was billed under the wrong code, falsely and fraudulently  
8 reported that REESE was the provider for the shockwave treatments,  
9 and which omitted the material information that the claim had been  
10 procured as a result of a bribe paid to REESE.

11 yy. On or about December 4, 2012, REESE, MATHIS, VALDES,  
12 and FOREMOST caused a claim for \$2,100 to be mailed to a CWCS insurer  
13 for shockwave treatment for REESE's patient A.V. on November 13,  
14 2012, which was billed under the wrong code, falsely and fraudulently  
15 reported that REESE was the provider for the shockwave treatments,  
16 and which omitted the material information that the claim had been  
17 procured as a result of a bribe paid to REESE.

18 zz. On or about December 11, 2012, in a text message,  
19 REESE told Person A that REESE had canceled shockwave treatments for  
20 his patients because REESE had not received any bribe payment for  
21 December.

22 aaa. On or about December 18, 2012, REESE, MATHIS, VALDES,  
23 and FOREMOST caused a claim for \$2,100 to be mailed to a CWCS insurer  
24 for shockwave treatment for REESE's patient M.M. on November 27,  
25 2012, which was billed under the wrong code, falsely and fraudulently  
26 reported that REESE was the provider for the shockwave treatments,  
27 and which omitted the material information that the claim had been  
28 procured as a result of a bribe paid to REESE.



1 23. Paragraphs 18 through 20 of this Indictment are realleged  
2 and incorporated by reference as further describing the scheme to  
3 defraud.

4 MAILINGS IN FURTHERANCE OF THE SCHEME

5 24. On or about the dates listed below, within the Southern  
6 District of California and elsewhere, for the purpose of executing  
7 the above-described scheme to defraud, and attempting to do so,  
8 defendants GEORGE K. REESE, GEORGE K. REESE PROFESSIONAL CHIROPRACTIC  
9 CORPORATION, LEE MATHIS, FERNANDO VALDES, and FOREMOST SHOCKWAVE  
10 SOLUTIONS knowingly caused to be delivered by mail, according to the  
11 direction thereon, the following matters:

Count	Date Mailed	Parasent	Item
2	October 25, 2012	L.S.	Claim for payment
3	November 9, 2012	L.S.	Claim for payment
4	December 18, 2012	A.M.	Claim for payment
5	April 2, 2015	M.M.	Workers' Comp. Appeals Board form

12  
13  
14  
15  
16  
17  
18  
19  
20 All in violation of Title 18, United States Code, Sections 1341, 1346  
21 and 2.

22 Count 6

23 TRAVEL ACT

24 18 U.S.C. §§ 1952(a)(1)(A), (a)(3)(A), AND 2

25 [ALL DEFENDANTS]

26 25. Paragraphs 1 through 15 are realleged and incorporated by  
27 reference.

28 26. On or about September 5, 2012, within the Southern District  
of California and elsewhere, defendants GEORGE K. REESE, GEORGE K.

1 REESE PROFESSIONAL CHIROPRACTIC CORPORATION, LEE MATHIS, FERNANDO  
2 VALDES, and FOREMOST SHOCKWAVE SOLUTIONS, used and caused to be used  
3 a facility in interstate commerce, namely, a telephone, with the  
4 intent to promote, manage, establish, carry on, distribute the  
5 proceeds of, and facilitate the promotion, management, establishment,  
6 carrying on, and distribution of the proceeds of, an unlawful  
7 activity, that is, bribery in violation of California Labor Code  
8 Sections 139.3 and 3215, California Business and Professions Code  
9 Section 650, and California Insurance Code Section 750, and,  
10 thereafter, to promote and attempt to perform acts to promote,  
11 manage, establish, carry on, distribute the proceeds of, and  
12 facilitate the promotion, management, establishment, carrying on, and  
13 distribution of the proceeds of; such unlawful activity; in violation  
14 of Title 18, United States Code, Sections 1952(a)(1)(A), (a)(3)(A),  
15 and 2.

16 Count 7  
17 TRAVEL ACT

18 18 U.S.C. §§ 1952(a)(1)(A), (a)(3)(A) AND 2  
19 [ALL DEFENDANTS]

20 27. Paragraphs 1 through 15 are realleged and incorporated by  
21 reference.

22 28. On or about September 17, 2012, within the Southern  
23 District of California and elsewhere, defendants GEORGE K. REESE,  
24 GEORGE K. REESE PROFESSIONAL CHIROPRACTIC CORPORATION, LEE MATHIS,  
25 FERNANDO VALDES, and FOREMOST SHOCKWAVE SOLUTIONS, used and caused to  
26 be used a facility in interstate commerce, namely, a telephone, with  
27 the intent to promote, manage, establish, carry on, distribute the  
28 proceeds of, and facilitate the promotion, management, establishment,  
carrying on, and distribution of the proceeds of, an unlawful

1 activity, that is, bribery in violation of California Labor Code  
2 Sections 139.3 and 3215, California Business and Professions Code  
3 Section 650, and California Insurance Code Section 750, and,  
4 thereafter, to promote and attempt to perform acts to promote,  
5 manage, establish, carry on, distribute the proceeds of, and  
6 facilitate the promotion, management, establishment, carrying on, and  
7 distribution of the proceeds of, such unlawful activity; in violation  
8 of Title 18, United States Code, Sections 1952(a)(1)(A), (a)(3)(A),  
9 and 2.

10 Count 8

11 TRAVEL ACT

12 18 U.S.C. §§ 1952(a)(1)(A), (a)(3)(A), AND 2

13 [ALL DEFENDANTS]

14 29. Paragraphs 1 through 15 are realleged and incorporated by  
15 reference.

16 30. On or about September 27, 2012, within the Southern  
17 District of California and elsewhere, defendants GEORGE K. REESE,  
18 GEORGE K. REESE PROFESSIONAL CHIROPRACTIC CORPORATION, LEE MATHIS,  
19 FERNANDO VALDES, and FOREMOST SHOCKWAVE SOLUTIONS, used and caused to  
20 be used a facility in interstate commerce, namely, a telephone, with  
21 the intent to promote, manage, establish, carry on, distribute the  
22 proceeds of, and facilitate the promotion, management, establishment,  
23 carrying on, and distribution of the proceeds of, an unlawful  
24 activity, that is, bribery in violation of California Labor Code  
25 Sections 139.3 and 3215, California Business and Professions Code  
26 Section 650, and California Insurance Code Section 750, and,  
27 thereafter, to promote and attempt to perform acts to promote,  
28 manage, establish, carry on, distribute the proceeds of, and  
facilitate the promotion, management, establishment, carrying on, and

1 distribution of the proceeds of, such unlawful activity; in violation  
2 of Title 18, United States Code, Sections 1952(a)(1)(A), (a)(3)(A),  
3 and 2.

4 Count 9

5 TRAVEL ACT

6 18 U.S.C. §§ 1952(a)(1)(A), (a)(3)(A), AND 2

7 [ALL DEFENDANTS]

8 31. Paragraphs 1 through 15 are realleged and incorporated by  
9 reference.

10 32. On or about October 16, 2012, within the Southern District  
11 of California and elsewhere, defendants GEORGE K. REESE, GEORGE K.  
12 REESE PROFESSIONAL CHIROPRACTIC CORPORATION, LEE MATHIS, FERNANDO  
13 VALDES, and FOREMOST SHOCKWAVE SOLUTIONS, used and caused to be used  
14 a facility in interstate commerce, namely, a telephone, with the  
15 intent to promote, manage, establish, carry on, distribute the  
16 proceeds of, and facilitate the promotion, management, establishment,  
17 carrying on, and distribution of the proceeds of, an unlawful  
18 activity, that is, bribery in violation of California Labor Code  
19 Sections 139.3 and 3215, California Business and Professions Code  
20 Section 650, and California Insurance Code Section 750, and,  
21 thereafter, to promote and attempt to perform acts to promote,  
22 manage, establish, carry on, distribute the proceeds of, and  
23 facilitate the promotion, management, establishment, carrying on, and  
24 distribution of the proceeds of, such unlawful activity; in violation  
25 of Title 18, United States Code, Sections 1952(a)(1)(A), (a)(3)(A),  
26 and 2.

27 //

28 //

29 //

FORFEITURE ALLEGATION

1  
2 33. Paragraphs 1 through 32 of this Indictment are realleged  
3 and incorporated as if fully set forth herein for the purpose of  
4 alleging forfeiture.

5 34. Pursuant to Federal Rule of Criminal Procedure 32.2, notice  
6 is hereby given that upon conviction of any of the offenses of Honest  
7 Services Mail Fraud as alleged in Counts 2 through 5, or violations  
8 of the Travel Act, as alleged in Counts 6 through 9, defendants  
9 GEORGE K. REESE, GEORGE K. REESE PROFESSIONAL CHIROPRACTIC  
10 CORPORATION, LEE MATHIS, FERNANDO VALDES, and FOREMOST SHOCKWAVE  
11 SOLUTIONS, pursuant to Title 18, United States Code,  
12 Section 981(a)(1)(C), and Title 28, United States Code,  
13 Section 2461(c), shall forfeit to the United States: (a) all right,  
14 title, and interest in any property, real or personal, that  
15 constitutes or is derived, directly or indirectly, from gross  
16 proceeds traceable to the commission of such offenses and (b) a sum  
17 of money equal to the total amount of gross proceeds derived,  
18 directly or indirectly, from such offenses.

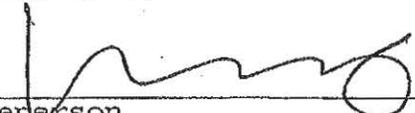
19 35. If any of the above described forfeitable property, as a  
20 result of any act or omission of defendants: (a) cannot be located  
21 upon the exercise of due diligence; (b) has been transferred or sold  
22 to, or deposited with, a third party; (c) has been placed beyond the  
23 jurisdiction of the Court; (d) has been substantially diminished in  
24 value; or (e) has been commingled with other property which cannot be  
25 divided without difficulty; it is the intent of the United States,  
26 pursuant to Title 21, United States Code, Section 853(p) and  
27 Title 18, United States Code, Section 982(b), to seek forfeiture of  
28

1 any other property of defendants up to the value of the forfeitable  
2 property described above.

3 All pursuant to Title 18, United States Code, Section 981(a)(1)(C),  
4 and Title 28, United States Code, Section 2461(c).

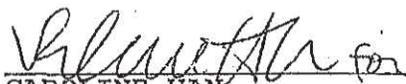
5 DATED: November 5, 2015.

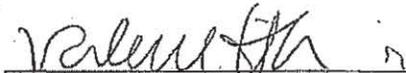
6 A TRUE BILL:

7   
8 \_\_\_\_\_  
Foreperson

9 LAURA E. DUFFY  
United States Attorney

10 By:   
11 \_\_\_\_\_  
VALERIE H. CHU  
12 Assistant U.S. Attorney

13 By:   
14 \_\_\_\_\_  
CAROLINE HAN  
15 Assistant U.S. Attorney

16 By:   
17 \_\_\_\_\_  
FRED A. SHEPPARD  
18 Assistant U.S. Attorney

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1           Thereafter, the matter came on for a hearing on  
2 Defendant's guilty plea, in full compliance with Rule  
3 11, Federal Rules of Criminal Procedure, before the  
4 Magistrate Judge, in open court and on the record.

5           In consideration of that hearing and the allocution  
6 made by the Defendant under oath on the record and in  
7 the presence of counsel, and the remarks of the  
8 Assistant United States Attorney,

9           **I make the following FINDINGS - that the Defendant**  
10 **understands:**

- 11           1. The government's right, in a prosecution for  
12           perjury or false statement, to use against the  
13           defendant any statement that the defendant  
14           gives under oath;
- 15           2. The right to persist in a plea of "not guilty";
- 16           3. The right to a speedy and public trial;
- 17           4. The right to trial by jury, or the ability to  
18           waive that right and have a judge try the case  
19           without a jury;
- 20           5. The right to be represented by counsel-and if  
21           necessary to have the court appoint counsel-at  
22           trial and at every other stage of the  
23           proceeding;
- 24
- 25
- 26
- 27
- 28

- 1 6. The right at trial to confront and cross-  
2 examine adverse witnesses, to be protected from  
3 compelled self-incrimination, to testify and  
4 present evidence, and to compel the attendance  
5 of witnesses;
- 6  
7 7. The defendant's waiver of these trial rights if  
8 the court accepts a guilty plea or nolo  
9 contendere;
- 10 8. The nature of each charge to which the  
11 defendant is pleading;
- 12  
13 9. Any maximum possible penalty, including  
14 imprisonment, fine, and term of supervised  
15 release;
- 16 10. Any applicable mandatory minimum penalty;
- 17 11. Any applicable forfeiture;
- 18 12. The court's authority to order restitution;
- 19 13. The court's obligation to impose a special  
20 assessment;
- 21  
22 14. In determining a sentence, the court's  
23 obligation to calculate the applicable  
24 sentencing guideline range and to consider that  
25 range, possible departures under the Sentencing  
26  
27  
28

1 Guidelines, and other sentencing factors under  
2 18 U.S.C § 3553(a);

3 15. The term of any plea agreement and any  
4 provision in that agreement that waives the  
5 right to appeal or to collaterally attack the  
6 conviction and sentence; and

7  
8 16. That, if convicted, a defendant who is not a  
9 United States citizen may be removed from the  
10 United States, denied citizenship, and denied  
11 admission to the United States in the future.  
12

13 **I further find that:**

14 17. The defendant is competent to enter a plea;

15 18. The defendant's guilty plea is made knowingly  
16 and voluntarily, and did not result from force,  
17 threats or promises (other than those made in a  
18 plea agreement); and

19  
20 19. There is a factual basis for Defendant's plea.

