Occupational Safety and Health Standards Board

Public Meeting and Business Meeting

October 21, 2021

Via teleconference / videoconference

Board Meeting Packet

Occupational Safety and Health Standards Board

Meeting Agenda

STATE OF CALIFORNIA GAVIN NEWSOM, Governor

DEPARTMENT OF INDUSTRIAL RELATIONS Occupational Safety and Health Standards Board 2520 Venture Oaks Way, Suite 350 Sacramento, CA 95833

Tel: (916) 274-5721 Fax: (916) 274-5743

www.dir.ca.gov/oshsb



MISSION STATEMENT

The mission of the Occupational Safety and Health Standards Board is to promote, adopt, and maintain reasonable and enforceable standards that will ensure a safe and healthful workplace for California workers.

October 21, 2021 at 10:00 a.m. TELECONFERENCE AGENDA

PUBLIC MEETING AND BUSINESS MEETING OF THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

<u>PLEASE NOTE:</u> The physical meeting location has been cancelled. In accordance with <u>section</u> 11133 of the Government Code, this Board Meeting will be conducted via teleconference.

Attend the meeting via Video-conference:

- 1. Go to www.webex.com
- 2. Select "Join"
- 3. Enter the meeting information: 268 984 996
- 4. Enter your name and email address then click "Join Meeting"
- 5. Video-conference will be opened to the public at 9:50 a.m.

Attend the meeting via Teleconference:

- 1. Dial (844) 992-4726
- 2. When prompted, enter **268-984-996**
- 3. When prompted for an Attendee ID, press #
- 4. Teleconference will be opened to the public at 9:50 a.m.

Live video stream and audio stream (English and Spanish):

- 1. Go to https://videobookcase.com/california/oshsb/
- 2. Video stream and audio stream will launch as the meeting starts at 10:00 a.m.

Public Comment Queue:

Stakeholders who wish to comment on agenda items may submit a request to be added to the public comment queue. Please provide the following information*: 1) name; 2) affiliation; 3) comment topic; and 4) phone number (if not attending via Webex).

 * Information requested is voluntary and not required to address the Board.

In advance of the meeting: Email the requested information to OSHSB@dir.ca.gov.

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During the meeting: Email the requested information to OSHSB@dir.ca.gov, request to speak via Webex "Chat" function, or dial 916-274-5721 to be placed in the queue.

NOTE: In accordance with <u>section 11133 of the Government Code</u>,

Board Members will participate via video-conference and/or teleconference.

I. CALL TO ORDER AND INTRODUCTIONS

II. PUBLIC MEETING (Open for Public Comment)

This portion of the Public Meeting is open to any interested person to propose new or revised standards to the Board or to make any comment concerning occupational safety and health (Labor Code Section 142.2). The Board is not permitted to take action on items that are not on the noticed agenda, but may refer items to staff for future consideration.

This portion of the meeting is also open to any person who wishes to address the Board on any item on today's Business Meeting Agenda (Government Code Section 11125.7).

Any individual or group planning to make a presentation during the Public Meeting is requested to contact Sarah Money, Executive Assistant, or Christina Shupe, Executive Officer, at (916) 274-5721 in advance of the meeting so that any logistical concerns can be addressed.

- A. PUBLIC COMMENT
- B. ADJOURNMENT OF THE PUBLIC MEETING

III. <u>BUSINESS MEETING – All matters on this Business Meeting agenda are subject to such</u> discussion and action as the Board determines to be appropriate.

The purpose of the Business Meeting is for the Board to conduct its monthly business.

A. PROPOSED PETITION DECISIONS FOR ADOPTION

 Michael Miller, President and Director Original Sixteen to One Mine, Inc. Petition File No. 588

Petitioner requests to amend Title 8, CCR, section 462 of the Unfired Pressure Vessel Safety Orders (UFPVSO), to permit the use of polyethylene (aka HDPE plastic) pipe to convey compressed air in California mines.

October 2021 Agenda Page 3 of 6

 Ronald High Ronald High Elevator Advocate
 Petition File No. 589

Petitioner requests to amend and update the title 8, Construction Safety Orders (CSO), Article 14, Construction Hoists standards currently derived from ANSI/ASSE A10.4-1973 Safety Requirements for Personnel Hoists to address specific issues covered within ANSI/ASSE A10.4-2016 Safety Requirements for Personnel Hoists and Employee Elevators on Construction and Demolition Sites that include, but are not limited to: fall protection, overhead protection, counterweighting and car top railings.

Kevin Schwanz, RD Petition File No. 590

Petitioner requests to amend title 8, General Industry Safety Orders (GISO), section 5199(h)(3)(A) to repeal or amend the requirement for annual Tuberculosis (TB) testing in health care workers.

- B. PROPOSED VARIANCE DECISIONS FOR ADOPTION
 - 1. Consent Calendar
- C. REPORTS
 - 1. Division Update
 - a. Update on COVID-19 Prevention ETS Readoption and 9/23 Advisory Committee Meeting
 - 2. COVID-19 Prevention ETS Subcommittee Update
 - 3. Legislative Update
 - 4. Executive Officer's Report
- D. NEW BUSINESS
 - 1. Future Agenda Items

October 2021 Agenda Page 4 of 6

Although any Board Member may identify a topic of interest, the Board may not substantially discuss or take action on any matter raised during the meeting that is not included on this agenda, except to decide to place the matter on the agenda of a future meeting. (Government Code sections 11125 & 11125.7(a).).

E. CLOSED SESSION

- Western States Petroleum Association (WSPA) v. California Occupational Safety and Health Standards Board (OSHSB), et al. United States District Court (Eastern District of California) Case No. 2:19-CV-01270
- 2. WSPA v. OSHSB, et al., County of Sacramento, CA Superior Court Case No. 34-2019-00260210
- 3. Western Growers Association, California Farm Bureau Federation, et. al. v OSHSB, et al., County of San Francisco, CA Superior Court Case No. CPF-21-517344
- 4. Personnel

F. RETURN TO OPEN SESSION

1. Report from Closed Session

G. ADJOURNMENT OF THE BUSINESS MEETING

Next Meeting: November 18, 2021

Teleconference and Video-conference

(In accordance with section 11133 of the Government

Code)
10:00 a.m.

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CLOSED SESSION

1. If necessary, consideration of personnel matters. (Government Code section 11126(a)(1)).

2. If necessary, consideration of pending litigation pursuant to Government Code section 11126(e)(1).

PUBLIC COMMENT

Efforts will be made to accommodate each individual who has signed up to speak. However, given time constraints, there is no guarantee that all who have signed up will be able to address the State body.

Each speaker is invited to speak for up to two minutes. The Board Chair may extend the speaking time allotted where practicable.

The total time for public comment is 120 minutes, unless extended by the Board Chair.

The public can speak/participate at the meetings before items that involve decisions.

In addition to public comment during Public Hearings, the Occupational Safety and Health Standards Board (Board) affords an opportunity to members of the public to address the Board on items of interest that are either on the Business Meeting agenda, or within the Board's jurisdiction but are not on the noticed agenda, during the Public Meeting. The Board is not permitted to take action on items that are not on the noticed agenda, but may refer items to staff for future consideration. The Board reserves the right to limit the time for speakers

DISABILITY ACCOMMODATION NOTICE

Disability accommodation is available upon request. Any person with a disability requiring an accommodation, auxiliary aid or service, or a modification of policies or procedures to ensure effective communication and access to the public hearings/meetings of the Occupational Safety and Health Standards Board should contact the Disability Accommodation Coordinator at (916) 274-5721 or the state-wide Disability Accommodation Coordinator at 1-866-326-1616 (toll free). The state-wide Coordinator can also be reached through the California Relay Service, by dialing 711 or 1-800-735-2929 (TTY) or 1-800-855-3000 (TTY-Spanish).

Accommodations can include modifications of policies or procedures or provision of auxiliary aids or services. Accommodations include, but are not limited to, an Assistive Listening System (ALS), a Computer-Aided Transcription System or Communication Access Realtime Translation (CART), a sign-language interpreter, documents in Braille, large print or on computer disk, and audio cassette recording. Accommodation requests should be made as soon as possible. Requests for an ALS or CART should be made no later than five (5) days before the meeting.

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TRANSLATION

Requests for translation services should be made no later than five (5) days before the meeting.

NOTE: Written comments may be emailed directly to oshsb@dir.ca.gov no later than 5:00 p.m. on the Tuesday prior to a scheduled Board Meeting.

Under Government Code section 11123, subdivision (a), all meetings of a state body are open and public, and all persons are permitted to attend any meeting of a state body, except as otherwise provided in that article. The Board Chair may adopt reasonable time limits for public comments in order to ensure that the purpose of public discussion is carried out. (Gov. Code, §11125.7, subd. (b).)

Pursuant to section 11133 of the Government Code, certain provisions of the Bagley-Keene Open Meeting Act are suspended until January 31, 2022. This meeting of the Occupational Safety and Health Standards Board will be conducted remotely via video/teleconference only. None of the locations from which the Board Members will participate will be open to the public. Members of the public who wish to participate in the meeting may do so via livestream on our website at https://videobookcase.com/california/oshsb/. The video recording and transcript of this meeting will be posted on our website as soon as practicable.

For questions regarding this meeting, please call (916) 274-5721.

Occupational Safety and Health Standards Board

Meeting Notice

DEPARTMENT OF INDUSTRIAL RELATIONS Occupational Safety and Health Standards Board 2520 Venture Oaks Way, Suite 350 Sacramento, CA 95833 Tel: (916) 274-5721 Fax: (916) 274-5743

www.dir.ca.gov/oshsb



NOTICE OF PUBLIC MEETING AND BUSINESS MEETING OF THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

Pursuant to Government Code Section 11346.4 and the provisions of Labor Code Sections 142.1, 142.2, 142.3, 142.4, and 144.6, the Occupational Safety and Health Standards Board of the State of California has set the time and place for a Public Meeting and Business Meeting:

PUBLIC MEETING: On **October 21, 2021,** at 10:00 a.m. via the following:

- Video-conference at <u>www.webex.com</u> (meeting ID 268 984 996)
- Teleconference at (844) 992-4726 (Access code 268 984 996)
- Live video stream and audio stream (English and Spanish) at https://videobookcase.com/california/oshsb/

At the Public Meeting, the Board will make time available to receive comments or proposals from interested persons on any item concerning occupational safety and health.

BUSINESS MEETING: On October 21, 2021, at

On October 21, 2021, at 10:00 a.m. via the following:

- Video-conference at www.webex.com (meeting ID 268 984 996)
- Teleconference at (844) 992-4726 (Access code 268 984 996)
- Live video stream and audio stream (English and Spanish) at https://videobookcase.com/california/oshsb/

At the Business Meeting, the Board will conduct its monthly business.

DISABILITY ACCOMMODATION NOTICE: Disability accommodation is available upon request. Any person with a disability requiring an accommodation, auxiliary aid or service, or a modification of policies or procedures to ensure effective communication and access to the public hearings/meetings of the Occupational Safety and Health Standards Board should contact the Disability Accommodation Coordinator at (916) 274-5721 or the state-wide Disability Accommodation Coordinator at 1-866-326-1616 (toll free). The state-wide Coordinator can also be reached through the California Relay Service, by dialing 711 or 1-800-735-2929 (TTY) or 1-800-855-3000 (TTY-Spanish).

Accommodations can include modifications of policies or procedures or provision of auxiliary aids or services. Accommodations include, but are not limited to, an Assistive Listening System (ALS), a Computer-Aided Transcription System or Communication Access Realtime Translation (CART), a sign-language interpreter, documents in Braille, large print or on computer disk, and audio cassette recording. Accommodation requests should be made as soon as possible. Requests for an ALS or CART should be made no later than five (5) days before the hearing.

OCCUPATIONAL SAFETY AND HEALTH
STANDARDS BOARD

Occupational Safety and Health Standards Board

Business Meeting Petition 588

STATE OF CALIFORNIA

DEPARTMENT OF INDUSTRIAL RELATIONS

OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833

	(916) 274-5721
In the Matter of a Petition by:) Michael M. Miller President and Director Original Sixteen to One Mine, Inc. P.O. Box 909 Alleghany, CA 95910 Applicant.	PETITION FILE NO. 588
The Occupational Safety and Heap PROPOSED DECISION.	alth Standards Board hereby adopts the attached
	OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD
	DAVID THOMAS, Chairman
	BARBARA BURGEL, Member
	KATHLEEN CRAWFORD, Member
	DAVE HARRISON, Member
	NOLA KENNEDY, Member
	CHRIS LASZCZ-DAVIS, Member
By:	LAURA STOCK, Member
Christina Shupe, Executive Office	er

DATE: October 21, 2021

Attachments

PETITION NO. 588

Petitioner requests to amend Title 8, CCR, Section 462 of the Unfired Pressure Vessel Safety Orders (UFPVSO), to permit the use of polyethylene (aka HDPE plastic) pipe to convey compressed air in California mines.

HYPERLINKS TO PETITION NO. 588 DOCUMENTS:

PROPOSED PETITON DECISION

BOARD STAFF EVALUATION

DIVISION EVALUATION

ORIGINAL PETITION (RECEIVED 01/05/21)

Occupational Safety and Health Standards Board

Business Meeting Petition 589

STATE OF CALIFORNIA

DEPARTMENT OF INDUSTRIAL RELATIONS

OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833

(916) 274-5721

In the Matter of a Petition by:) Ronald High Ronald High Elevator Advocate 8129 El Extenso Court San Diego, CA 92119-1134) Applicant.	PETITION FILE NO. 589
-l o los. l	
PROPOSED DECISION.	th Standards Board hereby adopts the attached
THO COLD DECISION.	
	OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD
	DAVID THOMAS, Chairman
	BARBARA BURGEL, Member
	KATHLEEN CRAWFORD, Member
	DAVE HARRISON, Member
	NOLA KENNEDY, Member
	CURIC LACZOZ DAVIC AA
	CHRIS LASZCZ-DAVIS, Member
	LAURA STOCK, Member
By: Christina Shune Executive Officer	

DATE: October 21, 2021

Attachments

PETITION NO. 589

Petitioner requests amend and update the Title 8, Construction Safety Orders (CSO), Article 14, Construction Hoists standards currently derived from ANSI/ASSE A10.4-1973 Safety Requirements for Personnel Hoists to address specific issues covered within ANSI/ASSE A10.4-2016 Safety Requirements for Personnel Hoists and Employee Elevators on Construction and Demolition Sites that include, but are not limited to: fall protection, overhead protection, counterweighting and car top railings.

HYPERLINKS TO PETITION NO. 589 DOCUMENTS:

PROPOSED PETITON DECISION

BOARD STAFF EVALUATION

DIVISION EVALUATION

ORIGINAL PETITION (RECEIVED 03/15/21)

Occupational Safety and Health Standards Board

Business Meeting Petition 590

STATE OF CALIFORNIA

DEPARTMENT OF INDUSTRIAL RELATIONS

OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD 2520 Venture Oaks Way, Suite 350

Sacramento, California 95833 (916) 274-5721

In the Matter of a Petition by: Kevin Schwanz Registered Dietitian	PETITION FILE NO. 590
Applicant. The Occupational Safety and Hea PROPOSED DECISION.	lth Standards Board hereby adopts the attached
	OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD
	DAVID THOMAS, Chairman
	BARBARA BURGEL, Member
	KATHLEEN CRAWFORD, Member
	DAVE HARRISON, Member
	NOLA KENNEDY, Member
	CHRIS LASZCZ-DAVIS, Member

LAURA STOCK, Member

Ву: _____

Christina Shupe, Executive Officer

DATE: October 21, 2021

Attachments

PETITION NO. 590

Petitioner requests to amend Title 8, General Industry Safety Orders (GISO), Section 5199(h)(3)(A) to repeal or amend the requirement for annual Tuberculosis (TB) testing in health care workers.

HYPERLINKS TO PETITION NO. 590 DOCUMENTS:

PROPOSED PETITON DECISION

BOARD STAFF EVALUATION

DIVISION EVALUATION

ORIGINAL PETITION (RECEIVED 05/04/21)

Occupational Safety and Health Standards Board

Business Meeting Proposed Variance Decisions

CONSENT CALENDAR—PROPOSED VARIANCE DECISIONS OCTOBER 21, 2021, MONTHLY BUSINESS MEETING OF THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

A. PLANETARY VENTURES— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
18-V-211M1	Planetary Ventures	Elevator	GRANT

B. 75 HOWARD OWNER LP— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
20-V-039M1	75 Howard Owner LP	Elevator	GRANT

C. 1090 EAST DUANE AVENUE LLC— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
20-V-085M1	1090 East Duane Avenue LLC	Elevator	GRANT

D. GPAI DAVIS STUDENT HOUSING — HEARD SEPTEMBER 22, 2021

OSHSB FILE	APPLICANT NAME	SAFETY	PROPOSED
NUMBER		ORDERS	DECISION
20-V-176M1	GPAI Davis Student Housing	Elevator	GRANT

E. CORE/RELATED GRANDE AVE OWNER LLC— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
20-V-345M1	CORE/Related Grande Ave Owner LLC	Elevator	GRANT

F. SCHINDLER 3300 with SIL-RATED DRIVE TO DE-ENERGIZE MOTOR (GROUP IV) — HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
20-V-412	Oxnard Town Square 13, LLC	Elevator	GRANT
21-V-277	Palomar Health	Elevator	GRANT
21-V-278	City of Orange	Elevator	GRANT
21-V-289	500 Kawana Springs RD., L.P.	Elevator	GRANT
21-V-290	2905 Santa Rosa Ave., L.P.	Elevator	GRANT
21-V-291	Granite Ridge Investors, L.P.	Elevator	GRANT
21-V-293	Lennar Homes of California, Inc.	Elevator	GRANT
21-V-312	Oakley Senior Housing, L.P.	Elevator	GRANT
21-V-316	Commerce Villa, LLC	Elevator	GRANT
21-V-326	Chabad NP	Elevator	GRANT
21-V-327	4575 Santa Monica Blvd., LLC	Elevator	GRANT
21-V-331	Saiva Siddhantha Ashram dba Shiva Murugan Temple	Elevator	GRANT
21-V-335	Ambrose Apartments, L.P.	Elevator	GRANT
21-V-353	Tyler Valley Metro Housing, LP	Elevator	GRANT
21-V-355	RAR2-651 Sunflower Owner, LLC	Elevator	GRANT
21-V-356	1233 Dunsmuir Development LLC	Elevator	GRANT
21-V-369	Alfred Starson, LLC	Elevator	GRANT
21-V-370	Redlands Railway District, LLC	Elevator	GRANT
21-V-371	Ramesta Hospitality	Elevator	GRANT
21-V-372	8380 Melrose Ave, LLC	Elevator	GRANT
21-V-380	1008 Ogden LLC	Elevator	GRANT

21-V-381	1016 Ogden LLC	Elevator	GRANT
21-V-382	1020 Ogden LLC	Elevator	GRANT
21-V-384	National Community Renaissance of California	Elevator	GRANT
21-V-385	National Community Renaissance of California	Elevator	GRANT
21-V-386	ACAA Limited Partnership	Elevator	GRANT
21-V-388	Redlands Railway District, LLC	Elevator	GRANT

G. SOUTH MONTEREY COUNTY JOINT UNIFIED HSD —HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
20-V-543	South Monterey County Joint Unified HSD	Elevator	GRANT

H. LOS ANGELES WORLD AIRPORTS— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-005	Los Angeles World Airports	Elevator	GRANT

I. GOOGLE — HEARD SEPTEMBER 22, 2021

OSHSB FILE	APPLICANT NAME	SAFETY	PROPOSED
NUMBER		ORDERS	DECISION
21-V-082M1	Google	Elevator	GRANT

J. GOOGLE— HEARD SEPTEMBER 22, 2021

OSHSB FILE	APPLICANT NAME	SAFETY	PROPOSED
NUMBER		ORDERS	DECISION
21-V-083M1	Google	Elevator	GRANT

K. GOOGLE— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-084M1	Google	Elevator	GRANT

L. GOOGLE — HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-085M1	Google	Elevator	GRANT

M. <u>SCHINDLER MODEL 3300 ELEVATORS WITH VARIANT GOV. ROPES & SHEAVES (GROUP IV)</u> <u>HEARD SEPTEMBER 22, 2021</u>

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-200	Schon Tepler Construction, Inc.	Elevator	GRANT
21-V-313	Lennar Homes of California	Elevator	GRANT
21-V-317	Banner Alameda Storage, LLC	Elevator	GRANT
21-V-318	PR II/BWG The Launch Owner, LLC	Elevator	GRANT
21-V-328	LTF Lease Company, Inc.	Elevator	GRANT
21-V-351	4840 Mission Housing Associates LP	Elevator	GRANT
21-V-352	AG Opportunity Zone Fund Property Owner, LLC	Elevator	GRANT
21-V-354	RAR2-651 Sunflower Owner, LLC	Elevator	GRANT
21-V-357	Homerun Excellence Corporation	Elevator	GRANT
21-V-379	Complex Therapeutics LLC	Elevator	GRANT
21-V-383	Uptown Lofts, LLC	Elevator	GRANT
21-V-387	Oliver Holdings SC, LLC & Asset Gas SC, Inc.	Elevator	GRANT

N. MITSUBISHI ELEVATORS (GROUP IV)— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-217	Mission Rock Parcel G Owner, LLC	Elevator	GRANT
21-V-294	The Irvine Company, LLC	Elevator	GRANT
21-V-295	The Irvine Company, LLC	Elevator	GRANT
21-V-296	The Irvine Company, LLC	Elevator	GRANT
21-V-302	Wilson Meany LLC	Elevator	GRANT
21-V-319	Maguire Properties - 755 S. Figueroa, LLC	Elevator	GRANT
21-V-325	Mission Rock Parcel A Owner, LLC	Elevator	GRANT

O. OTIS GEN2S ELEVATORS (GROUP IV)HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-273	City of San Jose	Elevator	GRANT
21-V-275	Sterling Bay West	Elevator	GRANT
21-V-276	Silvergate Church CV, LLC	Elevator	GRANT
21-V-280	825 13th St., LLC.	Elevator	GRANT
21-V-282	The Regents of the University of California	Elevator	GRANT
21-V-283	Dr. Paul Wakim	Elevator	GRANT
21-V-284	939 Ardmore, LLC	Elevator	GRANT
21-V-285	762 Serrano LLC	Elevator	GRANT
21-V-286	Eden Mill District, L.P.	Elevator	GRANT
21-V-297	Allied 4038 Irvington, L.P.	Elevator	GRANT
21-V-298	SCD 9000 Wilshire LLC	Elevator	GRANT

21-V-299	Venue Residence, LLC	Elevator	GRANT
21-V-300	Venue Residence, LLC	Elevator	GRANT
21-V-303	Palisades Drive LP	Elevator	GRANT
21-V-304	Swish Province II, LLC	Elevator	GRANT
21-V-305	Homeward Bound of Marin	Elevator	GRANT
21-V-306	Hilltop Family Housing, LP	Elevator	GRANT
21-V-307	Hilltop Family Housing, LP	Elevator	GRANT
21-V-308	Hilltop Family Housing, LP	Elevator	GRANT
21-V-309	Front & Beech SH, L.P.	Elevator	GRANT
21-V-310	VEJ Holdings LLC	Elevator	GRANT
21-V-311	1090 East Duane Avenue LLC	Elevator	GRANT
21-V-320	Silicon Beach Live, LLC	Elevator	GRANT
21-V-321	DPRE BayRock I, LLC	Elevator	GRANT
21-V-322	East 1st Street Property LLC	Elevator	GRANT
21-V-323	Sonic Automotive	Elevator	GRANT
21-V-324	Strada/CHP, LLC	Elevator	GRANT
21-V-333	Richmond Grown, LLC	Elevator	GRANT
21-V-336	The Irvine Company	Elevator	GRANT
21-V-337	BH Sunrise, LLC	Elevator	GRANT
21-V-338	North New Hampshire Partners LLC	Elevator	GRANT
21-V-339	Cube3, LLC	Elevator	GRANT
21-V-340	LH MT Mission Owner, LLC	Elevator	GRANT
21-V-341	Path Ventures	Elevator	GRANT
21-V-342	KFF RPP II Shotwell, LLC	Elevator	GRANT
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21-V-343	Google	Elevator	GRANT
21-V-344	State of California Department of General Services	Elevator	GRANT
21-V-345	State of California Department of General Services	Elevator	GRANT
21-V-347	Google	Elevator	GRANT
21-V-349	Common Spirit Health	Elevator	GRANT
21-V-350	Pacific Plaza Owner, L.L.C.	Elevator	GRANT
21-V-363	Mt. San Antonio College	Elevator	GRANT
21-V-364	MPT of Ernest-Stockton, L.P.	Elevator	GRANT
21-V-365	499 Forbes LLC	Elevator	GRANT
21-V-366	Winchester Sustainable LP	Elevator	GRANT
21-V-373	BWF Forge TL Properties Owner LLC	Elevator	GRANT
21-V-374	Market Street 1629 Ventures, LP	Elevator	GRANT
21-V-375	Market Street 1629 Ventures, LP	Elevator	GRANT
21-V-376	Market Street 1629 Ventures, LP	Elevator	GRANT

P. OTIS ELEVATOR (GROUP IV) GEN2(O) AND/OR GEN2 ELEVATORS [W/VARIANT GOVERNOR ROPE/SHEAVE] — HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-274	Richmond Grown, LLC	Elevator	GRANT
21-V-281	The Regents of the University of California	Elevator	GRANT

Q. KONE MONOSPACE 500 ELEVATORS— HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-288	CA Sacramento Commons LLC	Elevator	GRANT
21-V-292	PVH LA L.P.	Elevator	GRANT
21-V-315	Mt. San Antonio College	Elevator	GRANT
21-V-348	Dunlop 7, LLC	Elevator	GRANT
21-V-361	Hastings Campus Housing Finance Authority	Elevator	GRANT
21-V-362	Los Rios CCD	Elevator	GRANT
21-V-377	MP Firehouse Square Associates, L.P.	Elevator	GRANT
21-V-378	Valencia Street SF, LLC	Elevator	GRANT
21-V-389	Page Street, L.P.	Elevator	GRANT
21-V-390	Chenault Terrace, LLC	Elevator	GRANT

R. SCHINDLER MODEL 5500 ELEVATORS (GROUP IV) —HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-314	CoreSite Real Estate SV9. L.P.	Elevator	GRANT
21-V-359	651 Walsh Partners Limited Liability Company	Elevator	GRANT
21-V-368	GPCA Owner, LLC	Elevator	GRANT

S. OTIS GEN2(O) AND/OR GEN2L ELEVATORS (GROUP IV) —HEARD SEPTEMBER 22, 2021

OSHSB FILE NUMBER	APPLICANT NAME	SAFETY ORDERS	PROPOSED DECISION
21-V-329	Kaiser Permanente	Elevator	GRANT
21-V-330	Kaiser Permanente	Elevator	GRANT
21-V-346	State of California Department of General Services	Elevator	GRANT
21-V-367	One Kearny LLC	Elevator	GRANT

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 18-V-211M1 Proposed Decision Dated: September 27, 2021
Planetary Ventures	DECISION
The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez,	Standards Board hereby adopts the attached
PROPOSED DECISION by Autumin Gonzalez,	Hearing Officer.
·	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
BARBARA BURGEL, Member	Date of Adoption: October 21, 2021 THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION.
NOLA KENNEDY, Member	YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.

LAURA STOCK, Member

Note: A copy of this Decision must be posted for the Applicant's employees to

read, and/or a copy thereof must be provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify
Permanent Variance by:

Planetary Ventures

OSHSB File No.: 18-V-211M1

PROPOSED DECISION

Hearing Date: September 22, 2021

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

Preexisting OSHSB File No.	Applicant Name	Preexisting Variance Address of Record
		BLDG-G1
18-V-211	Planetary Ventures	100 Lomax Ln.
		Mountain View, CA 94043

B. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

C. <u>Procedural Matters</u>:

- This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- At the hearing, Daniel May, with KONE, Inc., appeared on behalf of the Applicant, David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board. Eric McClaskey was present as an observer on behalf of I.U.E.C. Local 8.

Hearing Date: September 22, 2021

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Application for modification of Permanent Variance
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Review of Variance Application
PD-4	Division Review of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
 - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 18-V-211.
 - Application Section 3, declared to be wholly truthful under penalty of perjury by
 Application signatory, states facts upon which reasonably may be based a finding that
 the address, specified in the records of the Board, at which Permanent Variance 18-V211 is in effect, in fact is more completely, and correctly the different combination of
 addresses specified in below subsection D.5.
 - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 18-V-211.
 - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 18-V-211 was, in part, based.

Proposed Variance Decision OSHSB File No. 18-V-211M1

Hearing Date: September 22, 2021

5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 18-V-211, to be:

BLDG-G1 100 Bay View Drive Mountain View, CA

E. <u>Decision and Order:</u>

1. Permanent Variance Application No. 18-V-211M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each elevator being the subject of Permanent Variance Nos. 18-V-211, and 18-V-211M1, shall have the following address designation:

BLDG-G1 100 Bay View Drive Mountain View, CA

2. Permanent Variance No. 18-V-211, being only modified as to the subject location address specified in above Decision and Order Section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 18-V-211M1.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzalez, Hearing Office

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 20-V-039M1 Proposed Decision Dated: September 27, 2021
75 Howard Owner LP	DECISION
The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez,	Standards Board hereby adopts the attached Hearing Officer.
DAVID THOMAS, Chairman	OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD
BARBARA BURGEL, Member	Date of Adoption: October 21, 2021 THE FOREGOING VARIANCE DECISION WAS
KATHLEEN CRAWFORD, Member	ADOPTED ON THE DATE INDICATED ABOVE. IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING
DAVID HARRISON, Member	MAY BE FILED BY ANY PARTY WITH THE STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION.
NOLA KENNEDY, Member	YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS, TITLE 8, SECTIONS 427, 427.1 AND 427.2.
LAURA STOCK, Member	Note: A copy of this Decision must be posted for the Applicant's employees to

read, and/or a copy thereof must be provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify

Permanent Variance by:

OSHSB File No.: 20-V-039M1

PROPOSED DECISION

75 Howard Owner LP

Hearing Date: September 22, 2021

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

Preexisting OSHSB File No.	Applicant Name	Preexisting Variance Address of Record
20-V-039	75 Howard Owner LP	75 Howard Street
		San Francisco, CA

B. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

C. <u>Procedural Matters:</u>

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 2. At the hearing, Carolina Castaneda, with Mitsubishi Elevator, appeared on behalf of the Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

Hearing Date: September 22, 2021

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Application for modification of Permanent Variance
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
 - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 20-V-039.
 - 2. Application Section 3, declared to be wholly truthful under penalty of perjury by Application signatory, states facts upon which reasonably may be based a finding that the address, specified in the records of the Board, at which Permanent Variance 20-V-039 is in effect, in fact is more completely, and correctly the different combination of addresses specified in below subsection D.5.
 - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 20-V-039.
 - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 20-V-039 was, in part, based.
 - 5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 20-V-039, to be:

One Steuart Lane San Francisco, CA Proposed Variance Decision OSHSB File No. 20-V-039M1

Hearing Date: September 22, 2021

E. <u>Decision and Order:</u>

1. Permanent Variance Application No. 20-V-039M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each elevator being the subject of Permanent Variance Nos. 20-V-039, and 20-V-039M1, shall have the following address designation:

One Steuart Lane San Francisco, CA

2. Permanent Variance No. 20-V-039, being only modified as to the subject location address specified in above Decision and Order Section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 20-V-039M1.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzalez, Hearing Officer

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify
Permanent Variance by:

1090 East Duane Avenue LLC

OSHSB File No.: 20-V-085M1

Proposed Decision Dated: September 27, 2021

DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

OCCUPATIONAL SAFETY AND HEALTH DAVID THOMAS, Chairman STANDARDS BOARD Date of Adoption: October 21, 2021 BARBARA BURGEL, Member THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE. KATHLEEN CRAWFORD, Member IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING MAY BE FILED BY ANY PARTY WITH THE STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION. YOUR PETITION FOR REHEARING MUST NOLA KENNEDY, Member FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS, TITLE 8, SECTIONS 427, 427.1 AND 427.2. CHRIS LASZCZ-DAVIS, Member Note: A copy of this Decision must be posted for the Applicant's employees to LAURA STOCK, Member read, and/or a copy thereof must be provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 20-V-085M1
1090 East Duane Avenue LLC	PROPOSED DECISION
	Hearing Date: September 22, 2021

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

Preexisting OSHSB File No.	Applicant Name	Preexisting Variance Address of Record
20-V-085	1090 East Duane Avenue LLC	1 AMD Place Sunnyvale, CA

B. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

C. <u>Procedural Matters</u>:

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- At the hearing, Dan Leacox of Leacox & Associates appeared on behalf of the Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance modification application per Section A
	Table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records and variance decisions concerning the safety order provisions from which variance has been requested. On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
 - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each conveyance the subject of previously granted Permanent Variance 20-V-085.
 - 2. Application Section 3, declared to be wholly truthful under penalty of perjury by Application signatory, states facts upon which reasonably may be based a finding that the address, specified in the records of the Board, at which Permanent Variance 20-V-085 is in effect, in fact is more completely, and correctly the different address information specified in below subsection D.5.
 - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 20-V-085.
 - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 20-V-085 was, in part, based.

