

Case Number:	CM15-0182378		
Date Assigned:	09/23/2015	Date of Injury:	03/28/2013
Decision Date:	11/03/2015	UR Denial Date:	08/26/2015
Priority:	Standard	Application Received:	09/16/2015

HOW THE IMR FINAL DETERMINATION WAS MADE

MAXIMUS Federal Services sent the complete case file to an expert reviewer. He/she has no affiliation with the employer, employee, providers or the claims administrator. He/she has been in active clinical practice for more than five years and is currently working at least 24 hours a week in active practice. The expert reviewer was selected based on his/her clinical experience, education, background, and expertise in the same or similar specialties that evaluate and/or treat the medical condition and disputed items/Service. He/she is familiar with governing laws and regulations, including the strength of evidence hierarchy that applies to Independent Medical Review determinations.

The Expert Reviewer has the following credentials:

State(s) of Licensure: California, Texas, Florida

Certification(s)/Specialty: Anesthesiology, Pain Management, Hospice & Palliative Medicine

CLINICAL CASE SUMMARY

The expert reviewer developed the following clinical case summary based on a review of the case file, including all medical records:

The injured worker is a 41 year old male, who sustained an industrial-work injury on 3-28-13. He reported initial complaints of back pain. The injured worker was diagnosed as having lumbosacral sprain-strain with radiculopathy left lower extremity, left sided sacroiliitis, disc protrusion 5 mm L5-S1 predominately right paracentral with foraminal stenosis bilaterally right worse than left at L5-S1, and left sided 2-3 mm foraminal protrusion. Treatment to date has included medication, diagnostics, and exercise program. Currently, the injured worker complains of ongoing back pain and topical transdermal creams were used with good overall improvement. Oral medications were not tolerated well. Per the primary physician's progress report (PR-2) on 7-31-15, exam noted focal tenderness at L4-5, L5-S1 as well as the superior iliac crest, marked limitations to range of motion of the lumbar spine. The Request for Authorization requested service to include Flurbiprofen 20%, Lidocaine 5%, 150 gm, Gabapentin 10%, Amitriptyline 5%, Capsaicin 0.025%, 150 gm, and Cyclobenzaprine 10%, Lidocaine 2%, 150 gm. The Utilization Review on 8-26-15 denied the request for Flurbiprofen 20%, Lidocaine 5%, 150 gm, Gabapentin 10%, Amitriptyline 5%, Capsaicin 0.025%, 150 gm, and Cyclobenzaprine 10%, Lidocaine 2%, 150 gm due to lack of documentation to discuss intolerance to oral medications and use of compound creams where at least one is not recommended, per CA MTUS (California Medical Treatment Utilization Schedule) Guidelines, Chronic Pain Medical Treatment Guidelines 2009.

IMR ISSUES, DECISIONS AND RATIONALES

The Final Determination was based on decisions for the disputed items/services set forth below:

Flurbiprofen 20%, Lidocaine 5%, 150 gm: Upheld

Claims Administrator guideline: The Claims Administrator did not cite any medical evidence for its decision.

MAXIMUS guideline: Decision based on MTUS Chronic Pain Medical Treatment 2009, Section(s): NSAIDs (non-steroidal anti-inflammatory drugs), NSAIDs, specific drug list & adverse effects, Topical Analgesics.

Decision rationale: Regarding the request for Flurbiprofen 20%, Lidocaine 5%, 150 gm, CA MTUS states that topical compound medications require guideline support for all components of the compound in order for the compound to be approved. Topical NSAIDs are indicated for "Osteoarthritis and tendonitis, in particular, that of the knee and elbow or other joints that are amenable to topical treatment: Recommended for short-term use (4-12 weeks). There is little evidence to utilize topical NSAIDs for treatment of osteoarthritis of the spine, hip or shoulder. Neuropathic pain: Not recommended as there is no evidence to support use". Topical lidocaine is "Recommended for localized peripheral pain after there has been evidence of a trial of first-line therapy (tri-cyclic or SNRI anti-depressants or an AED such as gabapentin or Lyrica)". Additionally, it is supported only as a dermal patch. Within the documentation available for review, none of the abovementioned criteria have been documented. Furthermore, there is no clear rationale for the use of topical medications rather than the FDA-approved oral forms for this patient, despite guideline recommendations. In light of the above issues, the currently requested Flurbiprofen 20%, Lidocaine 5%, 150 gm is not medically necessary.

Cyclobenzaprine 10%, Lidocaine 2%, 150 gm: Upheld

Claims Administrator guideline: The Claims Administrator did not cite any medical evidence for its decision.

MAXIMUS guideline: Decision based on MTUS Chronic Pain Medical Treatment 2009, Section(s): Topical Analgesics.

Decision rationale: Regarding the request for Cyclobenzaprine 10%, Lidocaine 2%, 150 gm, CA MTUS states that topical compound medications require guideline support for all components of the compound in order for the compound to be approved. Topical lidocaine is "Recommended for localized peripheral pain after there has been evidence of a trial of first-line therapy (tri-cyclic or SNRI anti-depressants or an AED such as gabapentin or Lyrica)". Additionally, it is supported only as a dermal patch. Muscle relaxants drugs are not supported by the CA MTUS for topical use. Within the documentation available for review, none of the abovementioned criteria have been documented. Furthermore, there is no clear rationale for the use of topical medications rather than the FDA-approved oral forms for this patient, despite guideline recommendations. In light of the above issues, the currently requested Cyclobenzaprine 10%, Lidocaine 2%, 150 gm is not medically necessary.

Gabapentin 10%, Amitriptyline 5%, Capsaicin 0.025%, 150 gm: Upheld

Claims Administrator guideline: The Claims Administrator did not cite any medical evidence for its decision.

MAXIMUS guideline: Decision based on MTUS Chronic Pain Medical Treatment 2009, Section(s): Topical Analgesics.

Decision rationale: Regarding the request for Gabapentin 10%, Amitriptyline 5%, Capsaicin 0.025%, 150 gm, CA MTUS states that topical compound medications require guideline support for all components of the compound in order for the compound to be approved. Capsaicin is "Recommended only as an option in patients who have not responded or are intolerant to other treatments". Guidelines do not support the use of topical antidepressants. Regarding topical gabapentin, Chronic Pain Medical Treatment Guidelines state that topical anti-epileptic medications are not recommended. They go on to state that there is no peer-reviewed literature to support their use. Within the documentation available for review, none of the abovementioned criteria have been documented. Furthermore, there is no clear rationale for the use of topical medications rather than the FDA-approved oral forms for this patient, despite guideline recommendations. In light of the above issues, the currently requested Gabapentin 10%, Amitriptyline 5%, Capsaicin 0.025%, 150 gm is not medically necessary.