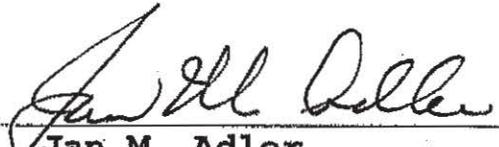
21 **I therefore RECOMMEND that the District Judge**  
22 **accept the Defendant's guilty plea to Count**  
23 **of the Indictment.**

24  
25 The sentencing hearing will be before United States  
26 **District Judge Cathy Ann Bencivengo, on 9/9/2016 at**  
27 **9:00am.** The court excludes time from 6/16/2016 through  
28

1 9/9/2016 pursuant to 18 USC § 3161(h)(1)(G) on the  
2 ground that the District Judge will be considering the  
3 proposed plea agreement.

4 Objections to these Findings and Recommendations  
5 are waived by the parties if not made within 14 days of  
6 this order. If the parties waive the preparation of the  
7 Presentence Report, objections are due within three  
8 days of this order.  
9

10  
11 Dated: 6/16/2016  
12

  
13 **Hon. Jan M. Adler**  
14 United States Magistrate Judge  
15

16 Copies to:  
17 Judge Cathy Ann Bencivengo  
18 Assistant United States Attorney  
19 Counsel for Defendant  
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**CERTIFICATE OF SERVICE BY MAIL**  
(C.C.P. section 1013(a), 2015.5)

I am over the age of 18 years and not a party to the entitled action. My business address is 1515 Clay Street, 18<sup>th</sup> Floor, Oakland, California 94612.

I served the following documents:

- **Notice of Provider Suspension – Workers’ Compensation**
- **Indictment in *United States of America v. George K. Reese* (Case No. 15 CR 2822 CAB) – U.S. District Court (Southern District of California)**
- **Findings and Recommendation of the Magistrate Judge Upon a Plea of Guilty in *United States of America v. George K. Reese* (Case No. 15 CR 2822 CAB) – U.S. District Court (Southern District of California)**
- **Order in *United States of America v. George K. Reese* (Case No. 15 CR 2822 CAB) – U.S. District Court (Southern District of California)**

on the following person(s) at the following address(es):

**George K. Reese**  
**3539 College Avenue, #145**  
**San Diego, CA 92115**

The documents were served by the following means:

(BY U.S. CERTIFIED MAIL) I enclosed the documents in a sealed envelope or package addressed to the person(s) at the address(es) listed above and:

Placed the envelope or package for collection and mailing, following our ordinary business practices. I am readily familiar with the firm’s practice for collection and processing correspondence for mailing. Under that practice, on the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the U.S. Postal Service, in a sealed envelope or package with the postage fully prepaid.

I declare under penalty of perjury under the laws of State of California that the above is true and correct.

Executed on March 28, 2017, at Oakland, California.

  
\_\_\_\_\_  
CATHY FUJITA-LAM

# EXHIBIT 2

**U.S. District Court  
Southern District of California (San Diego)  
CRIMINAL DOCKET FOR CASE #: 3:15-cr-02822-CAB-3**

Case title: USA v. Reese et al

Date Filed: 11/06/2015

Assigned to: Judge Cathy Ann Bencivengo

**Defendant (3)****Lee Mathis**represented by **Diane Bass**

Law Office of Diane C. Bass

5440 Trabuco Road

Irvine, CA 92620

(949) 494-7011

Fax: (949) 727-1284

Email: [diane@dbasslaw.com](mailto:diane@dbasslaw.com)*LEAD ATTORNEY**ATTORNEY TO BE NOTICED**Designation: Retained***James Michael Crawford**

528 North Glassell

Orange, CA 92867

714-538-0305

Fax: 714-538-0306

Email: [jcraw78898@aol.com](mailto:jcraw78898@aol.com)*LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Pending Counts**

18:371;18:981(a)(1)(C),28:2461(c) -  
Conspiracy to Commit Honest Services  
Mail Fraud, Mail Fraud, And To Violate  
The Travel Act; Criminal Forfeiture  
(1)

18:1341,1346,2;18:981(a)(1)(C),28:2461(c)  
- Honest Services Mail Fraud, Aiding and  
Abetting; Criminal Forfeiture  
(2-5)

18:1952(a)(1)(A),(a)(3)(A),2;18:981(a)(1)  
(C),28:2461(c) - Travel Act, Aiding and  
Abetting; Criminal Forfeiture  
(6-9)

**Disposition****Highest Offense Level (Opening)**

Felony

**Terminated Counts**

None

**Disposition****Highest Offense Level (Terminated)**

None

**Complaints**

None

**Disposition****Plaintiff****USA**

represented by **U S Attorney CR**  
U S Attorneys Office Southern District of  
California  
Criminal Division  
880 Front Street  
Room 6293  
San Diego, CA 92101  
(619)557-5610  
Fax: (619)557-5917  
Email: Efile.dkt.gc2@usdoj.gov  
*TERMINATED: 11/13/2015*  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Assistant United States*  
*Attorney*

**Caroline Pineda Han**  
U S Attorneys Office  
880 Front Street  
Room 6293  
San Diego, CA 92101  
(619)546-6968  
Fax: (619)546-0831  
Email: Caroline.Han@usdoj.gov  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Assistant United States*  
*Attorney*

**Fred A. Sheppard**  
U S Attorneys Office  
Southern District of California  
880 Front Street  
Room 6293  
San Diego, CA 92101-8893  
(619) 546-8237  
Fax: (619) 235-2757  
Email: fred.sheppard@usdoj.gov  
*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*  
*Designation: Assistant United States*  
*Attorney*

**Valerie Chu**  
 U S Attorneys Office Southern District of  
 California  
 Criminal Division  
 880 Front Street  
 Room 6293  
 San Diego, CA 92101  
 (619) 546-6750  
 Fax: (619) 546-0450  
 Email: Valerie.Chu@usdoj.gov  
**LEAD ATTORNEY**  
*ATTORNEY TO BE NOTICED*  
*Designation: Assistant United States*  
*Attorney*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
11/06/2015	<a href="#">1</a>	INDICTMENT as to George K. Reese (1) count(s) 1, 2-5, 6-9, George K. Reese Professional Chiropractic Corporation (2) count(s) 1, 2-5, 6-9, Lee Mathis (3) count(s) 1, 2-5, 6-9, Fernando Valdes (4) count(s) 1, 2-5, 6-9, Foremost Shockwave Solutions (5) count(s) 1, 2-5, 6-9. (jao). (jao). (Entered: 11/06/2015)
11/06/2015	<a href="#">3</a>	Summons Issued in case as to Lee Mathis. (jao). (jao). (Entered: 11/06/2015)
11/10/2015	6	Set/Reset Duty Hearings as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions: Arraignment on Indictment set for 11/12/2015 at 10:30 AM before Magistrate Judge Jan M. Adler. (no document attached) (lao) (Entered: 11/10/2015)
11/10/2015	<a href="#">10</a>	MOTION to Unseal Indictment and Arrest Warrants by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (jao) (Entered: 11/12/2015)
11/10/2015	<a href="#">11</a>	ORDER Granting <a href="#">10</a> Motion to Unseal Indictment and Arrest Warrants as to George K. Reese (1), George K. Reese Professional Chiropractic Corporation (2), Lee Mathis (3), Fernando Valdes (4), Foremost Shockwave Solutions (5). Signed by Magistrate Judge Jan M. Adler on 11/10/2015.(jao) (Entered: 11/12/2015)
11/12/2015	16	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Arraignment on Indictment as to Lee Mathis (3) Count 1,2-5,6-9 and Fernando Valdes (4) Count 1,2-5,6-9 and Foremost Shockwave Solutions (5) Count 1,2-5,6-9 held on 11/12/2015. Government's oral motion to unseal entire case is granted. Attorney Diane Bass for Lee Mathis,Diane Bass for Foremost Shockwave Solutions added to case. Status Hearing re counsel set for 11/19/2015 at 2:00PM before Magistrate Judge Jan M. Adler. Not Guilty plea entered. Bond set as to Lee Mathis (3) \$15,000 P/S and Fernando Valdes (4) \$15,000 P/S. Bond paperwork due by 11/19/15 as to both defendants. Motion Hearing/Trial Setting set for 12/18/2015 at 10:30AM before Judge Cathy Ann Bencivengo. (cc: Sealed Clerk) (CD# 11/12/2015 JMA 15:10:47-11:03;11:08-11:12). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET; Dennis Gaughan, RET). (no document attached) (cge) Modified on 3/30/2017 (rla). (Entered: 11/13/2015)
11/12/2015	17	***English. No Interpreter needed as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions (no document attached) (cge) (Entered: 11/13/2015)

11/12/2015	<a href="#">18</a>	ABSTRACT OF ORDER Releasing Lee Mathis. (cge) (Entered: 11/16/2015)
11/12/2015	<a href="#">20</a>	ORDER Setting Conditions of Release. Bond set for Lee Mathis (3) \$15,000 P/S secured by defendant's signature. Signed by Magistrate Judge Jan M. Adler on 11/12/15. (cge) (Entered: 11/16/2015)
11/13/2015	<a href="#">13</a>	NOTICE OF ATTORNEY APPEARANCE Caroline Pineda Han appearing for USA. (Han, Caroline)Attorney Caroline Pineda Han added to party USA(pty:pla) (Entered: 11/13/2015)
11/13/2015	<a href="#">14</a>	NOTICE OF ATTORNEY APPEARANCE Valerie Chu appearing for USA. (Chu, Valerie)Attorney Valerie Chu added to party USA(pty:pla) (Entered: 11/13/2015)
11/13/2015	<a href="#">15</a>	NOTICE OF ATTORNEY APPEARANCE Fred A. Sheppard appearing for USA. (Sheppard, Fred)Attorney Fred A. Sheppard added to party USA(pty:pla) (Entered: 11/13/2015)
11/24/2015	<a href="#">26</a>	ORDER re Pre-trial Release as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. Signed by Magistrate Judge Jan M. Adler on 11/24/2015. (cxl) (Entered: 11/24/2015)
11/24/2015	<a href="#">29</a>	P/S Bond Filed as to Lee Mathis in amount of \$ 15,000, Signed by Magistrate Judge Jan M. Adler on 11/24/2015. (Document applicable to USA, Lee Mathis.) (cxl) (Entered: 11/25/2015)
11/25/2015	<a href="#">27</a>	Joint MOTION for Protective Order by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Chu, Valerie) (jpp). (Entered: 11/25/2015)
12/01/2015	<a href="#">31</a>	Protective Order as to Lee Mathis (3), Foremost Shockwave Solutions (5). Signed by Judge Cathy Ann Bencivengo on 12/1/2015.(cxl) (Entered: 12/01/2015)
12/14/2015	<a href="#">35</a>	Joint MOTION to Continue <i>Motion Hearing</i> and Exclude Time Under 18 USC 3161 by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Chu, Valerie) (Added MOTION to Exclude on 12/15/2015 and edited docket text accordingly) (dls). (Entered: 12/14/2015)
12/15/2015	<a href="#">36</a>	ORDER to Continue Motion Hearing and Exclude Time Under 18 USC 3161(h)(7)(A) re <a href="#">35</a> Motion to Continue as to George K. Reese (1), George K. Reese Professional Chiropractic Corporation (2), Lee Mathis (3), Fernando Valdes (4), Foremost Shockwave Solutions (5). It is hereby ordered that a period of excludable delay from 12/18/2015 and the next hearing date of 3/25/2016 at 10:30 AM is allowed. Motion Hearing/Trial Setting set for 3/25/2016 at 10:30 AM before Judge Cathy Ann Bencivengo. Signed by Judge Cathy Ann Bencivengo on 12/15/2015.(dls) (Excludables set_ (Entered: 12/15/2015)
03/07/2016	52	NOTICE OF CHANGE OF HEARING as to Defendants George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. Upon the request of the parties, the scheduled Motion Hearing/Trial Setting has been converted to a Status Hearing and will still be held on 3/25/2016 at 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. (no document attached) (lmh) (Entered: 03/07/2016)
03/25/2016	59	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions held on 3/25/2016. Court declares case COMPLEX, upon request of defense counsel. A Motion Hearing is set for 8/18/2016 10:0 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Defense

