INDEPENDENT BILLING REVIEW FINAL DETERMINATION

April 12, 2015

Dear [Name]

MAXIMUS Federal Services has completed the Independent Bill Review (“IBR”) of the above workers’ compensation case. This letter provides you with the IBR Final Determination and explains how the determination was made.

Final Determination: UPHOLD. MAXIMUS Federal Services has determined that no additional reimbursement is warranted. The Claims Administrator’s determination is upheld and the Claim Administrator does not owe the Provider additional reimbursement. A detailed explanation of the decision is provided later in this letter.

The determination of MAXIMUS Federal Services and its expert reviewer is deemed to be the Final Determination of the Administrative Director of the Division of Workers’ Compensation. This determination is binding on all parties. In certain limited circumstances, you can appeal the Final Determination. Appeals must be filed with the Workers’ Compensation Appeals Board within 20 days from the date of this letter. For more information on appealing the final determination, please see California Labor Code Section 4603.6(f).

Sincerely,

Paul Manchester, MD, MPH
Medical Director

cc: [Redacted]
DOCUMENTS REVIEWED

Pertinent documents reviewed to reach the determination:

- The Independent Bill Review Application
- The original billing itemization
- Supporting documents submitted with the original billing
- Explanation of Review in response to the original bill
- Request for Second Bill Review and documentation
- Supporting documents submitted with the request for second review
- The final explanation of the second review
- Official Medical Fee Schedule
- AMA CPT

HOW THE IBR FINAL DETERMINATION WAS MADE

MAXIMUS Federal Services Chief Coding Specialist reviewed the case file and researched pertinent coding and billing standards to reach a determination. In some cases a physician reviewer was employed to review the clinical aspects of the care to help make a determination. He/she has no affiliation with the employer, employee, providers or the claims administrator. The expert reviewer was selected based on his/her clinical experience, education, background, and expertise in the same or similar specialties that evaluate and/or treat the medical condition and disputed items/services.

ANALYSIS AND FINDING

Based on review of the case file the following is noted:

- Claims Administrator applied reimbursement relating to CPT 99201 with the following rational: “Based on the available information, the service rendered appears to be best described by this code.”
- The determination of an Evaluation and Management service for New Patients require all three key components in the following areas:

  1) **History:** Chief Complaint, History of Present Illness, Review of Systems (Inventory of Body Systems), Past Family and Social History.
  2) **Examination:** All elements in a general multi system examination, or complete examination of a single organ system and other symptomatic or related body area(s) or organ system(s)
  3) **Medical Decision Making Medical** decision making refers to the complexity of establishing a diagnosis and/or selecting a management option, which is determined by considering the following factors:
     a. The number of possible diagnoses and/or the number of management options that must be considered;
b. The amount and/or complexity of medical records, diagnostic tests, and/or other information that must be obtained, reviewed, and analyzed; and
c. The risk of significant complications, morbidity, and/or mortality as well as comorbidities associated with the patient’s presenting problem(s), the diagnostic procedure(s), and/or the possible management options.

- 1995/1997 Evaluation and Management Levels/Elements: History / Exam / Medical Decision Making, New Patient, All Components Must Be Met:
  - 99202: Problem Focused / Problem Focused / Straight Forward
  - 99203: Expanded Problem Focused / Expanded Problem Focused / Low Complexity
  - 99204: Detailed History / Detailed Exam / Moderate Complexity
  - 99205 Comprehensive History/ Comprehensive Exam/ High Complexity

- Time: In the case where counseling and/or coordination of care dominates (more than 50%) of the physician/patient and/or family encounter (face-to-face time in the office or other outpatient setting or floor/unit time in the hospital or nursing facility), time is considered the key or controlling factor to qualify for a particular level of E/M services. The total length of time of the encounter (face-to-face) should be documented and the record should describe the counseling and/or activities to coordinate care.

Additional Evaluation and Management information can be found in the AMA CPT Code book or on-line at CMS.Gov.

- Abstracted information for date of service 09/25/2014 resulted in a 99201 New Patient Evaluation and Management service:
  - History = Expanded Problem Focused
    ✓ Chief Complaint
    ✓ HPI: Problem Focused
    ▸ ROS: Problem Focused – 0 of 14 Systems Documented
    ▸ Past Family Social History: - Not Documented
  - Exam = Detailed
    ✓ Appearance/Eyes/Ent/Lungs/Cardio/Abdomen/Neuro/Skin
    ✓ No other body systems documented
    ✓ Exam left Knee/Weight Bearing
  - Medical Decision Making = Moderate Complexity
    ✓ Number of Diagnoses or Management Options: 4
    ▸ Amount and/or complexity of data reviewed: None Documented
    ✓ Risk of Complication: Moderate
    ✓ Decision Making: Moderate
    ▸ Medication – Not prescribed
• Time Factor for date of service 8/27/2014:
  o Time Not Documented

• Based on the aforementioned documentation and guidelines, Evaluation and Management Service 99205 is not warranted.

The table below describes the pertinent claim line information.

**DETERMINATION OF ISSUE IN DISPUTE: 99205**

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<tr>
<th>Date of Service: 08/27/2014</th>
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<td>Physician Services</td>
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<table>
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<th>Dispute Amount</th>
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<th>Multiple Surgery</th>
<th>Workers’ Comp Allowed Amt.</th>
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