Proposed Variance Decision OSHSB File No. 20-V-085M1

Hearing Date: September 22, 2021

5. The Board finds the correct address by which to designate the location of each conveyance the subject of Permanent Variance No. 20-V-085, to be:

1030 Indian Wells Ave. Sunnyvale, CA

E. <u>Decision and Order</u>:

1. Permanent Variance Application No. 20-V-085M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each conveyance being the subject of Permanent Variance Nos. 20-V-085, and 20-V-085M1, shall have the following address designation:

1030 Indian Wells Ave. Sunnyvale, CA

2. Permanent Variance No. 20-V-085, being only modified as to the subject location address specified in above Decision and Order Section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 20-V-085M1.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzalez Hearing Office

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 20-V-176M1 Proposed Decision Dated: September 27, 2021
GPAI Davis Student Housing	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

DAVID THOMAS, Chairman	OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	THE CORE CONTO 1/4 PLANTS DE CONTO 1/4 PLANTS
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify

Permanent Variance by:

OSHSB File No.: 20-V-176M1

PROPOSED DECISION

GPAI Davis Student Housing

Hearing Date: September 22, 2021

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

Preexisting OSHSB File No.	Applicant Name	Preexisting Variance Address of Record
20-V-176	GPAI Davis Student Housing, LLC	1111 Olive Drive Davis, CA

B. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

C. Procedural Matters:

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 2. At the hearing, Jennifer Linares, appeared on behalf of the Applicant's representative, the Schindler Elevator Corporation; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Application for modification of Permanent Variance
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Review of Variance Application
PD-4	Division Review of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
 - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 20-V-176.
 - Application Section 3, declared to be wholly truthful under penalty of perjury by
 Application signatory, states facts upon which reasonably may be based a finding that
 the address, specified in the records of the Board, at which Permanent Variance 20-V176 is in effect, in fact is more completely, and correctly the different combination of
 addresses specified in below subsection D.5.
 - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 20-V-176.
 - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 20-V-176 was, in part, based.
 - 5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 20-V-176, to be:

1133 Olive Drive Davis, CA

Proposed Variance Decision OSHSB File No. 20-V-176M1

Hearing Date: September 22, 2021

E. <u>Decision and Order:</u>

1. Permanent Variance Application No. 20-V-176M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each elevator being the subject of Permanent Variance Nos. 20-V-176, and 20-V-176M1, shall have the following address designation:

1133 Olive Drive Davis, CA

2. Permanent Variance No. 20-V-176, being only modified as to the subject location address specified in above Decision and Order Section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 20-V-176M1.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated:	AR CAL
	Autumn Gonzalez Hearing Officer

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 20-V-345M1 Proposed Decision Dated: September 27, 2021
CORE/Related Grande Ave Owner LLC	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
LAUDA STOCK AA	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.
	representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 20-V-345M1
CORE/Related Grande Ave Owner	PROPOSED DECISION
LLC	Hearing Date: September 22, 2021

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

Preexisting OSHSB File No.	Applicant Name	Preexisting Variance Address of Record
20-V-345	CORE/Related Grande Ave Owner	100 S Grand Ave
20-7-343	LLC	Los Angeles, CA

B. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

C. <u>Procedural Matters</u>:

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- At the hearing, Dan Leacox of Leacox & Associates appeared on behalf of the Applicant;
 David Morris appeared on behalf of the Division of Occupational Safety and Health
 ("Division"); and Michael Nelmida appeared on behalf of Board staff in a technical
 advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance modification application per Section A
	Table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records and variance decisions concerning the safety order provisions from which variance has been requested. On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

D. Based on the record of this hearing, the Board makes the following findings of fact:

- The Applicant requests modification of the address of the unchanging variance location specified within Board records for each conveyance the subject of previously granted Permanent Variance 20-V-345.
- 2. Application Section 3, declared to be wholly truthful under penalty of perjury by Application signatory, states facts upon which reasonably may be based a finding that the address, specified in the records of the Board, at which Permanent Variance 20-V-345 is in effect, in fact is more completely, and correctly the different address information specified in below subsection D.5.
- 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 20-V-345.
- 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 20-V-345 was, in part, based.
- 5. The Board finds the correct address by which to designate the location of each conveyance the subject of Permanent Variance No. 20-V-345, to be:

Proposed Variance Decision OSHSB File No. 20-V-345M1

Hearing Date: September 22, 2021

100 S Grand Ave (8 elevators) 151 S Olive St (6 elevators) Los Angeles, CA

E. <u>Decision and Order</u>:

1. Permanent Variance Application No. 20-V-345M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each conveyance being the subject of Permanent Variance Nos. 20-V-345, and 20-V-345M1, shall have the following address designation:

100 S Grand Ave (8 elevators) 151 S Olive St (6 elevators) Los Angeles, CA

2. Permanent Variance No. 20-V-345, being only modified as to the subject location address specified in above Decision and Order Section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 20-V-345M1.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumin Gonzalez, Hearing Officei

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for
Permanent Variance regarding:

Schindler 3300 with SIL-Rated Drive to De-energize Motor (Group IV)

OSHSB File No.: See grid in Item A of

Proposed Decision Dated: September 27, 2021

DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, Member	
	Note: A copy of this Decision must be
	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.
	nepresentatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent

Variance Regarding:

Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV)

OSHSB File Nos.: Per table, in Jurisdictional

and Procedural Matters below

PROPOSED DECISION

Hearing Date: September 22, 2021

Jurisdictional and Procedural Matters

1. Each below listed applicant ("Applicant") has applied for permanent variance from certain provisions of the Elevator Safety Orders, found at Title 8, of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
20-V-412	Oxnard Town Square 13, LLC	2640 Wagon Wheel Rd. Oxnard, CA	2
21-V-277	Palomar Health	2145 Citracado Parkway Escondido, CA	4
21-V-278	City of Orange	1176 E. Chapman Ave. Orange, CA	1
21-V-289	500 Kawana Springs RD., L.P.	500 Kawana Springs Rd. Santa Rosa, CA	2
21-V-290	2905 Santa Rosa Ave., L.P.	2905 Santa Rosa Ave. Santa Rosa, CA	2
21-V-291	Granite Ridge Investors, L.P.	37350 Sequoia Rd. Fremont, CA	2
21-V-293	Lennar Homes of California, Inc.	52 Kirkwood Ave. San Francisco, CA	2
21-V-312	Oakley Senior Housing, L.P.	2605 Main Street Oakley, CA	2
21-V-316	Commerce Villa, LLC	10132 Commerce Tujunga, CA	1
21-V-326	Chabad NP	115 Monte Diablo Ave. San Mateo, CA	1

21-V-327	4575 Santa Monica Blvd., LLC	4575 Santa Monica Blvd. Los Angeles, CA	1
21-V-331	Saiva Siddhantha Ashram dba Shiva Murugan Temple	1803 2nd Street Concord, CA	1
21-V-335	Ambrose Apartments, L.P.	1611 Montana Street Los Angeles, CA	1
21-V-353	Tyler Valley Metro Housing, LP	3643 Tyler Ave. El Monte, CA	1
21-V-355	RAR2-651 Sunflower Owner, LLC	651 Sunflower Santa Ana, CA	2
21-V-356	1233 Dunsmuir Development LLC	1233 S. Dunsmuir Ave. Los Angeles, CA	1
21-V-369	Alfred Starson, LLC	715 N. Alfred St. Los Angeles, CA	1
21-V-370	Redlands Railway District, LLC	101 W. Stuart Ave Redlands, CA	1
21-V-371	Ramesta Hospitality	22101 Hesperion Blvd Hayward, CA	2
21-V-372	8380 Melrose Ave, LLC	8465 Melrose Ave. West Hollywood, CA	1
21-V-380	1008 Ogden LLC	1008 N. Ogden Dr. Los Angeles, CA	1
21-V-381	1016 Ogden LLC	1016 N. Ogden Dr. Los Angeles, CA	1
21-V-382	1020 Ogden LLC	1020 N. Ogden Dr. Los Angeles, CA	1
21-V-384	National Community Renaissance of California	342 Marcos St. San Marcos, CA	1
21-V-385	National Community Renaissance of California	340 Marcos St. San Marcos, CA	1
21-V-386	ACAA Limited Partnership	12952 14th St. Yucaipa, CA	2
21-V-388	Redlands Railway District, LLC	31 W. Stuart Ave. Redlands, CA	1

Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV)

Hearing Date: September 22, 2021

2. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, section 401, et. seq.

- 3. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 4. At the hearing, Jennifer Linares, with the Schindler Elevator Corporation, appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.
- 5. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance applications per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records, and variance decisions concerning the safety order requirements from which variance is requested. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.

Relevant Safety Order Provisions

Applicant seeks a permanent variance from section 3141 [ASME A.17.1-2004, sections 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.5.4, 2.26.1.4.4(a), 8.4.10.1.1(a)(2)(B), 2.14.1.7.1, and 2.26.9.6.1]. The relevant language of those sections are below.

1. Suspension Means

Section 3141 [ASME A17.1-2004, section 2.20.1, Suspension Means] states in part:

Elevator cars shall be suspended by steel wire ropes attached to the car frame or passing around sheaves attached to the car frame specified in 2.15.1. Ropes that have previously been installed and used on another installation shall not be

reused. Only iron (low-carbon steel) or steel wire ropes, having the commercial classification "Elevator Wire Rope," or wire rope specifically constructed for elevator use, shall be used for the suspension of elevator cars and for the suspension of counterweights. The wire material for ropes shall be manufactured by the open-hearth or electric furnace process, or their equivalent.

Section 3141 [ASME A17.1-2004, section 2.20.2.1(b), On Crosshead Data Plate] states in part:

The crosshead data plate required by 2.16.3 shall bear the following wire-rope data:

(b) the diameter in millimeters (mm) or inches (in.)

Section 3141 [ASME A17.1-2004, section 2.20.2.2(a) and (f) On Rope Data Tag] states in part:

A metal data tag shall be securely attached-to-one of the wire-rope fastenings. This data tag shall bear the following wire-rope data:

(a) the diameter in millimeters (mm) or inches (in.)

[...]

(f) whether the ropes were non preformed or preformed

Section 3141 [ASME A17.1-2004, section 2.20.3, Factor of Safety] states:

The factor of safety of the suspension wire ropes shall be not less than shown in Table 2.20.3. Figure 8.2.7 gives the minimum factor of safety for intermediate rope speeds. The factor of safety shall be based on the actual rope speed corresponding to the rated speed of the car.

The factor of safety shall be calculated by the following formula:

$$f = \frac{S \times N}{W}$$

where:

N= number of runs of rope under load. For 2:1 roping, N shall be two times the number of ropes used, etc.

S= manufacturer's rated breaking strength of one rope

W= maximum static load imposed on all car ropes with the car and its rated load at any position in the hoistway

Section 3141 [ASME A17.1-2004, section 2.20.4, Minimum Number and Diameter of Suspension Ropes] states:

The minimum number of hoisting ropes used shall be three for traction elevators and two for drum-type elevators.

Where a car counterweight is used, the number of counterweight ropes used shall be not less than two.

The term "diameter," where used in reference to ropes, shall refer to the nominal diameter as given by the rope manufacturer.

The minimum diameter of hoisting and counterweight ropes shall be 9.5 mm (0.375 in.). Outer wires of the ropes shall be not less than 0.56 mm (0.024 in.) in diameter.

Section 3141 [ASME A17.1-2004, section 2.20.9.3.4] states:

Cast or forged steel rope sockets, shackle rods, and their connections shall be made of unwelded steel, having an elongation of not less than 20% in a gauge length of 50 mm (2 in.), when measured in accordance with ASTM E 8, and conforming to ASTM A 668, Class B for forged steel, and ASTM A 27, Grade 60/30 for cast steel, and shall be stress relieved. Steels of greater strength shall be permitted, provided they have an elongation of not less than 20% in a length of 50 mm (2 in.).

Section 3141 [ASME A17.1-2004, section 2.20.9.5.4] states:

When the rope has been seated in the wedge socket by the load on the rope, the wedge shall be visible, and at least two wire-rope retaining clips shall be provided to attach the termination side to the load-carrying side of the rope (see Fig. 2.20.9.5). The first clip shall be placed a maximum of 4 times the rope diameter above the socket, and the second clip shall be located within 8 times the rope diameter above the first clip. The purpose of the two clips is to retain the wedge and prevent the rope from slipping in the socket should the load on the rope be removed for any reason. The clips shall be designed and installed so that they do not distort or damage the rope in any manner.

Proposed Variance Decision

Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV)

Hearing Date: September 22, 2021

2. Inspection Transfer Switch

Section 3141[ASME A17.1-2004, section 2.26.1.4.4(a), Machine Room Inspection Operation] states:

When machine room inspection operation is provided, it shall conform to 2.26.1.4.1, and the transfer switch shall be

(a) located in the machine room[.]

3. Seismic Reset Switch

Section 3141[ASME A17.1-2004, section 8.4.10.1.1(a)(2)(b), Earthquake Equipment] states:

- (a) All traction elevators operating at a rated speed of 0.75 m/s (150 ft/min) or more and having counterweights located in the same hoistway shall be provided with the following:
- (1) seismic zone 3 or greater: a minimum of one seismic switch per building
- (2) seismic zone 2 or greater:
 - (a) a displacement switch for each elevator
 - (b) an identified momentary reset button or switch for each elevator, located in the control panel in the elevator machine room

4. Car-top Railings

Section 3141[ASME A17.1-2004, section 2.14.1.7.1] states:

A standard railing conforming to 2.10.2 shall be provided on the outside perimeter of the car top on all sides where the perpendicular distance between the edges of the car top and the adjacent hoistway enclosure exceeds 300 mm (12 in.) horizontal clearance.

5. SIL-Rated System to Inhibit Current Flow to AC Drive Motor

Section 3141[ASME A17.1-2004, section 2.26.9.6.1] states:

Two separate means shall be provided to independently inhibit the flow of alternating current through the solid state devices that connect the direct current power source to the alternating-current driving motor. At least one of the means shall be an electromechanical relay.

Findings of Fact

Based on the record of this proceeding, the Board finds the following:

- 1. Applicant intends to utilize Schindler model 3300 MRL elevator cars at the locations listed in Jurisdictional and Procedural Matters, section 1.
- 2. The installation contract for these elevator was or will be signed on or after May 1, 2008, thus making the elevator subject to the Group IV Elevator Safety Orders.
- 3. The Schindler model 3300 MRL elevator cars are not supported by circular steel wire ropes, as required by the Elevator Safety Orders (ESO). They utilize non-circular elastomeric-coated steel belts and specialized suspension means fastenings.
- 4. No machine room is provided, preventing the inspection transfer switch from being located in the elevator machine room. The lack of machine room also prevents the seismic reset switch from being located in the elevator machine room.
- 5. Applicant proposes to relocate the inspection transfer switch and seismic reset switch in an alternative enclosure.
- 6. The driving machine and governor are positioned in the hoistway and restrict the required overhead clearance to the elevator car top.
- 7. Applicant proposes to insert the car-top railings at the perimeter of the car top.
- 8. Applicant intends to use an elevator control system, model CO NX100NA, with a standalone, solid-state motor control drive system that includes devices and circuits having a Safety Integrity Level (SIL) rating to execute specific elevator safety functions.

Conclusive Findings:

The above-stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that Applicant's proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

Decision and Order:

Each Application being the subject of this proceeding, per the table in Jurisdictional and Procedural Matters, section 1 above, is conditionally GRANTED, to the extent that each such Applicant shall be issued permanent variance from California Code of Regulations, Title 8, section 3141 shall be GRANTED subject to the following conditions and limitations:

Elevator Safety Orders:

- Suspension Means: 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4, and 2.20.9.5.4 (Only to the extent necessary to permit the use of the Elastomeric-coated Steel Belts proposed by the Applicant, in lieu of circular steel suspension ropes.);
- Inspection transfer switch: 2.26.1.4.4(a) (Only to the extent necessary to permit the inspection transfer switch to reside at a location other than the machine room);
- Seismic reset switch: 8.4.10.1.1(a)(2)(b) (Only to the extent necessary to permit the seismic reset switch to reside at a location other than the machine room. room);
- Car-Top Railing: 2.14.1.7.1 (Only to the extent necessary to permit the use of the car-top railing system proposed by the Applicant, where the railing system is located inset from the elevator car top perimeter);
- Means of Removing Power: 2.26.9.6.1 (Only to the extent necessary to permit the use of SIL-rated devices and circuits as a means to remove power from the AC driving motor, where the redundant monitoring of electrical protective devices is required by the Elevator Safety Orders).

Conditions:

- 1. The elevator suspension system shall comply to the following:
 - a. The suspension traction media (STM) members and their associated fastenings shall conform to the applicable requirements of ASME A17.1-2013, sections:
 - 2.20.4.3 Minimum Number of Suspension Members
 - 2.20.3 Factor of Safety
 - 2.20.9 Suspension Member Fastening
 - b. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection and testing of the STM members and fastenings and related monitoring and detection systems and criteria for STM replacement, and the Applicant shall make those procedures and criteria

available to the Certified Competent Conveyance Mechanic (CCCM) at the location of the elevator, and to the Division upon request.

STM member mandatory replacement criteria shall include:

- i. Any exposed wire, strand or cord;
- ii. Any wire, strand or cord breaks through the elastomeric coating;
- iii. Any evidence of rouging (steel tension element corrosion) on any part of the elastomeric-coated steel suspension member;
- iv. Any deformation in the elastomeric suspension member such as, but not limited to, kinks or bends;
- c. Traction drive sheaves must have a minimum diameter of 72 mm. The maximum speed of STM members running on 72 mm, 87 mm and 125 mm drive sheaves shall be no greater than 2.5 m/s, 6.0 m/s and 8.0 m/s respectively.
- d. If any one STM member needs replacement, the complete set of suspension members on the elevator shall be replaced. Exception: if a new suspension member is damaged during installation, and prior to any contemporaneously installed STM having been placed into service, it is permissible to replace the individual damaged suspension member. STM members that have been installed on another installation shall not be re-used.
- e. A traction loss detection means shall be provided that conforms to the requirements of ASME A17.1-2013, section 2.20.8.1. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.12.
- f. A broken suspension member detection means shall be provided that conforms to the requirements of ASME A17.1-2013, section 2.20.8.2. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.13(a).
- g. An elevator controller integrated bend cycle monitoring system shall monitor actual STM bend cycles, by means of continuously counting, and storing in nonvolatile memory, the number of trips that the STM makes traveling, and thereby being bent, over the elevator sheaves. The bend cycle limit monitoring means shall automatically stop the car normally at the next available landing before the bend cycle correlated residual strength of any single STM member drops below 80 percent of full rated strength. The monitoring means shall prevent the car from restarting. The bend cycle monitoring system shall be tested annually in accordance with the procedures required by condition 1b above.
- h. The elevator shall be provided with a device to monitor the remaining residual strength of each STM member. The device shall conform to the requirements of

Division Circular Letter E-10-04, a copy of which is attached hereto as Exhibit 1 and incorporated herein by reference.

- i. The elevator crosshead data plate shall comply with the requirements of ASME A17.1-2013, section 2.20.2.1.
- j. A suspension means data tag shall be provided that complies with the requirements of ASME A17.1-2013, section 2.20.2.2.
- k. Comprehensive visual inspections of the entire length of each and all installed suspension members, to the criteria developed in condition 1b, shall be conducted and documented every six months by a CCCM.
- I. The Applicant shall be subject to the requirements set out in Exhibit 2 of this Decision and Order, "Suspension Means Replacement Reporting Condition," Incorporated herein by this reference.
- m. Records of all tests and inspections shall be maintenance records subject to ASME A17.1-2004, sections 8.6.1.2 and 8.6.1.4, respectively.
- 2. If the inspection transfer switch required by ASME A17.1-2004, section 2.26.1.4.4 does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
- 3. If the seismic reset switch does not reside in the machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
- 4. If there is an inset car-top railing:
 - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on the railings to perform adjustments, maintenance, repairs or inspections. The Applicant shall not permit anyone to stand or climb over the car-top railing.
 - b. The distance that the railing can be inset shall be limited to not more than 6 inches.
 - c. All exposed areas of the car top outside the car-top railing where the distance from the railing to the edge of the car top exceeds 2 inches, shall be beveled with metal, at an angle of not less than 75 degrees with the horizontal, from the mid or top rail to

the outside of the car top, such that no person or object can stand, sit, kneel, rest, or be placed in the exposed areas.

- d. The top of the beveled area and/or car top outside the railing shall be clearly marked. The markings shall consist of alternating 4-inch diagonal red and white stripes.
- e. The applicant shall provide durable signs with lettering not less than 1/2 inch on a contrasting background on each inset railing. Each sign shall state:

CAUTION STAY INSIDE RAILING NO LEANING BEYOND RAILING NO STEPPING ON, OR BEYOND, RAILING

- f. The Group IV requirements for car-top clearances shall be maintained (car-top clearances outside the railing will be measured from the car top and not from the required bevel).
- 5. The SIL-rated devices and circuits used to inhibit electrical current flow in accordance with ASME A17.1-2004, section 2.26.9.6.1 shall comply with the following:
 - a. The SIL-rated devices and circuits shall consist of a Variodyn SIL-3 rated Regenerative, Variable Voltage Variable Frequency (VVVF) motor drive unit, model VAF013 or VAF023, labeled or marked with the SIL rating (not less than SIL 3), the name or mark of the certifying organization, and the SIL certification number (968/FSP 1556.00), and followed by the applicable revision number (as in 968/FSP 1556.00/19).
 - b. The devices and circuits shall be certified for compliance with the applicable requirements of ASME A17.1-2013, section 2.26.4.3.2.
 - c. The access door or cover of the enclosures containing the SIL-rated components shall be clearly labeled or tagged on their exterior with the statement:

Assembly contains SIL-rated devices. Refer to Maintenance Control Program and wiring diagrams prior to performing work.

d. Unique maintenance procedures or methods required for the inspection, testing, or replacement of the SIL-rated circuits shall be developed and a copy maintained in the elevator machine/control room/space. The procedures or methods shall include clear color photographs of each SIL-rated component, with notations identifying parts and locations.

- e. Wiring diagrams that include part identification, SIL, and certification information shall be maintained in the elevator machine/control room/space.
- f. A successful test of the SIL-rated devices and circuits shall be conducted initially and not less than annually in accordance with the testing procedure. The test shall demonstrate that SIL-rated devices, safety functions, and related circuits operate as intended.
- g. Any alterations to the SIL-rated devices and circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the alteration of SIL-rated devices, the alterations shall be made in conformance with ASME A17.1-2013, section 8.7.1.9.
- h. Any replacement of the SIL-rated devices and circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the replacement of SIL-rated devices, the replacement shall be made in conformance with ASME A17.1-2013, section 8.6.3.14.
- i. Any repairs to the SIL-rated devices and circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the repair of SIL-rated devices, the repairs shall be made in conformance with ASME A17.1-2013, section 8.6.2.6.
- j. Any space containing SIL-rated devices and circuits shall be maintained within the temperature and humidity range specified by Schindler Elevator Corporation. The temperature and humidity range shall be posted on each enclosure containing SIL-rated devices and circuits.
- k. Field changes to the SIL-rated system are not permitted. Any changes to the SIL-rated system's devices and circuitry will require recertification and all necessary updates to the documentation and diagrams required by conditions d. and e. above.
- 6. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and all applicable requirements met, including conditions of this permanent variance, prior to a Permit to Operate the elevator being issued. The elevator shall not be placed in service prior to the Permit to Operate being issued by Division.
- 7. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way that the Applicant was required to notify them of the docketed application for permanent variance per California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 8. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by

Proposed Variance Decision Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV) Hearing Date: September 22, 2021

the Board on its own motion, in the procedural manner prescribed per Title 8, Chapter 3.5, Subchapter 1.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

DATED:	Sept. 27, 2021	AR gir
		Autumn Gonzalez Hearing Officer

Proposed Variance Decision

Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV)

Hearing Date: September 22, 2021

EXHIBIT 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code Section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

EXHIBIT 2

Suspension Means – Replacement Reporting Condition

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings. Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Pl., Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
 - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
 - g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.

- h. All information provided on the crosshead data plate per ASME Al7.I-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance by:	OSHSB File No.: 20-V-543 Proposed Decision Dated: September 27, 2021
South Monterey County Joint Unified HSD	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.
	representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance by:	OSHSB File No.: 20-V-543
South Monterey County Joint Unified HSD	PROPOSED DECISION
South Workerey county some onlinearisb	Hearing Date: September 22, 2021

A. Procedural Matters:

 South Monterey County Joint Unified HSD ("Applicant") has applied for a permanent variance from provisions of Title 8 of the California Code of Regulations regarding vertical platform (wheelchair) lifts, with respect to one vertical platform (wheelchair) lift proposed to be located at:

720 Broadway Street, Building S King City, CA

- 2. The safety orders at issue are stated in the prefatory part of the Decision and Order. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.
- 3. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 4. At the hearing, Melissa Neylon with Arrow Lift of California, appeared on behalf of the Applicant, and David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff acting in a technical advisory role apart from the Board.
- 5. At the hearing, oral evidence was received and by stipulation of all parties, documents were accepted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance application per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Review of Variance Application
PD-4	Division Review of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official is notice taken of the Board's rulemaking records and variance decision concerning the Elevator Safety Order requirements at issue. On September 22, 2021, at close of hearing, the record closed and the matter was taken under submission on behalf of the Board.

B. Findings of Fact

Based on the record of this proceeding, and officially noticed Board records per (above Section A.5) stipulation of Applicant and Division—inclusive of below cited permanent variance file decisions—the Board finds the following:

1. The Applicant proposes to install one (1) vertical platform (wheelchair) lift at a location having the address of:

720 Broadway Street, Building S King City, CA

- 2. The subject vertical lift is proposed to be a Symmetry Model VPC-EL-168, with a vertical travel range of approximately 168 inches. That range of travel exceeds the 12 foot maximum vertical rise allowed by ASME A18.1-2003, Section 2.7.1—the State of California standard in force at the time of this Decision.
- 3. The Division's evaluation in this Matter, states that the more recent consensus code ASME A18.1-2005 allows for vertical platform lifts to have a travel not exceeding 14 feet (168 in.).
- 4. Permanent variances regarding the extended travel of vertical platform lifts, of similar configuration to that of the subject proposed model, have been previously granted, absent subsequent harm attributable to such variance being reported by Division. (E.g. OSHSB File Nos. 13-V-260, 15-V-097, 17-V-270, 18-V-278, 19-V-256).
- With respect to the equivalence or superior of safety, conditions and limitations of the Decision and Order are in material conformity with findings and conditions of prior Board permanent variance decisions, including the above cited.
- 6. Per its written Review of Application for Permanent Variance, Exhibit PD-4, it is the informed opinion of Division that equivalent safety (at minimum) will be achieved upon grant of presently requested permanent variance, subject to conditions and limitations incorporated into the below Decision and Order. Per its written review memorandum (Exhibit PD-3), Board staff concurs with Division in recommending that such conditional grant will provide for safety equivalence.

Proposed Variance Decision OSHSB File No. 20-V-543

Hearing Date: September 22, 2021

C. Conclusive Findings

On the basis of the above procedural matters, legal authority, and findings of fact, the Board finds that Applicant has complied with the statutory and regulatory requirements that must be met before an application for a permanent variance may be granted and that a preponderance of the evidence establishes that the Applicant's proposal, subject to all limiting conditions set forth in the below Decision and Order, will provide for conveyance safety, and employment and a place of employment that are as safe and healthful, as those that would prevail if the Applicant complied with the safety orders at issue.

D. Decision and Order

The Application for Permanent Variance of South Monterey County Joint Unified HSD, OSHSB File No. 20-V-543, is conditionally GRANTED to the limited extent, upon the Board's adoption of this Proposed Decision, South Monterey County Joint Unified HSD, shall have permanent variance from California Code of Regulations, Title 8, Sections 3142(a) and 3142.1 incorporated ASME A18.1-2003, Section 2.7.1, inasmuch as it restricts the vertical rise of a wheelchair lift to a maximum of 12 feet, with respect to one (1) Symmetry Model Choose an item. Vertical Platform Lift, to be located at:

720 Broadway Street, Building S King City, CA

The above referenced vertical platform lift shall be subject to the following further conditions and limitations:

- 1. This lift may travel up to 168 inches, unless the manufacturer's instructions provide for a lesser vertical travel limit, or lesser total elevation change, in which case, travel shall be limited to the lesser limit or elevation change.
- 2. The wheelchair lift shall be installed and operated in accordance with the manufacturer's instructions, unless the provisions of this variance or applicable provisions of the law provide otherwise.
- 3. Durable signs with lettering not less than 5/16 inch on a contrasting background shall be permanently and conspicuously posted inside the car and at all landings indicating that the lift is for the exclusive use of persons with physical impairments and that the lift is not to be used to transport material or equipment. The use of the lift shall be limited in accordance with these signs.
- 4. A maintenance contract shall be executed between the owner/operator and a Certified Qualified Conveyance Company (CQCC). The contract shall stipulate that the routine preventive maintenance required by Section 3094.5(a)(1) shall be performed at least quarterly and shall include but not be limited to:

- (a) Platform driving means examination;
- (b) Platform examination;
- (c) Suspension means examination;
- (d) Platform alignment;
- (e) Vibration examination;
- (f) Door/gate electrical; and
- (g) Mechanical lock examination.
- 5. The lift shall be tested annually for proper operation under rated load conditions. The Division's Elevator Unit District Office shall be provided written notification in advance of the test, and the test shall include a check of car or platform safety device.
- The lift shall be shut down immediately if the lift experiences unusual noise and vibration, and the Applicant shall notify the CQCC immediately. The lift shall only be restarted by the CQCC.
- 7. The Applicant shall notify the CQCC if the lift shuts down for any reason. The lift shall only be restarted by the CQCC.
- 8. Service logs including, but not limited to, the device shutdown(s) shall be kept in the maintenance office and shall be available to the Division. The shutdown information shall contain the date of the shutdown, cause of the shutdown, and the action taken to correct the shutdown.
- 9. The Applicant shall provide training on the safe operation of the lift in accordance with Section 3203. Such training shall be conducted annually for all employees using or who will be assisting others in using the lift. The Applicant shall notify the Division in writing that training has been conducted. A copy of the training manual (used for the subject training), and documentation identifying the trainer and attendees shall be maintained for at least 1 year and provided to the Division upon request.
- 10. Any CQCC performing inspections, maintenance, servicing or testing of the elevators shall be provided a copy of this variance decision.
- 11. The Division shall be notified when the lift is ready for inspection, and the lift shall be inspected by the Division and a Permit to Operate shall be issued before the lift is put into service.

Proposed Variance Decision OSHSB File No. 20-V-543

Hearing Date: September 22, 2021

- 12. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 13. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with Title 8, Division 1, Chapter 3.5, rules and procedures.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: <u>Sept. 27, 2021</u>

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance by:	OSHSB File No.: 21-V-005 Proposed Decision Dated: September 27, 2021
Los Angeles World Airports	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
LAURA STOCK, Member	posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance by:

OSHSB File No.: 21-V-005

Los Angeles World Airports

PROPOSED DECISION

Hearing Date: September 22, 2021

A. <u>Subject Matter and Jurisdiction</u>:

- 1. Los Angeles World Airports LAWA ("Applicant" or "LAWA") has filed with the Occupational Safety and Health Standards Board ("Standards Board" or "Board") the above captioned Applications for Permanent Variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations.¹
- 2. The safety orders at issue are set forth in the prefatory portion of the Decision and Order. This proceeding is conducted in accordance with Labor Code section 143.
- 3. The application concerns the following moving walks:

LAWA N	Midfield Satellite Concou	rse North, 384 World Wa	ay, Los Angeles
	Moving Walk	Unit Identifications	
MSC-N3-MW01	MSC-N6-MW01	TUN-P1-MW01	TUN-P4-MW01
MSC-N3-MW02	MSC-N6-MW02	TUN-P1-MW02	TUN-P4-MW02
		TUN-P1-MW03	TUN-P4-MW03
		TUN-P1-MW04	TUN-P4-MW04

B. Procedural Matters:

1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.

¹ Unless otherwise noted, all references are to title 8, California Code of Regulations.

Hearing Date: September 22, 2021

2. At the hearing, Dan Leacox of Leacox and Associates appeared on behalf of the Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

- 3. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence: 2nd Amended Application for Permanent Variance as Exhibit PD-1, Notice of Hearing as Exhibit PD-2, Board staff Pending Application Memorandum as PD-3, Division Review of Application as PD-4, Review Draft 1 Proposed Decision as PD-5, and official notice taken of the Board's rulemaking records, and variance decisions concerning the safety order requirements from which variance is requested. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.
- C. <u>Findings of Fact</u>—Based upon the record of this proceeding, the Board finds the following:

Applicant proposes to install new moving walks that include a "sleep mode" capability that will cause the moving walk to run at a reduced speed when not in use to conserve energy. This arrangement does not comply with the Elevator Safety Orders that prohibit the intentional variation of a moving walk's speed after start-up, and thus variance is requested from the following sections:

Variation of Moving Walk Speed

Section 3141.12 [ASME A17.1-2004, Section 6.2.4.] states:

6.2.4. Rated Speed. The maximum speed of a treadway shall depend on the maximum slope at any point on the treadway. The speed shall not exceed the value determined by Table 6.2.4. The speed attained by a moving walk after startup shall not be intentionally varied.

The intent of this regulation is to ensure that the speed of the moving walk during normal operation is kept constant to prevent passengers from losing their balance.

The Applicant asserts that equivalent safety is achieved through the use of a controller that is capable of varying the moving walk drive motor speed, in accordance with the requirements of ASME A17.1-2010 Section 6.2.4.1.2, in conjunction with dual redundant radar sensors strategically placed at each end of the unit to detect passenger traffic.

Hearing Date: September 22, 2021

ASME A17.1-2010, Section 6.2.4.1.2 states:

Variation of the moving-walk speed after start-up shall be permitted provided the moving-walk installation conforms to all of the following:

- (a) The acceleration and deceleration rates shall not exceed 0.3 m/s^2 (1.0 ft/sec²).
- (b) The rated speed is not exceeded.
- (c) The minimum speed shall be not less than 0.05 m/s (10 ft/min).
- (d) The speed shall not automatically vary during inspection operation.
- (e) Passenger detection means shall be provided at both landings of the moving walk such that
- (1) detection of any approaching passenger shall cause the moving walk to accelerate to or maintain the full moving walk speed conforming to 6.2.4.1.2(a) through (d)
- (2) detection of any approaching passenger shall occur sufficiently in advance of boarding to cause the moving walk to attain full operating speed before a passenger walking at normal speed [1.35 m/s (270 ft/min)] reaches the combplate
- (3) passenger detection means shall remain active at the egress landing to detect any passenger approaching against the direction of moving walk travel and shall cause the moving walk to accelerate to full rated speed and sound the alarm (see 6.2.6.3.2) at the approaching landing before the passenger reaches the combplate
- (f) Automatic deceleration shall not occur before a period of time has elapsed since the last passenger detection that is greater than 3 times the amount of time necessary to transfer a passenger between landings.
- (g) Means shall be provided to detect failure of the passenger detection means and shall cause the moving walk to operate at full rated speed only.

Handrail Speed Monitoring

Section 3141.12 [ASME A17.1-2004, Section 6.2.6.4] states:

6.2.6.4 Handrail Speed Monitoring Device. A handrail speed monitoring device shall be provided that will cause the activation of the alarm required by 6.2.6.3.1(b) without any intentional delay, whenever the speed of either handrail deviates from the treadway speed by 15% or more. The device shall also cause

Hearing Date: September 22, 2021

electric power to be removed from the driving-machine motor and brake when the speed deviation of 15% or more is continuous within a 2 s to 6 s range. The device shall be of the manual-reset type.

The intent of this code is to prevent the destabilization of passengers should the velocity of the moving walk treadway differ significantly from that of the handrails.

The Applicant intends to disable the handrail-speed monitoring during sleep mode operation. The apparatus that monitors handrail speed is designed to be effective while the moving walk is running at rated speed and may not be capable of detecting handrail speed anomalies in compliance with the Elevator Safety Orders at a reduced speed. The Division supports this arrangement as it occurs when no passengers are expected to be riding the moving walk.

The Division and Board staff are in agreement that the "sleep mode" function as proposed by the Applicant, along with the recommended conditions, provide equivalent safety.

The Board has granted permanent variances for moving walks that are similar to other moving walk installations (see, OSHSB File No. 20-V-325).

D. <u>Decision and Order</u>:

Having reviewed Applicant's proposal, and the Division and Board staff reviews of the proposal, as well as all other evidence and testimony in the record, the Board finds that, subject to all conditions and limitations set forth in the below Decision and Order, equivalent safety and health to that which would prevail upon full compliance with the requirements of the elevator safety orders from which variance is being sought has been established by the Applicant.

Applicant shall have permanent variance from the following sections of ASME A17.1-2004 made applicable by CCR Title 8, Section 3141.12:

- 6.2.4 to allow intentionally varied speed
- 6.2.6.4 to allow the disabling of handrail speed monitoring at reduced speeds

The Application for Permanent Variance of Los Angeles World Airports, in OSHSB File No. 20-V-005, is hereby conditionally GRANTED, with the following conditions, as specified below:

1. The Applicant may intentionally vary the moving walk speed and install proximity sensors for traffic detection subject to the following:

- a. The rate of acceleration and deceleration shall not exceed 0.3 m/s^2 (1 ft/sec²) when transitioning between speeds.
- b. Failure of a single proximity sensor, including its associated circuitry, shall cause the moving walk to revert to its normal operating speed at an acceleration of not more than 0.3 m/s^2 (1 ft/sec²).
- c. Automatic deceleration shall not occur before a period of time of not less than three times the time it takes a passenger to ride from one landing to the other at normal speed has elapsed.
- d. Detection of any passenger shall cause the moving walk to reach full speed before a passenger, walking at 4.5ft/sec, reaches the comb plate.
- e. The passenger detection means shall detect a person within a sufficient distance along all possible paths to the moving walk that do not require climbing over barriers or moving walk handrails to assure that the moving walk attains full operating speed before a person walking at 4.5 ft/sec reaches the moving walk comb plate. The minimum detection distance shall be calculated according to the following formula or alternatively according to Exhibit 1 (Detection Distance sleep Mode Operation) attached hereto and incorporated herein by this reference:

 $d = (V_f - V_s) \times (V_w / a)$ where d = detection distance (ft) $V_f =$ normal speed (ft/min) [not to exceed rated speed] $V_s =$ slow "sleep" speed (ft/min) [not less than 10 ft/min] $V_w =$ passenger walking speed (4.5 ft/sec) a = acceleration/deceleration rate (ft/sec²)[not to exceed 1 ft/sec²]

- f. Detection of any passenger approaching against the direction of moving walk travel shall cause the moving walk to reach full speed before a passenger, walking at 4.5 ft/sec, reaches the comb plate and shall cause the moving walk alarm to sound. The sounding of the alarm may include a 3- to 5-second alarm or three 1-second alarm soundings.
- g. The minimum speed of the moving walk shall not be less than 0.05 m/s (10 ft/min). The "sleep mode" functionality shall not affect the moving walk inspection operation. The speed of the moving walk shall not vary during Inspection Mode. h. There shall be two means of detecting passengers at each end of the moving
- walk for redundancy and for detection of failure in the passenger detection means.
- i. The passenger sensors (detectors) at each end of the moving walk must be verified by the control system for proper operation in the following manner:
 - 1. If one of the paired passenger detection sensors is disconnected from the control system, the control system shall, without intentional delay, generate a fault while causing the moving walk to exit the Sleep Mode and remain at the normal run speed until the reconnected sensor begins to function properly.
 - 2. If one of the paired passenger detection sensors at either end of the moving walk does not trip while the other paired sensor trips, the

control system shall, without intentional delay, generate a fault to indicate which sensor is faulted while causing the moving walk to exit the Sleep Mode and remain at the normal run speed until the faulted sensor begins to function properly.