		motions shall be filed by 7/28/2016 with government oppositions filed by 8/11/2016. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Robert Rexrode, CJA for (1) and (2); Dian Bass, RET for (3) and (5); Dennis Gaughan, RET (4)). (no document attached) (lmh) (Entered: 03/27/2016)
03/29/2016	<a href="#">61</a>	Joint MOTION to Exclude <i>Time</i> by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Chu, Valerie) Modified on 11/30/2016 (lmh). (Entered: 03/29/2016)
03/30/2016	62	Minute Entry for Judge Cathy Ann Bencivengo re <a href="#">61</a> Motion to Exclude as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. Court grants the motion excluding time under 18 USC 3161(h)(7)(A) for the period of time between the last motion hearing date and the next date set. Excludable time: XT 3/25/16 - 8/18/16. (no document attached) (lmh) (Entered: 03/30/2016)
08/15/2016	<a href="#">77</a>	MOTION to Exclude <i>Time from August 18, 2016 to December 16, 2016</i> by Lee Mathis as to Lee Mathis, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> proposed order)(Bass, Diane) (Entered: 08/15/2016)
08/16/2016	<a href="#">78</a>	ORDER to Continue Motion Hearing and Exclude Time. Pursuant to the joint motion to continue the motion hearing and exclude time under 18 U.S.C. § 3161(h)(7)(A) filed by the parties on August 15, 2016, it is hereby ordered that a period of excludable delay from August 18, 2016 and the next hearing date of December 16, 2016 is allowed pursuant to Section § 3161(h)(7)(A). The period from August 18, 2016 to the date this order is signed is excludable under section 3161(h)(1)(D). Signed by Judge Cathy Ann Bencivengo on 8/16/2016.(cxl) Modified on 11/30/2016 (lmh). Modified on 11/30/2016 - Order is not in regards to defendant George Reese or Reese Corporation (lmh). (Entered: 08/16/2016)
12/12/2016	<a href="#">84</a>	Joint MOTION to Continue <i>Motions Hearing from December 16, 2016 to march 24, 2017 at 10:30 a.m.</i> by Lee Mathis as to Lee Mathis, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> [Proposed] Order)(Bass, Diane) QC Mailer Sent Re Proposed Order attached (jgg). (Entered: 12/12/2016)
12/15/2016	<a href="#">85</a>	ORDER Continuing Motion Hearing re <a href="#">84</a> Motion to Continue as to Lee Mathis (3), Fernando Valdes (4) Foremost Shockwave Solutions (5). Motion Hearing set for 3/24/2017 at 10:30 AM before Judge Cathy Ann Bencivengo. Signed by Judge Cathy Ann Bencivengo on 12/15/2016. (jgg) (Entered: 12/15/2016)
03/20/2017	86	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. The Court reschedules the currently scheduled Motion Hearing to a Status Hearing on 3/24/2017 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. (no document attached) (lmh) (Entered: 03/20/2017)
03/24/2017	89	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions held on 3/24/2017. 87 Motion to Withdraw as Attorney by Dennis Patrick Gaughan as to defendant Fernando Valdes (4) - granted. Amended Financial Affidavit is filed with the Court and Federal Defenders is provisionally appointed for Fernando Valdes. Attorney Dennis Patrick Gaughan relieved in case as to Fernando Valdes (4). Status Hearing re Counsel Appointment for Fernando Valdes (4) is set for 4/5/2017 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Additional Status Hearing as to all defendants is set for 5/5/2017 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Excludable(s) started as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions: XT 3/24/17 - 5/5/17. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney

		Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET (3) and (5); Dennis Gaughan, RET (4)). (no document attached) (lmh) (Entered: 03/28/2017)
04/11/2017	<a href="#">95</a>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT of Proceedings (Arrestment) as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions held on 11/12/2015, before Magistrate Judge Jan M. Adler. Court Reporter/Transcriber: Chari L. Bowery. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER or the Court Reporter/Transcriber. If redaction is necessary, parties have seven calendar days from the file date of the Transcript to E-File the Notice of Intent to Request Redaction. The following deadlines would also apply if requesting redaction: Redaction Request Statement due to Court Reporter/Transcriber 5/2/2017. Redacted Transcript Deadline set for 5/12/2017. Release of Transcript Restriction set for 7/10/2017. (akr) (Entered: 04/11/2017)
05/03/2017	<a href="#">105</a>	Joint MOTION to Continue <i>Status Hearing</i> , Joint MOTION to Exclude <i>Time</i> by USA as to Lee Mathis, Foremost Shockwave Solutions. (Chu, Valerie) (jjg). (Entered: 05/03/2017)
05/04/2017	<a href="#">107</a>	ORDER to Continue and Exclude Time [Doc. No. <a href="#">105</a> ]. Signed by Judge Cathy Ann Bencivengo on 5/4/2017. (jjg) (Entered: 05/04/2017)
06/02/2017	116	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing as to Lee Mathis, Fernando Valdes (n/a - appearance waived), Foremost Shockwave Solutions held on 6/2/2017. Motion Hearing set for 8/17/2017 at 10:00AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Motions due 8/3/2017, oppositions due 8/10/2017. In the interest of justice, court excludes time between 6/2/2017 - 8/17/2017. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET; Cassandra Lopez, FD). (no document attached) (cge) Modified on 8/8/2017 to correct opposition deadline (lmh). (Entered: 06/02/2017)
07/25/2017	132	Set/Reset Duty Hearings as to Lee Mathis: Initial Appearance - Pretrial Release Violation set for 7/25/2017 before Magistrate Judge William V. Gallo. (no document attached) (tkl) (Entered: 07/25/2017)
07/25/2017	<a href="#">134</a>	NOTICE OF ATTORNEY APPEARANCE: James Michael Crawford appearing for Lee Mathis (Crawford, James) Attorney James Michael Crawford added to party Lee Mathis(pty:dft) QC Mailer sent re document not signed (jjg). (Entered: 07/25/2017)
07/25/2017	137	Minute Entry for proceedings held before Magistrate Judge William V. Gallo: Initial Appearance - Pretrial Release Violation as to Lee Mathis held on 7/25/2017. Arraigned and denial entered. Attorney Frank Sanchez and makes a special appearance. Court having asked if the defendant has any evidence to present on the merits of the petition and after considering any such evidence, the court found based on the allegations in the petition that there is probable cause to believe that a violation has been committed, and that the defendant shall be held pending an order to show cause hearing before the district judge assigned to this matter. Court ruling is without prejudice. Bond Revocation Hearing set for 8/3/2017 02:30 PM before Magistrate Judge Jan M. Adler. (CD# 7/25/2017 WVG 17-1:4:38-4:48). (Plaintiff Attorney Valerie Chu AUSA). (Defendant Attorney Frank Sanchez S/A). (no document attached) (aje) (Entered: 07/26/2017)
07/26/2017	<a href="#">135</a>	Summons Issued to Tammy Shaw in case as to Lee Mathis, Foremost Shockwave Solutions. (lmh) (Entered: 07/26/2017)
07/26/2017	<a href="#">136</a>	Summons Issued to Foremost Shockwave Solutions in case as to Lee Mathis, Foremost Shockwave Solutions. (lmh) (Entered: 07/26/2017)
07/27/2017	<a href="#">138</a>	NOTICE OF ATTORNEY APPEARANCE: James Michael Crawford appearing for Lee

		Mathis (Crawford, James) (jjg). (Entered: 07/27/2017)
07/28/2017	<a href="#">139</a>	MOTION to Dismiss Indictment by Lee Mathis. (Attachments: # <a href="#">1</a> Declaration Lee Mathis, # <a href="#">2</a> Exhibit A, # <a href="#">3</a> Exhibit B, # <a href="#">4</a> Exhibit C, # <a href="#">5</a> Exhibit D, # <a href="#">6</a> Exhibit E, # <a href="#">7</a> Exhibit F, # <a href="#">8</a> Exhibit G, # <a href="#">9</a> Exhibit H, # <a href="#">10</a> Exhibit I, # <a href="#">11</a> Exhibit J, # <a href="#">12</a> Exhibit K, # <a href="#">13</a> Exhibit L, # <a href="#">14</a> Exhibit M, # <a href="#">15</a> Exhibit N, # <a href="#">16</a> Exhibit O, # <a href="#">17</a> Exhibit P, # <a href="#">18</a> Exhibit Q, # <a href="#">19</a> Exhibit R, # <a href="#">20</a> Exhibit S, # <a href="#">21</a> Exhibit T, # <a href="#">22</a> Exhibit U, # <a href="#">23</a> Exhibit V, # <a href="#">24</a> Exhibit W, # <a href="#">25</a> Exhibit X)(Crawford, James) (jjg). Modified on 8/8/2017 - Motion(s) terminated due to amended motions <a href="#">140</a> filed same day (lmh). (Entered: 07/28/2017)
07/28/2017	<a href="#">140</a>	Amended MOTION to Dismiss Indictment by Lee Mathis. (Attachments: # <a href="#">1</a> Declaration Lee Mathis, # <a href="#">2</a> Exhibit A, # <a href="#">3</a> Exhibit B, # <a href="#">4</a> Exhibit C, # <a href="#">5</a> Exhibit D, # <a href="#">6</a> Exhibit E, # <a href="#">7</a> Exhibit F, # <a href="#">8</a> Exhibit G, # <a href="#">9</a> Exhibit H, # <a href="#">10</a> Exhibit I, # <a href="#">11</a> Exhibit J, # <a href="#">12</a> Exhibit K, # <a href="#">13</a> Exhibit L, # <a href="#">14</a> Exhibit M, # <a href="#">15</a> Exhibit N, # <a href="#">16</a> Exhibit O, # <a href="#">17</a> Exhibit P, # <a href="#">18</a> Exhibit Q, # <a href="#">19</a> Exhibit R, # <a href="#">20</a> Exhibit S, # <a href="#">21</a> Exhibit T, # <a href="#">22</a> Exhibit U, # <a href="#">23</a> Exhibit V, # <a href="#">24</a> Exhibit W, # <a href="#">25</a> Exhibit X)(Crawford, James) (jjg). Modified on 12/20/2017 (lmh). (Entered: 07/28/2017)
07/31/2017	141	NOTICE OF CHANGE OF HEARING as to Defendant Lee Mathis. The hearing previously set for 2:30pm has been vacated and re-set; (time change only); Bond Revocation Hearing set for 8/3/2017 03:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 07/31/2017)
08/01/2017	<a href="#">144</a>	RESPONSE in Opposition by Lee Mathis re <a href="#">140</a> Amended MOTION to Dismiss Indictment <i>response to ex parte by govt</i> (Attachments: # <a href="#">1</a> Exhibit A, # <a href="#">2</a> Exhibit B, # <a href="#">3</a> Exhibit C, # <a href="#">4</a> Exhibit D, # <a href="#">5</a> Exhibit E)(Crawford, James) (Entered: 08/01/2017)
08/01/2017	<a href="#">145</a>	Ex Parte MOTION for Order <i>to Show Cause</i> , Ex Parte MOTION for Arrest Warrant by USA as to Lee Mathis, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> Exhibit 1, # <a href="#">2</a> Exhibit 2, # <a href="#">3</a> Exhibit 3, # <a href="#">4</a> Exhibit 4, # <a href="#">5</a> Exhibit 5, # <a href="#">6</a> Exhibit 6, # <a href="#">7</a> Exhibit 7, # <a href="#">8</a> Exhibit 8, # <a href="#">9</a> Declaration FBI S/A Olson)(Chu, Valerie) QC mailer sent re unable to view document (jjg). Modified on 8/8/2017 - motion was addressed before Magistrate Judge Adler on 8/3/2017, motion is hereby terminated (lmh). (Entered: 08/01/2017)
08/02/2017	<a href="#">146</a>	MOTION for Discovery by Lee Mathis. (Crawford, James) (jjg). (Entered: 08/02/2017)
08/03/2017	147	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Bond Hearing as to Lee Mathis held on 8/3/2017, Bond set as to Lee Mathis (3) \$300,000 P/S. ( Nebbia Hearing/Surety Exam set for 8/15/2017 03:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler.) Bond Paperwork due by 8/10/17; Defendant to be released prior to the approval of the bond. Counsel will call CRD with a range of dates to set the Bond Revocation Hearing. (CD# 8/3/2017 JMA17-4:24-5:42). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney James Michael Crawford, RET and Diane Bass, RET; Frank Sanchez,RET). (Pretrial Services Officer Amber Michaelis). (no document attached) (rla) (Entered: 08/04/2017)
08/03/2017	<a href="#">150</a>	ORDER Setting Conditions of Release. Bond set for Lee Mathis (3) \$300,000 P/S. Signed by Magistrate Judge Jan M. Adler on 8/3/2017. (jjg) (Entered: 08/04/2017)
08/03/2017	<a href="#">151</a>	ABSTRACT OF ORDER Releasing Lee Mathis re 147 Bond Hearing. Bond paperwork due by 8/10/2017. (jjg) (Entered: 08/04/2017)
08/07/2017	<a href="#">152</a>	MOTION to Continue by Lee Mathis. (Crawford, James) (jjg). (Entered: 08/07/2017)
08/08/2017	154	MINUTE ORDER of Judge Cathy Ann Bencivengo: Upon review of defendant Lee Mathis' ex parte motion to vacate and reset motion hearing, the court hereby grants <a href="#">152</a> Motion to Continue as to Lee Mathis (3) and as to Foremost Shockwave Solutions.

