

<b>Case Number:</b>	CM15-0198974		
<b>Date Assigned:</b>	10/14/2015	<b>Date of Injury:</b>	09/06/2011
<b>Decision Date:</b>	11/20/2015	<b>UR Denial Date:</b>	09/17/2015
<b>Priority:</b>	Standard	<b>Application Received:</b>	10/09/2015

### HOW THE IMR FINAL DETERMINATION WAS MADE

MAXIMUS Federal Services sent the complete case file to an expert reviewer. He/she has no affiliation with the employer, employee, providers or the claims administrator. He/she has been in active clinical practice for more than five years and is currently working at least 24 hours a week in active practice. The expert reviewer was selected based on his/her clinical experience, education, background, and expertise in the same or similar specialties that evaluate and/or treat the medical condition and disputed items/Service. He/she is familiar with governing laws and regulations, including the strength of evidence hierarchy that applies to Independent Medical Review determinations.

The Expert Reviewer has the following credentials:

State(s) of Licensure: California

Certification(s)/Specialty: Physical Medicine & Rehabilitation

### CLINICAL CASE SUMMARY

The expert reviewer developed the following clinical case summary based on a review of the case file, including all medical records:

The injured worker is a 56 year old male, who sustained an industrial injury on 09-06-2011. The injured worker was noted as "off work" on 05-05-2015 progress note. Medical records indicated that the injured worker is undergoing treatment for cervical radiculopathy, cervical sprain-strain, lumbar radiculopathy, right shoulder sprain-strain, left shoulder sprain-strain, loss of sleep, insomnia, anxiety, and depression. Treatment and diagnostics to date has included lumbar trigger point injection, MRI of bilateral shoulders and lumbar spine, therapy, and medications. Recent medications have included Anaprox, Prilosec, Tramadol-Acetaminophen, and Cyclobenzaprine. After review of progress notes dated 08-14-2015 and 09-04-2015, the injured worker reported cervical spine, lumbar spine, and bilateral shoulder pain rated 4-9 out of 10. Objective findings included tenderness to palpation and spasms of bilateral trapezius, cervical paravertebral, and lumbar paravertebral muscles and tenderness to palpation to bilateral shoulders. The Utilization Review with a decision date of 09-17-2015 non-certified the request for physical therapy 3x week x 6 weeks to cervical spine and bilateral shoulders.

### IMR ISSUES, DECISIONS AND RATIONALES

The Final Determination was based on decisions for the disputed items/services set forth below:

**Physical Therapy 3xweek x6 weeks Cervical Spine and Bilateral Shoulders #18: Upheld**

**Claims Administrator guideline:** Decision based on MTUS Chronic Pain Medical Treatment 2009, Section(s): Physical Medicine.

**MAXIMUS guideline:** Decision based on MTUS Chronic Pain Medical Treatment 2009, Section(s): Physical Medicine.

**Decision rationale:** Physical therapy is considered medically necessary when the services require the judgment, knowledge, and skills of a qualified physical therapist due to the complexity and sophistication of the therapy and the physical condition of the patient. However, there is no clear measurable evidence of progress with the PT treatment already rendered including milestones of increased ROM, strength, and functional capacity. Review of submitted physician reports show no evidence of functional benefit, unchanged chronic symptom complaints, clinical findings, and functional status. There is no evidence documenting functional baseline with clear goals to be reached and the patient striving to reach those goals. The Chronic Pain Guidelines allow for visits of physical therapy with fading of treatment to an independent self-directed home program. It appears the employee has received significant therapy sessions without demonstrated evidence of functional improvement to allow for additional therapy treatments. There is no report of acute flare-up, new injuries, or change in symptom or clinical findings to support for formal PT in a patient that has been instructed on a home exercise program for this chronic 2011 injury. Submitted reports have not adequately demonstrated the indication to support further physical therapy when prior treatment rendered has not resulted in any functional benefit. The Physical Therapy 3xweek x6 weeks Cervical Spine and Bilateral Shoulders #18 is not medically necessary and appropriate.