- j. The handrail-speed monitoring device required by Section 6.2.6.4 may be disabled while the moving walk is operating in the slow speed (sleep mode) condition.
- 2. The Applicant shall have the controller schematic diagrams available in the control space, together with a written explanation of the operation of the controller.
- 3. An annual test shall be conducted by a Certified Competent Conveyance Mechanic (CCCM) employed by a Certified Qualified Conveyance Company (CQCC), which maintains and services the moving walk, to demonstrate that the moving walk is transitioning between "Normal Mode" and "sleep mode" and back in conformance with the terms of this variance. The instrumentation used shall be capable of allowing the CCCM to determine the acceleration and deceleration rates of the moving walk.
- 4. The results of each annual test required by Condition No. 3 shall be submitted to the appropriate Elevator Unit District Office in tabular and graphic form (speed vs. time).
- 5. Whenever practicable, as determined by the Applicant and subject to the concurrence of the Division, the variable speed system is to be installed without the installation of new bollards or other such new structures if the bollards or other structures would impede passenger movement at the destination end of the moving walk. If new bollards or other such structures of that sort are constructed in connection with the variable speed system, the Applicant will take all practicable steps to minimize the impact of same on the movement of passengers at the destination end of the moving walk.
- 6. Any Certified Qualified Conveyance Company (CQCC elevator contractor) performing inspection, maintenance, servicing, or testing of the moving walk shall be provided a copy of the variance decision.
- 7. The Division shall be notified when the moving walk is ready for inspection, and the moving walk shall be inspected by the Division and a "Permit to Operate" issued before the moving walk may be placed in service.
- 8. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to sections 411.2, and 411.3.

Hearing Date: September 22, 2021

9. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in procedural accordance with section 411, et. seq.

Pursuant to section 426 subdivision (b) of the Board's procedural regulations, the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

DATED: Sept. 27, 2021

Exhibit 1

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						Escalat	or/Movii	ng Walk	Escalator/Moving Walk "Sleep Mode" Speed (ft./min.)	lode" Sp	heed (ft./	min.)						

 $d = (V_f - V_s) \times (V_w / a)$

d = Detection Distance (ft.) $V_{\rm f} = {\sf Escalator/Moving\ VValk\ Rated\ Speed\ (ft./min.)}$

 $V_s = Slow Speed ["Sleep Mode" Speed] (ft./min.)$

V_w = Passenger Walking Speed (ft./sec.)

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-082M1 Proposed Decision Dated: September 27, 2021
Google	DECISION
·	tandards Board hereby adopts the attached
PROPOSED DECISION by Autumn Gonzalez, H	learing Officer.
	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	THE FORECOING WARRANCE REGISION WAS
	THE FOREGOING VARIANCE DECISION WAS
KATHLEEN CRAWFORD, Member	ADOPTED ON THE DATE INDICATED ABOVE. IF YOU ARE DISSATISFIED WITH THE
KATHLEEN CRAWFORD, Melliber	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
,	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
LALIDA CTOCK Marshar	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-082M1
Google	PROPOSED DECISION
	Hearing Date: September 22, 2021

Google ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator the subject of Variance No. 21-V-082, approved by the Board on May 20, 2021.¹

- A. This proceeding is conducted in accordance with Labor Code section 143.
- B. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer, Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
 - 1. At the hearing, Daniel May, with KONE, Inc., appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board. Eric McClaskey was present as an observer on behalf of I.U.E.C Local 8.
 - 2. At the hearing, oral evidence was received and by stipulation of all parties, documents were accepted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Application for modification of Permanent Variance
PD-2	OSHSB Notice of Hearing
PD-3	Division Reviews of Variance Application
PD-4	Board Staff Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records and variance decisions concerning the safety order requirements at issue in this matter.

¹ Unless otherwise noted, all references are to the California Code of Regulations, title 8.

Hearing Date: September 22, 2021

On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. Based on the record of this hearing, the Board makes the following findings of fact:
 - 1. The Applicant requests modification of the variance location specified within Board records for a single elevator the subject of previously granted Permanent Variance No. 21-V-082.
 - 2. Application 21-V-082M1, declared to be wholly truthful under penalty of perjury by signatory, states that the elevator capacity in Permanent Variance No. 21-V-082 are incorrect. Applicant requests modification to correct the variance.
 - 3. The Board finds the Application Section 3, declaration to be credible, uncontroverted, and consistent with available, sufficient facts.
 - 4. The written Division evaluation of Application for Permanent Variance No. 21-V-082M1, dated August 9, 2021 (Exhibit PD-3, states in significant part:

Applicant is requesting to modify the permanent variance to correct the elevator capacity information, as indicated in the original variance application. Although the specifics of elevator capacity are not specified in the original Decision and Order in this matter, Section B., Subparagraph 2, clearly states that the conditions of the permanent variance were determined using this capacity information:

"Conditions No. 2 and No. 6 state requirements for the number of suspension ropes and total suspended load for each elevator that is subject to this variance. Those requirements were determined in accordance with the rated capacities of the elevators." (Emphasis added)

On review of the application to modify the permanent variance and the original application materials, the Division asserts the variance conditions contained in original Decision and Order (OSHSB File No. 21-V-082) are applicable to the corrected capacity information contained in this application and provide equivalent safety to the Elevator Safety Orders.

5. In its written evaluation (Exhibit PD-4), Division recommends grant of Application No. 21-V-082M1, subject to the same conditions stipulated in OSHSB File No. 21-V-082.

Hearing Date: September 22, 2021

- 6. The Board finds the recommendation of Division, summarized in above subparts 4 and 5, to be the knowledgeable opinion of experienced and competent elevator safety engineering professionals. The Board also finds persuasive the concurrence of Board staff engineering professionals, per Exhibit PD-3, in recommending grant of requested modification.
- 7. The Board finds that modification of Permanent Variance No. 21-V-082, per above Section 5 specified technical conditions, will provide for safety and health equivalent to Elevator Safety Order requirements from which variance was granted under Permanent Variance No. 21-V-082.

D. <u>Decision and Order</u>:

Variance application 21-V-082M1 is conditionally GRANTED.

- Permanent Variance No. 21-V-082, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance File No. 21-V-082M1.
- 2. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to sections 411.2 and 411.3.
- 3. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with the Board's procedures.

Pursuant to section 426, subdivision (b) of the Board's procedural rules, the above, duly completed Proposed Decision, is hereby submitted to the Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzalez/Hearing Office

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-083M1 Proposed Decision Dated: September 27, 2021
Google	DECISION
The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez,	Standards Board hereby adopts the attached
Thorosed Decision by Autumin Gonzalez,	ricaring Officer.
	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
DAVID HARRISON, Member	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, IVIEITISEI	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
NOLA KENNEDY, Member	YOUR PETITION FOR REHEARING MUST
·	FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS,
	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, Member	111LL 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
LALIDA STOCK Mombos	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be

provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-083M1
Google	PROPOSED DECISION
	Hearing Date: September 22, 2021

Google ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator the subject of Variance No. 21-V-083, approved by the Board on May 20, 2021.¹

- A. This proceeding is conducted in accordance with Labor Code section 143.
- B. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer, Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
 - 1. At the hearing, Daniel May, with KONE, Inc., appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board. Eric McClaskey was present as an observer on behalf of I.U.E.C. Local 8.
 - 2. At the hearing, oral evidence was received and by stipulation of all parties, documents were accepted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Application for modification of Permanent Variance
PD-2	OSHSB Notice of Hearing
PD-3	Division Reviews of Variance Application
PD-4	Board Staff Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records and variance decisions concerning the safety order requirements at issue in this matter.

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¹ Unless otherwise noted, all references are to the California Code of Regulations, title 8.

Hearing Date: September 22, 2021

On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. Based on the record of this hearing, the Board makes the following findings of fact:
 - 1. The Applicant requests modification of the variance location specified within Board records for a single elevator the subject of previously granted Permanent Variance No. 21-V-083.
 - 2. Application 21-V-083M1, declared to be wholly truthful under penalty of perjury by signatory, states that the elevator capacity in Permanent Variance No. 21-V-083 are incorrect. Applicant requests modification to correct the variance.
 - 3. The Board finds the Application Section 3, declaration to be credible, uncontroverted, and consistent with available, sufficient facts.
 - 4. The written Division evaluation of Application for Permanent Variance No. 21-V-083M1, dated August 9, 2021 (Exhibit PD-3, states in significant part):

Applicant is requesting to modify the permanent variance to correct the elevator capacity information, as indicated in the original variance application. Although the specifics of elevator capacity are not specified in the original Decision and Order in this matter, Section B., Subparagraph 2, clearly states that the conditions of the permanent variance were determined using this capacity information:

"Conditions No. 2 and No. 6 state requirements for the number of suspension ropes and total suspended load for each elevator that is subject to this variance. <u>Those requirements were determined in accordance with the rated capacities of the elevators.</u>" (Emphasis added)

On review of the application to modify the permanent variance and the original application materials, the Division asserts the variance conditions contained in original Decision and Order (OSHSB File No. 21-V-083) are applicable to the corrected capacity information contained in this application and provide equivalent safety to the Elevator Safety Orders.

5. In its written evaluation (Exhibit PD-4), Division recommends grant of Application No. 21-V-083M1, subject to the same conditions stipulated in OSHSB File No. 21-V-083.

Hearing Date: September 22, 2021

- 6. The Board finds the recommendation of Division, summarized in above subparts 4 and 5, to be the knowledgeable opinion of experienced and competent elevator safety engineering professionals. The Board also finds persuasive the concurrence of Board staff engineering professionals, per Exhibit PD-3, in recommending grant of requested modification.
- 7. The Board finds that modification of Permanent Variance No. 21-V-083, per above Section 5 specified technical conditions, will provide for safety and health equivalent to Elevator Safety Order requirements from which variance was granted under Permanent Variance No. 21-V-083.

D. <u>Decision and Order</u>:

Variance application 21-V-083M1 is conditionally GRANTED.

- Permanent Variance No. 21-V-083, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance File No. 21-V-083M1.
- The Applicant shall notify its employees or their authorized representative(s), or both, of
 this order in the same way and to the same extent that employees and authorized
 representatives are to be notified of docketed permanent variance applications
 pursuant to sections 411.2 and 411.3.
- 3. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with the Board's procedures.

Pursuant to section 426, subdivision (b) of the Board's procedural rules, the above, duly completed Proposed Decision, is hereby submitted to the Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzalez/Hearing Office

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-084M1 Proposed Decision Dated: September 27, 2021
Google	DECISION
The Occupational Safety and Health S	tandards Board hereby adopts the attached learing Officer.
DAVID THOMAS, Chairman	OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD
BARBARA BURGEL, Member	Date of Adoption: October 21, 2021
	THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING
DAVID HARRISON, Member	MAY BE FILED BY ANY PARTY WITH THE STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION.
NOLA KENNEDY, Member	YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2. Note: A copy of this Decision must be
LAURA STOCK, Member	posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-084M1
Google	PROPOSED DECISION
	Hearing Date: September 22, 2021

("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator the subject of Variance No. 21-V-084, approved by the Board on May 20, 2021.¹

- A. This proceeding is conducted in accordance with Labor Code section 143.
- B. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer, Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
 - 1. At the hearing, Daniel May, with KONE, Inc., appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board. Eric McClaskey was present as an observer on behalf of I.U.E.C. Local 8.
 - 2. At the hearing, oral evidence was received and by stipulation of all parties, documents were accepted into evidence:

Exhibit Number	Description of Exhibit	
PD-1	Application for modification of Permanent Variance	
PD-2	OSHSB Notice of Hearing	
PD-3	Division Reviews of Variance Application	
PD-4	Board Staff Reviews of Variance Application	
PD-5	Review Draft-1 Proposed Decision	

Official notice is taken of the Board's rulemaking records and variance decisions concerning the safety order requirements at issue in this matter.

¹ Unless otherwise noted, all references are to the California Code of Regulations, title 8.

Hearing Date: September 22, 2021

On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. Based on the record of this hearing, the Board makes the following findings of fact:
 - The Applicant requests modification of the variance location specified within Board records for a single elevator the subject of previously granted Permanent Variance No. 21-V-084.
 - 2. Application 21-V-084M1, declared to be wholly truthful under penalty of perjury by signatory, states that the elevator capacity in Permanent Variance No. 21-V-084 are incorrect. Applicant requests modification to correct the variance.
 - 3. The Board finds the Application Section 3, declaration to be credible, uncontroverted, and consistent with available, sufficient facts.
 - 4. The written Division evaluation of Application for Permanent Variance No. 21-V-084M1, dated August 9, 2021 (Exhibit PD-3), states in significant part:

Applicant is requesting to modify the permanent variance to correct the elevator capacity information, as indicated in the original variance application. Although the specifics of elevator capacity are not specified in the original Decision and Order in this matter, Section B., Subparagraph 2, clearly states that the conditions of the permanent variance were determined using this capacity information:

"Conditions No. 2 and No. 6 state requirements for the number of suspension ropes and total suspended load for each elevator that is subject to this variance. Those requirements were determined in accordance with the rated capacities of the elevators." (Emphasis added)

On review of the application to modify the permanent variance and the original application materials, the Division asserts the variance conditions contained in original Decision and Order (OSHSB File No. 21-V-084) are applicable to the corrected capacity information contained in this application and provide equivalent safety to the Elevator Safety Orders.

5. In its written evaluation (Exhibit PD-4), Division recommends grant of Application No. 21-V-084M1, subject to the same conditions stipulated in OSHSB File No. 21-V-084.

Hearing Date: September 22, 2021

- 6. The Board finds the recommendation of Division, summarized in above subparts 4 and 5, to be the knowledgeable opinion of experienced and competent elevator safety engineering professionals. The Board also finds persuasive the concurrence of Board staff engineering professionals, per Exhibit PD-3, in recommending grant of requested modification.
- 7. The Board finds that modification of Permanent Variance No. 21-V-084, per above Section 5 specified technical conditions, will provide for safety and health equivalent to Elevator Safety Order requirements from which variance was granted under Permanent Variance No. 21-V-084.

D. <u>Decision and Order</u>:

Variance application 21-V-084M1 is conditionally GRANTED.

- 1. Permanent Variance No. 21-V-084, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance File No. 21-V-084M1.
- 2. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to sections 411.2 and 411.3.
- 3. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with the Board's procedures.

Pursuant to section 426, subdivision (b) of the Board's procedural rules, the above, duly completed Proposed Decision, is hereby submitted to the Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzalez/Hearing Office

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-085M1 Proposed Decision Dated: September 27, 2021
Google	DECISION
•	Standards Board hereby adopts the attached
PROPOSED DECISION by Autumn Gonzalez, I	rearing Officer.
	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
KATHEEN CRAMEORD Morehov	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
,	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
LAURA STOCK, Member	posted for the Applicant's employees to
LACIA STOCK, MCHIDEI	read, and/or a copy thereof must be

provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:	OSHSB File No.: 21-V-085M1	
Google	PROPOSED DECISION	
	Hearing Date: September 22, 2021	

Google ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator the subject of Variance No. 21-V-085, approved by the Board on May 20, 2021.¹

- A. This proceeding is conducted in accordance with Labor Code section 143.
- B. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer, Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
 - 1. At the hearing, Daniel May, with KONE, Inc., appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board. Eric McClaskey was present as an observer on behalf of I.U.E.C. Local 8.
 - 2. At the hearing, oral evidence was received and by stipulation of all parties, documents were accepted into evidence:

Exhibit Number	Description of Exhibit	
PD-1	Application for modification of Permanent Variance	
PD-2	OSHSB Notice of Hearing	
PD-3	Division Reviews of Variance Application	
PD-4	Board Staff Reviews of Variance Application	
PD-5	Review Draft-1 Proposed Decision	

Official notice is taken of the Board's rulemaking records and variance decisions concerning the safety order requirements at issue in this matter.

¹ Unless otherwise noted, all references are to the California Code of Regulations, title 8.

Hearing Date: September 22, 2021

On September 22, 2021, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. Based on the record of this hearing, the Board makes the following findings of fact:
 - The Applicant requests modification of the variance location specified within Board records for a single elevator the subject of previously granted Permanent Variance No. 21-V-085.
 - 2. Application 21-V-085M1, declared to be wholly truthful under penalty of perjury by signatory, states that the elevator capacity in Permanent Variance No. 21-V-085 are incorrect. Applicant requests modification to correct the variance.
 - 3. The Board finds the Application Section 3, declaration to be credible, uncontroverted, and consistent with available, sufficient facts.
 - 4. The written Division evaluation of Application for Permanent Variance No. 21-V-085M1, dated August 9, 2021 (Exhibit PD-3), states in significant part:

Applicant is requesting to modify the permanent variance to correct the elevator capacity information, as indicated in the original variance application. Although the specifics of elevator capacity are not specified in the original Decision and Order in this matter, Section B., Subparagraph 2, clearly states that the conditions of the permanent variance were determined using this capacity information:

"Conditions No. 2 and No. 6 state requirements for the number of suspension ropes and total suspended load for each elevator that is subject to this variance. Those requirements were determined in accordance with the rated capacities of the elevators." (Emphasis added)

On review of the application to modify the permanent variance and the original application materials, the Division asserts the variance conditions contained in original Decision and Order (OSHSB File No. 21-V-085) are applicable to the corrected capacity information contained in this application and provide equivalent safety to the Elevator Safety Orders.

5. In its written evaluation (Exhibit PD-4), Division recommends grant of Application No. 21-V-085M1, subject to the same conditions stipulated in OSHSB File No. 21-V-085.

Hearing Date: September 22, 2021

- 6. The Board finds the recommendation of Division, summarized in above subparts 4 and 5, to be the knowledgeable opinion of experienced and competent elevator safety engineering professionals. The Board also finds persuasive the concurrence of Board staff engineering professionals, per Exhibit PD-3, in recommending grant of requested modification.
- 7. The Board finds that modification of Permanent Variance No. 21-V-085, per above Section 5 specified technical conditions, will provide for safety and health equivalent to Elevator Safety Order requirements from which variance was granted under Permanent Variance No. 21-V-085.

D. <u>Decision and Order</u>:

Variance application 21-V-085M1 is conditionally GRANTED.

- 1. Permanent Variance No. 21-V-085, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance File No. 21-V-085M1.
- 2. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to sections 411.2 and 411.3.
- 3. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with the Board's procedures.

Pursuant to section 426, subdivision (b) of the Board's procedural rules, the above, duly completed Proposed Decision, is hereby submitted to the Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonzale**z**, Hearing Office

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance regarding:

Schindler Model 3300 Elevators with Variant Gov. Ropes & Sheaves (Group IV)

OSHSB File No.: See Section A.1 Table of Proposed Decision Dated: September 27, 2021

DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
0.1.0.1.0.2.0.7.0.7.0.1.0.1.0.1.0.1.0.1.0.1.0.1.0.1	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, Member	-, ,
	Note: A copy of this Decision must be
LAUDA CTOCK Marris en	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Renresentatives

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

Schindler Model 3300 Elevators with variant Gov. Ropes & Sheaves (Group IV)

OSHSB File Nos.: See Section A.1 table below

PROPOSED DECISION

Hearing Date: September 22, 2021

A. Subject Matter and Jurisdiction:

1. Each below listed applicant ("Applicant") has applied for permanent variance from certain provisions of the Elevator Safety Orders, found at Title 8, of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-200	Schon Tepler Construction, Inc.	630 N. Oxford Ave. Los Angeles, CA	1
21-V-313	Lennar Homes of California	45300 Fremont Blvd. Fremont, CA	2
21-V-317	Banner Alameda Storage, LLC	2390 Mariner Square Dr. Alameda, CA	2
21-V-318	PR II/BWG The Launch Owner,	1777 Clement Ave. Alameda, CA	3
21-V-328	LTF Lease Company, Inc.	1315 Broadway Plaza Walnut Creek, CA	1
21-V-351	4840 Mission Housing Associates LP	4840 Mission Street San Francisco, CA	2
21-V-352	AG Opportunity Zone Fund Property Owner, LLC	2528 S. Grand Ave. Los Angeles, CA	5
21-V-354	RAR2-651 Sunflower Owner, LLC	651 Sunflower Santa Ana, CA	1
21-V-357	Homerun Excellence Corporation	979 E Del Mar Blvd. Pasadena, CA	1

21-V-379	Complex Therapeutics LLC	18412 Oxnard St. Tarzana, CA	2
21-V-383	Uptown Lofts, LLC	3740 5th Avenue San Diego, CA	1
21-V-387	Oliver Holdings SC, LLC & Asset Gas SC, Inc.	5696 Stevens Creek Blvd. San Jose, CA	2

- 2. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.
- 3. The safety orders at issue are set out in below Section C.1—C.4.

B. <u>Process and Procedure</u>:

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 2. At the hearing, Jennifer Linares, with the Schindler Elevator Corporation, appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.
- 3. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit	
PD-1	Permanent variance applications per Section A.1 table	
PD-2	OSHSB Notice of Hearing	
PD-3	Board Staff Reviews of Variance Application	
PD-4	Division Reviews of Variance Application	
PD-5	Review Draft-1 Proposed Decision	

Official notice is taken of the Board's rulemaking records, and variance decisions concerning the safety order requirements from which variance is requested. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.

C. Findings of Fact—Based upon the record of this proceeding, the Board finds the following:

Requested Suspension Means Related Variance:

1. As each pertains to the non-circular elastomeric coated suspension means characteristic of the Schindler Model 3300 elevator, each Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Safety Code for Elevators and Escalators (ASME Code) A17.1-2004, sections and subsections:

Section 2.20.1—Wire rope suspension means

Section 2.20.2.1—Crosshead data plate

Subsection 2.20.2.2(a)—Wire rope data tag

Subsection 2.20.2.2(f)—ID of steel wire rope as preformed or nonpreformed

Section 2.20.3—Wire rope safety factor

Section 2.20.4—Number and diameter of wire ropes

Section 2.20.9.3.4—Wire rope end connections

Section 2.20.9.5.4—Wire rope sockets

Requested Car Top Railing Inset Variance:

2. As it pertains to top of car railing placement requiring space occupied by upper hoistway mounted elevator machinery characteristic of the Schindler Model 3300 elevator, each Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code A17.1-2004, section:

Section 2.14.1.7.1—Top of Car Perimeter Railing Placement

Requested Seismic Reset Switch Placement Variance:

3. As it pertains to installation of the requisite seismic reset switch within a "machine room" location incompatible with machine-room-less design of the Schindler Model 3300 elevator, each Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code subsection:

Subsection 8.4.10.1.1(a)(2)(b)--Seismic Reset Switch Placement in Machine Room

Requested Transfer Switch Placement Variance:

4. As it pertains to installation of the requisite transfer switch within a "machine room" location incompatible with machine-room-less design of the Schindler Model 3300

elevator, each Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code A17.1-2004, subsection:

Subsection 2.26.1.4.4(a)--Transfer Switch Placement in Machine Room

Requested Governor Sheave to Rope Diameter Ratio Variance:

5. As it pertains to installation of requisite pitch diameter of the governor sheaves and governor tension sheaves, each Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code A17.1-2004, subsection:

Section 3141 [ASME A17.1-2004, Section 2.18.7.4] states:

"The pitch diameter of governor sheaves and governor tension sheaves shall be not less than the product of the diameter of the rope and the applicable multiplier listed in Table 2.18.7.4, based on the rated speed and the number of strands in the rope."

Table 2.18.7.4 Multiplier for Determining Governor Sheave Pitch Diameter

Rated Speed, m/s (ft/min)	Number of Strands	Multiplier	
1.00 or less (200 or less)	6	42	
1.00 or less (200 or less)	8	30	
Over 1.00 (over 200)	6	46	
Over 1.00 (over 200)	8	32	

50 mm (2 in.) when tested in accordance with ASTM E 8. Forged, cast, or welded parts shall be stress relieved. Cast iron shall have a factor of safety of not less than 10.

- 6. Per the Application, the proposal is stated as follows: "The approved speed governor provided for this elevator has a sheave diameter-to-governor rope diameter ratio [D/d] of 33. This is not compliant with the current Group IV Elevator Safety Orders which require a [D/d] of 42-46. Equivalent safety will be attained by providing a governor rope with a breaking strength that provides a factor of safety greater than that required by the Elevator Safety Orders, and a governor sheave diameter which complies with the requirements of ASME A17.1-2010, Section 2.18.5.1, and Section 2.18.7.4, which, under certain conditions, permits the use of a governor rope and governor sheave ratio [D/d] of not less than 30."
- 7. Having analyzed the request, as reflected in its Review of Application (Exhibit PD-4) Division is of the well informed professional opinion that the proposal, in as much as it is to use a governor with sheave pitch diameter of not less than the product of the

governor rope diameter and a multiplier of 30, in conjunction with a steel governor rope with a diameter of 6 mm (0.25 in.), 6-strand construction, and a factor of safety of 8 or greater, will provide safety, and workplace safety and health equivalent or superior to that of the ASME A17.1-2004, Section 2.18.7.4. Division also correctly notes Applicant's proposed governor sheave pitch diameter, and reduced diameter governor rope installation is similar to installations for which a permanent variance has been previously conditionally granted. (e.g. OSHSB File No. 19-V-076)

Official Notice and Incorporation by Reference—OSHSB File No. 15-V-349:

8. Per hereby entered stipulation offered at hearing by Applicant, Division, and Board staff, concerning preexisting Board records, including decisions in matters of permanent variance from Elevator Safety Order requirements, the Board takes Official Notice and expressly incorporates herein by reference, OSHSB File No. 15-V-349, Decision and Order adopted November 17, 2016, Section D.1—D.75 findings, and therein entered record upon which it was based.

Positions of Division, and Board Staff:

9. Having fully reviewed each Applicant's request for variance from the above identified Elevator Safety Order requirements, it is the concurrent opinion of Division and Board staff, that conditionally limited grant to each Applicant of permanent variance as specified per the below Decision and Order, will provide for elevator safety, and occupational safety and health, equivalent or superior to that of the Elevator Safety Order requirements from which variance is being sought. The present opinion of Division and Board staff, to any extent it may vary from those previously held with respect to the previously heard matter in OSHSB File No. 15-V-349, reflects further scrutiny of the subject matter, consultation between Division, Board staff, Applicant representatives, and refinement of recommended conditions and limitations.

D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted, and (2) a preponderance of the evidence establishes that each Applicant's proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of

California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

E. Decision and Order:

Each Section A table identified Applicant is hereby conditionally GRANTED Permanent Variance as specified below, and to the limited extent, as of the date the Board adopts this Proposed Decision, with respect to the Section A specified number of Schindler Model 3300 elevator(s), at the specified location, each shall conditionally hold permanent variance from the following subparts of ASME A17.1-2004, currently incorporated by reference into California Code of Regulations, Title 8, Section 3141.

<u>Suspension Members:</u> Each Applicant shall conditionally hold permanent variance from the following Title 8, Section 3141, incorporated sections and subsections of ASME A17.12004, to the limited extent variance is necessary to provide for use of noncircular elastomeric-coated steel suspension members and concomitant components, and configurations—Section 2.20.1; Section 2.20.2.1; Subsection 2.20.2.2(a); Subsection 2.20.2.2(f); Section 2.20.3; Section 2.20.4: Section 2.20.9.3.4; and Section 2.20.9.5.4.

<u>Inspection Transfer Switch</u>: Each Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141 incorporated section of ASME A17.1-2004, to the extent variance is necessary to having the requisite inspection transfer switch located elsewhere than a machine room, within a Security Group I enclosure built into an upper floor landing door jam, or within other readily accessible and secure space shared with the motion controller outside the hoistway: Section 2.26.1.4.4.

<u>Seismic Safety Switch Placement:</u> Each Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141, incorporated section of ASME A17.1-2004, to the limited extent variance is necessary to having the requisite seismic reset switch located elsewhere than a machine room, within a Security Group I enclosure built into an upper floor landing door jam, or within other readily accessible and secure space shared with the motion controller outside the hoistway: Section 8.4.10.1.1.

<u>Car Top Railing:</u> Each Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141, incorporated section of ASME A17.1-2004, to the limited extent variance is necessary to provide for the below specified insetting of the subject elevator's top of car railing: Section 2.14.1.7.1.

<u>Governor Rope and Sheave:</u> Each Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141, incorporated section of

ASME A17.1-2004, to the limited extent variance is necessary to allow for the below specified governor rope and governor sheave parameters: Section 2.18.7.4.

Further Conditions and Limitations:

- 1. The elevator suspension system shall comply to the following:
 - 1.1. The suspension traction media (STM) members and their associated fastenings shall conform to the applicable requirements of ASME A17.1-2013, sections:
 - 2.20.4.3 Minimum Number of Suspension Members
 - 2.20.3 Factor of Safety
 - 2.20.9 Suspension Member Fastening
 - 1.1.1 Additionally, STMs shall meet or exceed all requirements of ASME 17.6-2010, Standard for Elevator Suspension, Compensation, and Governor Systems, Part 3 Noncircular Elastomeric Coated Steel Suspension Members for Elevators.
 - 1.2. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection and testing of the STM members and fastenings and related monitoring and detection systems and criteria for STM replacement, and the Applicant shall make those procedures and criteria available to the Certified Competent Conveyance Mechanic (CCCM) at the location of the elevator, and to the Division of Occupational Safety and Health (Division) upon request.
 - 1.3. STM member mandatory replacement criteria shall include:
 - 1.3.1 Any exposed wire, strand or cord;
 - 1.3.2 Any wire, strand or cord breaks through the elastomeric coating;
 - 1.3.3 Any evidence of rouging (steel tension element corrosion) on any part of the elastomeric coated steel suspension member;
 - 1.3.4 Any deformation in the elastomeric suspension member such as, but not limited to, kinks or bends.
 - 1.4. Traction drive sheaves must have a minimum diameter of 72 mm. The maximum speed of STM members running on 72 mm, 87 mm and 125 mm drive sheaves shall be no greater than 2.5 m/s, 6.0 m/s and 8.0 m/s respectively.

- 1.5. If any one STM member needs replacement, the complete set of suspension members on the elevator shall be replaced. Exception: If a new suspension member is damaged during installation, and prior to any contemporaneously installed STM having been placed into service, it is permissible to replace the individual damaged suspension member. STM members that have been installed on another installation shall not be re-used.
- 1.6. A traction loss detection means shall be provided that conforms to the requirements of ASME A17.1-2013, Section 2.20.8.1. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.12.
- 1.7. A broken suspension member detection means shall be provided that conforms to the requirements of ASME A17.1-2013, Section 2.20.8.2. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.13(a).
- 1.8. An elevator controller integrated bend cycle monitoring system shall monitor actual STM bend cycles, by means of continuously counting, and storing in nonvolatile memory, the number of trips that the STM makes traveling, and thereby being bent, over the elevator sheaves. The bend cycle limit monitoring means shall automatically stop the car normally at the next available landing before the bend cycle correlated residual strength of any single STM member drops below 80 percent of full rated strength. The monitoring means shall prevent the car from restarting. Notwithstanding any less frequent periodic testing requirement per Addendum 1 (Division Circular Letter), the bend cycle monitoring system shall be tested semi-annually in accordance with the procedures required per above Conditions 1.2, and 1.3.
- 1.9. Each elevator shall be provided with a device that electronically detects a reduction in residual strength of each STM member. The device shall be in compliance with Division Circular Letter E-10-04, a copy of which is attached hereto as Addendum 1, and incorporated herein by reference.
- 1.10. The elevator crosshead data plate shall comply with the requirements of ASME A17.1-2013, Section 2.20.2.1.
- 1.11. A suspension means data tag shall be provided that complies with the requirements of ASME A17.1-2013, Section 2.20.2.2.

- 1.12. Comprehensive visual inspections of the entire length of each and all installed suspension members, in conformity with above Conditions 1.2 and 1.3 specified criteria, shall be conducted and documented every six months by a CCCM.
- 1.13. The Applicant shall be subject to the requirements per hereto attached, and inhere incorporated, Addendum 2, "Suspension Means Replacement Reporting Condition."
- 1.14. Records of all tests and inspections shall be maintenance records subject to ASME A17.1-2004, Sections 8.6.1.2, and 8.6.1.4, respectively.
- 2. Inspection Transfer switch and Seismic Reset switch placement and enclosure shall comply with the following:
 - 2.1. If the inspection transfer switch required by ASME A17.1-2004, Rule 2.26.1.4.4, does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
 - 2.2. If the seismic reset switch does not reside in the machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
- 3. Any and all inset car top railing shall comply with the following:
 - 3.1. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to stand on or climb over the railings to perform adjustments, maintenance, repairs or inspections. The Applicant shall not permit anyone to stand or climb over the car top railing.
 - 3.2. The distance that the railing can be inset shall be limited to not more than 6 inches.
 - 3.3. All exposed areas of the car top outside the car top railing where the distance from the railing to the edge of the car top exceeds 2 inches, shall be beveled with metal, at an angle of not less than 75 degrees with the horizontal, from the mid or top rail to the outside of the car top, such that no person or object can stand, sit, kneel, rest, or be placed in the exposed areas.

- 3.4. The top surface of the beveled area and/or car top outside the railing, shall be clearly marked. The markings shall consist of alternating 4 inch diagonal red and white stripes.
- 3.5. The applicant shall provide durable signs with lettering not less than 1/2 inch on a contrasting background on each inset railing; each sign shall state:

CAUTION STAY INSIDE RAILING NO LEANING BEYOND RAILING NO STEPPING ON, OR BEYOND, RAILING

- 3.6. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing will be measured from the car top and not from the required bevel).
- 4. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by CCCM having been trained, and competent, to perform those tasks on the Schindler Model 3300 elevator system in accordance with written procedures and criteria, including as required per above Conditions 1.2, and 1.3.
- 5. The speed governor rope and sheaves shall comply with the following:
 - 5.1. The governor shall be used in conjunction with a steel 6 mm (0.25 in.) diameter governor rope with 6-strand, regular lay construction.
 - 5.2. The governor rope shall have a factor of safety of 8 or greater as related to the strength necessary to activate the safety.
 - 5.3. The governor sheaves shall have a pitch diameter of not less than 200 mm (7.87 in.).
- 6. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and all applicable requirements met, including conditions of this permanent variance, prior to a Permit to Operate the elevator being issued. The elevator shall not be placed in service prior to the Permit to Operate being issued by Division.
- 7. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2, and 411.3.

Proposed Variance Decision Schindler Model 3300 Elevators w/variant Gov. Rope & Sheaves Hearing Date: September 22, 2021

8. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in procedural accordance with Title 8, Sections 411, et. seq.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Proposed Variance Decision
Schindler Model 3300 Elevators w/variant Gov. Rope & Sheaves

Hearing Date: September 22, 2021

ADDENDUM 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code Section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

Hearing Date: September 22, 2021

ADDENDUM 2

<u>Suspension Means – Replacement Reporting Condition</u>

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings.

Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
 - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement

Hearing Date: September 22, 2021

- and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance regarding:	OSHSB File No.: See Table in Item A of Proposed Decision Dated: September 27, 2021
Mitsubishi Elevators (Group IV)	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, Member	
	Note: A copy of this Decision must be
LAUDA CTOCK NA	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.
	Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

OSHSB File Nos.: See Section A.1 Table

Mitsubishi Elevators (Group IV)

PROPOSED DECISION

Hearing Date: September 22, 2021

A. <u>Procedural Matters:</u>

1. Each below listed applicant ("Applicant") has applied for permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-217	Mission Rock Parcel G Owner, LLC	1051 3rd Street - BLDG. G San Francisco, CA	7
21-V-294	The Irvine Company, LLC	17700 Laguna Canyon Rd. Bldg. 3A Irvine, CA	2
21-V-295	The Irvine Company, LLC	17900 Laguna Canyon Rd. Bldg. 3C Irvine, CA	2
21-V-296	The Irvine Company, LLC	17800 Laguna Canyon Rd. Bldg. 3B Irvine, CA	2
21-V-302	Wilson Meany LLC	2750 S. Delaware St. San Mateo, CA	3
21-V-319	Maguire Properties - 755 S. Figueroa, LLC	960 W. 7th St. Los Angeles, CA	1
21-V-325	Mission Rock Parcel A Owner, LLC	1051 3rd Street - Block A San Francisco, CA	7

- 2. The safety orders at issue are set forth in the prefatory portion of the Decision and Order. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.
- 3. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 4. At the hearing, Carolina Castaneda, with Mitsubishi Electric, Elevator Division, appeared on behalf of each Applicant, David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board.
- 5. At the hearing, documentary and oral evidence was received, and by stipulation of all parties, documents were accepted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance applications per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official Notice is taken of the Board's rulemaking records and variance decisions concerning the safety order requirements from which variance is requested. At the close of hearing on September 22, 2021, the record was closed and the matter taken under submission by the Hearing Officer.

B. Findings of Fact:

Based on the record of this proceeding, the Board makes the following findings of fact:

1. Each Section A table specified Applicant intends to utilize Mitsubishi elevators at the location and in the number stated in the table in Item A. The installation contracts for these elevators were signed on or after May 1, 2008, thus making the elevators subject to the Group IV Elevator Safety Orders.

- 2. The Board takes official notice and incorporates herein, Subsections D.3 through D.5 of the February 20, 2014, Decision of the Board in OSHSB Permanent Variance File No. 13-V-270.
- 3. As reflected in the record of this matter, including Board staff Pending Application for Permanent Variance Opinion Letter as PD-3, Division evaluation as PD-4, and testimony at hearing, it is the professionally informed opinion of Board staff and Division, that grant of requested variance, subject to conditions and limitations in substantial conforming with those set out per below Decision and Order, will provide Occupational Safety and Health equivalent or superior to that provided by the safety order requirements from which variance is sought.

C. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted, and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

D. <u>Decision and Order:</u>

As of such date as the Board adopts this Proposed Decision, each Application for Permanent Variance listed in the above Section A.1 table, is conditionally GRANTED to the extent each Applicant of record shall have permanent variance from California Code of Regulations, Title 8, Section 3141 [ASME A17.1-2004, Sections 2.10.2.2 (only to the extent necessary to permit the intermediate rail to be located at a point other than halfway between the top rail and the surface on which the railing is installed), 2.10.2.4 (only to the extent necessary to permit a bevel sloping that conforms with the variance conditions) and 2.14.1.7.1 (only to the extent necessary to permit the car top railing to be inset to clear obstructions when the conveyance is elevated to perform work on the machine and/or governor). The variance applies to the location and number of elevators stated in the Section A.1 table, and the variance is subject to the above limitations and following conditions:

- The car top railing may be inset only to the extent necessary to clear obstructions when the conveyance is located at the top landing to perform work on the machine and/or governor.
- 2. Serviceable equipment shall be positioned so that mechanics, inspectors, and others working on the car top can remain positioned on the car top within the confines of the railings and do not have to climb on or over railings to perform adjustment, maintenance, minor repairs, inspections, or similar tasks. Persons performing those tasks are not to stand on or climb over railing, and those persons shall not remove handrails unless the equipment has been secured from movement and approved personal fall protection is used.
- 3. All exposed areas outside the car top railing shall preclude standing or placing objects or persons which may fall, and shall be beveled from an intermediate or bottom rail to the outside of the car top.
- 4. The top surface of the beveled area shall be clearly marked. The markings shall consist of alternating 4-inch red and white diagonal stripes.
- 5. The Applicant shall provide a durable sign with lettering not less than ½-inch high on a contrasting background. The sign shall be located on the inset top railing; the sign shall be visible from the access side of the car top, and the sign shall state:

CAUTION

DO NOT STAND ON OR CLIMB OVER RAILING.

PERSONNEL ARE PROHIBITED FROM REMOVING HANDRAIL

UNLESS THE EQUIPMENT HAS BEEN SECURED FROM MOVEMENT

AND APPROVED PERSONAL FALL PROTECTION IS USED.

- 6. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing will be measured from the car top and not from the required bevel).
- 7. A mechanical means (e.g., locking bar mechanism) that will secure the car to the guide rail to prevent unintended movement shall be provided and used during machine and/or governor car-top work. The mechanical means (e.g., locking bar mechanism) shall have a safety factor of not less than 3.5 for the total unbalanced load.

- 8. An electrical switch or a lockout/tagout procedure shall be provided that will remove power from the driving machine and brake when the mechanical means (e.g., locking bar mechanism) is engaged.
- 9. In order to inhibit employees from working outside the car top railing, sections shall not be hinged and they shall be installed by means that will inhibit (but not necessarily completely preclude) removal. The Applicant shall ensure that all persons performing work that requires removal of any part of the car top railing are provided with fall protection that is appropriate and suitable for the assigned work. That fall protection shall consist of a personal fall arrest system or fall restraint system that complies with California Code of Regulations, Title 8, Section 1670.
- 10. The bevel utilized by the Applicant in accordance with the variance granted from ASME A17.1-2004, Section 2.10.2.4 shall slope at not less than 75 degrees from the horizontal to serve as the toe board; however, that slope may be reduced to a minimum of 40 degrees from the horizontal as may be required for sections where machine encroachment occurs.
- 11. If the Applicant directs or allows its employees to perform tasks on the car top, the Applicant shall develop, implement, and document a safety training program that shall provide training to Applicant employees. Components of the training shall include, but not necessarily be limited to, the following: car blocking procedures; how examination, inspection, adjustment, repair, removal and replacement of elevator components are to be performed safely, consistent with the requirements of the variance conditions; applicable provisions of the law and other sources of safety practices regarding the operation of the elevator. A copy of the training program shall be located in the control room of each elevator that is the subject of this variance, and a copy of the training program shall be attached to a copy of this variance that shall be retained in any building where an elevator subject to this variance is located. The Applicant shall not allow Certified Qualified Conveyance Company (CQCC) or other contractor personnel to work on the top of any elevator subject to this variance unless the Applicant first ascertains from the CQCC or other contractor that the personnel in question have received training equivalent to, or more extensive than, the training components referred to in this condition.
- 12. Any CQCC performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.

- 13. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 14. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 15. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in the manner prescribed for its issuance.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonz**ál**ez Aearing Officer

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance Regarding:	OSHSB File No.: See Section A Table of Proposed Decision Dated: September 27, 2021
Otis Gen2S Elevators (Group IV)	DECISION
	<u> </u>

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

OCCUPATIONAL SAFETY AND HEALTH
STANDARDS BOARD
Date of Adoption: October 21, 2021
THE FOREGOING VARIANCE DECISION WAS
ADOPTED ON THE DATE INDICATED ABOVE.
IF YOU ARE DISSATISFIED WITH THE
DECISION, A PETITION FOR REHEARING
MAY BE FILED BY ANY PARTY WITH THE
STANDARDS BOARD WITHIN TWENTY (20)
DAYS AFTER SERVICE OF THE DECISION.
YOUR PETITION FOR REHEARING MUST
FULLY COMPLY WITH THE REQUIREMENTS
OF CALIFORNIA CODE OF REGULATIONS,
TITLE 8, SECTIONS 427, 427.1 AND 427.2.
Note: A copy of this Decision must be
posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

OSHSB File Nos.: See Section A table below

PROPOSED DECISION

Otis Gen2S Elevators (Group IV)

Hearing Date: September 22, 2021

A. Subject Matter

1. Each below listed applicant ("Applicant") has applied for permanent variances from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, with respect to the listed conveyance or conveyances, in the specified quantity, at the specified location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-273	City of San Jose	Fire Department Training Center - Building 1 1591 Senter Rd San Jose, CA	1
21-V-275	Sterling Bay West	5785 Oberlin San Diego, CA	1
21-V-276	Silvergate Church CV, LLC	230 Church Ave. Chula Vista, CA	1
21-V-280	825 13th St., LLC.	805 13th Street San Diego, CA	2
21-V-282	The Regents of the University of California	UCSF Mission Bay Building 17A/B Cardiovascular Research Institute 555 Mission Bay Blvd., South San Francisco, CA	2
21-V-283	Dr. Paul Wakim	Pacifica Tower Parking Structure 18800 Delaware Street Huntington Beach, CA	2

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21-V-284	939 Ardmore, LLC	3279 W. San Marino St. Los Angeles, CA	1
21-V-285	21-V-285 762 Serrano LLC 760 S. Serrano Ave. Los Angeles, CA		2
21-V-286	Eden Mill District, L.P.	111 Saw Mill Circle Healdsburg, CA	1
21-V-297	Allied 4038 Irvington, L.P.	41191 Fremont Blvd Fremont, CA	2
21-V-298	SCD 9000 Wilshire LLC	9000 Wilshire Blvd Beverly Hills, CA	1
21-V-299	Venue Residence, LLC	3667 S Keystone Ave Los Angeles, CA	1
21-V-300	Venue Residence, LLC	3688 S Overland Ave. Los Angeles, CA	2
21-V-303	Palisades Drive LP	17310 W Vereda De La Montura Pacific Palisades, CA	3
21-V-304	Swish Province II, LLC	400 W. Valley Blvd. San Gabriel, CA	3
21-V-305	Homeward Bound of Marin	Mill Street Center 190 Mill Street San Rafael, CA	1
21-V-306	Hilltop Family Housing, LP	The Orchard at Hilltop 5052 Hilltop Drive San Diego, CA	1
21-V-307	Hilltop Family Housing, LP	The Orchard at Hilltop 5032 Hilltop Drive San Diego, CA	1
21-V-308	Hilltop Family Housing, LP	The Orchard at Hilltop 5012 Hilltop Drive San Diego, CA	1
21-V-309	Front & Beech SH, L.P.	The Helm 191 West Beech St. San Diego, CA	2

21-V-310	VEJ Holdings LLC	Casa Estillo 795 Third Avenue Chula Vista, CA	2
21-V-311	1090 East Duane Avenue LLC	1040 Indian Wells Ave Sunnyvale, CA	3
21-V-320	Silicon Beach Live, LLC	6733 S Sepulveda Blvd Los Angeles, CA	3
21-V-321	DPRE BayRock I, LLC	240 W. MacArthur Boulevard Oakland, CA	2
21-V-322	East 1st Street Property LLC	107 S Hewitt Street Los Angeles, CA	2
21-V-323	Sonic Automotive	1301 Parkside Drive Walnut Creek, CA	1
21-V-324	Strada/CHP, LLC	53 Colton Street San Francisco, CA	2
21-V-333	Richmond Grown, LLC	1324 Canal Blvd. Richmond, CA	1
21-V-336	The Irvine Company	Los Olivos Phase 4 8100 Miramonte Irvine, CA	3
21-V-337	BH Sunrise, LLC	834 S Shenandoah St. Los Angeles, CA	1
21-V-338	North New Hampshire Partners LLC	1325 N. New Hampshire Ave. Los Angeles, CA	2
21-V-339	Cube3, LLC	Block 3 Student Housing 190 East Barnham Drive San Marcos, CA	2
21-V-340	LH MT Mission Owner, LLC	2750 19th Street San Francisco, CA	2
21-V-341	Path Ventures	Path Villas 1030 N 4th Street San Jose, CA	2

21-V-342	KFF RPP II Shotwell, LLC	454 Shotwell Street San Francisco, CA	1
21-V-343 Google		1450 Bayhill Drive San Bruno, CA	7
21-V-344	State of California Department of General Services	651 Bannon Street Sacramento, CA	2
21-V-345	State of California Department of General Services	201 North 6th Street Sacramento, CA	4
21-V-347	Google	1400 Bayhill Drive San Bruno, CA	3
21-V-349	Common Spirit Health	California Hospital Medical Center Emergency Department & Women's Services Building - INC.4 1401 S. Grand Ave Los Angeles, CA	4
21-V-350	Pacific Plaza Owner, L.L.C.	Torrey Plaza 10955 Vista Sorrento Parkway San Diego, CA	1
21-V-363	Mt. San Antonio College	Transit Center Building 482 1100 N Grand Ave Walnut, CA	2
21-V-364	MPT of Ernest-Stockton, L.P.	Vibra Stockton Rehabilitation Hospital 607 E. Magnolia Street Stockton, CA	2
21-V-365	499 Forbes LLC	499 Forbes Boulevard South San Francisco, CA	4
21-V-366	Winchester Sustainable LP	Santa Clara Agrihood Senior Housing 76 N. Winchester Boulevard Santa Clara, CA	2

21-V-373	BWF Forge TL Properties Owner LLC	361 Turk Street San Francisco, CA	2
21-V-374	Market Street 1629 Ventures, LP	1 Brady Street San Francisco, CA	2
21-V-375	Market Street 1629 Ventures, LP	1629 Market Street San Francisco, CA	2
21-V-376	Market Street 1629 Ventures, LP	1125 Stevenson Street San Francisco, CA	2

2. The safety orders from which variance may issue, are enumerated in the portion of the below Decision and Order preceding the variance conditions.

B. <u>Procedural</u>

- 1. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.
- 2. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 3. At the hearing, Dan Leacox of Leacox & Associates appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.
- 4. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance applications per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records, and variance files and decisions, concerning the Elevator Safety Order standards at issue. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.

C. Findings and Basis:

Based on the record of this hearing, the Board makes the following findings of fact:

- 1. Each Applicant intends to utilize Otis Gen2S elevators at the locations and in the numbers stated in the above Section A table.
- 2. The installation contracts for these elevators were or will be signed on or after May 1, 2008, making the elevators subject to the Group IV Elevator Safety Orders.
- 3. The Board incorporates by reference Items (i.e. Sections) D.3 through D.9 of the Proposed Decision adopted by the Board on July 18, 2013 regarding OSHSB File No. 12-V-093 and Item D.4 of the Proposed Decision adopted by the Board on September 25, 2014 in OSHSB File No. 14-V-206.
- 4. Both Board staff and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and positions stated at hearing, are of the well informed opinion that grant of requested permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

E. Decision and Order:

Each permanent variance application the subject of this proceeding is conditionally GRANTED as specified below, and to the extent, as of the date the Board adopts this Proposed Decision, each Applicant listed in the above Section A table shall have permanent variances from California Code of Regulations, Title 8, Section 3141 and from the following sections of ASME A17.1-2004 that Section 3141 makes applicable to the elevators the subject of those applications:

- <u>Car top railing</u>: Sections 2.14.1.7.1 (only to the extent necessary to permit an inset car top railing, if, in fact, the car top railing is inset);
- <u>Speed governor over-speed switch</u>: 2.18.4.2.5(a) (only insofar as is necessary to permit the use of the speed reducing system proposed by the Applicants, where the speed reducing switch resides in the controller algorithms, rather than on the governor, with the necessary speed input supplied by the main encoder signal from the motor);
- <u>Governor rope diameter</u>: 2.18.5.1 (only to the extent necessary to allow the use of reduced diameter governor rope);
- <u>Pitch diameter</u>: 2.18.7.4 (to the extent necessary to use the pitch diameter specified in Condition No. 13.c);
- <u>Suspension means</u>: 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4 and 2.20.9.5.4—the variances from these "suspension means" provisions are only to the extent necessary to permit the use of Otis Gen2 flat coated steel suspension belts in lieu of conventional steel suspension ropes;
- <u>Inspection transfer switch</u>: 2.26.1.4.4(a) (only to the extent necessary to allow the inspection transfer switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room); and
- <u>Seismic reset switch</u>: 8.4.10.1.1(a)(2)(b) (only to the extent necessary to allow the seismic reset switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room).

These variances apply to the locations and numbers of elevators stated in the Section A table (so long as the elevators are Gen2S Group IV devices that are designed, equipped, and installed in accordance with, and are otherwise consistent with, the representations made in the Otis Master File [referred to in previous proposed decisions as the "Gen2 Master

File") maintained by the Board, as that file was constituted at the time of this hearing) and are subject to the following conditions:

- 1. The suspension system shall comply with the following:
 - a. The coated steel belt and connections shall have factors of safety equal to those permitted for use by Section 3141 [ASME A17.1-2004, Section 2.20.3] on wire rope suspended elevators.
 - b. Steel coated belts that have been installed and used on another installation shall not be reused.
 - c. The coated steel belt shall be fitted with a monitoring device which has been accepted by the Division and which will automatically stop the car if the residual strength of any single belt drops below 60 percent. If the residual strength of any single belt drops below 60 percent, the device shall prevent the elevator from restarting after a normal stop at a landing.
 - d. Upon initial inspection, the readings from the monitoring device shall be documented and submitted to the Division.
 - e. A successful test of the monitoring device's functionality shall be conducted at least once a year (the record of the annual test of the monitoring device shall be a maintenance record subject to ASME A17.1-2004, Section 8.6.1.4).
 - f. The coated steel belts used shall be accepted by the Division.
- 2. With respect to each elevator subject to this variance, the applicant shall comply with Division Circular Letter E-10-04, the substance of which is attached hereto as Addendum 1 and incorporated herein by this reference.
- 3. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection, and testing of the belts and monitoring device and criteria for belt replacement, and the applicant shall make those procedures and criteria available to the Division upon request.
- 4. The flat coated steel belts shall be provided with a metal data tag that is securely attached to one of those belts. This data tag shall bear the following flat steel coated belt data:
 - a. The width and thickness in millimeters or inches;

Hearing Date: September 22, 2021

- b. The manufacturer's rated breaking strength in (kN) or (lbf);
- c. The name of the person or organization that installed the flat coated steel belts;
- d. The month and year the flat coated steel belts were installed;
- e. The month and year the flat coated steel belts were first shortened;
- f. The name or trademark of the manufacturer of the flat coated steel belts; and
- g. Lubrication information.
- 5. There shall be a crosshead data plate of the sort required by Section 2.20.2.1, and that plate shall bear the following flat steel coated belt data:
 - a. The number of belts;
 - b. The belt width and thickness in millimeters or inches; and
 - c. The manufacturer's rated breaking strength per belt in (kN) or (lbf).
- 6. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of elevator equipment in the hoistway is required. If service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 7. If there is an inset car top railing:
 - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on railings to perform adjustment, maintenance, repairs or inspections. The applicant shall not permit anyone to stand on or climb over the car top railing.
 - b. The distance that the car top railing may be inset shall be limited to no more than 6 inches.
 - c. All exposed areas outside the car top railing shall preclude standing or placing objects or persons which may fall and shall be beveled from the mid- or top rail to the outside of the car top.

- d. The top of the beveled area and/or car top outside the railing, shall be clearly marked. The markings shall consist of alternating 4 inch diagonal red and white stripes.
- e. The applicant shall provide durable signs with lettering not less than ½ inch on a contrasting background on each inset railing; each sign shall state:

CAUTION DO NOT STAND ON OR CLIMB OVER RAILING

- f. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing shall be measured from the car top and not from the required bevel).
- 8. If the seismic reset switch does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 9. If the inspection transfer switch required by ASME A17.1, rule 2.26.1.4.4(a) does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 10. When the inspection and testing panel is located in the hoistway door jamb, the inspection and test control panel shall be openable only by use of a Security Group I restricted key.
- 11. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by Certified Competent Conveyance Mechanics who have been trained to, and are competent to, perform those tasks on the Gen2S elevator system in accordance with the written procedures and criteria required by Condition No. 3 and in accordance with the terms of this permanent variance.
- 12. The governor speed-reducing switch function shall comply with the following:
 - a. It shall be used only with direct drive machines; i.e., no gear reduction is permitted between the drive motor and the suspension means.

- b. The velocity encoder shall be coupled to the driving machine motor shaft. The "C" channel of the encoder shall be utilized for velocity measurements required by the speed reducing system. The signal from "C" channel of the encoder shall be verified with the "A" and "B" channels for failure. If a failure is detected then an emergency stop shall be initiated.
- c. Control system parameters utilized in the speed-reducing system shall be held in non-volatile memory.
- d. It shall be used in conjunction with approved car-mounted speed governors only.
- e. It shall be used in conjunction with an effective traction monitoring system that detects a loss of traction between the driving sheave and the suspension means. If a loss of traction is detected, then an emergency stop shall be initiated.
- f. A successful test of the speed-reducing switch system's functionality shall be conducted at least once a year (the record of the annual test of the speed-reducing switch system shall be a maintenance record subject to ASME A17.1-2004, Section 8.6.1.4).
- g. A successful test of the traction monitoring system's functionality shall be conducted at least once a year (the record of the annual test of the traction monitoring system shall be a maintenance record subject to ASME A17.1-2004, Section 8.6.1.4).
- h. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the maintenance, inspection, and testing of the speed-reducing switch and traction monitoring systems. The Applicant shall make the procedures available to the Division upon request.
- 13. The speed governor rope and sheaves shall comply with the following:
 - a. The governor shall be used in conjunction with a 6 mm (0.25 in.) diameter steel governor rope with 6-strand, regular lay construction.
 - b. The governor rope shall have a factor of safety of 8 or greater as related to the strength necessary to activate the safety.
 - c. The governor sheaves shall have a pitch diameter of not less than 180 mm (7.1 in.).
- 14. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.

Proposed Variance Decision
Otis Gen2S Elevators (Group IV)

Hearing Date: September 22, 2021

- 15. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 16. The Applicant shall be subject to the Suspension Means Replacement Reporting Condition stated in Addendum 2, as hereby incorporated by this reference.
- 17. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 18. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: <u>Sept. 27, 2021</u>

ADDENDUM 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code Section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor Principal Engineer DOSH-Elevator Unit HQS

ADDENDUM 2

<u>Suspension Means – Replacement Reporting Condition</u>

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings.

Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.

- f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for
Permanent Variance regarding:

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]

OSHSB File No.: See Section A.1 Table of Proposed Decision Dated: September 27, 2021

DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
<u>-</u>	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, MeIlibei	
	Note: A copy of this Decision must be
LAURA STOCK, Member	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:	OSHSB File Nos.: See Section A.1 table below
Otis Elevator (Group IV)	PROPOSED DECISION
Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]	Hearing Date: September 22, 2021

A. Subject Matter:

 Each applicant ("Applicant") listed in the table below has applied for permanent variances from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-274	Richmond Grown, LLC	1324 Canal Blvd. Richmond, CA	1
21-V-281	The Regents of the University of California	UCSF Mission Bay Building 17A/B Cardiovascular Research Institute 555 Mission Bay Blvd., South San Francisco, CA	3

2. The safety orders at issue are stated in the portion of Section F that precedes the variance conditions.

B. Jurisdiction:

This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]

Hearing Date: September 22, 2021

C. Procedural:

1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board") with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.

- 2. At the hearing, Dan Leacox of Leacox & Associates appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board.
- 3. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance applications per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking recordings and variance decisions concerning the safety order requirements at issue. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.

D. Findings:

- 1. Each Applicant intends to utilize Otis Gen2(O) and/or Otis Gen2L elevators, with further variance as to governor sheave and rope diameter, at the location and in the numbers stated in the Section A.1 table (as used in this Proposed Decision, the term "Gen2(O)" refers to the original type of Gen2 elevator, as distinguished from other types with such designations as "Gen2L" or "Gen2S" or "Gen2 at 150").
- 2. The installation contract for these elevators was, or will be, signed on or after May 1, 2008, making the elevators subject to the Group IV Elevator Safety Orders.
- 3. The Board incorporates by reference the findings stated in: (a) Items 3 through 5.c, 5.e, and 5.f of the "Findings of Fact" Section of the Proposed Decision adopted by the Board

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]

Hearing Date: September 22, 2021

on February 19, 2009, in OSHSB File No. 08-V-247; (b) Item D.3 of the Proposed Decision adopted by the Board on July 16, 2009, in OSHSB File No. 09-V-042; (c) Item D.4 of the Proposed Decision adopted by the Board on September 16, 2010, in OSHSB File No. 10-V-029; (d) Items D.4, D.5, and D.7 of the Proposed Decision adopted by the Board on July 18, 2013, in OSHSB File No. 12-V-146; and (e) Items D.4 and D.5 of the Proposed Decision adopted by the Board on September 25, 2014, in OSHSB File No. 14-V-170.

- 4. Regarding requested variance in governor sheave diameter, and governor rope diameter, in variance from Title 8, Section 3141, incorporated ASME A17.1-2004, Section 2.18.7.4, and Section 2.18.5.1, respectively, the Board incorporates by reference the following previous findings of record: Items 8 through 12 of the Proposed Decision adopted by the Board on December 13, 2018, in OSHSB File No. 18-V-425, and further substantiating bases per therein cited Permanent Variance Decisions of the Board.
- 5. Both Board staff and Division safety engineers, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and positions stated at hearing, are of the well informed opinion that grant of requested permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

E. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

F. Decision and Order:

Each permanent variance application that is the subject of this proceeding is conditionally GRANTED, as below specified, and to the extent that, as of the date the Board adopts this Proposed Decision, each Applicant listed in the Section A.1 table of this Proposed Decision shall have a permanent variance from California Code of Regulations, Title 8, Section 3141 [ASME A17.1-2004, Sections 2.14.1.7.1 (only to the extent necessary to permit an inset car

top railing, if, in fact, the car top railing is inset), 2.20.1, 2.20.2.1(b), 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4, 2.20.9.5.4, (only to the extent necessary to permit the use of Otis Gen2 flat coated steel suspension belts [the belts proposed for use on these Gen2(O) and/or Gen2L elevators] in lieu of conventional steel suspension ropes); 2.26.1.4.4(a) (only to the extent necessary to allow the inspection transfer switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room); 8.4.10.1.1(a)(2)(b) (only to the extent necessary to allow the seismic reset switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room)], regarding car top railings, switches, and suspension ropes and connections; Section 2.18.7.4, with respect to conditioned variance in governor sheave diameter; and Section 2.18.5.1, with respect to below conditioned variance in governor rope diameter—for the location and number of elevators listed in the Section A.1 table (so long as the elevators are Gen2(O) or Gen2L Group IV devices that are designed, equipped, and installed in accordance with, and are otherwise consistent with, the representations made in the Otis Master Files [referred to in previous Proposed Decisions as the "Gen2 Master File" or "Gen2S Master File"] maintained by the Board, as that file was constituted at the time of this hearing), subject to the following conditions:

The variance shall be subject to the following additional conditions:

- 1. Each elevator subject to this variance shall comply with all applicable Group IV Elevator Safety Orders and with all ASME provisions made applicable by those Group IV Elevator Safety Orders, except those from which variances are granted, as set forth in the prefatory portion of this Decision and Order.
- 2. The suspension system shall comply with the following:
 - a. The coated steel belt shall have a factor of safety at least equal to the factor of safety that ASME A17.1-2004, Section 2.20.3, would require for wire ropes if the elevator were suspended by wire ropes rather than the coated steel belt.
 - b. Steel-coated belts that have been installed and used on another installation shall not be reused.
 - c. The coated steel belt shall be fitted with a monitoring device which has been accepted by the Division and which will automatically stop the car if the residual strength of any single belt drops below 60 percent. If the residual strength of any single belt drops below 60 percent, the device shall prevent the elevator from restarting after a normal stop at a landing.

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]

Hearing Date: September 22, 2021

- d. Upon initial inspection, the readings from the monitoring device shall be documented and submitted to the Division.
- e. A successful test of the monitoring device's functionality shall be conducted at least once a year (the record of the annual test of the monitoring device shall be a maintenance record subject to ASME A17.1-2004, Section 8.6.1.4).
- f. The coated steel belts used shall be accepted by the Division.
- g. The installation of belts and connections shall be in conformance with the manufacturer's specifications, which shall be provided to the Division.
- 3. With respect to each elevator subject to this variance, the applicant shall comply with Division Circular Letter E-10-04, a copy of which is attached hereto as Addendum 1 and incorporated herein by this reference.
- 4. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection, and testing of the belts and monitoring device, and criteria for belt replacement, and shall make those procedures and criteria available to the Division upon request.
- 5. The flat coated steel belts shall be provided with a metal data tag that is securely attached to one of those belts. This data tag shall bear the following flat steel coated belt data:
 - a. The width and thickness in millimeters or inches;
 - b. The manufacturer's rated breaking strength in (kN) or (lbf);
 - c. The name of the person who, or organization that, installed the flat coated steel belts;
 - d. The month and year the flat coated steel belts were installed;
 - e. The month and year the flat coated steel belts were first shortened;
 - f. The name or trademark of the manufacturer of the flat coated steel belts;
 - g. Lubrication information.
- 6. There shall be a crosshead data plate of the sort required by Section 2.20.2.1, and that plate shall bear the following flat steel coated belt data:

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave] Hearing Date: September 22, 2021

- a. The number of belts,
- b. The belt width and thickness in millimeters or inches, and
- c. The manufacturer's rated breaking strength per belt in (kN) or (lbf).
- 7. If the seismic reset switch does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 8. If the inspection transfer switch required by ASME A17.1, rule 2.26.1.4.4(a), does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 9. When the inspection and test control panel is located in the hoistway door jamb, the inspection and test control panel shall be openable only by use of a Security Group I restricted key.
- 10. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of elevator equipment in the hoistway is required. If service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 11. If there is an inset car top railing:
 - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on railings to perform adjustment, maintenance, repairs, or inspections. The applicant shall not permit anyone to stand on or climb over the car top railing.
 - b. The distance that the car top railing may be inset from the car top perimeter shall be limited to no more than 6 inches.
 - c. All exposed areas of the car top outside the car top railing shall preclude standing or placing objects or persons which may fall and shall be beveled from the mid- or top rail to the outside of the car top.
 - d. The top of the beveled area and/or the car top outside the railing, shall be clearly marked. The markings shall consist of alternating four-inch diagonal red and white stripes.

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]

Hearing Date: September 22, 2021

e. The Applicant shall provide, on each inset railing, durable signs with lettering not less than ½ inch on a contrasting background. Each sign shall state:

CAUTION DO NOT STAND ON OR CLIMB OVER RAILING

- f. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing shall be measured from the car top, and not from the required bevel).
- 12. The speed governor rope and sheaves shall comply with the following:
 - a. The governor shall be used in conjunction with a 8 mm (0.315 in.) diameter steel governor rope with 8-strand, regular lay construction.
 - b. The governor rope shall have a factor of safety of 8 or greater as related to the strength necessary to activate the safety.
 - c. The governor sheaves shall have a pitch diameter of not less than 240 mm (9.45 in.).
- 13. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by Certified Competent Conveyance Mechanics who have been trained to, and are competent to, perform those tasks on the Gen2(O) and/or Gen2L elevator system the Applicant proposes to use, in accordance with the written procedures and criteria required by Condition No. 4 and the terms of this permanent variance.
- 14. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 15. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 16. The Applicant shall be subject to the suspension means replacement reporting condition stated in Addendum 2; that condition is incorporated herein by this reference.
- 17. The applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way that the Applicant was required to notify them of the application for permanent variance, per California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 18. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety

Proposed Variance Decision

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave] Hearing Date: September 22, 2021

and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: <u>Sept. 27, 2021</u>

Proposed Variance Decision

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave]

Hearing Date: September 22, 2021

ADDENDUM 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code Section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

ADDENDUM 2

Suspension Means – Replacement Reporting Condition

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings.

Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
 - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any

Otis Elevator (Group IV) Gen2(O) and/or Gen2L Elevators [w/variant Governor Rope/Sheave] Hearing Date: September 22, 2021

conditions that existed to cause damage or distress to the suspension components being replaced.

- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance Regarding:	OSHSB File No.: See Section A.1 Table of Proposed Decision Dated: September 27, 2021
KONE Monospace 500 Elevators (Group IV)	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CUDIC LACTOT DAVIS Mamber	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, Member	
	Note: A copy of this Decision must be
LAURA STOCK, Member	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.
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BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

OSHSB File Nos.: See Section A.1 Table Below

KONE Monospace 500 Elevators (Group IV)

PROPOSED DECISION

Hearing Date: September 22, 2021

A. Subject Matter:

1. Each below listed applicant ("Applicant") applied for a permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-288	CA Sacramento Commons LLC	1421 5th Street Sacramento, CA	4
21-V-292	PVH LA L.P.	5627 Fernwood Ave. Los Angeles, CA	1
21-V-315	Mt. San Antonio College	1100 N. Grand Ave., Bldg. 890 Walnut, CA	3
21-V-348	Dunlop 7, LLC	2341 Ulric Street San Diego, CA	2
21-V-361	Hastings Campus Housing Finance Authority	198 McAllister Street San Francisco, CA	1
21-V-362	Los Rios CCD	3835 Freeport Boulevard Sacramento, CA	2
21-V-377	MP Firehouse Square Associates, L.P.	1300 El Camino Real Belmont, CA	2
21-V-378	Valencia Street SF, LLC	198 Valencia San Francisco, CA	1
21-V-389	Page Street, L.P.	333 Page Street San Jose, CA	1

21-V-390	Chenault Terrace, LLC	11620 Chenault St Los Angeles, CA	1
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2. The subject Title 8, safety order requirements are set out within California Code of Regulations, Title 8, Section 3141 incorporated ASME A17.1-2004, Sections 2.18.5.1 and 2.20.4.

B. Procedural:

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 2. At the hearing, Daniel May, with KONE, Inc., appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board. Eric McClaskey was present as an observer on behalf of I.U.E.C. Local 8.
- 3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence: permanent variance applications per Section A.1 table as Exhibit PD-1, Notice of Hearing as Exhibit PD-2, Board staff Pending Application Memorandum as PD-3, Division Review of Application as PD-4, Review Draft 1 Proposed Decision as PD-5, and official notice taken of the Board's rulemaking records and variance decisions concerning the safety order requirements from which variance is sought. Upon close of hearing on September 22, 2021, the record closed and the matter was taken under submission by the Hearing Officer.
- C. Findings of Fact—Based on the record of this proceeding, the Board finds the following:
 - 1. Each respective Applicant intends to utilize the KONE Inc. Monospace 500 type elevator, in the quantity, at the location, specified per the above Section A.1 table.
 - 2. The installation contract for this elevator was or will be signed on or after May 1, 2008, thus making the elevator subject to the Group IV Elevator Safety Orders.
 - 3. Each Applicant proposes to use hoisting ropes that are 8 mm in diameter which also consist of 0.51 mm diameter outer wires, in variance from the express requirements of ASME A17.1-2004, Section 2.20.4.

4. In relevant part, ASME A17.1-2004, Section 2.20.4 states:

2.20.4 Minimum Number and Diameter of Suspension Ropes

...The minimum diameter of hoisting and counterweight ropes shall be 9.5 mm (0.375 in.). Outer wires of the ropes shall be not less than 0.56 mm (0.024 in.) in diameter.

- 5. An intent of the afore cited requirement of ASME A17.1-2004, Section 2.20.4, is to ensure that the number, diameter, and construction of suspension ropes are adequate to provided safely robust and durable suspension means over the course of the ropes' foreseen service life.
- 6. KONE has represented to Division and Board staff, having established an engineering practice for purposes of Monospace 500 elevator design, of meeting or exceeding the minimum factor of safety of 12 for 8 mm suspension members, as required in ASME A17.1-2010, Section 2.20.3—under which, given that factor of safety, supplemental broken suspension member protection is not required.
- 7. Also, each Applicant proposes as a further means of maintaining safety equivalence, monitoring the rope in conformity with the criteria specified within the *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators* (per Application attachment "B", or as thereafter revised by KONE subject to Division approval).
- 8. In addition, each Applicant has proposed to utilize 6 mm diameter governor ropes in variance from Title 8, Section 3141, incorporated ASME A17.1-2004, Section 2.18.5.1.
- 9. ASME A17.1-2004, Section 2.18.5.1, specifies, in relevant part:
 - 2.18.5.1 Material and Factor of Safety.
 - ... [Governor ropes] not less than 9.5 mm (0.375 in.) in diameter. The factor of safety of governor ropes shall be not less than 5...
- 10. The Board takes notice of Title 8, Elevator Safety Order Section 3141.7, subpart (a)(10):

A reduced diameter governor rope of equivalent construction and material to that required by ASME A17.1-2004, is permissible if the factor of safety as related to the strength necessary to activate the safety is 5 or greater;

Proposed Variance Decision KONE Monospace 500 Elevators Hearing Date: September 22, 2021

- 11. Applicants propose use of 6mm governor rope having a safety factor of 5 or greater, in conformity with Section 3141.7(a)(10), the specific parameters of which, being expressly set out within Title 8, Elevator Safety Orders, take precedence over more generally referenced governor rope diameter requirements per ASME A17.1-2004, Section 2.18.5.1. Accordingly, the governor rope specifications being presently proposed, inclusive of a factor of safety of 5 or greater, would comply with current Title 8, Elevator Safety Orders requirements, and therefore not be subject to issuance of permanent variance.
- 12. Absent evident diminution in elevator safety, over the past decade the Board has issued numerous permanent variances for use in KONE (Ecospace) elevator systems of 8 mm diameter suspension rope materially similar to that presently proposed (e.g. OSHSB File Nos. 06-V-203, 08-V-245, and 13-V-303).
- 13. As noted by the Board in OSHSB File Nos. 18-V-044, and 18-V-045, Decision and Order Findings, subpart B.17 (hereby incorporated by reference), the strength of wire rope operating as an elevator's suspension means does not remain constant over its years of projected service life. With increasing usage cycles, a reduction in the cross-sectional area of the wire rope normally occurs, resulting in decreased residual strength. This characteristic is of particular relevance to the present matter because, as also noted by Board staff, decreasing wire rope diameter is associated with a higher rate of residual strength loss. This foreseeable reduction in cross-sectional area primarily results from elongation under sheave rounding load, as well as from wear, and wire or strand breaks. However, these characteristics need not compromise elevator safety when properly accounted for in the engineering of elevator suspension means, and associated components.
- 14. The presently proposed wire rope is Wuxi Universal steel rope Co LTD. 8 mm 8x19S+8x7+PP, with a manufacturer rated breaking strength of 35.8 kN, and an outer wire diameter of less than 0.56 mm, but not less than 0.51 mm. Both Board staff and Division safety engineers have scrutinized the material and structural specifications, and performance testing data, of this particular proposed rope, and conclude it will provide for safety equivalent to ESO compliant 9.5 mm wire rope, with 0.56 mm outer wire (under conditions of use included within the below Decision and Order).
- 15. The applicant supplies tabulated data regarding the "Maximum Static Load on All Suspension Ropes." To obtain the tabulated data, the applicant uses the following formula derived from ASME A17.1 2004, Section 2.20.3:

$$W = (S \times N)/f$$

where

Proposed Variance Decision KONE Monospace 500 Elevators Hearing Date: September 22, 2021

W = maximum static load imposed on all car ropes with the car and its rated load at any position in the hoistway
 N = number of runs of rope under load. For 2:1 roping,

 N shall be two times the number of ropes used, etc.