		Motion Hearing set for 8/17/2017 is HEREBY VACATED AND RESET to 9/28/2017 02:00 PM in Courtroom 4C before Judge Cathy Ann Bencivengo. Any additional motions and/or amendments to the current motion to Dismiss <a href="#">140</a> shall be filed with the court NO LATER THEN 9/7/2017; any responses/oppositions from government counsel shall be filed NO LATER THEN 9/21/2017. (no document attached) (lmh) (Entered: 08/08/2017)
08/11/2017	<a href="#">156</a>	Ex Parte MOTION for Order to <i>Show Cause</i> , Ex Parte MOTION for Arrest Warrant by USA as to Lee Mathis, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> Exhibit 1, # <a href="#">2</a> Exhibit 2, # <a href="#">3</a> Exhibit 3, # <a href="#">4</a> Exhibit 4, # <a href="#">5</a> Exhibit 5, # <a href="#">6</a> Exhibit 6, # <a href="#">7</a> Exhibit 7, # <a href="#">8</a> Exhibit 8, # <a href="#">9</a> Declaration Olson)(Chu, Valerie) Contacted via phone re unable to view document (jjg). Modified on 8/15/2017 - MOTIONS ADDRESSED BY JUDGE ADLER 8/3/17, DUPLICITOUS MOTION, THEREFORE TERMINATED (lmh). (Entered: 08/11/2017)
08/14/2017	157	NOTICE OF CHANGE OF HEARING as to Defendant Lee Mathis. Gov't request for a continuance of the nebbia hearing - granted. Nebbia Hearing set for 8/29/2017 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 08/14/2017)
08/29/2017	159	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Nebbia Hearing as to Lee Mathis held on 8/29/2017. Lee Mathis and Tammy Shaw - C/S/E (approved). Bond Package approved by the court. (CD# 8/29/2017 JMA17-2:44-3:05). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Francisco Sanchez, CJA-S/A). (Pretrial Services Officer Amber Michaelis). (no document attached) (rla) (Entered: 08/30/2017)
08/29/2017	<a href="#">160</a>	P/S Bond Filed as to Lee Mathis in amount of \$ 300,000, Signed by Magistrate Judge Jan M. Adler on 8/29/2017. (Document applicable to USA, Lee Mathis.) (acc) (Entered: 08/30/2017)
09/08/2017	162	Minute ORDER by Magistrate Judge Jan M. Adler as to Lee Mathis, Foremost Shockwave Solutions. Defendant's brief is due by 10/20/17 and govt's reply is due by 11/3/17. ( Bond Revocation Hearing set for 11/15/2017 09:00 AM in Courtroom 2B before Magistrate Judge Jan M. Adler.) The Bond Revocation will begin at 9:00am and end by noon (3 hour time limit). (no document attached) (rla) (Entered: 09/08/2017)
09/14/2017	<a href="#">163</a>	Counter MOTION to Show Cause re Revocation of Supervised Release by Lee Mathis. (Attachments: # <a href="#">1</a> Declaration Tammy Shaw, # <a href="#">2</a> Exhibit A, # <a href="#">3</a> Exhibit B, # <a href="#">4</a> Exhibit C, # <a href="#">5</a> Exhibit D, # <a href="#">6</a> Exhibit E, # <a href="#">7</a> Proof of Service Proof of service)(Crawford, James) (jjg). (Entered: 09/14/2017)
09/18/2017	<a href="#">164</a>	NOTICE of Change of Attorney Business or Contact Information by Lee Mathis (Bass, Diane) (jjg). (Entered: 09/18/2017)
09/20/2017	<a href="#">166</a>	Amended MOTION to Amend/Correct <i>Ex Parte Motion for Order to Show Cause</i> by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> Exhibit 1, # <a href="#">2</a> Exhibit 2, # <a href="#">3</a> Exhibit 3, # <a href="#">4</a> Exhibit 4, # <a href="#">5</a> Exhibit 5, # <a href="#">6</a> Exhibit 6, # <a href="#">7</a> Exhibit 7, # <a href="#">8</a> Exhibit 8, # <a href="#">9</a> Declaration SA Olson)(Chu, Valerie) Modified on 12/20/2017 - Erroneously associated to terminated defendant (lmh). Modified on 12/20/2017 - Erroneously associated to terminated defendant (lmh). (Entered: 09/20/2017)
09/21/2017	<a href="#">167</a>	RESPONSE in Opposition by USA as to Lee Mathis re <a href="#">140</a> Amended MOTION to Dismiss Indictment (Chu, Valerie) (jjg). (Entered: 09/21/2017)
09/25/2017	168	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. Upon the request of newly appointed counsel for Foremost

		Shockwave Solutions to allow time to prepare and possibly join in co-defendant's motion to dismiss or file further motions and with no objections from the government, the Motion Hearing set for 9/28/2017 at 02:00 PM is HEREBY VACATED AND RESET to 11/1/2017 at 02:00 PM in Courtroom 4C before Judge Cathy Ann Bencivengo. Any additional motions are to be filed no later than October 18, 2017 and response shall be filed no later than October 25, 2017. A signed acknowledgment of the new court date for the motion to dismiss as to defendant Lee Mathis shall be filed by Friday, September 29, 2017.(no document attached) (lmh) (Entered: 09/25/2017)
09/26/2017	<a href="#">169</a>	Acknowledgment of next court date by defendant Lee Mathis to appear on November 1, 2017. (Crawford, James) (jjg). (Entered: 09/26/2017)
10/18/2017	<a href="#">170</a>	Joint MOTION to Continue <i>Motion Hearing</i> by Foremost Shockwave Solutions as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> Proof of Service) (Hall, Martha) (acc). Modified on 12/20/2017 - Erroneously associated to terminated defendant (lmh). (Entered: 10/18/2017)
10/19/2017	<a href="#">171</a>	ORDER granting <a href="#">170</a> Joint Motion to Continue Motion Hearing as to Lee Mathis (3), Foremost Shockwave Solutions (5). Signed by Judge Cathy Ann Bencivengo on 10/19/2017.(acc) (Entered: 10/19/2017)
10/19/2017	<a href="#">172</a>	Acknowledgment of next court date by defendant Lee Mathis to appear on 12/14/2017. (Crawford, James) (mdc) (Entered: 10/19/2017)
10/23/2017	<a href="#">173</a>	Supplemental MOTION for Discovery by Lee Mathis. (Attachments: # <a href="#">1</a> Memo of Points and Authorities, # <a href="#">2</a> Declaration, # <a href="#">3</a> Exhibit)(Crawford, James) (jjg). Modified on 12/20/2017 (lmh). (Entered: 10/23/2017)
11/03/2017	<a href="#">174</a>	REPLY TO RESPONSE to Motion by USA as to Lee Mathis, Foremost Shockwave Solutions re <a href="#">163</a> Counter MOTION to Show Cause re Revocation of Supervised Release (Attachments: # <a href="#">1</a> Exhibit Emails 9 to 28, # <a href="#">2</a> Declaration Olson)(Chu, Valerie) (jjg). (Entered: 11/03/2017)
11/10/2017	<a href="#">175</a>	REPLY TO RESPONSE to Motion by Lee Mathis re <a href="#">166</a> Amended MOTION to Amend/Correct <i>Ex Parte Motion for Order to Show Cause</i> (Crawford, James) (Entered: 11/10/2017)
11/13/2017	<a href="#">176</a>	*Withdrawn* AFFIDAVIT in Opposition by Lee Mathis re <a href="#">156</a> Ex Parte MOTION for Order to Show Cause Ex Parte MOTION for Arrest Warrant (Crawford, James) QC mailer sent re missing signature (jjg). Modified on 11/15/2017 (jjg). (Entered: 11/13/2017)
11/14/2017	<a href="#">177</a>	AFFIDAVIT in Opposition by Lee Mathis re <a href="#">145</a> Ex Parte MOTION for Order to Show Cause Ex Parte MOTION for Arrest Warrant (Crawford, James) (jjg). (Entered: 11/14/2017)
11/14/2017	<a href="#">178</a>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT (Nebbia Hearing) as to Lee Mathis held on 8/29/2017, before Magistrate Judge Jan M. Adler. Court Reporter/Transcriber: Mauralee Ramirez. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER or the Court Reporter/Transcriber. If redaction is necessary, parties have seven calendar days from the file date of the Transcript to E-File the Notice of Intent to Request Redaction. The following deadlines would also apply if requesting redaction: Redaction Request Statement due to Court Reporter/Transcriber 12/5/2017. Redacted Transcript Deadline set for 12/15/2017. Release of Transcript Restriction set for 2/12/2018. (akr) (Entered: 11/14/2017)

11/15/2017	179	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler:denying without prejudice <a href="#">163</a> Motion to Show Cause re Revocation of Supervised Release as to Lee Mathis (3); denying without prejudice <a href="#">166</a> Motion to Amend/Correct as to Lee Mathis (3), Foremost Shockwave Solutions (5); Bond Revocation Hearing as to Lee Mathis, Foremost Shockwave Solutions held on 11/15/2017; Court holds the Order to Show Cause in abeyance. Any further evidence of noncompliance will result in a reactivation of the Order to Show Cause. The gov't to prepare a proposed order. ( Status Hearing set for 1/16/2018 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler.). (CD# 11/15/2017 JMA17-9:16-10:04). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney (3) Diane Bass, RET; James Crawford, RET; (5) Marth Hall, CJA; Frank Sanchez, RET for Mrs. Shaw). (Pretrial Services Officer Kimura Hazard).(no document attached) (rla) (Entered: 11/15/2017)
11/15/2017	<a href="#">180</a>	Joint EXHIBIT LIST by USA as to Lee Mathis, Foremost Shockwave Solutions; Exhibits filed by Lee Mathis and USA (rla) (Entered: 11/15/2017)
11/21/2017	<a href="#">184</a>	ORDER as to Lee Mathis, Foremost Shockwave Solutions. Signed by Magistrate Judge Jan M. Adler on 11/21/2017. (jgg) (Entered: 11/21/2017)
12/14/2017	187	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Motion Hearing as to Lee Mathis (3) and Foremost Shockwave Solutions (5) held on 12/14/2017. Court grants Foremost's 185 MOTION for Joinder in <i>Co-Defendant's Motion to Dismiss Indictment [Docket No.139]</i> and 186 MOTION for Joinder in <i>Co-defendant's Supplemental Motion for Discovery [Docket No. 173]</i> . The Court denies without prejudice for reasons stated on the record defendant Mathis' <a href="#">173</a> Supplemental MOTION for Discovery and denies defendant Mathis' <a href="#">140</a> Amended MOTION to Dismiss Indictment . A Status Hearing is set for 4/6/2018 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Jury Trial (8-day estimate) is set for 8/6/2018 08:45 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Additionally, the Court excludes time XT2 from 12/14/2017 - 4/6/2018, case previously deemed complex.(Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass and James Crawford, RET (3); Martha Hall, CJA (5)). (no document attached) (lmh) (Entered: 12/14/2017)
01/16/2018	189	NOTICE of Vacated Hearing(s) : Documentation on the accounting was provided to the government so therefore the court vacates the status hearing set for 1/16/18. (no document attached) (rla) (Entered: 01/16/2018)
04/02/2018	192	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. Upon the request of defense counsel for additional time to complete an evaluation, the Status Hearing set for 4/6/2018 is HEREBY VACATED AND RESET to 4/19/2018 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Acknowledgment from defendant Lee Mathis confirming the new court date shall be filed no later than Monday, April 9, 2018. Additionally,Excludable(s) started as to Lee Mathis, Foremost Shockwave Solutions: XT2 - Continuance granted, case unusual or complex 4/6/2018 to 4/19/2018.(no document attached) (lmh) (Entered: 04/02/2018)
04/02/2018	<a href="#">193</a>	Acknowledgment of next court date by defendant Lee Mathis to appear on 4/19/2018. (Crawford, James) (jgg). (Entered: 04/02/2018)
04/06/2018	<a href="#">194</a>	STATUS REPORT by Lee Mathis (Attachments: # <a href="#">1</a> Exhibit Dr Thomas report) (Crawford, James) (jgg). (Entered: 04/06/2018)
04/13/2018	<a href="#">195</a>	SUPPLEMENTAL BRIEFING by USA as to Lee Mathis, Foremost Shockwave Solutions re <a href="#">194</a> Status Report (Chu, Valerie) (jgg). (Entered: 04/13/2018)
04/14/2018	<a href="#">196</a>	RESPONSE TO SUPPLEMENTAL BRIEFING by Lee Mathis re <a href="#">195</a> Supplemental

