

Case Number:	CM14-0149988		
Date Assigned:	09/18/2014	Date of Injury:	08/21/2011
Decision Date:	05/05/2015	UR Denial Date:	09/02/2014
Priority:	Standard	Application Received:	09/15/2014

HOW THE IMR FINAL DETERMINATION WAS MADE

MAXIMUS Federal Services sent the complete case file to an expert reviewer. He/she has no affiliation with the employer, employee, providers or the claims administrator. He/she has been in active clinical practice for more than five years and is currently working at least 24 hours a week in active practice. The expert reviewer was selected based on his/her clinical experience, education, background, and expertise in the same or similar specialties that evaluate and/or treat the medical condition and disputed items/Service. He/she is familiar with governing laws and regulations, including the strength of evidence hierarchy that applies to Independent Medical Review determinations.

The Expert Reviewer has the following credentials:
 State(s) of Licensure: New Jersey, Michigan, California
 Certification(s)/Specialty: Neurology, Neuromuscular Medicine

CLINICAL CASE SUMMARY

The expert reviewer developed the following clinical case summary based on a review of the case file, including all medical records:

The injured worker is a 49 year old male, who sustained an industrial injury on 8/21/11. He reported initial complaints of low back pain. The injured worker was diagnosed as having lumbosacral spine radiculopathy; lumbosacral spondylosis without myelopathy; degenerative disc disease with disc extrusions L4-5 and L5-S1. Treatment to date has included physical therapy; bilateral L3-4 medial branch and DR of L5 Blocks (12/15/14). Currently, the PR-2 notes dated 2/19/15, the injured worker complains of back symptoms have increased and the effects of the prior facet block have worn off. Lumbar facet blocks were requested and denied. The provider has requested the injured worker be transferred to another pain management physician within the network for a radiofrequency ablation and was given a prescription for the next two weeks until the injured worker sees that new physician. Medial Branch Block was done on 12/15/14 with no long lasting benefit. The PR-2 notes dated 9/17/14 requested Lumbar facet block, bilateral L4 - L5 and L5 S1 under fluoroscopy and anesthesia.

IMR ISSUES, DECISIONS AND RATIONALES

The Final Determination was based on decisions for the disputed items/services set forth below:

Lumbar facet block, bilateral L4 - L5 and L5 S1 under fluoroscopy and anesthesia: Upheld

Claims Administrator guideline: Decision based on MTUS ACOEM Chapter 12 Low Back Complaints Page(s): 308 - 310. Decision based on Non-MTUS Citation ODG Low Back

(updated 08/22/14); Facet joint diagnostic blocks (injections) Criteria for the use of diagnostic blocks for facet "mediated" pain; Facet joint medial branch blocks (therapeutic injections).

MAXIMUS guideline: Decision based on MTUS ACOEM Chapter 12 Low Back Complaints Page(s): 309.

Decision rationale: According MTUS guidelines: “Invasive techniques (e.g., local injections and facet-joint injections of cortisone and lidocaine) are of questionable merit. Although epidural steroid injections may afford short-term improvement in leg pain and sensory deficits in patients with nerve root compression due to a herniated nucleus pulposus, this treatment offers no significant long term functional benefit, nor does it reduce the need for surgery. Despite the fact that proof is still lacking, many pain physicians believe that diagnostic and/or therapeutic injections may have benefit in patients presenting in the transitional phase between acute and chronic pain.” According to ODG guidelines regarding facets injections: “Under study. Current evidence is conflicting as to this procedure and at this time no more than one therapeutic intra-articular block is suggested. If successful (pain relief of at least 50% for a duration of at least 6 weeks), the recommendation is to proceed to a medial branch diagnostic block and subsequent neurotomy (if the medial branch block is positive). If a therapeutic facet joint block is undertaken, it is suggested that it be used in consort with other evidence based conservative care (activity, exercise, etc.) to facilitate functional improvement. (Dreyfuss, 2003) (Colorado, 2001) (Manchikanti, 2003) (Boswell, 2005) See Segmental rigidity (diagnosis). In spite of the overwhelming lack of evidence for the long-term effectiveness of intra-articular steroid facet joint injections, this remains a popular treatment modality. Intra-articular facet joint injections have been popularly utilized as a therapeutic procedure, but are not currently recommended as a treatment modality in most evidence-based reviews as their benefit remains controversial.” Furthermore and according to ODG guidelines: “Criteria for use of therapeutic intra-articular and medial branch blocks, are as follows: 1. No more than one therapeutic intra-articular block is recommended; 2. There should be no evidence of radicular pain, spinal stenosis, or previous fusion; 3. If successful (initial pain relief of 70%, plus pain relief of at least 50% for a duration of at least 6 weeks), the recommendation is to proceed to a medial branch diagnostic block and subsequent neurotomy (if the medial branch block is positive); 4. No more than 2 joint levels may be blocked at any one time; 5. There should be evidence of a formal plan of additional evidence-based activity and exercise in addition to facet joint injection.” In this case, there is no documentation of facet mediated pain. There is no evidence of a formal plan of additional evidence-based activity and exercise in addition to facet joint injection. MTUS guidelines do not recommend facet block if there is suspicion of radiculopathy. The patient in this case was diagnosed with radiculopathy. Therefore, the request for Lumbar facet block, bilateral L4 - L5 and L5 S1 under fluoroscopy and anesthesia is not medically necessary.