 S = manufacturer's rated breaking strength of one rope

 f = the factor of safety from Table 2.20.3

- 16. ASME A17.1-2010 Sections 2.20.3 and 2.20.4 utilize the same formula, but provide for use of suspension ropes having a diameter smaller than 9.5 mm, under specified conditions, key among them being that use of ropes having a diameter of between 8 mm to 9.5 mm be engineered with a factor of safety of 12 or higher. This is a higher minimum factor of safety than that proposed by Applicant, but a minimum recommended by both Board staff and Division as a condition of variance necessary to the achieving of safety equivalence to 9.5 mm rope.
- 17. Board staff and Division are in accord with Applicant, in proposing as a condition of safety equivalence, that periodic physical examination of the wire ropes be performed to confirm the ropes continue to meet the criteria set out in the (Application attachment) *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators.* Adherence to this condition will provide an additional assurance of safety equivalence, regarding smaller minimum diameter suspension rope outer wire performance over the course of its service life.
- 18. Both Board staff, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and stated positions at hearing, are of the well informed opinion that grant of permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

E. Decision and Order:

Each Application being the subject of this proceeding, per above Section A.1 table, is conditionally GRANTED, to the extent that each such Applicant shall be issued permanent variance from California Code of Regulations, Title 8, Section 3141 incorporated ASME A17.1-2004, Section 2.20.4, in as much as it precludes use of suspension rope of between 8 mm and 9.5 mm, or outer wire of between 0.51 mm and 0.56 mm in diameter, at such locations and numbers of Group IV KONE Monospace 500 elevators identified in each respective Application, subject to the following conditions:

- 1. The diameter of the hoisting steel ropes shall be not less than 8 mm (0.315 in) diameter and the roping ratio shall be two to one (2:1).
- 2. The outer wires of the suspension ropes shall be not less than 0.51 mm (0.02 in.) in diameter.
- 3. The number of suspension ropes shall be not fewer than those specified per hereby incorporated Decision and Order Appendix 1 Table.
- 4. The ropes shall be inspected annually for wire damage (rouge, valley break etc.) in accordance with "KONE Inc. Inspector's Guide to 6 mm diameter and 8 mm diameter steel ropes for KONE Elevators" (per Application Exhibit B, or as thereafter amended by KONE subject to Division approval).
- 5. A rope inspection log shall be maintained and available in the elevator controller room / space at all times.
- 6. The elevator rated speed shall not exceed those speeds specified per the Decision and Order Appendix 1 Table.
- 7. The maximum suspended load shall not exceed those weights (plus 5%) specified per the Decision and Order Appendix 1 Table.
- 8. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of the elevator equipment in the hoistway is required. If the service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 9. The installation shall meet the suspension wire rope factor of safety requirements of ASME A17.1-2013 Section 2.20.3.
- 10. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing or testing the elevators shall be provided a copy of this variance decision.

Proposed Variance Decision KONE Monospace 500 Elevators Hearing Date: September 22, 2021

- 11. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division and a "Permit to Operate" issued before the elevator is placed in service.
- 12. The Applicant shall comply with suspension means replacement reporting condition per hereby incorporated Decision and Order Appendix 2.
- 13. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 14. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Appendix 1

	Monospace 500 Suspension Ropes Appendix 1 Table			
OSHSB File No.	Elevator ID	Minimum Quantity of Ropes (per Condition 3)	Maximum Speed in Feet per Minute (per Condition 6)	Maximum Suspended Load (per Condition 7)
21-V-288	5	8	350	11706
21-V-288	6	8	350	11706
21-V-288	7	8	350	11706
21-V-288	8	8	350	11706
21-V-292	1	8	200	13207
21-V-315	1	7	200	11556
21-V-315	2	7	200	11556
21-V-315	3	7	200	11556
21-V-348	1	7	200	11556
21-V-348	2	7	200	11556
21-V-361	6	7	150	12247
21-V-362	1	7	150	12247
21-V-362	2	7	150	12247
21-V-377	1	8	200	13207
21-V-377	2	8	200	13207
21-V-378	1	7	200	11556
21-V-389	1	7	150	12247
21-V-390	1	7	200	11556

Appendix 2

Suspension Means Replacement Reporting Condition

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings. Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
 - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
 - g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.

Proposed Variance Decision KONE Monospace 500 Elevators Hearing Date: September 22, 2021

- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in above Appendix 2, Section 2, Subsection (a), above.

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance regarding:	OSHSB File No.: See Table in Item A of Proposed Decision Dated: September 27, 2021
Schindler Mode 5500 Elevators (Group IV)	DECISION

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	
	THE FOREGOING VARIANCE DECISION WAS
	ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
CHRIS LASZCZ-DAVIS, Member	
	Note: A copy of this Decision must be
	posted for the Applicant's employees to
LAURA STOCK, Member	read, and/or a copy thereof must be
	provided to the employees' Authorized
	Representatives.
	nepresentatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance regarding:

OSHSB File Nos. See Section A.1 Table below

'ariance regarding:

PROPOSED DECISION

Schindler Model 5500 Elevators (Group IV)

Hearing Date: September 22, 2021

Subject Matter:

1. Each below listed applicant ("Applicant") has applied for permanent variance from certain provisions of the Elevator Safety Orders, found at Title 8, of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-314	CoreSite Real Estate SV9. L.P.	2905 Stender Way Santa Clara, CA	1
21-V-359	651 Walsh Partners Limited Liability Company	641 Walsh Ave. Santa Clara, CA	1
21-V-368	GPCA Owner, LLC	488 University Avenue Palo Alto, CA	1

2. The safety orders at issue are set out in below Section C.1.

A. <u>Process and Procedure:</u>

- 1. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.
- 2. The installation contract for the subject elevators was signed after May 1, 2008. Therefore, the subject elevators fall within the scope of the Elevator Safety Orders (ESO) Group IV Section 3141, and as incorporated by reference therein, ASME A17.1-2004.
- 3. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board") assigned Hearing Officer, Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its

consideration, in accordance with California Code of Regulations, Title 8, Section 426.

- 4. At the hearing, Jennifer Linares, with Schindler Elevator Corporation, appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.
- 5. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance applications per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking records, and variance decisions concerning the safety order requirements from which variance is requested. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.

B. Findings of Fact—Based upon the record of this proceeding, the Board finds the following:

Requested Suspension Means Related Variance:

 As each pertains to the non-circular elastomeric coated suspension means characteristic of the Schindler Model 5500 elevator, Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Safety Code for Elevators and Escalators (ASME Code) A17.1-2004 sections and subsections:

Section 2.20.1—Wire rope suspension means

Section 2.20.2.1—Crosshead data plate

Subsection 2.20.2.2(a)—Wire rope data tag

Subsection 2.20.2.2(f)—ID of steel wire rope as preformed or nonpreformed

Section 2.20.3—Wire rope safety factor

Section 2.20.4—Number and diameter of wire ropes

Section 2.20.9.3.4—Wire rope end connections

Proposed Variance Decision Schindler Model 5500 Elevators (Group IV) Hearing Date: September 22, 2021

Section 2.20.9.5.4—Wire rope sockets

Requested Car Top Railing Inset Variance:

2. As it pertains to top of car railing placement requiring space occupied by upper hoistway mounted elevator machinery characteristic of the Schindler Model 5500 elevator, Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code A17.1-2004 section:

Section 2.14.1.7.1—Top of Car Perimeter Railing Placement

Requested Seismic Reset Switch Placement Variance:

3. As it pertains to installation of the requisite seismic reset switch within a "machine room" location incompatible with machine-room-less design of the Schindler Model 5500 elevator, Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code subsection:

Subsection 8.4.10.1.1(a)(2)(b)--Seismic Reset Switch Placement in Machine Room

Requested Transfer Switch Placement Variance:

4. As it pertains to installation of the requisite transfer switch within a "machine room" location incompatible with machine-room-less design of the Schindler Model 5500 elevator, Applicant presently seeks permanent variance from the following Title 8, Elevator Safety Order incorporated ASME Code A17.1-2004 subsection:

Subsection 2.26.1.4.4(a)--Transfer Switch Placement in Machine Room

Official Notice and Incorporation by Reference—OSHSB File No. 15-V-349:

5. Per hereby entered stipulation offered at hearing by Applicant, Division, and Board staff, concerning preexisting Board records, including decisions in matters of permanent variance from Elevator Safety Order requirements, the Board takes Official Notice and expressly incorporates herein by reference, OSHSB File No. 15-V-349, Decision and Order adopted November 17, 2016, Section D.1—D.75 findings, and therein entered record upon which it was based.

Proposed Variance Decision Schindler Model 5500 Elevators (Group IV) Hearing Date: September 22, 2021

<u>Positions of Division, and Board Staff:</u>

6. Having fully reviewed Applicant's request for variance from the above identified Elevator Safety Order requirements, it is the concurrent opinion of Division and Board staff, that conditionally limited grant to Applicant of permanent variance as specified per the below Decision and Order, will provide for elevator safety, and occupational safety and health, equivalent or superior to that of the Elevator Safety Order requirements from which variance is being sought. The present opinion of Division and Board staff, to any extent it may vary from those previously held with respect to the previously heard matter in OSHSB File No. 15-V-349, reflects further scrutiny of the subject matter, consultation between Division, Board staff, Applicant representatives, and refinement of recommended conditions and limitations.

C. <u>Basis of Decision:</u>

The afore stated procedural, statutory, regulatory, and factual matters establish a substantive reasonable basis of conclusion that: (1) Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted, and (2) a preponderance of the evidence establishes that Applicant's proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

D. <u>Decision and Order:</u>

Each above Section A.1 table specified Applicant, with respect to the also specified number of conveyance, and variance location, is hereby conditionally GRANTED Permanent Variance as stated below, to the limited extent that each enumerated conveyance at the given location shall be subject to conditionally limited permanent variance from the below specified ASME A17.1-2004, requirements incorporated by reference into California Code of Regulations, Title 8, Elevator Safety Orders, Section 3141.

<u>Suspension Members:</u> Applicant shall conditionally hold permanent variance from the following Title 8, Section 3141 incorporated sections and subsections of ASME A17.1-2004, to the limited extent variance is necessary to provide for use of noncircular elastomeric-coated steel suspension members and concomitant components, and configurations—Section 2.20.1; Section 2.20.2.1; Subsection 2.20.2.2(a); Subsection 2.20.2.2(f); Section 2.20.3; Section 2.20.4: Section 2.20.9.3.4; and Section 2.20.9.5.4.

Inspection Transfer Switch: Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141 incorporated section of ASME A17.1-2004, to the extent variance is necessary to having the requisite inspection transfer switch located elsewhere than a machine room, within a Security Group I enclosure built into an upper floor landing door jam, or within other readily accessible and secure space shared with the motion controller outside the hoistway: Section 2.26.1.4.4(a).

<u>Seismic Safety Switch Placement:</u> Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141 incorporated section of ASME A17.1-2004, to the limited extent variance is necessary to having the requisite seismic reset switch located elsewhere than a machine room, within a Security Group I enclosure built into an upper floor landing door jam, or within other readily accessible and secure space shared with the motion controller outside the hoistway: Section 8.4.10.1.1(a)(2)(b).

<u>Car Top Railing:</u> Applicant shall conditionally hold permanent variance from certain requirements of the following Title 8, Section 3141 incorporated section of ASME A17.1-2004, to the limited extent variance is necessary to provide for the below specified insetting of the subject elevator's top of car railing: Section 2.14.1.7.1.

Further Conditions and Limitations:

- 1. The elevator suspension system shall comply with the following:
 - 1.1. The suspension traction media (STM) members and their associated fastenings shall conform to the applicable requirements of ASME A17.1-2013, sections:
 - 2.20.4.3 Minimum Number of Suspension Members
 - 2.20.3 Factor of Safety
 - 2.20.9 Suspension Member Fastening
 - 1.2. Additionally, STMs shall meet or exceed all requirements of ASME 17.6-2010 Standard for Elevator Suspension, Compensation, and Governor Systems, Part 3 Noncircular Elastomeric Coated Steel Suspension Members for Elevators.
 - 1.3. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection and testing of the STM members and fastenings and related monitoring and detection systems and criteria for STM replacement, and the Applicant shall make those procedures and

criteria available to the Certified Competent Conveyance Mechanic (CCCM) at the location of the elevator, and to the Division of Occupational Safety and Health (Division) upon request.

- 1.4. STM member mandatory replacement criteria shall include:
 - 1.4.1 Any exposed wire, strand or cord;
 - 1.4.2 Any wire, strand or cord breaks through the elastomeric coating;
 - 1.4.3 Any evidence of rouging (steel tension element corrosion) on any part of the elastomeric coated steel suspension member;
 - 1.4.4 Any deformation in the elastomeric suspension member such as, but not limited to, kinks or bends.
- 1.5. Traction drive sheaves must have a minimum diameter of 72 mm. The maximum speed of STM members running on 72 mm, 87 mm and 125 mm drive sheaves shall be no greater than 2.5 m/s, 6.0 m/s and 8.0 m/s respectively.
- 1.6. If any one STM member needs replacement, the complete set of suspension members on the elevator shall be replaced. Exception: If a new suspension member is damaged during installation, and prior to any contemporaneously installed STM having been placed into service, it is permissible to replace the individual damaged suspension member. STM members that have been installed on another installation shall not be re-used.
- 1.7. A traction loss detection means shall be provided that conforms to the requirements of ASME A17.1-2013, section 2.20.8.1. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.12.
- 1.8. A broken suspension member detection means shall be provided that conforms to the requirements of ASME A17.1-2013, section 2.20.8.2. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.13(a).
- 1.9. An elevator controller integrated bend cycle monitoring system shall monitor actual STM bend cycles, by means of continuously counting, and storing in nonvolatile memory, the number of trips that the STM makes traveling, and thereby being bent, over the elevator sheaves. The bend cycle limit monitoring means shall automatically stop the car normally at the next available landing

before the bend cycle correlated residual strength of any single STM member drops below 80 percent of full rated strength. The monitoring means shall prevent the car from restarting. Notwithstanding any less frequent periodic testing requirement per Addendum 1 (Division Circular Letter), the bend cycle monitoring system shall be tested semi-annually in accordance with the procedures required per above Conditions 1.2, and 1.3.

- 1.10. Each elevator shall be provided with a device that electronically detects a reduction in residual strength of each STM member. The device shall be in compliance with Division Circular Letter E-10-04, a copy of which is attached hereto as Addendum 1, and incorporated herein by reference.
- 1.11. The elevator crosshead data plate shall comply with the requirements of ASME A17.1-2013, Section 2.20.2.1.
- 1.12. A suspension means data tag shall be provided that complies with the requirements of ASME A17.1-2013, Section 2.20.2.2.
- 1.13. Comprehensive visual inspections of the entire length of each and all installed suspension members, in conformity with above Conditions 1.2 and 1.3 specified criteria, shall be conducted and documented every six months by a CCCM.
- 1.14. The Applicant shall be subject to the requirements per hereto attached, and inhere incorporated, Addendum 2, "Suspension Means Replacement Reporting Condition."
- 1.15. Records of all tests and inspections shall be maintenance records subject to ASME A17.1-2004, Sections 8.6.1.2 and 8.6.1.4, respectively.
- 2. Inspection Transfer switch and Seismic Reset switch placement and enclosure shall comply with the following:
 - 2.1. If the inspection transfer switch required by ASME A17.1-2004, Rule 2.26.1.4.4 does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
 - 2.2. If the seismic reset switch does not reside in the machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the

control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.

- 3. Any and all inset car top railing shall comply with the following:
 - 3.1. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to stand on or climb over the railings to perform adjustments, maintenance, repairs or inspections. The Applicant shall not permit anyone to stand or climb over the car top railing.
 - 3.2. The distance that the railing can be inset shall be limited to not more than 12 inches.
 - 3.3. All exposed areas of the car top outside the car top railing where the distance from the railing to the edge of the car top exceeds 2 inches, shall be beveled with metal, at an angle of not less than 75 degrees with the horizontal, from the mid or top rail to the outside of the car top, such that no person or object can stand, sit, kneel, rest, or be placed in the exposed areas.
 - 3.4. The top surface of the beveled area and/or car top outside the railing, shall be clearly marked. The markings shall consist of alternating 4 inch diagonal red and white stripes.
 - 3.5. The applicant shall provide durable signs with lettering not less than 1/2 inch on a contrasting background on each inset railing; each sign shall state:

CAUTION STAY INSIDE RAILING NO LEANING BEYOND RAILING NO STEPPING ON, OR BEYOND, RAILING

- 3.6. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing will be measured from the car top and not from the required bevel).
- 4. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by CCCM having been trained, and competent, to perform those tasks on the Schindler Model 5500 elevator system in accordance with written procedures and criteria, including as required per above Conditions 1.2, and 1.3.

Proposed Variance Decision Schindler Model 5500 Elevators (Group IV)

Hearing Date: September 22, 2021

- 5. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and all applicable requirements met, including conditions of this permanent variance, prior to a Permit to Operate the elevator being issued. The elevator shall not be placed in service prior to the Permit to Operate being issued by Division.
- 6. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 7. This Decision and Order shall remain in effect unless modified or revoked upon application by Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with Title 8, Division 1, Chapter 3.5, procedural rules.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gonz@lez/Hearing Officer

Proposed Variance Decision Schindler Model 5500 Elevators (Group IV) Hearing Date: September 22, 2021

ADDENDUM 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code Section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

ADDENDUM 2

Suspension Means – Replacement Reporting Condition

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings.

Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.

Proposed Variance Decision Schindler Model 5500 Elevators (Group IV) Hearing Date: September 22, 2021

- f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance regarding:	OSHSB File No.: See Table in Item A of Proposed Decision Dated: September 27, 2021
Otis Gen2(O) and /or Gen2L Elevators (Group IV)	DECISION
The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez,	Standards Board hereby adopts the attached Hearing Officer.
	OCCUPATIONAL SAFETY AND HEALTH
DAVID THOMAS, Chairman	STANDARDS BOARD
	Date of Adoption: October 21, 2021
BARBARA BURGEL, Member	THE FORECOING VARIANCE RECICION WAS
	THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE.
KATHLEEN CRAWFORD, Member	IF YOU ARE DISSATISFIED WITH THE
TO THE LET COUNTY ON BY WICHING	DECISION, A PETITION FOR REHEARING
	MAY BE FILED BY ANY PARTY WITH THE
DAVID HARRISON, Member	STANDARDS BOARD WITHIN TWENTY (20)
	DAYS AFTER SERVICE OF THE DECISION.
NOLA KENNEDY Marchar	YOUR PETITION FOR REHEARING MUST
NOLA KENNEDY, Member	FULLY COMPLY WITH THE REQUIREMENTS
	OF CALIFORNIA CODE OF REGULATIONS,
CHRIS LASZCZ-DAVIS, Member	TITLE 8, SECTIONS 427, 427.1 AND 427.2.
	Note: A copy of this Decision must be
LAURA STOCK, Member	posted for the Applicant's employees to
LICITY OF OCK, WICHIDE	read, and/or a copy thereof must be

provided to the employees' Authorized

Representatives.

BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

Otis Gen2(O) and/or Gen2L Elevators (Group IV)

OSHSB File Nos.: See Section A.1 table below

PROPOSED DECISION

Hearing Date: September 22, 2021

A. Subject Matter:

1. Each applicant ("Applicant") listed in the table below has applied for permanent variances from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

Variance No.	Applicant Name	Variance Location Address	No. of Elevators
21-V-329	Kaiser Permanente	360 Rush Drive San Marcos, CA	9
21-V-330	Kaiser Permanente	San Marcos Central Utility Plant 370 Rush Drive San Marcos, CA	1
21-V-346	State of California Department of General Services	651 Bannon Street Sacramento, CA	29
21-V-367	One Kearny LLC	One Kearny 1 Kearny Street San Francisco, CA	1

2. The safety orders at issue are stated in the portion of Section F that precedes the variance conditions.

B. Jurisdiction:

This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, Title 8, Section 401, et. seq.

C. Procedural:

- 1. This hearing was held on September 22, 2021, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board") with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 2. At the hearing, Dan Leacox of Leacox & Associates appeared on behalf of each Applicant; David Morris appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff.
- 3. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

Exhibit Number	Description of Exhibit
PD-1	Permanent variance applications per Section A.1 table
PD-2	OSHSB Notice of Hearing
PD-3	Board Staff Reviews of Variance Application
PD-4	Division Reviews of Variance Application
PD-5	Review Draft-1 Proposed Decision

Official notice is taken of the Board's rulemaking recordings and variance decisions concerning the safety order requirements at issue. At close of hearing on September 22, 2021, the record was closed, and the matter taken under submission by the Hearing Officer.

D. Findings:

- 1. Each Applicant intends to utilize Otis Gen2(O) and/or Otis Gen2L elevators at the location and in the numbers stated in the Section A.1 table (as used in this Proposed Decision, the term "Gen2(O)" refers to the original type of Gen2 elevator, as distinguished from other types with such designations as "Gen2L" or "Gen2S" or "Gen2 at 150").
- 2. The installation contract for these elevators was, or will be, signed on or after May 1, 2008, making the elevators subject to the Group IV Elevator Safety Orders.
- 3. The Board incorporates by reference the findings stated in: (a) Items 3 through 5.c, 5.e, and 5.f of the "Findings of Fact" Section of the Proposed Decision adopted by the Board on February 19, 2009, regarding OSHSB File No. 08-V-247; (b) Item D.3 of the Proposed

Decision adopted by the Board on July 16, 2009, regarding OSHSB File No. 09-V-042; (c) Item D.4 of the Proposed Decision adopted by the Board on September 16, 2010, regarding OSHSB File No. 10-V-029; (d) Items D.4, D.5, and D.7 of the Proposed Decision adopted by the Board on July 18, 2013 regarding OSHSB File No. 12-V-146; and (e) Items D.4 and D.5 of the Proposed Decision adopted by the Board on September 25, 2014, in OSHSB File No. 14-V-170.

4. Both Board staff and Division safety engineers, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and positions stated at hearing, are of the well informed opinion that grant of requested permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

E. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

F. <u>Decision and Order</u>:

Each permanent variance application that is the subject of this proceeding is conditionally GRANTED, as below specified, and to the extent that, as of the date the Board adopts this Proposed Decision, each Applicant listed in the Section A.1 table of this Proposed Decision shall have a permanent variance from California Code of Regulations, Title 8, Section 3141 [ASME A17.1-2004, Sections 2.14.1.7.1 (only to the extent necessary to permit an inset car top railing, if, in fact, the car top railing is inset), 2.20.1, 2.20.2.1(b), 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4, 2.20.9.5.4, (only to the extent necessary to permit the use of Otis Gen2 flat coated steel suspension belts [the belts proposed for use on these Gen2(O) and/or Gen2L elevators] in lieu of conventional steel suspension ropes), 2.26.1.4.4(a) (only to the extent necessary to allow the inspection transfer switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room) and 8.4.10.1.1(a)(2)(b) (only to the extent necessary to allow the seismic reset switch to reside at a location other

than a machine room, if, in fact, it does not reside in the machine room)], regarding car top railings, switches, and suspension ropes and connections, for the location and number of elevators listed in the Section A.1 table (so long as the elevators are Gen2(O) or Gen2L Group IV devices that are designed, equipped, and installed in accordance with, and are otherwise consistent with, the representations made in the Otis Master File [referred to in previous Proposed Decisions as the "Gen2 Master File"] maintained by the Board, as that file was constituted at the time of this hearing), subject to the following conditions:

The variance shall be subject to the following additional conditions:

- 1. Each elevator subject to this variance shall comply with all applicable Group IV Elevator Safety Orders and with all ASME provisions made applicable by those Group IV Elevator Safety Orders, except those from which variances are granted, as set forth in the prefatory portion of this Decision and Order.
- 2. The suspension system shall comply with the following:
 - a. The coated steel belt shall have a factor of safety at least equal to the factor of safety that ASME A17.1-2004, Section 2.20.3, would require for wire ropes if the elevator were suspended by wire ropes rather than the coated steel belt.
 - b. Steel-coated belts that have been installed and used on another installation shall not be reused.
 - c. The coated steel belt shall be fitted with a monitoring device which has been accepted by the Division and which will automatically stop the car if the residual strength of any single belt drops below 60 percent. If the residual strength of any single belt drops below 60 percent, the device shall prevent the elevator from restarting after a normal stop at a landing.
 - d. Upon initial inspection, the readings from the monitoring device shall be documented and submitted to the Division.
 - e. A successful test of the monitoring device's functionality shall be conducted at least once a year (the record of the annual test of the monitoring device shall be a maintenance record subject to ASME A17.1-2004, Section 8.6.1.4).
 - f. The coated steel belts used shall be accepted by the Division.
 - g. The installation of belts and connections shall be in conformance with the manufacturer's specifications, which shall be provided to the Division.

3. With respect to each elevator subject to this variance, the applicant shall comply with Division Circular Letter E-10-04, a copy of which is attached hereto as Addendum 1 and incorporated herein by this reference.

- 4. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection, and testing of the belts and monitoring device, and criteria for belt replacement, and shall make those procedures and criteria available to the Division upon request.
- 5. The flat coated steel belts shall be provided with a metal data tag that is securely attached to one of those belts. This data tag shall bear the following flat steel coated belt data:
 - a. The width and thickness in millimeters or inches;
 - b. The manufacturer's rated breaking strength in (kN) or (lbf);
 - c. The name of the person who, or organization that, installed the flat coated steel belts;
 - d. The month and year the flat coated steel belts were installed;
 - e. The month and year the flat coated steel belts were first shortened;
 - f. The name or trademark of the manufacturer of the flat coated steel belts;
 - g. Lubrication information.
- 6. There shall be a crosshead data plate of the sort required by Section 2.20.2.1, and that plate shall bear the following flat steel coated belt data:
 - a. The number of belts,
 - b. The belt width and thickness in millimeters or inches, and
 - c. The manufacturer's rated breaking strength per belt in (kN) or (lbf).
- 7. If the seismic reset switch does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 8. If the inspection transfer switch required by ASME A17.1, rule 2.26.1.4.4(a), does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor

hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.

- 9. When the inspection and test control panel is located in the hoistway door jamb, the inspection and test control panel shall be openable only by use of a Security Group I restricted key.
- 10. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of elevator equipment in the hoistway is required. If service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 11. If there is an inset car top railing:
 - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on railings to perform adjustment, maintenance, repairs, or inspections. The applicant shall not permit anyone to stand on or climb over the car top railing.
 - b. The distance that the car top railing may be inset from the car top perimeter shall be limited to no more than 6 inches.
 - c. All exposed areas of the car top outside the car top railing shall preclude standing or placing objects or persons which may fall and shall be beveled from the mid- or top rail to the outside of the car top.
 - d. The top of the beveled area and/or the car top outside the railing, shall be clearly marked. The markings shall consist of alternating four-inch diagonal red and white stripes.
 - e. The Applicant shall provide, on each inset railing, durable signs with lettering not less than ½ inch on a contrasting background. Each sign shall state:

CAUTION DO NOT STAND ON OR CLIMB OVER RAILING

- f. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing shall be measured from the car top, and not from the required bevel).
- 12. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by Certified Competent Conveyance Mechanics who have been trained to, and are

Proposed Variance Decision
Otis Gen2(O) and/or Gen2L Elevators (Group IV)

Hearing Date: September 22, 2021

competent to, perform those tasks on the Gen2(O) and/or Gen2L elevator system the Applicant proposes to use, in accordance with the written procedures and criteria required by Condition No. 4 and the terms of this permanent variance.

- 13. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 14. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 15. The Applicant shall be subject to the suspension means replacement reporting condition stated in Addendum 2; that condition is incorporated herein by this reference.
- 16. The applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way that the Applicant was required to notify them of the application for permanent variance, per California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 17. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: Sept. 27, 2021

Autumn Gönzalez/Hearing Office

Proposed Variance Decision
Otis Gen2(O) and/or Gen2L Elevators (Group IV)

Hearing Date: September 22, 2021

ADDENDUM 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code Section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

Hearing Date: September 22, 2021

ADDENDUM 2

<u>Suspension Means – Replacement Reporting Condition</u>

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings.

Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
 - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
 - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
 - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
 - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
 - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.

Hearing Date: September 22, 2021

- f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

Occupational Safety and Health Standards Board

Business Meeting Legislative Update

Legislative Update

Prepared October 8, 2021, for the October 21, 2021 Meeting of the Occupational Safety and Health Standards Board

Summary of Changes

AB-2 Regulations: legislative review: regulatory reform. (2021-2022) No Update

AB-7 Emergency ambulance employees: subsidized protective gear.. (2021-2022) Update

AB-29 State bodies: meetings. (2021-2022) No Update

AB-62 Income taxes: credits: costs to comply with COVID-19 regulations. (2021-2022) No Update

AB-73 Employment safety: agricultural workers: wildfire smoke. (2021-2022) No Update

AB 105 The Upward Mobility Act of 2021: boards and commissions: civil service: examinations: classifications. (2021-2022) New

AD OFF Front Condition of the

AB-257 Fast food industry: working standards. (2021-2022) No Update

AB-361 Open meetings: state and local agencies: teleconferences. (2021-2022) NEW

AB-420 Public health: amusement parks and COVID-19. (2021-2022) No Update

AB-473 California Public Records Act.(2021-2022) Update- New Language in italics

AB-474 California Public Records Act: conforming revisions. (2021-2022) Update

AB-701 Warehouse distribution centers. (2021-2022) Update- New Language in italics

AB-783 Surface mines: safety regulation. (2021-2022) Update

AB-885 Bagley-Keene Open Meeting Act: teleconferencing. (2021-2022) No Update

AB-893 Emergency regulations: Division of Occupational Safety and Health: State Department of Public Health. (2021-2022) No Update

AB-1175 Division of Occupational Safety and Health: inspections and investigations: advance notice. (2021-2022) No Update

AB-1578 AB-1578 Judiciary omnibus. (2021-2022) New

AB-1291 State bodies: open meetings. (2021-2022) No Update

SB-321 Employment safety standards: advisory committee: household domestic services. (2021-2022) Update- New Language in italics

SB-410 Occupational safety and health: regulations. (2021-2022) No Update

AB-2 Regulations: legislative review: regulatory reform. (2021-2022) (Fong)

Date	Action
05/20/21	In committee: Held under submission.
05/20/21	Joint Rule 62(a), file notice suspended.
05/19/21	In committee: Set, first hearing. Referred to APPR. suspense file.
04/29/21	From committee: Do pass and re-refer to Com. on APPR. (Ayes 7. Noes 0.) (April 28). Re-referred to Com. on APPR.

Summary:

AB 2, as introduced, Fong. Regulations: legislative review: regulatory reform.

The Administrative Procedure Act governs the procedure for the adoption, amendment, or repeal of regulations by state agencies and for the review of those regulatory actions by the Office of Administrative Law. That act requires an agency, prior to submitting a proposal to adopt, amend, or repeal an administrative regulation, to determine the economic impact of that regulation, in accordance with certain procedures. The act defines a major regulation as a regulation, as specified, that will have an economic impact on California business enterprises and individuals in an amount exceeding \$50,000,000, as estimated by the agency. The act requires the office to transmit a copy of a regulation to the Secretary of State for filing if the office approves the regulation or fails to act on it within 30 days. The act provides that a regulation or an order of repeal of a regulation becomes effective on a quarterly basis, as prescribed, except in specified instances.

This bill would require the office to submit to each house of the Legislature for review a copy of each major regulation that it submits to the Secretary of State. The bill would add another exception to those currently provided that specifies that a regulation does not become effective if the Legislature enacts a statute to override the regulation.

The Administrative Procedure Act requires the Office of Administrative Law and a state agency proposing to adopt, amend, or repeal a regulation to review the proposed changes for, among other things, consistency with existing state regulations.

agency or out	would require each st s regulations, identify of date, to revise those ure and Governor, as s	any regulations that identified regulation	at are duplicative, ons, as provided, a	overlapping, inconsistend of the new transfer
Board :	taff are monitoring thi	is legislation to dete	ermine if regulator	ry action by the Board

AB-7 Emergency ambulance employees: subsidized protective gear. (2021-2022) (Carillo, Luz Reyes, and Lorena Gonzalez)

Date	Action
10/04/21	Vetoed by Governor.
09/13/21	Enrolled and presented to the Governor at 3 p.m.
09/07/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 57. Noes 9.).

Summary:

AB 7, as amended, Rodriguez. Emergency ambulance employees: subsidized multithreat body protective gear.

Existing law establishes a statewide system for emergency medical services, through which the Emergency Medical Services Authority is responsible for the coordination and integration of all state activities concerning emergency medical services, including on matters of training, scope of practice, and continuing education for emergency medical technicians and other prehospital personnel. Existing law, the California Occupational Safety and Health Act of 1973, imposes safety responsibilities on employers and employees, including requirements that every employer furnish and use safety devices and safeguards, and adopt and use practices that are reasonably adequate to render the employment and place of employment safe and healthful. Existing law makes a violation of those requirements a crime.

This bill would, upon request by an emergency ambulance employee, require an emergency ambulance provider to provide that employee with multithreat body protective gear, defined as material or equipment that is worn by an employee and is bullet, strike, slash, and stab resistant, and, for these purposes only, to be considered as part of the above-described safety devices and safeguards. The bill would require the provider, once the provider has obtained the protective gear, to make the protective gear readily available for the requesting employee to use when responding to an emergency call, and to provide training to that employee on the proper fitting and use of the protective gear, as specified. The bill would require an emergency ambulance provider to inform each emergency ambulance employee, upon initial employment and subsequently on an annual basis, of the employee's right to request multithreat body protective gear.

By creating new duties for emergency ambulance providers, a violation of which would be a crime, the bill would impose a state-mandated local program. The bill would not apply to the state or a political subdivision of the state.

AB-7

The bill would require the Emergency Medical Services Authority to develop and establish standards for the protective gear provided, to develop a process of certification for the protective gear, and to develop guidelines for the above-described training, as specified.
The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.
This bill would provide that no reimbursement is required by this act for a specified reason.
Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

AB-29 State bodies: meetings. (2021-2022)

(Cooper and Rubio)

Date	Action
05/20/21	In committee: Held under submission.
04/21/21	In committee: Set, first hearing. Referred to APPR. suspense file.
04/12/21	From committee: Do pass and re-refer to Com. on APPR. (Ayes 22. Noes 0.) (April 8). Re-referred to Com. on APPR.

Summary:

AB 29, as introduced, Cooper. State bodies: meetings.

AB-29

Existing law, the Bagley-Keene Open Meeting Act, requires that all meetings of a state body, as defined, be open and public, and that all persons be permitted to attend any meeting of a state body, except as otherwise provided in that act. Existing law requires the state body to provide notice of its meeting, including specified information and a specific agenda of the meeting, as provided, to any person who requests that notice in writing and to make that notice available on the internet at least 10 days in advance of the meeting.

This bill would require that notice to include all writings or materials provided for the noticed meeting to a member of the state body by the staff of a state agency, board, or commission, or another member of the state body that are in connection with a matter subject to discussion or consideration at the meeting. The bill would require those writings or materials to be made available on the state body's internet website, and to any person who requests the writings or materials in writing, on the same day as the dissemination of the writings and materials to members of the state body or at least 72 hours in advance of the meeting, whichever is earlier. The bill would prohibit a state body from discussing those writings or materials, or from taking action on an item to which those writings or materials pertain, at a meeting of the state body unless the state body has complied with these provisions.

Board staff are monitoring this legislation for cost and impacts to its meeting requirments.

AB-62 Income taxes: credits: costs to comply with COVID-19 regulations. (2021-2022) (Gray)

Date	Action
03/22/21	In committee: Hearing postponed by committee.

Summary:

AB 62, as introduced, Gray. Income taxes: credits: costs to comply with COVID-19 regulations.

AB-62

The Personal Income Tax Law and the Corporation Tax Law allow various credits against the taxes imposed by those laws. Existing law requires any bill authorizing a new tax credit to contain, among other things, specific goals, purposes, and objectives that the tax credit will achieve, detailed performance indicators, and data collection requirements.

This bill would allow a credit against those taxes for each taxable year beginning on or after January 1, 2021, to a qualified taxpayer, as defined, in an amount equal to the total amount paid or incurred during the taxable year by the qualified taxpayer to comply with the regulations adopted by the Occupational Safety and Health Standards Board on November 19, 2020, relating to COVID-19 prevention and approved by the Office of Administrative Law. The bill also would state the intent of the Legislature to comply with the additional information requirement for any bill authorizing a new income tax credit.

This bill would take effect immediately as a tax levy.

Board staff are monitoring this legislation for any potential impacts to its COVID-19 Emergency Temporary Standards.

AB-73 Employment safety: agricultural workers: wildfire smoke. (2021-2022) (Rivas, Garcia, Gonzalez, and Kalra)

Date	Action
09/27/21	Chaptered by Secretary of State - Chapter 322, Statutes of 2021.
09/27/21	Approved by the Governor.
09/22/21	Enrolled and presented to the Governor at 2 p.m.
09/10/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 78. Noes 0.).
09/10/21	Ordered to the unfinished business file.
09/10/21	In Assembly. Concurrence in Senate amendments pending.
09/10/21	Read third time. Urgency clause adopted. Passed. Ordered to the Assembly. (Ayes 37. Noes 0.).
09/07/21	Read second time. Ordered to third reading.
09/03/21	Read third time and amended. Ordered to second reading.