		Briefing (Crawford, James) (jjg). (Entered: 04/14/2018)
04/19/2018	197	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing re Competency as to Lee Mathis and with Foremost Shockwave Solutions held on 4/19/2018. Further Status Hearing regarding Competency/Discovery and Location Monitoring set for 5/31/2018 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Court asks Pretrial Services be present for next hearing. Government moves to unseal any remaining investigative filings - granted. Court order document non. <a href="#">194</a> be filed under seal. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET (3) and Martha Hall, CJA (5)). (no document attached) (lmh) (Entered: 04/20/2018)
05/14/2018	<a href="#">198</a>	MOTION to Amend/Correct <i>pretrial release to allow out of state trip</i> , MOTION for Bond <i>allow out of state travel</i> by Lee Mathis. (Attachments: # <a href="#">1</a> Supplement Proposed order)(Crawford, James) QC mailer proposed orders should not be filed (jjg). (Entered: 05/14/2018)
05/14/2018	<a href="#">199</a>	STATUS REPORT <i>re competency briefing</i> by Lee Mathis (Crawford, James) (jjg). (Entered: 05/14/2018)
05/15/2018	<a href="#">200</a>	Amended MOTION to Amend/Correct <i>Conditions of Pretrial Release to allow Mathis to attend out of state graduation</i> by Lee Mathis. (Crawford, James) (jjg). (Entered: 05/15/2018)
05/15/2018	<a href="#">201</a>	ACKNOWLEDGMENT OF SURETIES <i>travel request</i> by Lee Mathis re <a href="#">200</a> Amended MOTION to Amend/Correct <i>Conditions of Pretrial Release to allow Mathis to attend out of state graduation</i> (Crawford, James) (jjg). (Entered: 05/15/2018)
05/16/2018	<a href="#">202</a>	ORDER Allowing Lee Mathis to Attend Out of State College Graduation. Signed by Magistrate Judge Jan M. Adler on 5/16/2018.(jjg) (Entered: 05/17/2018)
05/31/2018	203	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing re Competency as to Lee Mathis, Foremost Shockwave Solutions held on 5/31/2018. Defendant's Final Medical Report is due 7/19/2018, along with any report regarding issues of outstanding discovery. The report shall be filed with the Court and a copy issued to government counsel. Government's Expert Report is due 8/9/2018. A Status Hearing re Medical Reports/Competency is set for 8/16/2018 10:00 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. The Court instructs counsel that the experts are to be available at the time of this hearing should there be conflicting reports. The Jury Trial set for 8/6/2018 08:45 AM is HEREBY VACATED. Additionally, excludable(s) started as to Lee Mathis, Foremost Shockwave Solutions: XA - Exam or hrg for mental or physical incapacity 5/31/2018 to 8/16/2018. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney James Crawford, RET (3) and Martha Hall, CJA (5)). (no document attached) (lmh) (Entered: 05/31/2018)
06/05/2018	204	NOTICE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. At defense counsel's request: Status Hearing re: counsel set for 6/20/2018 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 06/05/2018)
06/20/2018	205	NOTICE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. At defense counsel's request, the hearing previously set for 6/20/18 has been vacated; Status Hearing re: counsel set for 7/19/2018 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) Modified on 6/20/2018 (rla). (Entered: 06/20/2018)
07/10/2018	206	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. On court's own motion, the hearing previously set for 7/19/18 has

		been vacated and re-set; Status Hearing set for 7/18/2018 03:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 07/10/2018)
07/17/2018	<a href="#">207</a>	STATUS REPORT by Lee Mathis (Crawford, James) (anh). (Entered: 07/17/2018)
07/18/2018	209	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. At defense counsel's request, Status Hearing set for 7/26/2018 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 07/18/2018)
07/26/2018	210	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Status Hearing re appointment of counsel as to Lee Mathis, Foremost Shockwave Solutions held on 7/26/2018. Defense counsel's oral motion to be relieved from this case - denied without prejudice as to Foremost Shockwave Solutions. Gov't objects to sidebar proceedings. (CD# 7/26/2018 JMA18-3:12-3:36; sealed sidebar 3:17-3:32). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET; Martha Hall, CJA). (no document attached) (rla) (Entered: 07/26/2018)
07/27/2018	211	NOTICE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. On court's motion, Status Hearing re: counsel set for 8/7/2018 03:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 07/27/2018)
07/27/2018	212	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. Defendant Lee Mathis appearance is waived for this proceeding. Status Hearing set for 8/21/2018 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 07/27/2018)
07/30/2018	<a href="#">216</a>	STATUS REPORT <i>request to set status conference</i> by Lee Mathis (Crawford, James) (acc). (Entered: 07/30/2018)
08/03/2018	<a href="#">218</a>	MOTION for Order <i>on Competency Exam Procedures</i> , MOTION to Compel Discovery , MOTION for Extension of Time to File Document <i>Government's Competency Report</i> , MOTION to Continue <i>Comptency Hearing</i> by USA as to Lee Mathis. (Attachments: # <a href="#">1</a> Exhibit A)(Chu, Valerie) (acc). (Entered: 08/03/2018)
08/08/2018	<a href="#">219</a>	RESPONSE in Opposition by Lee Mathis re <a href="#">218</a> MOTION for Order <i>on Competency Exam Procedures</i> MOTION to Compel Discovery MOTION for Extension of Time to File Document <i>Government's Competency Report</i> MOTION to Continue <i>Comptency Hearing</i> (Crawford, James) (anh). (Entered: 08/08/2018)
08/16/2018	220	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing re Competency and Discovery as to Lee Mathis, Foremost Shockwave Solutions held on 8/16/2018. Defendant Mathis N/A, appearance waived by the Court. Ruling on government's motions are as follows: [218-1] MOTION for Order <i>on Competency Exam Procedures</i> is granted. [218-2] MOTION to Compel Discovery is granted; [218-3] MOTION for Extension of Time to File Document <i>Government's Competency Report</i> is granted. Defendant's expert materials shall be provided to the government by 8/23/2018. Government's expert report due by 10/4/2018. MOTION to Continue <i>Comptency Hearing</i> is granted. Competency Hearing set for 11/1/2018 09:00 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Excludable(s) started as to Lee Mathis, Foremost Shockwave Solutions: XA - Exam or hrg for mental or physical incapacity 8/16/2018 to 11/1/2018. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney James Crawford, RET (3); Marth Hall, CJA (5)). (no document attached) (lmh) Modified on 8/16/2018 to add additional text (lmh). (Entered: 08/16/2018)

08/17/2018	221	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Foremost Shockwave Solutions. At defense counsel's request, Status Hearing continued to 8/23/2018 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 08/17/2018)
08/23/2018	222	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Defendant Lee Mathis appearance waived; Status Hearing re counsel as to Lee Mathis, Foremost Shockwave Solutions held on 8/23/2018. Martha Hall's request to be relieved as counsel - noted. Court to contact District Judge for further guidance. CRD to contact counsel if a further hearing is necessary. (CD# 8/23/2018 JMA18-2:15-2:28). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Martha Hall, CJA). (no document attached) (rla) (Entered: 08/24/2018)
10/05/2018	<a href="#">225</a>	RESPONSE in Opposition by USA as to Lee Mathis, Foremost Shockwave Solutions re 224 MOTION to Withdraw as Attorney by Martha M. Hall. (Chu, Valerie) (anh). (Entered: 10/05/2018)
10/06/2018	<a href="#">226</a>	REPLY TO RESPONSE to Motion by Lee Mathis re <a href="#">218</a> MOTION for Order <i>on Competency Exam Procedures</i> MOTION to Compel Discovery MOTION for Extension of Time to File Document <i>Government's Competency Report</i> MOTION to Continue <i>Comptency Hearing reply to govt opposition to Hall Motion to be relieved</i> (Crawford, James) (anh). (Entered: 10/06/2018)
10/09/2018	<a href="#">227</a>	MOTION to Continue <i>competency hearing</i> by Lee Mathis. (Crawford, James) (anh). (Entered: 10/09/2018)
10/10/2018	228	MINUTE ORDER of Judge Cathy Ann Bencivengo as to defendant Lee Mathis: Based upon good cause shown and with no opposition from government counsel, the <a href="#">227</a> Motion to Continue as to Lee Mathis (3) is granted. The Competency Hearing set for 11/1/2018 is HEREBY VACATED AND CONTINUED to 11/8/2018 10:00 AM in Courtroom 4C before Judge Cathy Ann Bencivengo.(no document attached) (lmh) (Entered: 10/10/2018)
11/08/2018	234	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Competency Hearing as to Lee Mathis held on 11/8/2018. Experts called/sworn/examined. Additional defense witness called/sworn/examined. Court orders additional briefing re competency to testify. Defense brief due by 11/30/2018 and Government's response due by 12/14/2018. The Court will then determine if additional argument is necessary. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass and James Crawford, RET). (no document attached) (lmh) (Entered: 11/09/2018)
11/08/2018	<a href="#">235</a>	Evidentiary Hearing re Competency WITNESS LIST and Exhibit List as to Lee Mathis. (lmh) (Entered: 11/09/2018)
11/29/2018	<a href="#">237</a>	[DOCUMENT WITHDRAWN BY THE COURT] MOTION determination whether client is competent to testify and remedy including dismissal of indictment by Lee Mathis. (Attachments: # <a href="#">1</a> Declaration Veronica Thomas)(Crawford, James) Modified on 11/29/2018 - Counsel instructed of improper filing of brief and to re-file under correct category (lmh). (anh). (Entered: 11/29/2018)
11/29/2018	<a href="#">238</a>	SUPPLEMENTAL BRIEFING by Lee Mathis (Attachments: # <a href="#">1</a> Declaration Veronica Thomas)(Crawford, James) (anh). (Entered: 11/29/2018)
11/30/2018	<a href="#">239</a>	SUPPLEMENTAL BRIEFING by Lee Mathis <i>Declaration of Veronica A. Thomas, Ph.D</i> (Crawford, James) (anh). (Entered: 11/30/2018)
12/14/2018	<a href="#">241</a>	RESPONSE in Opposition by USA as to Lee Mathis re <a href="#">237</a> MOTION determination

		whether client is competent to testify and remedy including dismissal of indictment (Chu, Valerie) (anh). (Entered: 12/14/2018)
03/19/2019	<a href="#">245</a>	ORDER Regarding Motion to Dismiss Due to Incompetency of Defendant to Assist Counsel and Testify. Signed by Judge Cathy Ann Bencivengo on 3/19/2019. (anh) (Entered: 03/19/2019)
03/20/2019	246	NOTICE OF HEARING as to Defendants Lee Mathis and Foremost Shockwave Solutions. On the Court's own motion, a Status Hearing re Trial Setting is set for 4/12/2019 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. A signed acknowledgment from the defendant confirming the court date shall be filed no later than Friday, March 29, 2019.(no document attached) (lmh) (Entered: 03/20/2019)
03/20/2019	<a href="#">247</a>	Acknowledgment of next court date by defendant Lee Mathis to appear on 4/12/2019. (Crawford, James) (anh). (Entered: 03/20/2019)
03/20/2019	<a href="#">248</a>	ORDER Requesting Appointment of Pro Bono Counsel for Defendant Foremost Shockwave Solutions. Signed by Judge Cathy Ann Bencivengo on 3/20/2019. (anh) (Entered: 03/21/2019)

<b>PACER Service Center</b>			
<b>Transaction Receipt</b>			
03/29/2019 07:56:31			
<b>PACER Login:</b>	Odlegal94612:2536794:0	<b>Client Code:</b>	AFU-Lee Mathis George Reese
<b>Description:</b>	Docket Report	<b>Search Criteria:</b>	3:15-cr-02822-CAB
<b>Billable Pages:</b>	12	<b>Cost:</b>	1.20

Alex Padilla  
California Secretary of State

## Business Search - Entity Detail

The California Business Search is updated daily and reflects work processed through Thursday, March 28, 2019. Please refer to document [Processing Times](#) for the received dates of filings currently being processed. The data provided is not a complete or certified record of an entity. Not all images are available online.

### C2740645 FOREMOST SHOCKWAVE SOLUTIONS INC.

<b>Registration Date:</b>	04/11/2005
<b>Jurisdiction:</b>	CALIFORNIA
<b>Entity Type:</b>	DOMESTIC STOCK
<b>Status:</b>	FTB SUSPENDED
<b>Agent for Service of Process:</b>	LEE R MATHIS 2906 PENEDES SAN CLEMENTE CA 92673
<b>Entity Address:</b>	2906 PENEDES SAN CLEMENTE CA 92673
<b>Entity Mailing Address:</b>	2906 PENEDES SAN CLEMENTE CA 92673

Document Type	↕	File Date	↕	PDF
SI-NO CHANGE		10/21/2016		
SI-COMPLETE		08/10/2015		
REGISTRATION		04/11/2005		

\* Indicates the information is not contained in the California Secretary of State's database.

- If the status of the corporation is "Surrender," the agent for service of process is automatically revoked. Please refer to California Corporations Code [section 2114](#) for information relating to service upon corporations that have surrendered.
- For information on checking or reserving a name, refer to [Name Availability](#).
- If the image is not available online, for information on ordering a copy refer to [Information Requests](#).
- For information on ordering certificates, status reports, certified copies of documents and copies of documents not currently available in the Business Search or to request a more extensive search for records, refer to [Information Requests](#).
- For help with searching an entity name, refer to [Search Tips](#).
- For descriptions of the various fields and status types, refer to [Frequently Asked Questions](#).

[Modify Search](#)

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# EXHIBIT 3

**ARTICLES OF INCORPORATION**  
**OF**

APR 11 2005

**FOREMOST SHOCKWAVE SOLUTIONS INC.**

The undersigned subscriber to these Articles of Incorporation is a natural person competent to contract and hereby form a Corporation for profit under the General Corporation Law of California.

