

Case Number:	CM14-0006803		
Date Assigned:	02/07/2014	Date of Injury:	01/07/1999
Decision Date:	12/10/2014	UR Denial Date:	01/10/2014
Priority:	Standard	Application Received:	01/17/2014

HOW THE IMR FINAL DETERMINATION WAS MADE

MAXIMUS Federal Services sent the complete case file to an expert reviewer. He/she has no affiliation with the employer, employee, providers or the claims administrator. The expert reviewer is Board Certified in Anesthesiology, has a subspecialty in Pain Management and is licensed to practice in California. He/she has been in active clinical practice for more than five years and is currently working at least 24 hours a week in active practice. The expert reviewer was selected based on his/her clinical experience, education, background, and expertise in the same or similar specialties that evaluate and/or treat the medical condition and disputed items/services. He/she is familiar with governing laws and regulations, including the strength of evidence hierarchy that applies to Independent Medical Review determinations.

CLINICAL CASE SUMMARY

The expert reviewer developed the following clinical case summary based on a review of the case file, including all medical records:

According to the records made available for review, this is a 74-year-old male with a 1/7/99 date of injury. At the time (12/23/13) of the request for authorization for facet block at C7-T1, there is documentation of subjective (ongoing neck pain radiating up to his head causing headaches, and radiating into the shoulders and down the arms) and objective (none specified) findings, current diagnoses (cervical myelopathy, status post C3-7 anterior cervical discectomy and fusion, and C7-T1 disc degeneration and anterolisthesis on MRI 9/17/13), and treatment to date (medication). There is no documentation of cervical pain that is non-radicular and failure of additional conservative treatment (including home exercise, PT) prior to the procedure for at least 4-6 weeks.

IMR ISSUES, DECISIONS AND RATIONALES

The Final Determination was based on decisions for the disputed items/services set forth below:

Facet Block at C7-T1: Upheld

Claims Administrator guideline: The Claims Administrator did not base their decision on the MTUS. Decision based on Non-MTUS Citation Official Disability Guidelines, Criteria for the Use of Diagnostic Blocks for Facet Nerve Pain

MAXIMUS guideline: Decision based on MTUS ACOEM Chapter 8 Neck and Upper Back Complaints Page(s): 174-175. Decision based on Non-MTUS Citation Official Disability Guidelines (ODG) Neck & Upper Back Chapter, Facet joint diagnostic blocks

Decision rationale: MTUS reference to ACOEM identifies documentation of non-radicular facet mediated pain as criteria necessary to support the medical necessity of medial branch block. ODG identifies documentation of cervical pain that is non-radicular and at no more than two levels bilaterally, failure of conservative treatment (including home exercise, PT, and NSAIDs) prior to the procedure for at least 4-6 weeks, and no more than 2 joint levels to be injected in one session, as criteria necessary to support the medical necessity of facet injection. Within the medical information available for review, there is documentation of diagnoses of cervical myelopathy, status post C3-7 anterior cervical discectomy and fusion, and C7-T1 disc degeneration and anterolisthesis on MRI 9/17/13. In addition, there is documentation of failure of conservative treatment (medication) prior to the procedure for at least 4-6 weeks and no more than 2 joint levels to be injected in one session. However, given documentation of ongoing neck pain radiating into the shoulders and down the arms, there is no documentation of cervical pain that is non-radicular. In addition, there is no documentation of cervical pain that is non-radicular. Furthermore, there is no documentation of failure of additional conservative treatment (including home exercise, PT) prior to the procedure for at least 4-6 weeks. Therefore, based on guidelines and a review of the evidence, the request for facet block at C7-T1 is not medically necessary.