AB-73

Summary:

- AB 73, as amended, Robert Rivas. Health emergencies: employment safety: agricultural workers: wildfire smoke.
- (1) Existing law establishes the State Department of Public Health (department) to implement various programs throughout the state relating to public health, including licensing and regulating health facilities and control of infectious diseases. Existing law requires the department and the Office of Emergency Services, in coordination with other state agencies, to, upon appropriation and as necessary, establish a personal protective equipment (PPE) stockpile. Existing law requires the department to establish guidelines for the procurement, management, and distribution of PPE, taking into account, among other things, the amount of each type of PPE that would be required for all health care workers and essential workers, as defined, in the state during a 90-day pandemic or other health emergency.

This bill would specifically include wildfire smoke events among health emergencies for these purposes. The bill would include agricultural workers, as defined, in the definition of essential workers.

Existing law also establishes the Personal Protective Equipment Advisory Committee (committee), consisting of representatives from, among other groups, an association representing skilled nursing facilities, a statewide association representing physicians, 2 representatives of labor organizations that represent health care workers, and 2 representatives of labor organizations that represent essential workers, as defined, to make recommendations to the department for the development of guidelines for the procurement, management, and distribution of PPE, as specified.

This bill would include agricultural workers within the definition of essential workers, and would require the committee to, in addition, include a representative of a labor organization representing agricultural workers and a representative of an organization that represents agricultural employers. The bill would also require the department to report to the Legislature regarding the PPE stockpile within 6 months of the effective date of these provisions.

(2) Existing law establishes the Division of Occupational Safety and Health and the Occupational Safety and Health Standards Board within the Department of Industrial Relations and sets forth their powers and duties relating to the adoption of health and safety standards for workers. Under existing law, certain violations of a standard, order, or special order pursuant to these provisions are crimes.

Existing regulations of the division protect employees exposed to wildfire smoke and include control by respiratory protective equipment among the methods to control harmful exposure.

This bill would, among other things, require the division to designate wildfire smoke strike teams within the appropriate regional offices and authorize the division to deploy the teams to enforce wildfire smoke protection regulations under specified conditions with regard to agricultural workplaces and agricultural employees.

The bill would require the division, by January 1, 2023, to develop and distribute a sample template document providing prescribed air quality training and information, including, but not limited to, how to use N95 respirators safely. The bill would require employers to periodically conduct the training. The bill would, in addition, commencing January 1, 2023, require refresher training during wildfire smoke emergencies and prior to distribution of the respirators. The bill would require the template and training be consistent with regulations adopted by the board.

This bill would require the division to develop, periodically update, and distribute to employees training materials in English, Spanish, and pictograms for agricultural employees relating to wildfire smoke safety topics, including employee rights and access to, and use of,

personal protective equipment. The bill would require the training to be provided periodically by employers and supervisors of agricultural employees, and that the frequency be established by regulations adopted by the board and based upon regional wildfire risk.

Because a violation of certain safety and health standards or orders constitutes a crime, this bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

This bill would declare that it is to take effect immediately as an urgency statute.

Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

AB 105 The Upward Mobility Act of 2021: boards and commissions: civil service: examinations: classifications. (2021-2022)

Holden Chiu and Cooper

Date	Action
10/08/21	Vetoed by Governor.
09/17/21	Enrolled and presented to the Governor at 3 p.m.
09/09/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 58. Noes 12.).
09/08/21	In Assembly. Concurrence in Senate amendments pending.
09/08/21	Read third time. Passed. Ordered to the Assembly. (Ayes 29. Noes 8.).

AB-105

Summary:

Existing law provides that it is the policy of the State of California that the composition of state boards and commissions shall be broadly reflective of the general public, including ethnic minorities and women.

This bill would require that, on or after January 1, 2022, all state boards and commissions consisting of one or more volunteer members have at least one board member or commissioner from an underrepresented community. The bill would define the term "board member or commissioner from an underrepresented community" as an individual who self-identifies as Black, African American, Hispanic, Latino, Asian, Pacific Islander, Native American, Native Hawaiian, or Alaska-Native, or Native; who self-identifies as gay, lesbian, bisexual, or transgender. transgender; who is a veteran, as defined; or who has a disability, as defined. The bill would apply these requirements only as vacancies on state boards and commissions occur.

The California Constitution establishes the State Personnel Board (board) and requires the board to, among other things, enforce the civil service statutes, prescribe probationary

periods and classifications, adopt rules authorized by statute, and review disciplinary actions. The Constitution also requires the executive officer of the board to administer the civil service statutes under the rules of the board. Under existing law, the board is authorized to conduct audits and investigations of the personnel practices of the Department of Human Resources and appointing authorities to ensure compliance with civil service policies, procedures, and statutes. Existing law establishes the Department of Human Resources (department) and provides that, subject to the requirements of the California Constitution, it succeeds to and is vested with the duties, purposes, responsibilities, and jurisdiction exercised by the board as its designee with respect to the board's administrative and ministerial functions.

This bill, among other things, would instead authorize the department, at the direction of and in conjunction with the State Personnel Board, to conduct audits and investigations of personnel practices of other departments and appointing authorities to ensure compliance with civil service policies, procedures, and statutes. The bill would require the department to oversee compliance with rules prescribed by the board consistent with a merit-based civil service system to govern appointments, classifications, examinations, probationary periods, disciplinary actions, and other matters related to the board's constitutional authority, and require the department, pursuant to a process established by the State Personnel Board, to investigate complaints filed by employees in a state department's equal employment opportunity program and personnel office, other civil service employees, applicants, and members of the public alleging violations of civil service laws and report findings to the board for adjudication.

Existing law requires any state agency, board, or commission that directly or by contract collects demographic data as to the ancestry or ethnic origin of Californians to use separate collection categories and tabulations for major Asian and Pacific Islander groups, as specified.

This bill would require any state agency, board, or commission that directly or by contract collects demographic data as to the ancestry or ethnic origin of Californians to use separate collection categories and tabulations for specified African American groups. The bill would distinguish between African Americans who are descendants of persons enslaved in the United States and African Americans who are not descendants of persons enslaved in the United States, as defined.

Existing law requires that lists of eligible applicants for civil service positions be established as a result of free competitive examinations. Existing law, with regard to the requirements governing examinations for establishing employment lists, authorizes the department to designate an appointing power to design, announce, or administer examinations and requires the board to establish minimum qualifications for determining the fitness and qualifications of employees for each class of position.

This bill would require instead that the board establish a process that includes diversity and best practices in each aspect of the design, announcement, and administration of the examinations and, in developing qualifications for determining the fitness and qualifications of employees, create standards for statements of qualifications used as examination criteria for the State of California in determining the fitness and qualifications of employees for each class of position. The bill would also require that examinations with an oral component be video and otherwise electronically recorded and all other examination materials be maintained for each examination, as specified. The bill would also require the announcement for an examination include the core competencies, as defined, and the standard statement of qualifications, if applicable.

Existing law requires all appointing authorities of state government to establish an effective program of upward mobility for employees in low-paying occupational groups. Existing law requires each upward mobility program to include annual goals for upward mobility and a timetable for when progress will occur, and requires the department to approve the goals and timetables. Existing law authorizes an appointing authority that determines that it will be unable to achieve the goals to ask the department for a reduction in the goals, as specified.

This bill would repeal the authorization for an appointing authority to ask the department for a reduction in their annual upward mobility goals, and would instead require the appointing authority to submit a report explaining the failure to achieve the goals and what requirements are necessary to facilitate achieving the goals, as specified, and then submit the report to specified persons. The bill would, on or before July 1, 2022, require the department to develop model upward mobility goals that include race, gender, and LGBTQ LGBTQ, veteran status, or physical or mental disability as factors, and to provide a report to the Legislature outlining the department workforce analysis used to develop those model goals.

Existing law authorizes a state appointing power to take adverse action against state civil service employees for specified causes for discipline, and provides procedures for state civil service disciplinary proceedings. Existing law authorizes the board to hold hearings and make investigations concerning all matters relating to the enforcement and effect of the State Civil Service Act, as specified.

This bill would require each appointing power to provide the Department of Human Resources with a report, no later than April 1 of each year, detailing certain information regarding adverse actions against state employees, including, but not limited to, the ethnicity, race, gender identity, or sexual orientation of each employee served with an adverse action in the preceding calendar year.

Board staff are monitoring this legislation.

AB 361, Robert Rivas. Open meetings: state and local agencies: teleconferences.

(1) Existing law, the Ralph M. Brown Act requires, with specified exceptions, that all meetings of a legislative body of a local agency, as those terms are defined, be open and public and that all persons be permitted to attend and participate. The act contains specified provisions regarding the timelines for posting an agenda and providing for the ability of the public to directly address the legislative body on any item of interest to the public. The act generally requires all regular and special meetings of the legislative body be held within the boundaries of the territory over which the local agency exercises jurisdiction, subject to certain exceptions. The act allows for meetings to occur via teleconferencing subject to certain requirements, particularly that the legislative body notice each teleconference location of each member that will be participating in the public meeting, that each teleconference location be accessible to the public, that members of the public be allowed to address the legislative body at each teleconference location, that the legislative body post an agenda at each teleconference location, and that at least a quorum of the legislative body participate from locations within the boundaries of the local agency's jurisdiction. The act provides an exemption to the jurisdictional requirement for health authorities, as defined. The act authorizes the district attorney or any interested person, subject to certain provisions, to commence an action by mandamus or injunction for the purpose of obtaining a judicial determination that specified actions taken by a legislative body are null and void.

AB-257

Existing law, the California Emergency Services Act, authorizes the Governor, or the Director of Emergency Services when the governor is inaccessible, to proclaim a state of emergency under specified circumstances.

Executive Order No. N-29-20 suspends the Ralph M. Brown Act's requirements for teleconferencing during the COVID-19 pandemic provided that notice and accessibility requirements are met, the public members are allowed to observe and address the legislative body at the meeting, and that a legislative body of a local agency has a procedure for receiving and swiftly resolving requests for reasonable accommodation for individuals with disabilities, as specified.

This bill, until January 1, 2024, would authorize a local agency to use teleconferencing without complying with the teleconferencing requirements imposed by the Ralph M. Brown Act when a legislative body of a local agency holds a meeting during a declared state of emergency, as that term is defined, when state or local health officials have imposed or recommended measures to promote social distancing, during a proclaimed state of emergency held for the purpose of determining, by majority vote, whether meeting in person would present imminent risks to the health or safety of attendees, and during a

proclaimed state of emergency when the legislative body has determined that meeting in person would present imminent risks to the health or safety of attendees, as provided.

This bill would require legislative bodies that hold teleconferenced meetings under these abbreviated teleconferencing procedures to give notice of the meeting and post agendas, as described, to allow members of the public to access the meeting and address the legislative body, to give notice of the means by which members of the public may access the meeting and offer public comment, including an opportunity for all persons to attend via a call-in option or an internet-based service option, and to conduct the meeting in a manner that protects the statutory and constitutional rights of the parties and the public appearing before the legislative body. The bill would require the legislative body to take no further action on agenda items when there is a disruption which prevents the public agency from broadcasting the meeting, or in the event of a disruption within the local agency's control which prevents members of the public from offering public comments, until public access is restored. The bill would specify that actions taken during the disruption are subject to challenge proceedings, as specified.

This bill would prohibit the legislative body from requiring public comments to be submitted in advance of the meeting and would specify that the legislative body must provide an opportunity for the public to address the legislative body and offer comment in real time. The bill would prohibit the legislative body from closing the public comment period and the opportunity to register to provide public comment, until the public comment period has elapsed or until a reasonable amount of time has elapsed, as specified. When there is a continuing state of emergency, or when state or local officials have imposed or recommended measures to promote social distancing, the bill would require a legislative body to make specified findings not later than 30 days after the first teleconferenced meeting pursuant to these provisions, and to make those findings every 30 days thereafter, in order to continue to meet under these abbreviated teleconferencing procedures.

Existing law prohibits a legislative body from requiring, as a condition to attend a meeting, a person to register the person's name, or to provide other information, or to fulfill any condition precedent to the person's attendance.

This bill would exclude from that prohibition, a registration requirement imposed by a third-party internet website or other online platform not under the control of the legislative body.

(2) Existing law, the Bagley-Keene Open Meeting Act, requires, with specified exceptions, that all meetings of a state body be open and public and all persons be permitted to attend any meeting of a state body. The act requires at least one member of the state body to be physically present at the location specified in the notice of the meeting.

The Governor's Executive Order No. N-29-20 suspends the requirements of the Bagley-Keene Open Meeting Act for teleconferencing during the COVID-19 pandemic, provided that notice and accessibility requirements are met, the public members are allowed to observe and address the state body at the meeting, and that a state body has a procedure for receiving and swiftly resolving requests for reasonable accommodation for individuals with disabilities, as specified.

This bill, until January 31, 2022, would authorize, subject to specified notice and accessibility requirements, a state body to hold public meetings through teleconferencing and to make public meetings accessible telephonically, or otherwise electronically, to all members of the public seeking to observe and to address the state body. With respect to a state body holding a public meeting pursuant to these provisions, the bill would suspend certain requirements of existing law, including the requirements that each teleconference location be accessible to the public and that members of the public be able to address the state body at each teleconference location. Under the bill, a state body that holds a meeting through teleconferencing and allows members of the public to observe and address the meeting telephonically or otherwise electronically would satisfy any requirement that the state body allow members of the public to attend the meeting and offer public comment. The bill would require that each state body that holds a meeting through teleconferencing provide notice of the meeting, and post the agenda, as provided. The bill would urge state bodies utilizing these teleconferencing procedures in the bill to use sound discretion and to make reasonable efforts to adhere as closely as reasonably possible to existing law, as provided.

(3) Existing law establishes the various campuses of the California State University under the administration of the Trustees of the California State University, and authorizes the establishment of student body organizations in connection with the operations of California State University campuses.

The Gloria Romero Open Meetings Act of 2000 generally requires a legislative body, as defined, of a student body organization to conduct its business in a meeting that is open and public. The act authorizes the legislative body to use teleconferencing, as defined, for the benefit of the public and the legislative body in connection with any meeting or proceeding authorized by law.

This bill, until January 31, 2022, would authorize, subject to specified notice and accessibility requirements, a legislative body, as defined for purposes of the act, to hold public meetings through teleconferencing and to make public meetings accessible telephonically, or otherwise electronically, to all members of the public seeking to observe and to address the legislative body. With respect to a legislative body holding a public meeting pursuant to these provisions, the bill would suspend certain requirements of

existing law, including the requirements that each teleconference location be accessible to the public and that members of the public be able to address the legislative body at each teleconference location. Under the bill, a legislative body that holds a meeting through teleconferencing and allows members of the public to observe and address the meeting telephonically or otherwise electronically would satisfy any requirement that the legislative body allow members of the public to attend the meeting and offer public comment. The bill would require that each legislative body that holds a meeting through teleconferencing provide notice of the meeting, and post the agenda, as provided. The bill would urge legislative bodies utilizing these teleconferencing procedures in the bill to use sound discretion and to make reasonable efforts to adhere as closely as reasonably possible to existing law, as provided.

- (4) This bill would declare the Legislature's intent, consistent with the Governor's Executive Order No. N-29-20, to improve and enhance public access to state and local agency meetings during the COVID-19 pandemic and future emergencies by allowing broader access through teleconferencing options.
- (5) This bill would incorporate additional changes to Section 54953 of the Government Code proposed by AB 339 to be operative only if this bill and AB 339 are enacted and this bill is enacted last.
- (6) The California Constitution requires local agencies, for the purpose of ensuring public access to the meetings of public bodies and the writings of public officials and agencies, to comply with a statutory enactment that amends or enacts laws relating to public records or open meetings and contains findings demonstrating that the enactment furthers the constitutional requirements relating to this purpose.

This bill would make legislative findings to that effect.

(7) Existing constitutional provisions require that a statute that limits the right of access to the meetings of public bodies or the writings of public officials and agencies be adopted with findings demonstrating the interest protected by the limitation and the need for protecting that interest.

This bill would make legislative findings to that effect.

AB-473 California Public Records Act.(2021-2022)
(Chau)

Date	Action
10/07/21	Chaptered by Secretary of State - Chapter 614, Statutes of 2021.
10/07/21	Approved by the Governor.
09/08/21	Enrolled and presented to the Governor at 4:30 p.m.
09/01/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 78. Noes 0.).
09/01/21	Assembly Rule 77 suspended. (Ayes 54. Noes 16.)

AB-473

Summary:

AB 473, as introduced, Chau. California Public Records Act.

The California Public Records Act requires state and local agencies to make their records available for public inspection, unless an exemption from disclosure applies.

This bill would recodify and reorganize the provisions of the act. The bill would include provisions to govern the effect of recodification and state that the bill is intended to be entirely nonsubstantive in effect. The bill would contain related legislative findings and declarations. The bill would become operative on January 1, 2023.

This bill would incorporate additional changes proposed by AB 386, AB 562, and AB 823 to be operative only if this bill and AB 386, AB 562, and AB 823 are enacted and this bill is enacted last.

Board staff are monitoring this legislation.

(Chau)		
Date	Action	
10/07/21	Chaptered by Secretary of State - Chapter 615, Statutes of 2021.	
10/07/21	Approved by the Governor.	
09/09/21	Enrolled and presented to the Governor a 4 p.m.	
09/01/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 78. Noes 0.).	

AB-474 Summary:

AB 474, as introduced, Chau. California Public Records Act: conforming revisions.

The California Public Records Act requires state and local agencies to make their records available for public inspection, unless an exemption from disclosure applies.

This bill would enact various conforming and technical changes related to another bill, AB 473, which recodifies and reorganizes the California Public Records Act. This bill would only become operative if AB 473 is enacted and reorganizes and makes other nonsubstantive changes to the California Public Records Act that become operative on January 1, 2023. The bill would also specify that any other bill enacted by the Legislature during the 2021 calendar year that takes effect on or before January 1, 2022, and that affects a provision of this bill shall prevail over this act, except as specified. The bill would also specify that any other bill enacted by the Legislature during the 2021 calendar year that takes effect on or before January 1, 2022, and that affects a provision of this bill shall prevail over this act, except as specified.

Board staff are monitoring this legislation.

	AB-701 Warehouse distribution centers. (202 (Gon	21-2022) zalez)	
	Date	Action	
	09/22/21	Chaptered by Secretary of State - Chapter 197, Statutes of 2021.	
	09/22/21	Approved by the Governor.	
	09/20/21	Enrolled and presented to the Governor at 3 p.m.	
	09/09/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 52. Noes 19.).	
	09/08/21	In Assembly. Concurrence in Senate amendments pending.	
AB-701	09/08/21	Read third time. Passed. Ordered to the Assembly. (Ayes 26. Noes 11.).	
	09/07/21	Read second time. Ordered to third reading.	
	09/03/21	Read third time and amended. Ordered to second reading.	
	08/30/21	Read second time. Ordered to third reading.	
	ouse distribution centers.		
	This bill, among other things, would require specified employers to provide to ea employee, defined as a nonexempt employee who works at a warehouse distribution center upon hire, or within 30 days of the effective date of these provisions, with a written description of each quota to which the employee is subject, including the quantified number of tasks be performed, or materials to be produced or handled, within the defined time period, and		

any potential adverse employment action that could result from failure to meet the quota.

The bill would provide that an employee shall not be required to meet a quota that prevents

compliance with meal or rest periods, use of bathroom facilities, or occupational health and safety laws, as specified. The bill would prohibit an employer from taking adverse action against an employee for failure to meet a quota that has not been disclosed or for failure to meet a quota that does not allow a worker to comply with meal or rest periods or occupational health and safety laws. The bill would require that any action taken by an employee to comply with occupational health and safety laws or division standards be considered time on task and productive time for the purposes of any quotas or monitoring system.

This bill would provide that if a current or former employee believes that meeting a quota caused a violation of their right to a meal or rest period or required them to violate any occupational health and safety law or standard, they have the right to request, and the employer is required to provide, a written description of each quota to which the employee is subject and a copy of the most recent 90 days of the employee's own personal work speed data. The bill would limit a former employee to one of these requests. The bill would require the Labor Commissioner, if any employee files a complaint alleging violations of these provisions, to provide each employee in the workplace with a written notice containing information regarding the employee's right to report specified violations and regarding the employer being prohibited from retaliating against an employee for reporting unsafe workplace conditions or participating in an investigation by an enforcement agency. The bill would also authorize a current or former employee to bring an action for injunctive relief to obtain compliance with specified requirements, and may, upon prevailing in the action, recover costs and reasonable attorney's fees in that action.

This bill would require the Labor Commissioner to enforce these provisions by engaging in coordinated and strategic enforcement efforts with the Department of Industrial Relations, including the Division of Occupational Safety and Health and the Division of Workers' Compensation. The bill would authorize the commissioner to have access to data from the department including employer-reported injury data and enforcement actions in warehouses, the identity of uninsured employers, and employers who are committing workers' compensation fraud, wage theft, or other information relevant to the commissioner's authority, and would make other conforming changes. The bill would require the commissioner to report to the Legislature by January 1, 2023, the number of claims filed with the commissioner, data on warehouse production quotas in warehouses with annual employee injury rates above the industry average, and the number of investigations undertaken and enforcement actions initiated, per employer.

This bill would require to commissioner, if a pattern or practice of injuries or health and safety violations is found at a particular worksite or with an employer, to initiate an investigation of violations in coordination with other divisions within the Department of Industrial Relations, as needed. The bill would authorize the commissioner to adopt

regulations relating to the procedures for an employee to make a complaint alleging a violation of this part.

(2) Under existing law, the California Occupational Safety and Health Act of 1973, the Division of Occupational Safety and Health investigates complaints that a workplace is not safe and may issue orders necessary to ensure employee safety. Under existing law, certain violations of that act or a standard, order, or special order authorized by the act are a crime. This bill would require the division, by January 1, 2023, to propose to the Occupational Safety and Health Standards Board for the board's review and adoption a standard that minimizes the risk of musculoskeletal injuries and disorders among employees working in warehouse distribution centers, as provided. Because this bill would expand the definition of an existing crime, it would impose a state-mandated local program. The bill would also require the division, when an employee files a complaint, to provide the employee with a written notice containing specified information regarding their rights.

(3)

(2)The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

AB 783 Surface mines: safety regulation. (2021-2022) (Gray)

ate	Action
10/08/21	Vetoed by Governor.
09/01/21	Enrolled and presented to the Governor at 4:30 p.m.
08/26/21	In Assembly. Ordered to Engrossing and Enrolling.
08/26/21	Read third time. Passed. Ordered to the Assembly. (Ayes 36. Noes 0.).

Summary:

AB 783, as introduced, Gray. Surface mines: safety regulation.

AB-783

Existing law, enforced by the Division of Occupational Safety and Health, defines and regulates mines and tunnels and distinguishes between above ground, or surface mines, and underground mines.

Existing law requires the Division of Occupational Safety and Health to issue citations if, upon inspection, an employer violates specified standards, rules, orders, or regulations. Existing law authorizes a notice to be issued in lieu of a citation if specified conditions are met. Existing law prohibits a citation or notice from being issued by the division more than 6 months after the occurrence of the violation.

This bill will specify that the division is prohibited from issuing a citation or notice to a surface mine employer more than 6 months after the occurrence of a violation. For inspections at a surface mine, the bill would require the division to provide the employer a specified notice of hazard within 72 hours after the inspection for observable conditions that may cause an injury if not addressed with reasonable promptness. The bill would prohibit the absence of identification of particular conditions in a notice, or the failure of the division to note particular conditions in a notice, from being grounds to dismiss or prevent applicable enforcement or corrective action.

Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

AB-885 Bagley-Keene Open Meeting Act: teleconferencing.(2021-2022)
(Quirk)

Date	Action
03/25/21	Re-referred to Com. on G.O.
03/24/21	From committee chair, with author's amendments: Amend, and re-refer to Com. on G.O. Read second time and amended.
02/25/21	Referred to Com. on G.O.
02/18/21	From printer. May be heard in committee March 20.
02/17/21	Read first time. To print.

Summary:

AB 885, as amended, Quirk. Bagley-Keene Open Meeting Act: teleconferencing.

AB-885

The Bagley-Keene Open Meeting Act (Bagley-Keene Act), requires, with specified exceptions, that all meetings of a state body, as defined, be open and public, and all persons be permitted to attend any meeting of a state body, except as provided. The Bagley-Keene Act, among other things, requires a state body that elects to conduct a meeting or proceeding by teleconference to make the portion of the meeting that is required to be open to the public audible to the public at the location specified in the notice of the meeting. The Bagley-Keene Act requires a state body that elects to conduct a meeting or proceeding by teleconference to post agendas at all teleconference locations, identify each teleconference location in the notice and agenda of the meeting or proceeding, and requires each teleconference location to be accessible to the public. That law authorizes any meeting of a state body that is an advisory board, advisory commission, advisory committee, advisory subcommittee, or similar multimember advisory body to hold an open meeting by teleconference if the meeting complies with the requirements of the act, except as provided. Existing law requires that when a member of a multimember state advisory body participates remotely the body provide a means by which the public may remotely hear audio of the meeting or remotely observe the meeting. Existing law requires a multimember state advisory body to end or adjourn a meeting if it discovers that a required means of remote access has failed during the meeting, and, if the meeting is to adjourn and reconvene on the same day, that law requires the body to communicate, among other things, how a member of the public may hear audio of the meeting or observe the meeting.

This bill would require a state body that elects to conduct a meeting or proceeding by teleconference to make the portion that is required to be open to the public both audibly and visually observable. The bill would require a state body that elects to conduct a meeting or proceeding by teleconference to post an agenda at the designated primary physical meeting location in the notice of the meeting where members of the public may physically attend the meeting and participate. The bill would extend the above requirements of meetings of multimember advisory bodies that are held by teleconference to meetings of all multimember state bodies. The bill would require a multimember state body to provide a means by which the public may both audibly and visually remotely observe a meeting if a member of that body participates remotely. The bill would further require any body that is to adjourn and reconvene a meeting on the same day to communicate how a member of the public may both audibly and visually observe the meeting. The bill would also make nonsubstantive changes to those provisions.

Existing constitutional provisions require that a statute that limits the right of access to the meetings of public bodies or the writings of public officials and agencies be adopted with findings demonstrating the interest protected by the limitation and the need for protecting that interest.

This bill would make legislative findings to that effect.

Board staff are monitoring this legislation for cost and impacts to its meeting requirments.

AB-893 Emergency regulations: Division of Occupational Safety and Health: State Department of Public Health. (2021-2022)

(Davies)

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Date	Action
02/25/21	Referred to Com. on A. & A.R.
02/18/21	From printer. May be heard in committee March 20.
02/17/21	Read first time. To print

Summary:

AB 893, as introduced, Davies. Emergency regulations: Division of Occupational Safety and Health: State Department of Public Health.

Existing law establishes the Occupational Safety and Health Standards Board within the Department of Industrial Relations to adopt occupational health and safety standards to protect the welfare of employees. The Division of Occupational Safety and Health enforces occupational safety and health standards and orders.

Existing law establishes the State Department of Public Health, within the California Health and Human Services Agency, and vests the department with certain duties, powers, functions, jurisdiction, and responsibilities over specified public health programs.

Existing law, the Administrative Procedure Act, governs, among other things, the procedures for the adoption, amendment, or repeal of regulations, including emergency regulations, by state agencies and for the review of those regulatory actions by the Office of Administrative Law.

This bill would require the Division of Occupational Safety and Health or the State Department of Public Health, within 14 calendar days of the release of a federal recommendation that conflicts with an emergency regulation related to COVID-19 issued by the division or the department, to review the conflicting emergency regulation and make a determination to either amend the regulation or submit a report to the Legislature on the decision not to amend the regulation, as specified. The bill would require the division or department, before determining whether to amend the emergency regulation, to provide public notice and an opportunity for public comment. The bill would repeal these provisions 90 days after the termination of the state of emergency related to the COVID-19 pandemic declared by the Governor.

This bill would declare that it is to take effect immediately as an urgency statute.

Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

AB-1175 Division of Occupational Safety and Health: inspections and investigations: advance notice. (2021-2022)

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Date	Action
03/15/21	Re-referred to Com. on L. & E.
03/11/21	From committee chair, with author's amendments: Amend, and re-refer to Com. on L. & E. Read second time and amended.
03/11/21	Referred to Com. on L. & E.
02/19/21	From printer. May be heard in committee March 21.
02/18/21	Read first time. To print.

Summary:

AB-1175

AB 1175, as amended, Aguiar-Curry. Division of Occupational Safety and Health: inspections and investigations: advance notice.

Existing law, the California Occupational Safety and Health Act of 1973, vests the Division of Occupational Safety and Health within the Department of Industrial Relations with the power, jurisdiction, and supervision over every employment and place of employment, which is necessary adequately to enforce and administer all laws and lawful standards and orders, or special orders requiring such employment and place of employment to be safe, and requiring the protection of the life, safety, and health of every employee in such employment or place of employment, including to inspect and investigate employments and places of employment, as prescribed. The Occupational Safety and Health Administration (OSHA), except as provided, prohibits a person or employer from being given advance warning of an inspection or investigation by any authorized representative of the division. OSHA authorizes the Chief of the Division of Occupational Safety and Health or an authorized representative to permit advance notice of an inspection or investigation as prescribed by the Director of Industrial Relations. OSHA prohibits the authorization of advance notice when the investigation or inspection is to be made as a result of an employee complaint, unless there is imminent danger to the health or safety of an employee or employees. OSHA makes it a crime, punishable as prescribed, for any person to give unauthorized advance notice of any inspection to be conducted.

This bill would revise those advance warning provisions to prohibit any representative of the division from giving advance notice of an inspection or investigation to an employer or other person unless authorized under OSHA. The bill would authorize the chief or their authorized representatives to permit advance notice of an inspection or investigation when advance notice is necessary to ensure availability of essential personnel or access to the site, equipment, or process, as prescribed by the director. The bill would delete the prohibition on the authorization of advance notice when the investigation or inspection is to be made as a result of an employee complaint. The bill would expand the crime to apply to unauthorized advance notice of an investigation to be conducted, thereby imposing a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

Board staff are monitoring this legislation.

AB-1291 State bodies: open meetings. (2021-2022) (Frazier)

Date	Action
07/09/21	Chaptered by Secretary of State - Chapter 63, Statutes of 2021.
07/09/21	Approved by the Governor.

AB-1291

Summary:

AB 1291, as introduced, Frazier. State bodies: open meetings.

The Bagley-Keene Open Meeting Act requires that meetings of a state body be open and public and that all persons be permitted to attend, with certain exceptions. Existing law provides that, subject to certain exceptions and reasonable regulations, the state body shall provide members of the public an opportunity to directly address the state body on agenda

items. Existing law authorizes the state body to limit the amount of time allotted for each member of the public to speak, but specifies that members of the public who use translators shall be given twice that allotted amount of time.

This bill would also require a state body, when it limits time for public comment, to provied at least twice the alloted time to a member of the public who utilizes translating technology to address the state body. The bill would additionally make technical, nonsubstantive changes.

Board staff are monitoring this legislation for cost and impacts to its meeting requirments.

AB-1578 Judiciary omnibus.(2021-2022)

(Frazier)

	Date	Action
	09/30/21	Chaptered by Secretary of State - Chapter 401, Statutes of 2021.
	09/30/21	Approved by the Governor.
	09/20/21	Enrolled and presented to the Governor at 3 p.m.
AB-1578	09/09/21	Senate amendments concurred in. To Engrossing and Enrolling. (Ayes 66. Noes 0.).
	09/08/21	In Assembly. Concurrence in Senate amendments pending.
	09/08/21	Read third time. Passed. Ordered to the Assembly. (Ayes 36. Noes 1.).
	09/07/21	Read second time. Ordered to third reading.
	09/03/21	Read third time and amended. Ordered to second reading.
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Summary:

AB 1578, Committee on Judiciary. Judiciary omnibus.

(1) Existing law, known as the Automobile Sales Finance Act, prohibits the seller or holder of a conditional sale contract for a motor vehicle from accelerating the maturity of any part or all of the amount due under the contract or repossessing the vehicle in the absence of default in the performance of any of the buyer's obligations under the contract. That act establishes a right in the buyer to reinstate a conditional sale contract for a motor vehicle after default, details various methods by which to cure the default, and in all cases requires reimbursing the seller or holder for all reasonable and necessary collection and repossession costs and fees incurred. A willful violation of these provisions is a crime.

This bill would instead establish that in order to cure a default by any method, the buyer is required to reimburse the seller or holder for all reasonable and necessary collection and repossession costs and fees actually paid by the seller or holder. By changing the definition of a crime, this bill would impose a state-mandated local program.

(2) Existing law, the Eminent Domain Law, authorizes any person authorized to acquire property for a particular use by eminent domain to enter upon property to make photographs, studies, surveys, examinations, tests, soundings, borings, samplings, or appraisals or to engage in similar activities reasonably related to acquisition or use of the property for that use. Existing law provides that if the entry and activities upon property cause actual damage to or substantial interference with the possession or use of the property, the owner may recover for the damage or interference in a civil action or by application to the court. If funds are on deposit, upon application of the owner, the court is required to determine and award the amount the owner is entitled to recover and order that amount paid out of the funds on deposit.

This bill, among other things, would provide that the civil action in which the owner could collect damages would be as a defendant in an eminent domain proceeding affecting the property. The bill would provide that when the owner seeks funds on deposit upon application to the court, the owner has a right to a jury trial, unless waived, on the amount of compensation for actual damage or substantial interference with the possession or use of the property. The bill would also provide that if the owner seeks damages, the answer is required to include a statement that the defendant claims compensation under the specified provision, but need not specify the amount of that compensation, and if the owner seeks compensation for losses caused by the plaintiff's unreasonable conduct prior to commencing the eminent domain proceeding, the answer is required to include a statement that the owner claims compensation for that loss, but need not specify the amount of the compensation.

(3) Existing law establishes procedures for the dismissal and suspension of school employees. Existing law prohibits a permanent school employee from being dismissed, except for one or

more of certain enumerated causes, and authorizes the suspension of a permanent school employee on specified grounds. Existing law authorizes the governing board of a school district to notify the permanent school employee of its intention to dismiss or suspend the employee, and authorizes the employee to demand a hearing on the charges before a Commission on Professional Competence or an administrative law judge. Existing law requires the hearing to be conducted in a place selected by agreement among the members of the Commission on Professional Competence, and, in the absence of agreement, requires the place to be selected by the administrative law judge.

This bill, notwithstanding the latter provision, would authorize the parties to mutually agree to hold the hearing by telephone, videoconference, or other electronic means.

(4) Existing law requires an administrative hearing to be open to public observation, unless otherwise specified, and requires certain conditions to be met if the hearing is conducted by telephone, television, or other electronic means, including that the public have an opportunity to be physically present at the place where the presiding officer is conducting the hearing. Existing law prescribes specified locations where an administrative hearing is authorized to take place.

This bill would additionally specify that, to the extent a hearing is conducted by telephone, television, or other electronic means, and is not closed as otherwise required by law, the requirement that the meeting be open to public observation can be satisfied if members of the public have an opportunity to be virtually present where the presiding officer is conducting the hearing. The bill would define the term "present" as either by providing a designated location from which members of the public can observe the meeting via a live audio or a video feed of the hearing made available to the public on the internet or by teleconference.

Existing law requires a writing served to a person in conjunction with an administrative hearing to meet specified requirements, including that the writing be sent by mail to the person's last known mailing address. Existing law also specifies rules for discovery and evidence review in relation to an administrative hearing.

This bill would additionally authorize the writing to be an electronic document, and would allow a writing, electronic document, or notice to be delivered to the person's last known mailing address by electronic means. The bill would specify that discovery of certain categories of evidence may be conducted electronically by means prescribed by an administrative law judge.

Existing law authorizes the presiding officer of an administrative hearing to conduct all or part of a hearing by telephone, television, or other electronic means, as provided, unless a party objects.

This bill would instead limit a party to objecting only when the entire hearing is being conducted by telephone, television, or other electronic means and require the presiding

officer to consider the party's objections. The bill would authorize the presiding officer, in their discretion, to structure the hearing to address the specific objections and to require specified persons to be present in a physical location during all or part of the hearing.

(5) Existing law requires the Fair Employment and Housing Council to adopt, promulgate, amend, and rescind suitable rules, regulations, and standards that either implement various provisions with regard to prohibiting discrimination or to carry out all other functions and duties of the council, as provided.

This bill would add the prohibition on sex discrimination in wages to the provisions with regard to discrimination.

(6) Existing law, on and after January 1, 2021, makes it an unlawful employment practice for any government employer or employer with 5 or more employees to refuse to grant a request by any employee with more than 12 months of service with the employer, and who has at least 1,250 hours of service with the employer during the previous 12-month period or who meets certain other requirements, to take up to a total of 12 workweeks in any 12-month period to, among other things, bond with a new child of the employee or to care for themselves or a child, parent, grandparent, grandchild, sibling, spouse, or domestic partner, as specified.

This bill would expand the population that an employee can take leave to care for to include parents-in-law.