**ARTICLE 1 - NAME**

The name of the Corporation is **FOREMOST SHOCKWAVE SOLUTIONS INC.**, (hereinafter, "Corporation").

**ARTICLE 2 - PURPOSE OF CORPORATION**

The Purpose of the Corporation is to engage in any lawful act or activity for which a corporation may be organized under the General Corporation Law of California other than the banking business, the trust company business, or the practice of a profession permitted to be incorporated by the California Corporations Code.

**ARTICLE 3 - INITIAL AGENT FOR SERVICE OF PROCESS**

The name of this Corporation's initial agent for service of process is Spiegel & Utrera, P.A., which will do business in California as Spiegel & Utrera, P.C.

**ARTICLE 4 - CORPORATE CAPITALIZATION**

4.1 This Corporation is authorized to issue only one class of shares, which shall be designated "common" shares. The total number of such shares authorized to be issued is 20,000 shares. The par value is \$1.00 per share.

4.2 All shares of common stock shall be identical with each other in every respect and the holders of common shares shall be entitled to have unlimited voting rights on all shares and be entitled to one vote for each share on all matters on which Shareholders have the right to vote.



**SPIEGEL & UTRERA, P.C.**

LAWYERS

[www.amerilawyer.com](http://www.amerilawyer.com)

4727 WILSHIRE BOULEVARD, SUITE 601, LOS ANGELES, CA 90010 - (323) 936-3400 - FACSIMILE (323) 939-5600

4.3 No holder of shares of stock of any class shall have any preemptive right to subscribe to or purchase any additional shares of any class, or any bonds or convertible securities of any nature; provided, however, that the Board of Director(s) may, in authorizing the issuance of shares of stock of any class, confer any preemptive right that the Board of Director(s) may deem advisable in connection with such issuance.

4.4 The Board of Director(s) of the Corporation may authorize the issuance from time to time of shares of its stock of any class, whether now or hereafter authorized, or securities convertible into shares of its stock of any class, whether now or hereafter authorized, for such consideration as the Board of Director(s) may deem advisable, subject to such restrictions or limitations, if any, as may be set forth in the Bylaws of the Corporation.

#### **ARTICLE 5 - SUB-CHAPTER S CORPORATION**

The Corporation may elect to be an S Corporation, as provided in 26 U.S.C. Sec. 1361 et seq., the Internal Revenue Code of 1986, as amended.

5.1 The Corporation may elect by unanimous Shareholder approval, and, if elected, shall continue such election to be an S Corporation as provided in 26 U.S.C. Sec. 1361 et seq., the Internal Revenue Code of 1986, as amended, unless the Shareholders of the Corporation unanimously agree otherwise in writing.

5.2 After this Corporation has elected to be an S Corporation, none of the Shareholders of this Corporation, without the written consent of all the Shareholders of this Corporation shall take any action, or make any transfer or other disposition of the Shareholders' shares of stock in the Corporation, which will result in the termination or revocation of such election to be an S Corporation, as provided in 26 U.S.C. Sec. 1361 et seq., the Internal Revenue Code of 1986, as amended.

5.3 Once the Corporation has elected to be an S Corporation, each share of stock issued by this Corporation shall contain the following legend:

"The shares of stock represented by this certificate cannot be transferred if such transfer would void the election of the Corporation to be taxed under Sub-Chapter S of the Internal Revenue Code of 1986, as amended."



**SPIEGEL & UTRERA, P.C.**

L A W Y E R S

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**ARTICLE 6 - TERM OF EXISTENCE**

This Corporation shall have perpetual existence.

**ARTICLE 7 - REGISTERED OWNER(S)**

The Corporation, to the extent permitted by law, shall be entitled to treat the person in whose name any share or right is registered on the books of the Corporation as the owner thereto, for all purposes, and except as may be agreed in writing by the Corporation, the Corporation shall not be bound to recognize any equitable or other claim to, or interest in, such share or right on the part of any other person, whether or not the Corporation shall have notice thereof.

**ARTICLE 8 - BYLAWS**

Except as provided in Section 212 of the California Corporations Code, the Board of Director(s) of the Corporation shall have power, without the assent or vote of the shareholders, to make, alter, amend or repeal the Bylaws of the Corporation, but the affirmative vote of a number of Directors equal to a majority of the number who would constitute a full Board of Director(s) at the time of such action shall be necessary for taking such action.

**ARTICLE 9 - EFFECTIVE DATE**

These Articles of Incorporation shall be effective immediately upon approval of the Secretary of State, State of California.

**ARTICLE 10 - AMENDMENT**

The Corporation reserves the right to amend, alter, change or repeal any provision contained in these Articles of Incorporation, or in any amendment hereto, or to add any provision to these Articles of Incorporation or to any amendment hereto, in any manner now or hereafter prescribed or permitted by the provisions of any applicable statute of the State of California, and all rights conferred upon Shareholders in these Articles of Incorporation or any amendment hereto are granted subject to this reservation.



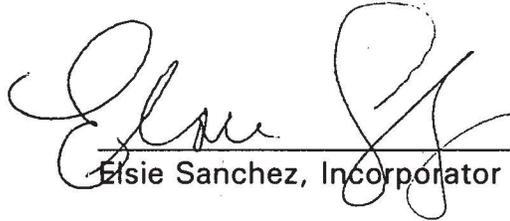
**SPIEGEL & UTRERA, P.C.**

L A W Y E R S

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I declare that I am the person who executed the above instrument, and that this instrument is my act and deed on this APR 11 2005.

  
\_\_\_\_\_  
Elsie Sanchez, Incorporator



**SPIEGEL & UTRERA, P.C.**

LAWYERS

[www.amerilawyer.com](http://www.amerilawyer.com)

4727 WILSHIRE BOULEVARD, SUITE 601, LOS ANGELES, CA 90010 - (323) 936-3400 - FACSIMILE (323) 939-5600

# EXHIBIT 4



# State of California Secretary of State

S

## Statement of Information

(Domestic Stock and Agricultural Cooperative Corporations)

FEES (Filing and Disclosure): \$25.00.

If this is an amendment, see instructions.

**IMPORTANT – READ INSTRUCTIONS BEFORE COMPLETING THIS FORM**

F763315

**FILED**

In the office of the Secretary of State  
of the State of California

**AUG-10 2015**

**1. CORPORATE NAME**

FOREMOST SHOCKWAVE SOLUTIONS INC.

**2. CALIFORNIA CORPORATE NUMBER**

C2740645

This Space for Filing Use Only

**No Change Statement** (Not applicable if agent address of record is a P.O. Box address. See instructions.)

**3. If there have been any changes to the information contained in the last Statement of Information filed with the California Secretary of State, or no statement of information has been previously filed, this form must be completed in its entirety.**

If there has been no change in any of the information contained in the last Statement of Information filed with the California Secretary of State, check the box and proceed to **Item 17**.

**Complete Addresses for the Following** (Do not abbreviate the name of the city. Items 4 and 5 cannot be P.O. Boxes.)

4. STREET ADDRESS OF PRINCIPAL EXECUTIVE OFFICE	CITY	STATE	ZIP CODE
2906 PENEDES, SAN CLEMENTE, CA 92673			
5. STREET ADDRESS OF PRINCIPAL BUSINESS OFFICE IN CALIFORNIA, IF ANY	CITY	STATE	ZIP CODE
2906 PENEDES, SAN CLEMENTE, CA 92673			
6. MAILING ADDRESS OF CORPORATION, IF DIFFERENT THAN ITEM 4	CITY	STATE	ZIP CODE

**Names and Complete Addresses of the Following Officers** (The corporation must list these three officers. A comparable title for the specific officer may be added; however, the preprinted titles on this form must not be altered.)

7. CHIEF EXECUTIVE OFFICER/	ADDRESS	CITY	STATE	ZIP CODE
FERNANDO J VALDES	2906 PENEDES, SAN CLEMENTE, CA 92673			
8. SECRETARY	ADDRESS	CITY	STATE	ZIP CODE
LEE R MATHIS	2906 PENEDES, SAN CLEMENTE, CA 92673			
9. CHIEF FINANCIAL OFFICER/	ADDRESS	CITY	STATE	ZIP CODE
TAMMY SHAW	2906 PENEDES, SAN CLEMENTE, CA 92673			

**Names and Complete Addresses of All Directors, Including Directors Who are Also Officers** (The corporation must have at least one director. Attach additional pages, if necessary.)

10. NAME	ADDRESS	CITY	STATE	ZIP CODE
TAMMY SHAW	2906 PENEDES, SAN CLEMENTE, CA 92673			
11. NAME	ADDRESS	CITY	STATE	ZIP CODE
LEE R MATHIS	2906 PENEDES, SAN CLEMENTE, CA 92673			
12. NAME	ADDRESS	CITY	STATE	ZIP CODE
FERNANDO J VALDES	2906 PENEDES, SAN CLEMENTE, CA 92673			

13. NUMBER OF VACANCIES ON THE BOARD OF DIRECTORS, IF ANY:

**Agent for Service of Process** If the agent is an individual, the agent must reside in California and Item 15 must be completed with a California street address, a P.O. Box address is not acceptable. If the agent is another corporation, the agent must have on file with the California Secretary of State a certificate pursuant to California Corporations Code section 1505 and Item 15 must be left blank.

14. NAME OF AGENT FOR SERVICE OF PROCESS				
LEE R MATHIS				
15. STREET ADDRESS OF AGENT FOR SERVICE OF PROCESS IN CALIFORNIA, IF AN INDIVIDUAL	CITY	STATE	ZIP CODE	
2906 PENEDES, SAN CLEMENTE, CA 92673				

**Type of Business**

16. DESCRIBE THE TYPE OF BUSINESS OF THE CORPORATION  
SERVICES

17. BY SUBMITTING THIS STATEMENT OF INFORMATION TO THE CALIFORNIA SECRETARY OF STATE, THE CORPORATION CERTIFIES THE INFORMATION CONTAINED HEREIN, INCLUDING ANY ATTACHMENTS, IS TRUE AND CORRECT.

08/10/2015 FERNANDO J VALDES CEO  
DATE TYPE/PRINT NAME OF PERSON COMPLETING FORM TITLE SIGNATURE

# EXHIBIT 5



# State of California Secretary of State

S

## Statement of Information

(Domestic Stock and Agricultural Cooperative Corporations)

FEES (Filing and Disclosure): \$25.00.

If this is an amendment, see instructions.

**IMPORTANT – READ INSTRUCTIONS BEFORE COMPLETING THIS FORM**

FG57062

**FILED**

In the office of the Secretary of State  
of the State of California

**OCT-21 2016**

**1. CORPORATE NAME**

FOREMOST SHOCKWAVE SOLUTIONS INC.

**2. CALIFORNIA CORPORATE NUMBER**

C2740645

This Space for Filing Use Only

**No Change Statement** (Not applicable if agent address of record is a P.O. Box address. See instructions.)

**3. If there have been any changes to the information contained in the last Statement of Information filed with the California Secretary of State, or no statement of information has been previously filed, this form must be completed in its entirety.**

If there has been no change in any of the information contained in the last Statement of Information filed with the California Secretary of State, check the box and proceed to **Item 17**.

**Complete Addresses for the Following** (Do not abbreviate the name of the city. Items 4 and 5 cannot be P.O. Boxes.)

4. STREET ADDRESS OF PRINCIPAL EXECUTIVE OFFICE	CITY	STATE	ZIP CODE
5. STREET ADDRESS OF PRINCIPAL BUSINESS OFFICE IN CALIFORNIA, IF ANY	CITY	STATE	ZIP CODE
6. MAILING ADDRESS OF CORPORATION, IF DIFFERENT THAN ITEM 4	CITY	STATE	ZIP CODE

**Names and Complete Addresses of the Following Officers** (The corporation must list these three officers. A comparable title for the specific officer may be added; however, the preprinted titles on this form must not be altered.)

7. CHIEF EXECUTIVE OFFICER/	ADDRESS	CITY	STATE	ZIP CODE
8. SECRETARY	ADDRESS	CITY	STATE	ZIP CODE
9. CHIEF FINANCIAL OFFICER/	ADDRESS	CITY	STATE	ZIP CODE

**Names and Complete Addresses of All Directors, Including Directors Who are Also Officers** (The corporation must have at least one director. Attach additional pages, if necessary.)

10. NAME	ADDRESS	CITY	STATE	ZIP CODE
11. NAME	ADDRESS	CITY	STATE	ZIP CODE
12. NAME	ADDRESS	CITY	STATE	ZIP CODE

13. NUMBER OF VACANCIES ON THE BOARD OF DIRECTORS, IF ANY:

**Agent for Service of Process** If the agent is an individual, the agent must reside in California and Item 15 must be completed with a California street address, a P.O. Box address is not acceptable. If the agent is another corporation, the agent must have on file with the California Secretary of State a certificate pursuant to California Corporations Code section 1505 and Item 15 must be left blank.

14. NAME OF AGENT FOR SERVICE OF PROCESS

15. STREET ADDRESS OF AGENT FOR SERVICE OF PROCESS IN CALIFORNIA, **IF AN INDIVIDUAL** CITY STATE ZIP CODE

**Type of Business**

16. DESCRIBE THE TYPE OF BUSINESS OF THE CORPORATION

17. BY SUBMITTING THIS STATEMENT OF INFORMATION TO THE CALIFORNIA SECRETARY OF STATE, THE CORPORATION CERTIFIES THE INFORMATION CONTAINED HEREIN, INCLUDING ANY ATTACHMENTS, IS TRUE AND CORRECT.

10/21/2016

TAMMY SHAW

CFO

DATE

TYPE/PRINT NAME OF PERSON COMPLETING FORM

TITLE

SIGNATURE

# EXHIBIT 6

**U.S. District Court  
Southern District of California (San Diego)  
CRIMINAL DOCKET FOR CASE #: 3:15-cr-02822-CAB-4**

Case title: USA v. Reese et al

Date Filed: 11/06/2015

Assigned to: Judge Cathy Ann Bencivengo

**Defendant (4)**

**Fernando Valdes**

represented by **Federal Defenders**  
Federal Defenders of San Diego  
225 Broadway  
Suite 900  
San Diego, CA 92101-5008  
(619)234-8467  
Fax: (619)687-2666  
Email: cassd\_ecf@fd.org  
*TERMINATED: 07/11/2017*  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Public Defender or*  
*Community Defender Appointment*

**Cassandra Lucinda Lopez**  
Federal Defenders of San Diego, Inc.  
225 Broadway  
Suite 900  
San Diego, CA 92101  
(619) 234-8467  
Fax: (619) 687-2666  
Email: Cassandra\_L\_Lopez@fd.org  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Public Defender or*  
*Community Defender Appointment*

**Dennis Patrick Gaughan**  
Law Offices of Dennis P. Gaughan  
19100 Von Karman Ave  
Suite 255  
Irvine, CA 92612  
949-748-3645  
Email: gaughanlaw@pacbell.net  
*TERMINATED: 03/24/2017*  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Retained*

**Pending Counts****Disposition**

18:371;18:981(a)(1)(C),28:2461(c) -  
Conspiracy to Commit Honest Services  
Mail Fraud, Mail Fraud, And To Violate  
The Travel Act; Criminal Forfeiture  
(1)

18:1349; 28:2461(c) - Conspiracy to  
Commit Honest Services Mail Fraud and  
Health Care Fraud; Criminal Forfeiture  
(1s)

18:1341,1346,2;18:981(a)(1)(C),28:2461(c)  
- Honest Services Mail Fraud, Aiding and  
Abetting; Criminal Forfeiture  
(2-5)

18:1952(a)(1)(A),(a)(3)(A),2;18:981(a)(1)  
(C),28:2461(c) - Travel Act, Aiding and  
Abetting; Criminal Forfeiture  
(6-9)

**Highest Offense Level (Opening)**

Felony

**Terminated Counts****Disposition**

None

**Highest Offense Level (Terminated)**

None

**Complaints****Disposition**

None

**Plaintiff**

USA

represented by **U S Attorney CR**  
U S Attorneys Office Southern District of  
California  
Criminal Division  
880 Front Street  
Room 6293  
San Diego, CA 92101  
(619)557-5610  
Fax: (619)557-5917  
Email: Efile.dkt.gc2@usdoj.gov  
*TERMINATED: 11/13/2015*  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Assistant United States*  
*Attorney*

**Caroline Pineda Han**

U S Attorneys Office  
 880 Front Street  
 Room 6293  
 San Diego, CA 92101  
 (619)546-6968  
 Fax: (619)546-0831  
 Email: Caroline.Han@usdoj.gov  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**  
*Designation: Assistant United States Attorney*

**Fred A. Sheppard**

U S Attorneys Office  
 Southern District of California  
 880 Front Street  
 Room 6293  
 San Diego, CA 92101-8893  
 (619) 546-8237  
 Fax: (619) 235-2757  
 Email: fred.sheppard@usdoj.gov  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**  
*Designation: Assistant United States Attorney*

**Valerie Chu**

U S Attorneys Office Southern District of California  
 Criminal Division  
 880 Front Street  
 Room 6293  
 San Diego, CA 92101  
 (619) 546-6750  
 Fax: (619) 546-0450  
 Email: Valerie.Chu@usdoj.gov  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**  
*Designation: Assistant United States Attorney*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
11/06/2015	<a href="#">1</a>	INDICTMENT as to George K. Reese (1) count(s) 1, 2-5, 6-9, George K. Reese Professional Chiropractic Corporation (2) count(s) 1, 2-5, 6-9, Lee Mathis (3) count(s) 1, 2-5, 6-9, Fernando Valdes (4) count(s) 1, 2-5, 6-9, Foremost Shockwave Solutions (5) count(s) 1, 2-5, 6-9. (jao). (jao). (Entered: 11/06/2015)
11/06/2015	<a href="#">4</a>	Summons Issued in case as to Fernando Valdes (jao). (jao). (Entered: 11/06/2015)
11/10/2015	6	Set/Reset Duty Hearings as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions: Arraignment on Indictment set for 11/12/2015 at 10:30 AM before Magistrate

		Judge Jan M. Adler. (no document attached) (lao) (Entered: 11/10/2015)
11/10/2015	<a href="#">10</a>	MOTION to Unseal Indictment and Arrest Warrants by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (jao) (Entered: 11/12/2015)
11/10/2015	<a href="#">11</a>	ORDER Granting <a href="#">10</a> Motion to Unseal Indictment and Arrest Warrants as to George K. Reese (1), George K. Reese Professional Chiropractic Corporation (2), Lee Mathis (3), Fernando Valdes (4), Foremost Shockwave Solutions (5). Signed by Magistrate Judge Jan M. Adler on 11/10/2015.(jao) (Entered: 11/12/2015)
11/12/2015	16	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Arraignment on Indictment as to Lee Mathis (3) Count 1,2-5,6-9 and Fernando Valdes (4) Count 1,2-5,6-9 and Foremost Shockwave Solutions (5) Count 1,2-5,6-9 held on 11/12/2015. Government's oral motion to unseal entire case is granted. Attorney Diane Bass for Lee Mathis,Diane Bass for Foremost Shockwave Solutions added to case. Status Hearing re counsel set for 11/19/2015 at 2:00PM before Magistrate Judge Jan M. Adler. Not Guilty plea entered. Bond set as to Lee Mathis (3) \$15,000 P/S and Fernando Valdes (4) \$15,000 P/S. Bond paperwork due by 11/19/15 as to both defendants. Motion Hearing/Trial Setting set for 12/18/2015 at 10:30AM before Judge Cathy Ann Bencivengo. (cc: Sealed Clerk) (CD# 11/12/2015 JMA 15:10:47-11:03;11:08-11:12). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET; Dennis Gaughan, RET). (no document attached) (cge) Modified on 3/30/2017 (rla). (Entered: 11/13/2015)
11/12/2015	17	***English. No Interpreter needed as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions (no document attached) (cge) (Entered: 11/13/2015)
11/12/2015	<a href="#">19</a>	ABSTRACT OF ORDER Releasing Fernando Valdes. (cge) (Entered: 11/16/2015)
11/12/2015	<a href="#">21</a>	ORDER Setting Conditions of Release. Bond set for Fernando Valdes (4) \$15,000 P/S secured by defendant's own signautre. Signed by Magistrate Judge Jan M. Adler on 11/12/15. (cge) (Entered: 11/16/2015)
11/13/2015	<a href="#">13</a>	NOTICE OF ATTORNEY APPEARANCE Caroline Pineda Han appearing for USA. (Han, Caroline)Attorney Caroline Pineda Han added to party USA(pty:pla) (Entered: 11/13/2015)
11/13/2015	<a href="#">14</a>	NOTICE OF ATTORNEY APPEARANCE Valerie Chu appearing for USA. (Chu, Valerie)Attorney Valerie Chu added to party USA(pty:pla) (Entered: 11/13/2015)
11/13/2015	<a href="#">15</a>	NOTICE OF ATTORNEY APPEARANCE Fred A. Sheppard appearing for USA. (Sheppard, Fred)Attorney Fred A. Sheppard added to party USA(pty:pla) (Entered: 11/13/2015)
11/24/2015	<a href="#">26</a>	ORDER re Pre-trial Release as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. Signed by Magistrate Judge Jan M. Adler on 11/24/2015. (cxl) (Entered: 11/24/2015)
11/24/2015	<a href="#">28</a>	P/S Bond Filed as to Fernando Valdes in amount of \$ 15,000, Signed by Magistrate Judge Jan M. Adler on 11/24/2015. (Document applicable to USA, Fernando Valdes.) (cxl) (Entered: 11/25/2015)
11/25/2015	<a href="#">27</a>	Joint MOTION for Protective Order by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Chu, Valerie) (jpp). (Entered: 11/25/2015)
12/01/2015	<a href="#">32</a>	MOTION for Protective Order by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Fernando Valdes. (Chu, Valerie) (cxl). (Entered: 12/01/2015)

12/02/2015	<a href="#">33</a>	PROTECTIVE ORDER as to George K. Reese (1), George K. Reese Professional Chiropractic Corporation (2), Fernando Valdes (4). Signed by Judge Cathy Ann Bencivengo on 12/2/2015.(cxl) (Entered: 12/02/2015)
12/14/2015	<a href="#">35</a>	Joint MOTION to Continue <i>Motion Hearing</i> and Exclude Time Under 18 USC 3161 by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Chu, Valerie) (Added MOTION to Exclude on 12/15/2015 and edited docket text accordingly) (dls). (Entered: 12/14/2015)
12/15/2015	<a href="#">36</a>	ORDER to Continue Motion Hearing and Exclude Time Under 18 USC 3161(h)(7)(A) re <a href="#">35</a> Motion to Continue as to George K. Reese (1), George K. Reese Professional Chiropractic Corporation (2), Lee Mathis (3), Fernando Valdes (4), Foremost Shockwave Solutions (5). It is hereby ordered that a period of excludable delay from 12/18/2015 and the next hearing date of 3/25/2016 at 10:30 AM is allowed. Motion Hearing/Trial Setting set for 3/25/2016 at 10:30 AM before Judge Cathy Ann Bencivengo. Signed by Judge Cathy Ann Bencivengo on 12/15/2015.(dls) (Excludables set_ (Entered: 12/15/2015)
03/07/2016	52	NOTICE OF CHANGE OF HEARING as to Defendants George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. Upon the request of the parties, the scheduled Motion Hearing/Trial Setting has been converted to a Status Hearing and will still be held on 3/25/2016 at 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. (no document attached) (lmh) (Entered: 03/07/2016)
03/25/2016	59	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions held on 3/25/2016. Court declares case COMPLEX, upon request of defense counsel. A Motion Hearing is set for 8/18/2016 10:0 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Defense motions shall be filed by 7/28/2016 with government oppositions filed by 8/11/2016. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Robert Rexrode, CJA for (1) and (2); Dian Bass, RET for (3) and (5); Dennis Gaughan, RET (4)). (no document attached) (lmh) (Entered: 03/27/2016)
03/29/2016	<a href="#">61</a>	Joint MOTION to Exclude <i>Time</i> by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Chu, Valerie) Modified on 11/30/2016 (lmh). (Entered: 03/29/2016)
03/30/2016	62	Minute Entry for Judge Cathy Ann Bencivengo re <a href="#">61</a> Motion to Exclude as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. Court grants the motion excluding time under 18 USC 3161(h)(7)(A) for the period of time between the last motion hearing date and the next date set. Excludable time: XT 3/25/16 - 8/18/16. (no document attached) (lmh) (Entered: 03/30/2016)
08/16/2016	<a href="#">78</a>	ORDER to Continue Motion Hearing and Exclude Time. Pursuant to the joint motion to continue the motion hearing and exclude time under 18 U.S.C. § 3161(h)(7)(A) filed by the parties on August 15, 2016, it is hereby ordered that a period of excludable delay from August 18, 2016 and the next hearing date of December 16, 2016 is allowed pursuant to Section § 3161(h)(7)(A). The period from August 18, 2016 to the date this order is signed is excludable under section 3161(h)(1)(D). Signed by Judge Cathy Ann Bencivengo on 8/16/2016.(cxl) Modified on 11/30/2016 (lmh). Modified on 11/30/2016 - Order is not in regards to defendant George Reese or Reese Corporation (lmh). (Entered: 08/16/2016)