(7) Existing law specifically grants the Department of Human Resources the powers, duties, and authority necessary to operate the state civil service system in accordance with Article VII of the California Constitution, the Government Code, the merit principle, and applicable rules duly adopted by the State Personnel Board. Existing law creates the Limited Examination and Appointment Program (LEAP), which the Department of Human Resources administers, to provide an alternative to the traditional civil service examination and appointment process to facilitate the hiring of persons with disabilities. Existing law also repeals, on January 1, 2022, certain provisions of LEAP regarding conducting competitive examinations to determine the qualifications and readiness of persons with disabilities for state employment.

This bill would extend the repeal date to January 1, 2023.

(8) Existing law makes a person who negligently, or in violation of the law, sets a fire, allows a fire to be set, or allows a fire kindled or attended by the person to escape onto any public or private property liable for the fire suppression costs incurred in fighting the fire, the cost of providing rescue or emergency medical services, the cost of investigating and making any reports with respect to the fire, and the costs relating to accounting for the fire and the collection of specified funds. Existing law applies the 2-year statute of limitations applicable to an action upon a contract, obligation, or liability not founded upon an instrument of writing to an action brought pursuant to these provisions.

Existing law provides that the statute of limitations for specified actions, including an action upon a liability created by statute other than a penalty or forfeiture action, is 3 years.

This bill would apply this 3-year statute of limitations to an action brought pursuant to the above-described provisions regarding liability for fires.

(9) Existing law provides that a transfer of payment rights from a settlement obligor or an annuity issuer under a structured settlement agreement is void unless the transfer has been approved in advance by a court based on specified court findings. Existing law defines "structured settlement agreement" for these purposes to mean an arrangement for periodic payment of damages established by settlement or judgment in resolution of a tort claim in which the payment of the judgment or award is paid in whole, or in part, in periodic tax-free payments rather than a lump-sum payment. Existing law requires a transferee, at the time of filing a petition for court approval of a transfer of structured settlement payment rights, to file with the Attorney General a copy of the transferee's petition for court approval, and other specified, related documents. Existing law authorizes the Attorney General to charge a reasonable fee for the filing of the transfer agreement.

This bill would eliminate the requirement to file those documents with the Attorney General and instead would require the transferee to retain those documents for 3 years after the date of the last payment under the structured settlement agreement, or for 5 years after the date of the transfer, whichever date is later.

(10) Existing law, the Lanterman Developmental Disabilities Services Act, requires the State Department of Developmental Services to contract with regional centers to provide services and supports to individuals with developmental disabilities. Under existing law, the regional centers purchase needed services for individuals with developmental disabilities through approved service providers or arrange for those services through other publicly funded agencies. Existing law requires a service agency, defined, in part, as a developmental center or regional center, to have a fair hearing procedure that meets prescribed requirements, including adequate notice standards and that if a fair hearing is requested, the claimant has the right to appear in person with counsel or with other representatives of the claimant's choosing. Existing law requires the fair hearing to be held at a time and place reasonably convenient to the claimant and the authorized representative, as specified.

This bill would authorize a location for the above-described fair hearing to include a hearing by telephone, videoconference, or other electronic means, by agreement.

(11) Existing law requires all applications for change of names to be made to the superior court of the person's county of residence, except for minors with a court-appointed guardian. Existing law requires the court in which a petition for a change of name has been filed to issue an order to show cause inviting interested persons to file written objections to the proposed change of name, as specified.

Existing law authorizes a person to file a petition with the superior court seeking a judgment recognizing their change of gender. Existing law requires all petitions to recognize a change of gender for a minor with a court-appointed guardian to be filed with the court that appointed the guardian.

This bill would require a petition for a change of name or gender for a minor with a court-appointed guardian or a minor who is a ward of the juvenile court to be made in the court having jurisdiction over the minor. The bill would exempt an action for a change of name of a minor or nonminor dependent under the jurisdiction of the juvenile court from the requirement that the court issue an order to show cause.

- (12) The bill would also make nonsubstantive and conforming changes.
- (13) This bill would incorporate additional changes to Section 52.1 of the Civil Code proposed by SB 2, additional changes to Sections 1276 and 1277 of the Code of Civil Procedure proposed by AB 218, and additional changes to Section 12945.2 of the Government Code proposed by AB 1041 to be operative only if this bill and SB 2, AB 218, or AB 1041 are enacted and this bill is enacted last.
- (14) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

SECTION 1.

Section 52.1 of the Civil Code is amended to read:

52.1.

- (a) This section shall be known, and may be cited, as the Tom Bane Civil Rights Act.
- (b) If a person or persons, whether or not acting under color of law, interferes by threat, intimidation, or coercion, or attempts to interfere by threat, intimidation, or coercion, with the exercise or enjoyment by any individual or individuals of rights secured by the Constitution or laws of the United States, or of the rights secured by the Constitution or laws of this state, the Attorney General, or any district attorney or city attorney may bring a civil action for injunctive and other appropriate equitable relief in the name of the people of the State of California, in order to protect the peaceable exercise or enjoyment of the right or rights secured. An action brought by the Attorney General, any district attorney, or any city attorney may also seek a civil penalty of twenty-five thousand dollars (\$25,000). If this civil penalty is requested, it shall be assessed individually against each person who is determined to have violated this section and the penalty shall be awarded to each individual whose rights under this section are determined to have been violated.

- (c) Any individual whose exercise or enjoyment of rights secured by the Constitution or laws of the United States, or of rights secured by the Constitution or laws of this state, has been interfered with, or attempted to be interfered with, as described in subdivision (b), may institute and prosecute in their own name and on their own behalf a civil action for damages, including, but not limited to, damages under Section 52, injunctive relief, and other appropriate equitable relief to protect the peaceable exercise or enjoyment of the right or rights secured, including appropriate equitable and declaratory relief to eliminate a pattern or practice of conduct as described in subdivision (b).
- (d) An action brought pursuant to subdivision (b) or (c) may be filed either in the superior court for the county in which the conduct complained of occurred or in the superior court for the county in which a person whose conduct complained of resides or has their place of business. An action brought by the Attorney General pursuant to subdivision (b) also may be filed in the superior court for any county wherein the Attorney General has an office, and in that case, the jurisdiction of the court shall extend throughout the state.
- (e) If a court issues a temporary restraining order or a preliminary or permanent injunction in an action brought pursuant to subdivision (b) or (c), ordering a defendant to refrain from conduct or activities, the order issued shall include the following statement: VIOLATION OF THIS ORDER IS A CRIME PUNISHABLE UNDER SECTION 422.77 OF THE PENAL CODE.
- (f) The court shall order the plaintiff or the attorney for the plaintiff to deliver, or the clerk of the court to mail, two copies of any order, extension, modification, or termination thereof granted pursuant to this section, by the close of the business day on which the order, extension, modification, or termination was granted, to each local law enforcement agency having jurisdiction over the residence of the plaintiff and any other locations where the court determines that acts of violence against the plaintiff are likely to occur. Those local law enforcement agencies shall be designated by the plaintiff or the attorney for the plaintiff. Each appropriate law enforcement agency receiving any order, extension, or modification of any order issued pursuant to this section shall serve forthwith one copy thereof upon the defendant. Each appropriate law enforcement agency shall provide to any law enforcement officer responding to the scene of reported violence, information as to the existence of, terms, and current status of, any order issued pursuant to this section.
- (g) A court shall not have jurisdiction to issue an order or injunction under this section, if that order or injunction would be prohibited under Section 527.3 of the Code of Civil Procedure.
- (h) An action brought pursuant to this section is independent of any other action, remedy, or procedure that may be available to an aggrieved individual under any other provision of law, including, but not limited to, an action, remedy, or procedure brought pursuant to Section 51.7.

- (i) In addition to any damages, injunction, or other equitable relief awarded in an action brought pursuant to subdivision (c), the court may award the petitioner or plaintiff reasonable attorney's fees.
- (j) A violation of an order described in subdivision (e) may be punished either by prosecution under Section 422.77 of the Penal Code, or by a proceeding for contempt brought pursuant to Title 5 (commencing with Section 1209) of Part 3 of the Code of Civil Procedure. However, in any proceeding pursuant to the Code of Civil Procedure, if it is determined that the person proceeded against is guilty of the contempt charged, in addition to any other relief, a fine may be imposed not exceeding one thousand dollars (\$1,000), or the person may be ordered imprisoned in a county jail not exceeding six months, or the court may order both the imprisonment and fine.
- (k) Speech alone is not sufficient to support an action brought pursuant to subdivision (b) or (c), except upon a showing that the speech itself threatens violence against a specific person or group of persons; and the person or group of persons against whom the threat is directed reasonably fears that, because of the speech, violence will be committed against them or their property and that the person threatening violence had the apparent ability to carry out the threat.
- (I) No order issued in any proceeding brought pursuant to subdivision (b) or (c) shall restrict the content of any person's speech. An order restricting the time, place, or manner of any person's speech shall do so only to the extent reasonably necessary to protect the peaceable exercise or enjoyment of constitutional or statutory rights, consistent with the constitutional rights of the person sought to be enjoined.
- (m) The rights, penalties, remedies, forums, and procedures of this section shall not be waived by contract except as provided in Section 51.7.

SEC. 1.5.

Section 52.1 of the Civil Code is amended to read:

52.1.

- (a) This section shall be known, and may be cited, as the Tom Bane Civil Rights Act.
- (b) If a person or persons, whether or not acting under color of law, interferes by threat, intimidation, or coercion, or attempts to interfere by threat, intimidation, or coercion, with the exercise or enjoyment by any individual or individuals of rights secured by the Constitution or laws of the United States, or of the rights secured by the Constitution or laws of this state, the Attorney General, or any district attorney or city attorney may bring a civil action for injunctive and other appropriate equitable relief in the name of the people of the State of California, in order to protect the peaceable exercise or enjoyment of the right or rights secured. An action brought by the Attorney General, any district attorney, or any city attorney may also seek a civil penalty of twenty-five thousand dollars (\$25,000). If this civil penalty is requested, it shall be assessed individually against each person who is determined

to have violated this section and the penalty shall be awarded to each individual whose rights under this section are determined to have been violated.

- (c) Any individual whose exercise or enjoyment of rights secured by the Constitution or laws of the United States, or of rights secured by the Constitution or laws of this state, has been interfered with, or attempted to be interfered with, as described in subdivision (b), may institute and prosecute in their own name and on their own behalf a civil action for damages, including, but not limited to, damages under Section 52, injunctive relief, and other appropriate equitable relief to protect the peaceable exercise or enjoyment of the right or rights secured, including appropriate equitable and declaratory relief to eliminate a pattern or practice of conduct as described in subdivision (b).
- (d) An action brought pursuant to subdivision (b) or (c) may be filed either in the superior court for the county in which the conduct complained of occurred or in the superior court for the county in which a person whose conduct complained of resides or has their place of business. An action brought by the Attorney General pursuant to subdivision (b) also may be filed in the superior court for any county wherein the Attorney General has an office, and in that case, the jurisdiction of the court shall extend throughout the state.
- (e) If a court issues a temporary restraining order or a preliminary or permanent injunction in an action brought pursuant to subdivision (b) or (c), ordering a defendant to refrain from conduct or activities, the order issued shall include the following statement: VIOLATION OF THIS ORDER IS A CRIME PUNISHABLE UNDER SECTION 422.77 OF THE PENAL CODE.
- (f) The court shall order the plaintiff or the attorney for the plaintiff to deliver, or the clerk of the court to mail, two copies of any order, extension, modification, or termination thereof granted pursuant to this section, by the close of the business day on which the order, extension, modification, or termination was granted, to each local law enforcement agency having jurisdiction over the residence of the plaintiff and any other locations where the court determines that acts of violence against the plaintiff are likely to occur. Those local law enforcement agencies shall be designated by the plaintiff or the attorney for the plaintiff. Each appropriate law enforcement agency receiving any order, extension, or modification of any order issued pursuant to this section shall serve forthwith one copy thereof upon the defendant. Each appropriate law enforcement agency shall provide to any law enforcement officer responding to the scene of reported violence, information as to the existence of, terms, and current status of, any order issued pursuant to this section.
- (g) A court shall not have jurisdiction to issue an order or injunction under this section, if that order or injunction would be prohibited under Section 527.3 of the Code of Civil Procedure.
- (h) An action brought pursuant to this section is independent of any other action, remedy, or procedure that may be available to an aggrieved individual under any other provision of law, including, but not limited to, an action, remedy, or procedure brought pursuant to Section 51.7.

- (i) In addition to any damages, injunction, or other equitable relief awarded in an action brought pursuant to subdivision (c), the court may award the petitioner or plaintiff reasonable attorney's fees.
- (j) A violation of an order described in subdivision (e) may be punished either by prosecution under Section 422.77 of the Penal Code, or by a proceeding for contempt brought pursuant to Title 5 (commencing with Section 1209) of Part 3 of the Code of Civil Procedure. However, in any proceeding pursuant to the Code of Civil Procedure, if it is determined that the person proceeded against is guilty of the contempt charged, in addition to any other relief, a fine may be imposed not exceeding one thousand dollars (\$1,000), or the person may be ordered imprisoned in a county jail not exceeding six months, or the court may order both the imprisonment and fine.
- (k) Speech alone is not sufficient to support an action brought pursuant to subdivision (b) or (c), except upon a showing that the speech itself threatens violence against a specific person or group of persons; and the person or group of persons against whom the threat is directed reasonably fears that, because of the speech, violence will be committed against them or their property and that the person threatening violence had the apparent ability to carry out the threat.
- (I) No order issued in any proceeding brought pursuant to subdivision (b) or (c) shall restrict the content of any person's speech. An order restricting the time, place, or manner of any person's speech shall do so only to the extent reasonably necessary to protect the peaceable exercise or enjoyment of constitutional or statutory rights, consistent with the constitutional rights of the person sought to be enjoined.
- (m) The rights, penalties, remedies, forums, and procedures of this section shall not be waived by contract except as provided in Section 51.7.
- (n) The state immunity provisions provided in Sections 821.6, 844.6, and 845.6 of the Government Code shall not apply to any cause of action brought against any peace officer or custodial officer, as those terms are defined in Chapter 4.5 (commencing with Section 830) of Title 3 of Part 2 of the Penal Code, or directly against a public entity that employs a peace officer or custodial officer, under this section.
- (o) Sections 825, 825.2, 825.4, and 825.6 of the Government Code, providing for indemnification of an employee or former employee of a public entity, shall apply to any cause of action brought under this section against an employee or former employee of a public entity.

SEC. 2.

Section 2983.3 of the Civil Code is amended to read:

2983.3.

- (a) In the absence of default in the performance of any of the buyer's obligations under the contract, the seller or holder may not accelerate the maturity of any part or all of the amount due thereunder or repossess the motor vehicle.
- (b) If after default by the buyer, the seller or holder repossesses or voluntarily accepts surrender of the motor vehicle, any person liable on the contract shall have a right to reinstate the contract and the seller or holder shall not accelerate the maturity of any part or all of the contract prior to expiration of the right to reinstate, unless the seller or holder reasonably and in good faith determines that any of the following has occurred:
- (1) The buyer or any other person liable on the contract by omission or commission intentionally provided false or misleading information of material importance on the buyer's or other person's credit application.
- (2) The buyer, any other person liable on the contract, or any permissive user in possession of the motor vehicle, in order to avoid repossession has concealed the motor vehicle or removed it from the state.
- (3) The buyer, any other person liable on the contract, or any permissive user in possession of the motor vehicle, has committed or threatens to commit acts of destruction, or has failed to take care of the motor vehicle in a reasonable manner, so that the motor vehicle has become substantially impaired in value, or the buyer, any other person liable on the contract, or any nonoccasional permissive user in possession of the motor vehicle has failed to take care of the motor vehicle in a reasonable manner, so that the motor vehicle may become substantially impaired in value.
- (4) The buyer or any other person liable on the contract has committed, attempted to commit, or threatened to commit criminal acts of violence or bodily harm against an agent, employee, or officer of the seller or holder in connection with the seller's or holder's repossession of or attempt to repossess the motor vehicle.
- (5) The buyer has knowingly used the motor vehicle, or has knowingly permitted it to be used, in connection with the commission of a criminal offense, other than an infraction, as a consequence of which the motor vehicle has been seized by a federal, state, or local agency or authority pursuant to federal, state, or local law.
- (6) The motor vehicle has been seized by a federal, state, or local public agency or authority pursuant to (A) Section 1324 of Title 8 of the United States Code or Part 274 of Title 8 of the Code of Federal Regulations, (B) Section 881 of Title 21 of the United States Code or Part 9 of Title 28 of the Code of Federal Regulations, or (C) other federal, state, or local law, including regulations, and, pursuant to that other law, the seizing authority, as a precondition to the return of the motor vehicle to the seller or holder, prohibits the return of the motor vehicle to the buyer or other person liable on the contract or any third person claiming the motor vehicle by or through them or otherwise effects or requires the termination of the property

rights in the motor vehicle of the buyer or other person liable on the contract or claimants by or through them.

- (c) Exercise of the right to reinstate the contract shall be limited to once in any 12-month period and twice during the term of the contract.
- (d) The provisions of this subdivision cover the method by which a contract shall be reinstated with respect to curing events of default which were a ground for repossession or occurred subsequent to repossession:
- (1) When the default is the result of the buyer's failure to make any payment due under the contract, the buyer or any other person liable on the contract shall make the defaulted payments and pay any applicable delinquency charges.
- (2) When the default is the result of the buyer's failure to keep and maintain the motor vehicle free from all encumbrances and liens of every kind, the buyer or any other person liable on the contract shall either satisfy all encumbrances and liens or, in the event the seller or holder satisfies the encumbrances and liens, the buyer or any other person liable on the contract shall reimburse the seller or holder for all reasonable costs and expenses incurred therefor.
- (3) When the default is the result of the buyer's failure to keep and maintain insurance on the motor vehicle, the buyer or any other person liable on the contract shall either obtain the insurance or, in the event the seller or holder has obtained the insurance, the buyer or any other person liable on the contract shall reimburse the seller or holder for premiums paid and all reasonable costs and expenses, including, but not limited to, any finance charge in connection with the premiums permitted by Section 2982.8, incurred therefor.
- (4) When the default is the result of the buyer's failure to perform any other obligation under the contract, unless the seller or holder has made a good faith determination that the default is so substantial as to be incurable, the buyer or any other person liable on the contract shall either cure the default or, if the seller or holder has performed the obligation, reimburse the seller or holder for all reasonable costs and expenses incurred in connection therewith.
- (5) Additionally, the buyer or any other person liable on the contract shall, in all cases, reimburse the seller or holder for all reasonable and necessary collection and repossession costs and fees actually paid by the seller or holder, including attorney's fees and legal expenses expended in retaking and holding the vehicle.
- (e) If the seller or holder denies the right to reinstatement under subdivision (b) or paragraph (4) of subdivision (d), the seller or holder shall have the burden of proof that the denial was justified in that it was reasonable and made in good faith. If the seller or holder fails to sustain the burden of proof, the seller or holder shall not be entitled to a deficiency, but it shall not be presumed that the buyer is entitled to damages by reason of the failure of the seller or holder to sustain the burden of proof.

(f) This section does not apply to a loan made by a lender licensed under Division 9 (commencing with Section 22000) of the Financial Code.

SEC. 3.

Section 1245.020 of the Code of Civil Procedure is amended to read:

1245.020.

In any case in which the entry and activities mentioned in Section 1245.010 will subject the person having the power of eminent domain to liability under Section 1245.060, before making that entry and undertaking those activities, the person shall secure at least one of the following:

- (a) The written consent of the owner to enter upon the owner's property and to undertake those activities.
- (b) An order for entry from the superior court in accordance with Section 1245. 030.

SEC. 4.

Section 1245.060 of the Code of Civil Procedure is amended to read:

1245.060.

- (a) If the entry and activities upon property cause actual damage to or substantial interference with the possession or use of the property, whether or not a claim has been presented in compliance with Part 3 (commencing with Section 900) of Division 3.6 of Title 1 of the Government Code, the owner may recover for that damage or interference in a civil action, as a defendant in an eminent domain action affecting the property, or by application to the court under subdivision (c).
- (b) The prevailing claimant in an action or proceeding under this section shall be awarded the claimant's costs and, if the court finds that any of the following occurred, the claimant's litigation expenses incurred in proceedings under this article:
- (1) The entry was unlawful.
- (2) The entry was lawful but the activities upon the property were abusive or lacking in due regard for the interests of the owner.
- (3) There was a failure substantially to comply with the terms of an order made under Section 1245.030 or 1245.040.
- (c) If funds are on deposit under this article, upon application of the owner, the court shall determine and award the amount the owner is entitled to recover under this section and shall order that amount paid out of the funds on deposit. If the funds on deposit are insufficient to pay the full amount of the award, the court shall enter judgment for the unpaid portion. In a proceeding under this subdivision, the owner has a right to a jury trial, unless

waived, on the amount of compensation for actual damage or substantial interference with the possession or use of the property.

(d) Nothing in this section affects the availability of any other remedy the owner may have for the damaging of the owner's property.

SEC. 5.

Section 1250.320 of the Code of Civil Procedure is amended to read:

1250.320.

- (a) The answer shall include a statement of the nature and extent of the interest the defendant claims in the property described in the complaint.
- (b) If the defendant seeks compensation provided in Article 6 (commencing with Section 1263.510) (goodwill) of Chapter 9, the answer shall include a statement that the defendant claims compensation under Section 1263.510, but the answer need not specify the amount of that compensation.
- (c) If the defendant seeks compensation as provided in Article 1 (commencing with Section 1245.010) of Chapter 4, the answer shall include a statement that the defendant claims compensation under Section 1245.060, but need not specify the amount of that compensation.
- (d) If the defendant seeks compensation for losses caused by the plaintiff's unreasonable conduct prior to commencing the eminent domain proceeding, the answer shall include a statement that the defendant claims compensation for that loss, but need not specify the amount of the compensation.

SEC. 6.

Section 1260.230 of the Code of Civil Procedure is amended to read:

1260.230.

As far as practicable, the trier of fact shall assess separately each of the following:

- (a) Compensation for the property taken as required by Article 4 (commencing with Section 1263.310) of Chapter 9.
- (b) When the property acquired is part of a larger parcel:
- (1) The amount of the damage, if any, to the remainder as required by Article 5 (commencing with Section 1263.410) of Chapter 9.
- (2) The amount of the benefit, if any, to the remainder as required by Article 5 (commencing with Section 1263.410) of Chapter 9.
- (c) Compensation for loss of goodwill, if any, as required by Article 6 (commencing with Section 1263.510) of Chapter 9.

- (d) Compensation claimed under subdivision (c) of Section 1250.320.
- (e) Compensation claimed under subdivision (d) of Section 1250.320.

SEC. 7.

Section 1276 of the Code of Civil Procedure is amended to read:

1276.

- (a) (1) All applications for change of names shall be made to the superior court of the county where the person whose name is proposed to be changed resides, except as specified in subdivision (e), either (A) by petition signed by the person or, if the person is under 18 years of age, by one of the person's parents, by any guardian of the person, or as specified in subdivision (e), or, if both parents are deceased and there is no guardian of the person, then by some near relative or friend of the person or (B) as provided in Section 7638 of the Family Code.
- (2) The petition or pleading shall specify the place of birth and residence of the person, the person's present name, the name proposed, and the reason for the change of name.
- (b) In a proceeding for a change of name commenced by the filing of a petition, if the person whose name is to be changed is under 18 years of age, the petition shall, if neither parent of the person has signed the petition, name, as far as known to the person proposing the name change, the parents of the person and their place of residence, if living, or if neither parent is living, near relatives of the person, and their place of residence.
- (c) In a proceeding for a change of name commenced by the filing of a petition, if the person whose name is proposed to be changed is under 18 years of age and the petition is signed by only one parent, the petition shall specify the address, if known, of the other parent if living. If the petition is signed by a guardian, the petition shall specify the name and address, if known, of the parent or parents, if living, or the grandparents, if the addresses of both parents are unknown or if both parents are deceased, of the person whose name is proposed to be changed.
- (d) In a proceeding for a change of name commenced by the filing of a petition, if the person whose name is proposed to be changed is 12 years of age or older, has been relinquished to an adoption agency by the person's parent or parents, and has not been legally adopted, the petition shall be signed by the person and the adoption agency to which the person was relinquished. The near relatives of the person and their place of residence shall not be included in the petition unless they are known to the person whose name is proposed to be changed.
- (e) All petitions for the change of the name of a minor submitted by a guardian appointed by the juvenile court or the probate court, by a court-appointed dependency attorney appointed as guardian ad litem pursuant to rules adopted under Section 326.5 of the Welfare and Institutions Code, or by an attorney for a minor who is alleged or adjudged to be a person described in Section 601 or 602 of the Welfare and Institutions Code shall be made in the

court having jurisdiction over the minor. All petitions for the change of name of a nonminor dependent may be made in the juvenile court.

(f) If the petition is signed by a guardian, the petition shall specify relevant information regarding the guardianship, the likelihood that the child will remain under the guardian's care until the child reaches the age of majority, and information suggesting that the child will not likely be returned to the custody of the child's parents.

SEC. 7.5.

Section 1276 of the Code of Civil Procedure is amended to read:

1276.

- (a) (1) All applications for change of names shall be made to the superior court of the county where the person whose name is proposed to be changed resides, except as specified in subdivision (e) or (g), either (A) by petition signed by the person or, if the person is under 18 years of age, by one of the person's parents, by any guardian of the person, or as specified in subdivision (e), or, if both parents are deceased and there is no guardian of the person, then by some near relative or friend of the person or (B) as provided in Section 7638 of the Family Code.
- (2) The petition or pleading shall specify the place of birth and residence of the person, the person's present name, the name proposed, and the reason for the change of name.
- (b) In a proceeding for a change of name commenced by the filing of a petition, if the person whose name is to be changed is under 18 years of age, the petition shall, if neither parent of the person has signed the petition, name, as far as known to the person proposing the name change, the parents of the person and their place of residence, if living, or, if neither parent is living, near relatives of the person, and their place of residence.
- (c) In a proceeding for a change of name commenced by the filing of a petition, if the person whose name is proposed to be changed is under 18 years of age and the petition is signed by only one parent, the petition shall specify the address, if known, of the other parent if living. If the petition is signed by a guardian, the petition shall specify the name and address, if known, of the parent or parents, if living, or the grandparents, if the addresses of both parents are unknown or if both parents are deceased, of the person whose name is proposed to be changed.
- (d) In a proceeding for a change of name commenced by the filing of a petition, if the person whose name is proposed to be changed is 12 years of age or older, has been relinquished to an adoption agency by the person's parent or parents, and has not been legally adopted, the petition shall be signed by the person and the adoption agency to which the person was relinquished. The near relatives of the person and their place of residence shall not be included in the petition unless they are known to the person whose name is proposed to be changed.

- (e) All petitions for the change of the name of a minor submitted by a guardian appointed by the juvenile court or the probate court, by a court-appointed dependency attorney appointed as guardian ad litem pursuant to rules adopted under Section 326.5 of the Welfare and Institutions Code, or by an attorney for a minor who is alleged or adjudged to be a person described in Section 601 or 602 of the Welfare and Institutions Code shall be made in the court having jurisdiction over the minor. All petitions for the change of name of a nonminor dependent may be made in the juvenile court.
- (f) If the petition is signed by a guardian, the petition shall specify relevant information regarding the guardianship, the likelihood that the child will remain under the guardian's care until the child reaches the age of majority, and information suggesting that the child will not likely be returned to the custody of the child's parents.
- (g) (1) On or after January 1, 2023, an application for a change of name may be made to a superior court for a person whose name is proposed to be changed, even if the person does not reside within the State of California, if the person is seeking to change their name on at least one of the following documents:
- (A) A birth certificate that was issued within this state to the person whose name is proposed to be changed.
- (B) A birth certificate that was issued within this state to the legal child of the person whose name is proposed to be changed.
- (C) A marriage license and certificate or a confidential marriage license and certificate that was issued within this state to the person whose name is proposed to be changed.
- (2) For the purposes of this subdivision, the superior court in the county where the birth under subparagraph (A) or (B) of paragraph (1) occurred or marriage under subparagraph (C) of paragraph (1) was entered shall be a proper venue for the proceeding. The name change shall be adjudicated in accordance with California law.

SEC. 8.

Section 1277 of the Code of Civil Procedure is amended to read:

1277.

(a) (1) If a proceeding for a change of name is commenced by the filing of a petition, except as provided in subdivisions (b), (c), (d), and (f), or Section 1277.5, the court shall thereupon make an order reciting the filing of the petition, the name of the person by whom it is filed, and the name proposed. The order shall direct all persons interested in the matter to appear before the court at a time and place specified, which shall be not less than 6 weeks nor more than 12 weeks from the time of making the order, unless the court orders a different time, to show cause why the application for change of name should not be granted. The order shall direct all persons interested in the matter to make known any objection that they may have to the granting of the petition for change of name by filing a written objection, which includes the reasons for the objection, with the court at least two court days before the matter is

scheduled to be heard and by appearing in court at the hearing to show cause why the petition for change of name should not be granted. The order shall state that, if no written objection is timely filed, the court may grant the petition without a hearing.

- (2) A copy of the order to show cause shall be published pursuant to Section 6064 of the Government Code in a newspaper of general circulation to be designated in the order published in the county. If a newspaper of general circulation is not published in the county, a copy of the order to show cause shall be posted by the clerk of the court in three of the most public places in the county in which the court is located, for a like period. Proof shall be made to the satisfaction of the court of this publication or posting at the time of the hearing of the application.
- (3) Four weekly publications shall be sufficient publication of the order to show cause. If the order is published in a daily newspaper, publication once a week for four successive weeks shall be sufficient.
- (4) If a petition has been filed for a minor by a parent and the other parent, if living, does not join in consenting thereto, the petitioner shall cause, not less than 30 days before the hearing, to be served notice of the time and place of the hearing or a copy of the order to show cause on the other parent pursuant to Section 413.10, 414.10, 415.10, or 415.40. If notice of the hearing cannot reasonably be accomplished pursuant to Section 415.10 or 415.40, the court may order that notice be given in a manner that the court determines is reasonably calculated to give actual notice to the nonconsenting parent. In that case, if the court determines that notice by publication is reasonably calculated to give actual notice to the nonconsenting parent, the court may determine that publication of the order to show cause pursuant to this subdivision is sufficient notice to the nonconsenting parent.
- (b) (1) If the petition for a change of name alleges a reason or circumstance described in paragraph (2), and the petitioner has established that the petitioner is an active participant in the address confidentiality program created pursuant to Chapter 3.1 (commencing with Section 6205) of Division 7 of Title 1 of the Government Code, and that the name the petitioner is seeking to acquire is on file with the Secretary of State, the action for a change of name is exempt from the requirement for publication of the order to show cause under subdivision (a), and the petition and the order of the court shall, in lieu of reciting the proposed name, indicate that the proposed name is confidential and is on file with the Secretary of State pursuant to the provisions of the address confidentiality program.
- (2) The procedure described in paragraph (1) applies to petitions alleging any of the following reasons or circumstances:
- (A) To avoid domestic violence, as defined in Section 6211 of the Family Code.
- (B) To avoid stalking, as defined in Section 646.9 of the Penal Code.
- (C) To avoid sexual assault, as defined in Section 1036.2 of the Evidence Code.

- (D) To avoid human trafficking, as defined in Section 236.1 of the Penal Code.
- (3) For any petition under this subdivision, the current legal name of the petitioner shall be kept confidential by the court and shall not be published or posted in the court's calendars, indexes, or register of actions, as required by Article 7 (commencing with Section 69840) of Chapter 5 of Title 8 of the Government Code, or by any means or in any public forum, including a hardcopy or an electronic copy, or any other type of public media or display.
- (4) Notwithstanding paragraph (3), the court may, at the request of the petitioner, issue an order reciting the name of the petitioner at the time of the filing of the petition and the new legal name of the petitioner as a result of the court's granting of the petition.
- (5) A petitioner may request that the court file the petition and any other papers associated with the proceeding under seal. The court may consider the request at the same time as the petition for name change, and may grant the request in any case in which the court finds that all of the following factors apply:
- (A) There exists an overriding interest that overcomes the right of public access to the record.
- (B) The overriding interest supports sealing the record.
- (C) A substantial probability exists that the overriding interest will be prejudiced if the record is not sealed.
- (D) The proposed order to seal the records is narrowly tailored.
- (E) No less restrictive means exist to achieve the overriding interest.
- (c) If the petition is filed for a minor or nonminor dependent who is under the jurisdiction of the juvenile court, the action for a change of name is exempt from the requirement for publication of the order to show cause under subdivision (a).
- (d) A proceeding for a change of name for a witness participating in the state Witness Relocation and Assistance Program established by Title 7.5 (commencing with Section 14020) of Part 4 of the Penal Code who has been approved for the change of name by the program is exempt from the requirement for publication of the order to show cause under subdivision (a).
- (e) If an application for change of name is brought as part of an action under the Uniform Parentage Act (Part 3 (commencing with Section 7600) of Division 12 of the Family Code), whether as part of a petition or cross-complaint or as a separate order to show cause in a pending action thereunder, service of the application shall be made upon all other parties to the action in a like manner as prescribed for the service of a summons, as set forth in Article 3 (commencing with Section 415.10) of Chapter 4 of Title 5 of Part 2. Upon the setting of a hearing on the issue, notice of the hearing shall be given to all parties in the action in a like

manner and within the time limits prescribed generally for the type of hearing (whether trial or order to show cause) at which the issue of the change of name is to be decided.

- (f) If a guardian files a petition to change the name of the guardian's minor ward pursuant to Section 1276:
- (1) The guardian shall provide notice of the hearing to any living parent of the minor by personal service at least 30 days before the hearing.
- (2) If either or both parents are deceased or cannot be located, the guardian shall cause, not less than 30 days before the hearing, to be served a notice of the time and place of the hearing or a copy of the order to show cause on the child's grandparents, if living, pursuant to Section 413.10, 414.10, 415.10, or 415.40.

SEC. 8.5.

Section 1277 of the Code of Civil Procedure is amended to read:

1277.

- (a) (1) If a proceeding for a change of name is commenced by the filing of a petition, except as provided in subdivisions (b), (c), (d), and (f), or Section 1277.5, the court shall thereupon make an order reciting the filing of the petition, the name of the person by whom it is filed, and the name proposed. The order shall direct all persons interested in the matter to appear before the court at a time and place specified, which shall be not less than 6 weeks nor more than 12 weeks from the time of making the order, unless the court orders a different time, to show cause why the application for change of name should not be granted. The order shall direct all persons interested in the matter to make known any objection that they may have to the granting of the petition for change of name by filing a written objection, which includes the reasons for the objection, with the court at least two court days before the matter is scheduled to be heard and by appearing in court at the hearing to show cause why the petition for change of name should not be granted. The order shall state that, if no written objection is timely filed, the court may grant the petition without a hearing.
- (2) (A) A copy of the order to show cause shall be published pursuant to Section 6064 of the Government Code in a newspaper of general circulation to be designated in the order published in the county. If a newspaper of general circulation is not published in the county, a copy of the order to show cause shall be posted by the clerk of the court in three of the most public places in the county in which the court is located, for a like period. Proof shall be made to the satisfaction of the court of this publication or posting at the time of the hearing of the application.
- (B) (i) On or after January 1, 2023, if the person whose name is proposed to be changed does not live in the county where the petition is filed, pursuant to subdivision (g) of Section 1276, the copy of the order to show cause shall be published pursuant to Section 6064 of the Government Code in a newspaper of general circulation published in the county of the person's residence. If a newspaper of general circulation is not published in the county of the

person's residence, a copy of the order to show cause shall be posted by the clerk of the court in the county of the person's residence or a similarly situated local official in three of the most public places in the county of the person's residence, for a like period. If the place where the person seeking the name change lives does not have counties, publication shall be made according to the requirements of this paragraph in the local subdivision or territory of the person's residence. Proof shall be made to the satisfaction of the court of this publication or posting at the time of the hearing of the application.

- (ii) If the person is unable to publish or post a copy of the order to show cause pursuant to clause (i), the court may allow an alternate method of publication or posting or may waive this requirement after sufficient evidence of diligent efforts to publish or post a copy of the order has been submitted to the satisfaction of the court.
- (3) Four weekly publications shall be sufficient publication of the order to show cause. If the order is published in a daily newspaper, publication once a week for four successive weeks shall be sufficient.
- (4) If a petition has been filed for a minor by a parent and the other parent, if living, does not join in consenting thereto, the petitioner shall cause, not less than 30 days before the hearing, to be served notice of the time and place of the hearing or a copy of the order to show cause on the other parent pursuant to Section 413.10, 414.10, 415.10, or 415.40. If notice of the hearing cannot reasonably be accomplished pursuant to Section 415.10 or 415.40, the court may order that notice be given in a manner that the court determines is reasonably calculated to give actual notice to the nonconsenting parent. In that case, if the court determines that notice by publication is reasonably calculated to give actual notice to the nonconsenting parent, the court may determine that publication of the order to show cause pursuant to this subdivision is sufficient notice to the nonconsenting parent.
- (b) (1) If the petition for a change of name alleges a reason or circumstance described in paragraph (2), and the petitioner has established that the petitioner is an active participant in the address confidentiality program created pursuant to Chapter 3.1 (commencing with Section 6205) of Division 7 of Title 1 of the Government Code, and that the name the petitioner is seeking to acquire is on file with the Secretary of State, the action for a change of name is exempt from the requirement for publication of the order to show cause under subdivision (a), and the petition and the order of the court shall, in lieu of reciting the proposed name, indicate that the proposed name is confidential and is on file with the Secretary of State pursuant to the provisions of the address confidentiality program.
- (2) The procedure described in paragraph (1) applies to petitions alleging any of the following reasons or circumstances:
- (A) To avoid domestic violence, as defined in Section 6211 of the Family Code.
- (B) To avoid stalking, as defined in Section 646.9 of the Penal Code.