12/15/2016	<a href="#">85</a>	ORDER Continuing Motion Hearing re 84 Motion to Continue as to Lee Mathis (3), Fernando Valdes (4) Foremost Shockwave Solutions (5). Motion Hearing set for 3/24/2017 at 10:30 AM before Judge Cathy Ann Bencivengo. Signed by Judge Cathy Ann Bencivengo on 12/15/2016. (jjg) (Entered: 12/15/2016)
03/20/2017	86	NOTICE OF CHANGE OF HEARING as to Defendants Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. The Court reschedules the currently scheduled Motion Hearing to a Status Hearing on 3/24/2017 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. (no document attached) (lmh) (Entered: 03/20/2017)
03/23/2017	<a href="#">87</a>	MOTION to Withdraw as Attorney by Dennis Gaughan. by Fernando Valdes. (Attachments: # <a href="#">1</a> Proposed order)(Gaughan, Dennis) QC EMAIL - proposed order attached (fth). (Entered: 03/23/2017)
03/24/2017	<a href="#">88</a>	CJA 23 Financial Affidavit (Amended) by Fernando Valdes (4). (lmh) (Entered: 03/27/2017)
03/24/2017	89	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions held on 3/24/2017. <a href="#">87</a> Motion to Withdraw as Attorney by Dennis Patrick Gaughan as to defendant Fernando Valdes (4) - granted. Amended Financial Affidavit is filed with the Court and Federal Defenders is provisionally appointed for Fernando Valdes. Attorney Dennis Patrick Gaughan relieved in case as to Fernando Valdes (4). Status Hearing re Counsel Appointment for Fernando Valdes (4) is set for 4/5/2017 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Additional Status Hearing as to all defendants is set for 5/5/2017 10:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Excludable(s) started as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions: XT 3/24/17 - 5/5/17. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET (3) and (5); Dennis Gaughan, RET (4)). (no document attached) (lmh) (Entered: 03/28/2017)
03/28/2017	<a href="#">90</a>	NOTICE OF ATTORNEY APPEARANCE: Cassandra Lucinda Lopez appearing for Fernando Valdes (Lopez, Cassandra) Attorney Cassandra Lucinda Lopez added to party Fernando Valdes(pty:dft) (jjg). (Entered: 03/28/2017)
04/05/2017	<a href="#">91</a>	CJA 23 Financial Affidavit by Fernando Valdes. (jjg) (Entered: 04/05/2017)
04/05/2017	92	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing re New Counsel as to Fernando Valdes held on 4/5/2017. Additional financial affidavit filed with the court. Court confirms appointment of counsel. Status Hearing set for 5/5/2017 10:30 AM is confirmed. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Cassandra Lopez, FD). (no document attached) (lmh) (Entered: 04/06/2017)
04/11/2017	<a href="#">95</a>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT of Proceedings (Arraignment) as to Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions held on 11/12/2015, before Magistrate Judge Jan M. Adler. Court Reporter/Transcriber: Chari L. Bowery. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER or the Court Reporter/Transcriber. If redaction is necessary, parties have seven calendar days from the file date of the Transcript to E-File the Notice of Intent to Request Redaction. The following deadlines would also apply if requesting redaction: Redaction Request Statement due to Court Reporter/Transcriber 5/2/2017. Redacted Transcript Deadline set for 5/12/2017. Release of Transcript Restriction set for 7/10/2017. (akr) (Entered: 04/11/2017)
04/18/2017	<a href="#">97</a>	ORDER to Release Employment Records as to Fernando Valdes. Pretrial Services

		ordered to release to defense counsel any and all employment records from the period during which Defendant has been on pretrial release. Signed by Magistrate Judge Jan M. Adler on 4/18/2017. (cc: Pretrial) (jah) (Entered: 04/19/2017)
05/03/2017	<a href="#">102</a>	Joint MOTION to Continue <i>Motion Hearing</i> , Joint MOTION to Exclude <i>Time</i> by USA as to Fernando Valdes. (Chu, Valerie) (jjg). (Entered: 05/03/2017)
05/04/2017	<a href="#">106</a>	ORDER to Continue and Exclude Time [Doc. No. <a href="#">102</a> ]. Signed by Judge Cathy Ann Bencivengo on 5/4/2017. (jjg) (Entered: 05/04/2017)
05/31/2017	115	NOTICE OF HEARING as to Defendant Fernando Valdes. At defense counsel's request: Change of Plea Hearing set for 6/22/2017 02:30 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 05/31/2017)
06/02/2017	116	Minute Entry for proceedings held before Judge Cathy Ann Bencivengo: Status Hearing as to Lee Mathis, Fernando Valdes (n/a - appearance waived), Foremost Shockwave Solutions held on 6/2/2017. Motion Hearing set for 8/17/2017 at 10:00AM in Courtroom 4C before Judge Cathy Ann Bencivengo. Motions due 8/3/2017, oppositions due 8/10/2017. In the interest of justice, court excludes time between 6/2/2017 - 8/17/2017. (Court Reporter/ECR Mauralee Ramirez). (Plaintiff Attorney Valerie Chu, AUSA). (Defendant Attorney Diane Bass, RET; Cassandra Lopez, FD). (no document attached) (cge) Modified on 8/8/2017 to correct opposition deadline (lmh). (Entered: 06/02/2017)
06/20/2017	117	NOTICE OF CHANGE OF HEARING as to Defendant Fernando Valdes. At defense counsel's request, the hearing previously set for 6/22/17 has been vacated and re-set; Change of Plea Hearing set for 7/13/2017 02:00 PM in Courtroom 2B before Magistrate Judge Jan M. Adler. (no document attached) (rla) (Entered: 06/20/2017)
07/13/2017	<a href="#">120</a>	SUPERSEDING INFORMATION as to Fernando Valdes (4) count(s) 1s. (rla) (Entered: 07/14/2017)
07/13/2017	<a href="#">121</a>	WAIVER OF INDICTMENT by Fernando Valdes (rla) (Main Document 121 replaced on 7/14/2017; NEF regenerated.) (rla) (Entered: 07/14/2017)
07/13/2017	122	Minute Entry for proceedings held before Magistrate Judge Jan M. Adler: Arraignment on Superseding Information as to Fernando Valdes (4) Count 1s held on 7/13/2017. Change of Plea Hearing as to Fernando Valdes held on 7/13/2017. Plea Tendered by Fernando Valdes Guilty on counts 1s of the Superseding Information. Excludable(s) started as to Fernando Valdes: XK from 7/13/17 to 9/29/17. PSR Ordered; ( Sentence With PSR set for 9/29/2017 09:00 AM in Courtroom 4C before Judge Cathy Ann Bencivengo.) (CD# 7/13/2017 JMA17-2:50-3:16). (Plaintiff Attorney Seth Askins, AUSA). (Defendant Attorney Cassandra Lopez, FD). (no document attached) (rla) (Entered: 07/14/2017)
07/13/2017	<a href="#">124</a>	CONSENT TO RULE 11 PLEA before Magistrate Judge Jan M. Adler by Fernando Valdes. (jjg) (Entered: 07/14/2017)
07/13/2017	<a href="#">125</a>	PLEA AGREEMENT as to Fernando Valdes (Attachments: # <a href="#">1</a> Forfeiture Addendum) (jjg) (Entered: 07/14/2017)
07/13/2017	<a href="#">126</a>	FINDINGS AND RECOMMENDATION of the Magistrate Judge upon a Tendered Plea of Guilty as to Fernando Valdes: Recommending that the district judge accept the defendant's plea of guilty. Signed by Magistrate Judge Jan M. Adler on 7/13/2017. (jjg) (Entered: 07/14/2017)
07/31/2017	<a href="#">143</a>	ORDER ACCEPTING GUILTY PLEA as to count(s) ONE (1) of the Superseding Information, as to Fernando Valdes, adopting <a href="#">126</a> Findings and Recommendation. Signed by Judge Cathy Ann Bencivengo on 7/31/2017. (jjg) (Entered: 07/31/2017)

08/07/2017	<a href="#">153</a>	Joint MOTION to Continue <i>Sentencing</i> by USA as to Fernando Valdes. (Chu, Valerie) (jjg). (Entered: 08/07/2017)
08/09/2017	155	MINUTE ORDER of Judge Cathy Ann Bencivengo as to Fernando Valdes (4): Upon good cause shown by the parties, <a href="#">153</a> Joint MOTION to Continue <i>Sentencing</i> filed by USA is hereby granted. The Sentence With PSR set for 9/29/2017 is VACATED AND RESET to 3/2/2018 09:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. A signed acknowledgment from the defendant of the new court date shall be filed with the court no later then Friday, August 18, 2017. The parties may contact the court to advance the sentencing should the trial of co-defendant resolve prior to the current date set. (no document attached) (lmh) (Entered: 08/09/2017)
09/07/2017	<a href="#">161</a>	ORDER of Criminal Forfeiture. Signed by Judge Cathy Ann Bencivengo on 9/7/2017. (jjg) (Entered: 09/07/2017)
09/20/2017	<a href="#">166</a>	Amended MOTION to Amend/Correct <i>Ex Parte Motion for Order to Show Cause</i> by USA as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> Exhibit 1, # <a href="#">2</a> Exhibit 2, # <a href="#">3</a> Exhibit 3, # <a href="#">4</a> Exhibit 4, # <a href="#">5</a> Exhibit 5, # <a href="#">6</a> Exhibit 6, # <a href="#">7</a> Exhibit 7, # <a href="#">8</a> Exhibit 8, # <a href="#">9</a> Declaration SA Olson)(Chu, Valerie) Modified on 12/20/2017 - Erroneously associated to terminated defendant (lmh). Modified on 12/20/2017 - Erroneously associated to terminated defendant (lmh). (Entered: 09/20/2017)
10/18/2017	<a href="#">170</a>	Joint MOTION to Continue <i>Motion Hearing</i> by Foremost Shockwave Solutions as to George K. Reese, George K. Reese Professional Chiropractic Corporation, Lee Mathis, Fernando Valdes, Foremost Shockwave Solutions. (Attachments: # <a href="#">1</a> Proof of Service) (Hall, Martha) (acc). Modified on 12/20/2017 - Erroneously associated to terminated defendant (lmh). (Entered: 10/18/2017)
01/09/2018	188	NOTICE OF CHANGE OF HEARING as to Defendant Fernando Valdes (4). On the Court's own motion due to unavailability on the currently set date of 3/2/2018, the Sentence With PSR is HEREBY VACATED AND RESET for 3/9/2018 09:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. A signed acknowledgment from the defendant of the new court date shall filed by Friday, January 19, 2018.(no document attached) (lmh) (Entered: 01/09/2018)
01/26/2018	<a href="#">190</a>	Joint MOTION to Continue <i>Sentencing</i> by USA as to Fernando Valdes. (Chu, Valerie) (jjg). (Entered: 01/26/2018)
01/29/2018	191	MINUTE ORDER of Judge Cathy Ann Bencivengo: <a href="#">190</a> Joint Motion to Continue Sentencing as to Fernando Valdes (4) is granted. The Sentence With PSR set for 3/9/2018 is HEREBY VACATED AND RESET to 9/7/2018 09:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. A signed acknowledgment from the defendant of the new court date shall filed by Friday, February 2, 2018.(no document attached) (lmh) (Entered: 01/29/2018)
07/17/2018	<a href="#">208</a>	Joint MOTION to Continue <i>Sentencing Hearing</i> by USA as to Fernando Valdes. (Chu, Valerie) (anh). (Entered: 07/17/2018)
08/02/2018	<a href="#">217</a>	ORDER Continuing Sentencing Hearing as to Fernando Valdes (4). Sentence With PSR set for 12/21/2018 09:30 AM before Judge Cathy Ann Bencivengo. Signed by Judge Cathy Ann Bencivengo on 8/2/2018.(acc) (Entered: 08/02/2018)
11/08/2018	233	NOTICE OF CHANGE OF HEARING as to Defendant Fernando Valdes. Upon the request of US Probation for additional preparation time, the Sentence With PSR set for 12/21/2018 is HEREBY VACATED AND RESET to 2/1/2019 09:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. A signed acknowledgment from the defendant

		confirming the next court date shall be filed no later than Friday, November 16, 2018.(no document attached) (lmh) (Entered: 11/08/2018)
11/15/2018	<a href="#">236</a>	Acknowledgment of next court date by defendant Fernando Valdes to appear on February 1, 2019. (Attachments: # <a href="#">1</a> Proof of Service)(Lopez, Cassandra) (anh). (Entered: 11/15/2018)
12/20/2018	<a href="#">242</a>	PRE-SENTENCE REPORT as to Fernando Valdes. Report prepared by: Adrienne Scott. (Document applicable to USA, Fernando Valdes.) (Ruiz, A.) (Entered: 12/20/2018)
01/29/2019	<a href="#">243</a>	Joint MOTION to Continue <i>Sentencing Hearing Date</i> by Fernando Valdes. (Attachments: # <a href="#">1</a> Authorization for E signature)(Lopez, Cassandra) (anh). (Entered: 01/29/2019)
01/30/2019	244	MINUTE ORDER of Judge Cathy Ann Bencivengo as to Fernando Valdes (4). Upon good cause shown, the Court grants <a href="#">243</a> Joint Motion to Continue Sentencing as to Fernando Valdes (4). The Sentence With PSR set for 2/1/2019 is HEREBY VACATED AND RESET to 6/28/2019 09:30 AM in Courtroom 4C before Judge Cathy Ann Bencivengo. A signed acknowledgment from the defendant confirming the next court date shall be filed no later than Friday, February 8, 2019.(no document attached) (lmh) (Entered: 01/30/2019)

<b>PACER Service Center</b>			
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03/29/2019 08:16:31			
<b>PACER Login:</b>	Odlegal94612:2536794:0	<b>Client Code:</b>	AFU-Lee Mathis George Reese
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<b>Billable Pages:</b>	7	<b>Cost:</b>	0.70

# EXHIBIT 7