- (C) To avoid sexual assault, as defined in Section 1036.2 of the Evidence Code.
- (D) To avoid human trafficking, as defined in Section 236.1 of the Penal Code.
- (3) For any petition under this subdivision, the current legal name of the petitioner shall be kept confidential by the court and shall not be published or posted in the court's calendars, indexes, or register of actions, as required by Article 7 (commencing with Section 69840) of Chapter 5 of Title 8 of the Government Code, or by any means or in any public forum, including a hardcopy or an electronic copy, or any other type of public media or display.
- (4) Notwithstanding paragraph (3), the court may, at the request of the petitioner, issue an order reciting the name of the petitioner at the time of the filing of the petition and the new legal name of the petitioner as a result of the court's granting of the petition.
- (5) A petitioner may request that the court file the petition and any other papers associated with the proceeding under seal. The court may consider the request at the same time as the petition for name change, and may grant the request in any case in which the court finds that all of the following factors apply:
- (A) There exists an overriding interest that overcomes the right of public access to the record.
- (B) The overriding interest supports sealing the record.
- (C) A substantial probability exists that the overriding interest will be prejudiced if the record is not sealed.
- (D) The proposed order to seal the records is narrowly tailored.
- (E) No less restrictive means exist to achieve the overriding interest.
- (c) If the petition is filed for a minor or nonminor dependent who is under the jurisdiction of the juvenile court, the action for a change of name is exempt from the requirement for publication of the order to show cause under subdivision (a).
- (d) A proceeding for a change of name for a witness participating in the state Witness Relocation and Assistance Program established by Title 7.5 (commencing with Section 14020) of Part 4 of the Penal Code who has been approved for the change of name by the program is exempt from the requirement for publication of the order to show cause under subdivision (a).
- (e) If an application for change of name is brought as part of an action under the Uniform Parentage Act (Part 3 (commencing with Section 7600) of Division 12 of the Family Code), whether as part of a petition or cross-complaint or as a separate order to show cause in a pending action thereunder, service of the application shall be made upon all other parties to the action in a like manner as prescribed for the service of a summons, as set forth in Article 3 (commencing with Section 415.10) of Chapter 4 of Title 5 of Part 2. Upon the setting of a hearing on the issue, notice of the hearing shall be given to all parties in the action in a like

manner and within the time limits prescribed generally for the type of hearing (whether trial or order to show cause) at which the issue of the change of name is to be decided.

- (f) If a guardian files a petition to change the name of the guardian's minor ward pursuant to Section 1276:
- (1) The guardian shall provide notice of the hearing to any living parent of the minor by personal service at least 30 days before the hearing.
- (2) If either or both parents are deceased or cannot be located, the guardian shall cause, not less than 30 days before the hearing, to be served a notice of the time and place of the hearing or a copy of the order to show cause on the child's grandparents, if living, pursuant to Section 413.10, 414.10, 415.10, or 415.40.

SEC. 9.

Section 44944 of the Education Code is amended to read:

44944.

- (a) This section applies only to dismissal or suspension proceedings initiated pursuant to Section 44934.
- (b) (1) (A) In a dismissal or suspension proceeding initiated pursuant to Section 44934, if a hearing is requested by the employee, the hearing shall be commenced within six months from the date of the employee's demand for a hearing. A continuance shall not extend the date for the commencement of the hearing more than six months from the date of the employee's request for a hearing, except for extraordinary circumstances, as determined by the administrative law judge. If extraordinary circumstances are found that extend the date for the commencement of the hearing, the deadline for concluding the hearing and closing the record pursuant to this subdivision shall be extended for a period of time equal to the continuance. The hearing date shall be established after consultation with the employee and the governing board of the school district, or their representatives, except that if the parties are not able to reach an agreement on a date, the Office of Administrative Hearings shall unilaterally set a date in compliance with this section. The hearing shall be completed by a closing of the record within seven months of the date of the employee's demand for a hearing. A continuance shall not extend the date for the close of the record more than seven months from the date of the employee's request for a hearing, except for good cause, as determined by the administrative law judge.
- (B) If substantial progress has been made in completing the previously scheduled days of the hearing within the seven-month period but the hearing cannot be completed, for good cause shown, within the seven-month period, the period for completing the hearing may be extended by the presiding administrative law judge. If the administrative law judge grants a continuance under this subparagraph, the administrative law judge shall establish a reasonable timetable for the completion of the hearing and the closing of the record. The hearing shall be initiated and conducted, and a decision made, in accordance with Chapter 5

(commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code, and the Commission on Professional Competence shall have all of the power granted to an agency pursuant to that chapter, except as described in this article.

- (2) (A) A witness shall not be permitted to testify at the hearing except upon oath or affirmation. Testimony shall not be given or evidence shall not be introduced relating to matters that occurred more than four years before the date of the filing of the notice, except in one of the following circumstances:
- (i) Testimony or evidence regarding allegations of behavior or communication of a sexual nature with a pupil that is beyond the scope or requirements of the educational program, which may constitute misconduct, or an act described in Section 212.5, but not amounting to conduct described in clause (ii), may be introduced in a disciplinary proceeding based on similar conduct, where such allegations have been substantiated through an investigation or proceeding, or for which the employee was subject to discipline or other form of penalty.
- (ii) Testimony or evidence regarding allegations of an act described in Section 288 of the Penal Code with respect to a pupil of any age, Section 288.3 of the Penal Code, Section 44010 of this code, or Sections 11165.2 to 11165.6, inclusive, of the Penal Code may be introduced in any disciplinary proceeding.
- (B) Evidence of records regularly kept by the governing board of the school district concerning the employee may be introduced, but no decision relating to the dismissal or suspension of an employee shall be made based on charges or evidence of any nature relating to matters occurring more than four years before the filing of the notice, except as allowed pursuant to subparagraph (A).
- (c) (1) The hearing provided for in this section shall be conducted by a Commission on Professional Competence, unless the parties submit a statement in writing to the Office of Administrative Hearings, indicating that both parties waive the right to convene a Commission on Professional Competence and stipulate to having the hearing conducted by a single administrative law judge. If the parties elect to waive a hearing before the Commission on Professional Competence, the hearing shall be initiated and conducted, and a decision made, in accordance with Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code, and the administrative law judge conducting the hearing shall have all the powers granted to a Commission on Professional Competence pursuant to that chapter, except as described in this article.
- (2) If the parties elect not to waive a hearing before a Commission on Professional Competence, one member of the commission shall be selected by the employee, one member shall be selected by the governing board of the school district, and one member shall be an administrative law judge of the Office of Administrative Hearings who shall be chairperson and a voting member of the commission and shall be responsible for assuring that the legal rights of the parties are protected at the hearing.

- (3) The governing board of the school district and the employee shall select Commission on Professional Competence members no later than 45 days before the date set for hearing, and shall serve notice of their selection upon all other parties and upon the Office of Administrative Hearings. Failure to meet this deadline shall constitute a waiver of the right to selection, and the county board of education or its specific designee shall immediately make the selection. If the county board of education is also the governing board of the school district or has by statute been granted the powers of a governing board, the selection shall be made by the Superintendent, who shall be reimbursed by the school district for all costs incident to the selection.
- (4) Any party who believes that a selected Commission on Professional Competence member is not qualified may file an objection, including a statement describing the basis for the objection, with the Office of Administrative Hearings and serve the objection and statement upon all other parties within 10 days of the date that the notice of selection is filed. Within seven days after the filing of any objection, the administrative law judge assigned to the matter shall rule on the objection or convene a teleconference with the parties for argument.
- (5) (A) The member selected by the governing board of the school district and the member selected by the employee shall not be related to the employee and shall not be employees of the school district initiating the dismissal or suspension. Each member shall hold a currently valid credential and have at least three years' experience within the past 10 years in the discipline of the employee.
- (B) For purposes of this paragraph, the following terms have the following meanings:
- (i) For an employee subject to dismissal whose most recent teaching assignment is in kindergarten or any of the grades 1 to 6, inclusive, "discipline" means a teaching assignment in kindergarten or any of the grades 1 to 6, inclusive.
- (ii) For an employee subject to dismissal whose most recent assignment requires an education specialist credential or a services credential, "discipline" means an assignment that requires an education specialist credential or a services credential, respectively.
- (iii) For an employee subject to dismissal whose most recent teaching assignment is in any of the grades 7 to 12, inclusive, "discipline" means a teaching assignment in any of grades 7 to 12, inclusive, in the same area of study, as that term is used in Section 51220, as the most recent teaching assignment of the employee subject to dismissal.
- (d) (1) The decision of the Commission on Professional Competence shall be made by a majority vote, and the commission shall prepare a written decision containing findings of fact, determinations of issues, and a disposition that shall be, solely, one of the following:
- (A) That the employee should be dismissed.
- (B) That the employee should be suspended for a specific period of time without pay.

- (C) That the employee should not be dismissed or suspended.
- (2) The decision of the Commission on Professional Competence that the employee should not be dismissed or suspended shall not be based on nonsubstantive procedural errors committed by the school district or governing board of the school district unless the errors are prejudicial errors.
- (3) The Commission on Professional Competence shall not have the power to dispose of the charge of dismissal by imposing probation or other alternative sanctions. The imposition of suspension pursuant to subparagraph (B) of paragraph (1) shall be available only in a suspension proceeding authorized pursuant to subdivision (b) of Section 44932 or Section 44933.
- (4) The decision of the Commission on Professional Competence shall be deemed to be the final decision of the governing board of the school district.
- (5) The governing board of the school district may adopt from time to time rules and procedures not inconsistent with this section as may be necessary to effectuate this section.
- (6) The governing board of the school district and the employee shall have the right to be represented by counsel.
- (e) (1) If the member selected by the governing board of the school district or the member selected by the employee is employed by any school district in this state, the member shall, during any service on a Commission on Professional Competence, continue to receive salary, fringe benefits, accumulated sick leave, and other leaves and benefits from the school district in which the member is employed, but shall not receive additional compensation or honorariums for service on the commission.
- (2) If the member selected is a retired employee, the member shall receive pay at the daily substitute teacher rate in the school district that is a party to the hearing. Service on a Commission on Professional Competence shall not be credited toward retirement benefits.
- (3) If service on a Commission on Professional Competence occurs during summer recess or vacation periods, the member shall receive compensation proportionate to that received during the current or immediately preceding contract period from the member's employing school district, whichever amount is greater.
- (f) (1) If the Commission on Professional Competence determines that the employee should be dismissed or suspended, the governing board of the school district and the state shall share equally the expenses of the hearing, including the cost of the administrative law judge. The state shall pay any costs incurred under paragraphs (2) and (3) of subdivision (e), the reasonable expenses, as determined by the administrative law judge, of the member selected by the governing board of the school district and the member selected by the employee, including, but not limited to, payments or obligations incurred for travel, meals, and lodging, and the cost of the substitute or substitutes, if any, for the member selected by the governing

board of the school district and the member selected by the employee. The Controller shall pay all claims submitted pursuant to this paragraph from the General Fund, and may prescribe reasonable rules, regulations, and forms for the submission of the claims. The employee and the governing board of the school district shall pay their own attorney's fees.

- (2) If the Commission on Professional Competence determines that the employee should not be dismissed or suspended, the governing board of the school district shall pay the expenses of the hearing, including the cost of the administrative law judge, any costs incurred under paragraphs (2) and (3) of subdivision (e), the reasonable expenses, as determined by the administrative law judge, of the member selected by the governing board of the school district and the member selected by the employee, including, but not limited to, payments or obligations incurred for travel, meals, and lodging, the cost of the substitute or substitutes, if any, for the member selected by the governing board of the school district and the member selected by the employee, and reasonable attorney's fees incurred by the employee.
- (3) As used in this section, "reasonable expenses" shall not be deemed "compensation" within the meaning of subdivision (e).
- (4) If either the governing board of the school district or the employee petitions a court of competent jurisdiction for review of the decision of the Commission on Professional Competence, the payment of expenses to members of the commission required by this subdivision shall not be stayed.
- (5) If the decision of the Commission on Professional Competence is reversed or vacated by a court of competent jurisdiction, either the state, having paid the commission members' expenses, shall be entitled to reimbursement from the governing board of the school district for those expenses, or the governing board of the school district, having paid the expenses, shall be entitled to reimbursement from the state. If either the governing board of the school district or the employee petitions a court of competent jurisdiction for review of the decision to overturn the administrative law judge's decision, the payment of the expenses of the hearing, including the cost of the administrative law judge required by this paragraph, shall be stayed until no further appeal is sought, or all appeals are exhausted.
- (g) (1) The hearing provided for in this section shall be conducted in a place selected by agreement among the members of the Commission on Professional Competence. In the absence of agreement, the place shall be selected by the administrative law judge.
- (2) Notwithstanding paragraph (1), the parties may mutually agree to hold the hearing by telephone, videoconference, or other electronic means.

SEC. 10.

Section 22329 of the Financial Code is amended to read:

22329.

- (a) This section applies to a loan secured in whole or in part by a lien on a motor vehicle as defined by subdivision (k) of Section 2981 of the Civil Code.
- (b) In the absence of default in the performance of any of the borrower's obligations under the loan, the licensee may not accelerate the maturity of any part or all of the amount due thereunder or repossess the motor vehicle.
- (c) If, after default by the borrower, the licensee repossesses or voluntarily accepts surrender of the motor vehicle, any person liable on the loan shall have a right to reinstate the loan and the licensee shall not accelerate the maturity of any part or all of the loan prior to the expiration of the right to reinstate, unless the licensee reasonably and in good faith determines that:
- (1) The borrower or any other person liable on the loan by omission or commission intentionally provided false or misleading information of material importance on their credit application.
- (2) The borrower or any other person liable on the loan has concealed the motor vehicle or removed it from the state in order to avoid repossession.
- (3) The borrower or any other person liable on the loan has committed or threatens to commit acts of destruction, or has failed to take care of the motor vehicle in a reasonable manner, so that the motor vehicle has or may become substantially impaired in value.
- (d) Exercise of the right to reinstate the loan shall be limited to once in any 12-month period and twice during the term of the loan.
- (e) The provisions of this subdivision shall govern the method by which a loan shall be reinstated with respect to curing events of default that were grounds for repossession or that occurred subsequent to repossession.
- (1) When the default is the result of the borrower's failure to make any payment due under the loan, the borrower or any other person liable on the loan shall make the defaulted payments and pay any applicable delinquency charges.
- (2) When the default is the result of the borrower's failure to keep and maintain the motor vehicle free from all encumbrances and liens of every kind, the borrower or any person liable on the loan shall either satisfy all the encumbrances and liens or, in the event the licensee satisfies the encumbrances and liens, the borrower or any other person liable on the loan shall reimburse the licensee for all reasonable costs and expenses incurred therefor.
- (3) When the default is the result of the borrower's failure to keep and maintain insurance on the motor vehicle, the borrower or any other person liable on the loan shall either obtain the insurance or, in the event the licensee has obtained the insurance, the borrower or any other person liable on the loan shall reimburse the licensee for premiums paid and all reasonable costs and expenses incurred therefor.

- (4) When the default is the result of the borrower's failure to perform any other obligation under the loan, unless the licensee has made a good faith determination that the default is so substantial as to be incurable, the borrower or any other person liable on the loan shall reimburse the licensee for all reasonable costs and expenses incurred therefor.
- (5) Additionally, the borrower or any other person liable on the loan shall reimburse the licensee for actual and necessary fees in an amount not exceeding the amount specified in subdivision (e) of Section 22202 paid in connection with the repossession of a motor vehicle to a repossession agency licensed pursuant to Chapter 11 (commencing with Section 7500) of Division 3 of the Business and Professions Code, and actual fees in conformity with Sections 26751 and 41612 of the Government Code in an amount not exceeding the amount specified in those sections of the Government Code.
- (f) If the licensee denies the right to reinstatement under subdivision (c) or paragraph (4) of subdivision (e), the licensee shall have the burden of proof that the denial was justified in that it was reasonable and made in good faith. If the licensee fails to sustain the burden of proof, the licensee shall not be entitled to a deficiency.

SEC. 11.

Section 11425.20 of the Government Code is amended to read:

11425.20.

- (a) A hearing shall be open to public observation. This subdivision shall not limit the authority of the presiding officer to order closure of a hearing or make other protective orders to the extent necessary or proper for any of the following purposes:
- (1) To satisfy the United States Constitution, the California Constitution, federal or state statute, or other law, including, but not limited to, laws protecting privileged, confidential, or other protected information.
- (2) To ensure a fair hearing in the circumstances of the particular case.
- (3) To conduct the hearing, including the manner of examining witnesses, in a way that is appropriate to protect a minor witness or a witness with a developmental disability, as defined in Section 4512 of the Welfare and Institutions Code, from intimidation or other harm, taking into account the rights of all persons.
- (b) To the extent a hearing is conducted by telephone, television, or other electronic means, and is not closed as otherwise required by law, the requirement that the meeting is open to public observation pursuant to subdivision (a) is satisfied if members of the public have an opportunity to do both of the following:
- (1) At reasonable times, hear or inspect the agency's record, and inspect any transcript obtained by the agency.

- (2) Be physically or virtually present at the place where the presiding officer is conducting the hearing. For purposes of this section, the term "present" can be satisfied either by providing a designated location from which members of the public can observe the meeting via a live audio or a video feed of the hearing made available to the public on the internet or by teleconference.
- (c) This section does not apply to a prehearing conference, settlement conference, or proceedings for alternative dispute resolution other than binding arbitration.

SEC. 12.

Section 11440.20 of the Government Code is amended to read:

11440.20.

Service of a writing or electronic document on, or giving of a notice to, a person in a procedure provided in this chapter is subject to the following provisions:

- (a) The writing, electronic document, or notice shall be delivered personally or sent by mail, electronic, or other means to the person at the person's last known address or, if the person is a party with an attorney or other authorized representative of record in the proceeding, to the party's attorney or other authorized representative. If a party is required by statute or regulation to maintain an address with an agency, the party's last known address is the address maintained with the agency.
- (b) Unless a provision specifies the form of mail, service or notice by mail may be by first-class mail, registered mail, or certified mail, by mail delivery service, by facsimile transmission if complete and without error, or by other electronic means as provided by regulation, in the discretion of the sender.

SEC. 13.

Section 11440.30 of the Government Code is amended to read:

11440.30.

- (a) The presiding officer may conduct all or part of a hearing by telephone, television, or other electronic means if each participant in the hearing has an opportunity to participate in and to hear the entire proceeding while it is taking place and to observe exhibits.
- (b) (1) Except as provided in paragraph (2), the presiding officer may not conduct all of a hearing by telephone, television, or other electronic means if a party objects.
- (2) If a party objects pursuant to paragraph (1) to a hearing being conducted by electronic means, the presiding officer shall consider the objections and may, in the presiding officer's discretion, structure the hearing to address the party's specific objections and may require the presiding officer, parties, and witnesses, or a subset of parties and witnesses based on the specific objections, to be present in a physical location during all or part of the hearing.

(c) Subdivision (b) is not a limitation on the presiding officer transmitting the hearing by telephone, television, or other electronic means or receiving comments via electronic means from participants who are not parties or witnesses.

SEC. 14.

Section 11507.6 of the Government Code is amended to read:

11507.6.

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

- (a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;
- (b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;
- (c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions, or events which are the basis for the proceeding, not included in subdivision (a) or (b) above;
- (d) All writings, including, but not limited to, reports of mental, physical, and blood examinations and things which the party then proposes to offer in evidence;
- (e) Any other writing or thing which is relevant and which would be admissible in evidence;
- (f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions, or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of their investigation, or (3) contain or include by attachment any statement or writing described in subdivisions (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by the person, stenographic, mechanical, electrical, or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements.

Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.

Discovery of all categories of evidence specified in this section may be conducted electronically by means prescribed by an administrative law judge.

SEC. 15.

Section 11508 of the Government Code is amended to read:

11508.

- (a) The agency shall consult the office, and subject to the availability of its staff, shall determine the time and place of the hearing. The hearing shall be held at a hearing facility maintained by the office in Sacramento, Oakland, Los Angeles, or San Diego and shall be held at the facility that is closest to the location where the transaction occurred or the respondent resides.
- (b) Notwithstanding subdivision (a), the hearing may be held at any of the following places:
- (1) A place selected by the agency that is closer to the location where the transaction occurred or the respondent resides.
- (2) A place within the state selected by agreement of the parties.
- (3) Virtually by telephone, videoconference, or other electronic means.
- (c) The respondent may move for, and the administrative law judge has discretion to grant or deny, a change in the place of the hearing. A motion for a change in the place of the hearing shall be made within 10 days after service of the notice of hearing on the respondent.
- (d) Unless good cause is identified in writing by the administrative law judge, hearings shall be held in a facility maintained by the office.

SEC. 16.

Section 12935 of the Government Code is amended to read:

12935.

The council shall have the following functions, powers, and duties:

- (a) To adopt, promulgate, amend, and rescind suitable rules, regulations, and standards that do either of the following:
- (1) Interpret, implement, and apply all provisions of this part, Article 9.5 (commencing with Section 11135) of Chapter 1 of Part 1 of Division 3 of Title 2 of this code, Sections 51, 51.5, 51.7, 54, 54.1, and 54.2 of the Civil Code, and Section 1197.5 of the Labor Code.
- (A) As of January 1, 2017, Chapter 1 (commencing with Section 98000), Chapter 2 (commencing with Section 98100), and Chapter 3 (commencing with Section 98200) of

Division 8 of Title 22 of the California Code of Regulations shall be transferred from the portion of the California Code of Regulations that is under the authority of the California Health and Human Services Agency to the portion of the California Code of Regulations that is under the authority of the department, and upon transfer shall be deemed adopted by the council.

- (B) The council shall, within existing resources and pursuant to Chapter 3.5 (commencing with Section 11340), adopt additional regulations, as necessary, and amend or repeal, as necessary, regulations transferred to the department from the California Health and Human Services Agency relating to Article 9.5 (commencing with Section 11135) of Chapter 1 of Part 1.
- (2) Carry out all other functions and duties of the council pursuant to this part.
- (b) To meet at any place within the state and function in any office of the department.
- (c) To create or provide technical assistance to any advisory agencies and conciliation councils, local or otherwise, as in its judgment will aid in effectuating the purposes of this part, and to empower them to study the problems of discrimination in all or specific fields of human relationships or in particular instances of employment discrimination on the bases enumerated in this part or in specific instances of housing discrimination on the bases enumerated in this part and to foster, through community effort or otherwise, good will, cooperation, and conciliation among the groups and elements of the population of the state and to make recommendations to the Fair Employment and Housing Council for the development of policies and procedures in general except for procedural rules and regulations that carry out the investigation, prosecution, and dispute resolution functions and duties of the department. These advisory agencies and conciliation councils shall be composed of representative citizens, serving without pay.
- (d) To hold hearings, issue publications, results of inquiries and research, and reports to the Governor and the Legislature that, in its judgment, will tend to aid in effectuating the purpose of this part, promote good will, cooperation, and conciliation, and minimize or eliminate unlawful discrimination, or advance civil rights in the State of California.

SEC. 17.

Section 12945.2 of the Government Code is amended to read:

12945.2.

(a) It shall be an unlawful employment practice for any employer, as defined in paragraph (3) of subdivision (b), to refuse to grant a request by any employee with more than 12 months of service with the employer, and who has at least 1,250 hours of service with the employer during the previous 12-month period or who meets the requirements of subdivision (r), to take up to a total of 12 workweeks in any 12-month period for family care and medical leave. Family care and medical leave requested pursuant to this subdivision shall not be deemed to have been granted unless the employer provides the employee, upon granting the leave

request, a guarantee of employment in the same or a comparable position upon the termination of the leave. The council shall adopt a regulation specifying the elements of a reasonable request.

- (b) For purposes of this section:
- (1) "Child" means a biological, adopted, or foster child, a stepchild, a legal ward, a child of a domestic partner, or a person to whom the employee stands in loco parentis.
- (2) "Domestic partner" has the same meaning as defined in Section 297 of the Family Code.
- (3) "Employer" means either of the following:
- (A) Any person who directly employs five or more persons to perform services for a wage or salary.
- (B) The state, and any political or civil subdivision of the state and cities.
- (4) "Family care and medical leave" means any of the following:
- (A) Leave for reason of the birth of a child of the employee or the placement of a child with an employee in connection with the adoption or foster care of the child by the employee.
- (B) Leave to care for a child, parent, grandparent, grandchild, sibling, spouse, or domestic partner who has a serious health condition.
- (C) Leave because of an employee's own serious health condition that makes the employee unable to perform the functions of the position of that employee, except for leave taken for disability on account of pregnancy, childbirth, or related medical conditions.
- (D) Leave because of a qualifying exigency related to the covered active duty or call to covered active duty of an employee's spouse, domestic partner, child, or parent in the Armed Forces of the United States, as specified in Section 3302.2 of the Unemployment Insurance Code.
- (5) "Employment in the same or a comparable position" means employment in a position that has the same or similar duties and pay that can be performed at the same or similar geographic location as the position held prior to the leave.
- (6) "FMLA" means the federal Family and Medical Leave Act of 1993 (P.L. 103-3).
- (7) "Grandchild" means a child of the employee's child.
- (8) "Grandparent" means a parent of the employee's parent.
- (9) "Health care provider" means any of the following:
- (A) An individual holding either a physician's and surgeon's certificate issued pursuant to Article 4 (commencing with Section 2080) of Chapter 5 of Division 2 of the Business and Professions Code, an osteopathic physician's and surgeon's certificate issued pursuant to

Article 4.5 (commencing with Section 2099.5) of Chapter 5 of Division 2 of the Business and Professions Code, or an individual duly licensed as a physician, surgeon, or osteopathic physician or surgeon in another state or jurisdiction, who directly treats or supervises the treatment of the serious health condition.

- (B) Any other person determined by the United States Secretary of Labor to be capable of providing health care services under the FMLA.
- (10) "Parent" means a biological, foster, or adoptive parent, a parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.
- (11) "Parent-in-law" means the parent of a spouse or domestic partner.
- (12) "Serious health condition" means an illness, injury, impairment, or physical or mental condition that involves either of the following:
- (A) Inpatient care in a hospital, hospice, or residential health care facility.
- (B) Continuing treatment or continuing supervision by a health care provider.
- (13) "Sibling" means a person related to another person by blood, adoption, or affinity through a common legal or biological parent.
- (c) An employer shall not be required to pay an employee for any leave taken pursuant to subdivision (a), except as required by subdivision (d).
- (d) An employee taking a leave permitted by subdivision (a) may elect, or an employer may require the employee, to substitute, for leave allowed under subdivision (a), any of the employee's accrued vacation leave or other accrued time off during this period or any other paid or unpaid time off negotiated with the employer. If an employee takes a leave because of the employee's own serious health condition, the employee may also elect, or the employer may also require the employee, to substitute accrued sick leave during the period of the leave. However, an employee shall not use sick leave during a period of leave in connection with the birth, adoption, or foster care of a child, or to care for a child, parent, grandparent, grandchild, sibling, spouse, or domestic partner with a serious health condition, unless mutually agreed to by the employer and the employee.
- (e) (1) During any period that an eligible employee takes leave pursuant to subdivision (a) or takes leave that qualifies as leave taken under the FMLA, the employer shall maintain and pay for coverage under a "group health plan," as defined in Section 5000(b)(1) of the Internal Revenue Code, for the duration of the leave, not to exceed 12 workweeks in a 12-month period, commencing on the date leave taken under the FMLA commences, at the level and under the conditions coverage would have been provided if the employee had continued in employment continuously for the duration of the leave. Nothing in the preceding sentence shall preclude an employer from maintaining and paying for coverage under a "group health"

plan" beyond 12 workweeks. An employer may recover the premium that the employer paid as required by this subdivision for maintaining coverage for the employee under the group health plan if both of the following conditions occur:

- (A) The employee fails to return from leave after the period of leave to which the employee is entitled has expired.
- (B) The employee's failure to return from leave is for a reason other than the continuation, recurrence, or onset of a serious health condition that entitles the employee to leave under subdivision (a) or other circumstances beyond the control of the employee.
- (2) Any employee taking leave pursuant to subdivision (a) shall continue to be entitled to participate in employee health plans for any period during which coverage is not provided by the employer under paragraph (1), employee benefit plans, including life insurance or shortterm or long-term disability or accident insurance, pension and retirement plans, and supplemental unemployment benefit plans to the same extent and under the same conditions as apply to an unpaid leave taken for any purpose other than those described in subdivision (a). In the absence of these conditions an employee shall continue to be entitled to participate in these plans and, in the case of health and welfare employee benefit plans, including life insurance or short-term or long-term disability or accident insurance, or other similar plans, the employer may, at the employer's discretion, require the employee to pay premiums, at the group rate, during the period of leave not covered by any accrued vacation leave, or other accrued time off, or any other paid or unpaid time off negotiated with the employer, as a condition of continued coverage during the leave period. However, the nonpayment of premiums by an employee shall not constitute a break in service, for purposes of longevity, seniority under any collective bargaining agreement, or any employee benefit plan.

For purposes of pension and retirement plans, an employer shall not be required to make plan payments for an employee during the leave period, and the leave period shall not be required to be counted for purposes of time accrued under the plan. However, an employee covered by a pension plan may continue to make contributions in accordance with the terms of the plan during the period of the leave.

- (f) During a family care and medical leave period, the employee shall retain employee status with the employer, and the leave shall not constitute a break in service, for purposes of longevity, seniority under any collective bargaining agreement, or any employee benefit plan. An employee returning from leave shall return with no less seniority than the employee had when the leave commenced, for purposes of layoff, recall, promotion, job assignment, and seniority-related benefits such as vacation.
- (g) If the employee's need for a leave pursuant to this section is foreseeable, the employee shall provide the employer with reasonable advance notice of the need for the leave.

- (h) If the employee's need for leave pursuant to this section is foreseeable due to a planned medical treatment or supervision, the employee shall make a reasonable effort to schedule the treatment or supervision to avoid disruption to the operations of the employer, subject to the approval of the health care provider of the individual requiring the treatment or supervision.
- (i) (1) An employer may require that an employee's request for leave to care for a child, parent, grandparent, grandchild, sibling, spouse, or domestic partner who has a serious health condition be supported by a certification issued by the health care provider of the individual requiring care. That certification shall be sufficient if it includes all of the following:
- (A) The date on which the serious health condition commenced.
- (B) The probable duration of the condition.
- (C) An estimate of the amount of time that the health care provider believes the employee needs to care for the individual requiring the care.
- (D) A statement that the serious health condition warrants the participation of a family member to provide care during a period of the treatment or supervision of the individual requiring care.
- (2) Upon expiration of the time estimated by the health care provider in subparagraph (C) of paragraph (1), the employer may require the employee to obtain recertification, in accordance with the procedure provided in paragraph (1), if additional leave is required.
- (j) (1) An employer may require that an employee's request for leave because of the employee's own serious health condition be supported by a certification issued by the employee's health care provider. That certification shall be sufficient if it includes all of the following:
- (A) The date on which the serious health condition commenced.
- (B) The probable duration of the condition.
- (C) A statement that, due to the serious health condition, the employee is unable to perform the function of the employee's position.
- (2) The employer may require that the employee obtain subsequent recertification regarding the employee's serious health condition on a reasonable basis, in accordance with the procedure provided in paragraph (1), if additional leave is required.
- (3) (A) In any case in which the employer has reason to doubt the validity of the certification provided pursuant to this section, the employer may require, at the employer's expense, that the employee obtain the opinion of a second health care provider, designated or approved by the employer, concerning any information certified under paragraph (1).

- (B) The health care provider designated or approved under subparagraph (A) shall not be employed on a regular basis by the employer.
- (C) In any case in which the second opinion described in subparagraph (A) differs from the opinion in the original certification, the employer may require, at the employer's expense, that the employee obtain the opinion of a third health care provider, designated or approved jointly by the employer and the employee, concerning the information certified under paragraph (1).
- (D) The opinion of the third health care provider concerning the information certified under paragraph (1) shall be considered to be final and shall be binding on the employer and the employee.
- (4) As a condition of an employee's return from leave taken because of the employee's own serious health condition, the employer may have a uniformly applied practice or policy that requires the employee to obtain certification from the employee's health care provider that the employee is able to resume work. Nothing in this paragraph shall supersede a valid collective bargaining agreement that governs the return to work of that employee.
- (k) It shall be an unlawful employment practice for an employer to refuse to hire, or to discharge, fine, suspend, expel, or discriminate against, any individual because of any of the following:
- (1) An individual's exercise of the right to family care and medical leave provided by subdivision (a).
- (2) An individual's giving information or testimony as to the individual's own family care and medical leave, or another person's family care and medical leave, in any inquiry or proceeding related to rights guaranteed under this section.
- (I) This section shall not be construed to require any changes in existing collective bargaining agreements during the life of the contract, or until January 1, 1993, whichever occurs first.
- (m) The amendments made to this section by Chapter 827 of the Statutes of 1993 shall not be construed to require any changes in existing collective bargaining agreements during the life of the contract, or until February 5, 1994, whichever occurs first.
- (n) This section shall be construed as separate and distinct from Section 12945.
- (o) Leave provided for pursuant to this section may be taken in one or more periods. The 12-month period during which 12 workweeks of leave may be taken under this section shall run concurrently with the 12-month period under the FMLA, and shall commence the date leave taken under the FMLA commences.
- (p) Leave taken by an employee pursuant to this section shall run concurrently with leave taken pursuant to the FMLA, except for any leave taken under the FMLA for disability on account of pregnancy, childbirth, or related medical conditions. The aggregate amount of

leave taken under this section or the FMLA, or both, except for leave taken for disability on account of pregnancy, childbirth, or related medical conditions, shall not exceed 12 workweeks in a 12-month period. An employee is entitled to take, in addition to the leave provided for under this section and the FMLA, the leave provided for in Section 12945, if the employee is otherwise qualified for that leave.

- (q) It shall be an unlawful employment practice for an employer to interfere with, restrain, or deny the exercise of, or the attempt to exercise, any right provided under this section.
- (r) (1) An employee employed by an air carrier as a flight deck or cabin crew member meets the eligibility requirements specified in subdivision (a) if all of the following requirements are met:
- (A) The employee has 12 months or more of service with the employer.
- (B) The employee has worked or been paid for 60 percent of the applicable monthly guarantee, or the equivalent annualized over the preceding 12-month period.
- (C) The employee has worked or been paid for a minimum of 504 hours during the preceding 12-month period.
- (2) As used in this subdivision, the term "applicable monthly guarantee" means both of the following:
- (A) For employees described in this subdivision other than employees on reserve status, the minimum number of hours for which an employer has agreed to schedule those employees for any given month.
- (B) For employees described in this subdivision who are on reserve status, the number of hours for which an employer has agreed to pay those employees on reserve status for any given month, as established in the collective bargaining agreement or, if none exists, in the employer's policies.
- (3) The department may provide, by regulation, a method for calculating the leave described in subdivision (a) with respect to employees described in this subdivision.

SEC. 17.5.

Section 12945.2 of the Government Code is amended to read:

12945.2.

(a) It shall be an unlawful employment practice for any employer, as defined in paragraph (3) of subdivision (b), to refuse to grant a request by any employee with more than 12 months of service with the employer, and who has at least 1,250 hours of service with the employer during the previous 12-month period or who meets the requirements of subdivision (r), to take up to a total of 12 workweeks in any 12-month period for family care and medical leave. Family care and medical leave requested pursuant to this subdivision shall not be deemed to have been granted unless the employer provides the employee, upon granting the leave

request, a guarantee of employment in the same or a comparable position upon the termination of the leave. The council shall adopt a regulation specifying the elements of a reasonable request.

- (b) For purposes of this section:
- (1) "Child" means a biological, adopted, or foster child, a stepchild, a legal ward, a child of a domestic partner, or a person to whom the employee stands in loco parentis.
- (2) "Designated person" means a person identified by the employee at the time the employee requests family care and medical leave. An employer may limit an employee to one designated person per 12-month period for family care and medical leave.
- (3) "Domestic partner" has the same meaning as defined in Section 297 of the Family Code.
- (4) "Employer" means either of the following:
- (A) Any person who directly employs five or more persons to perform services for a wage or salary.
- (B) The state, and any political or civil subdivision of the state and cities.
- (5) "Family care and medical leave" means any of the following:
- (A) Leave for reason of the birth of a child of the employee or the placement of a child with an employee in connection with the adoption or foster care of the child by the employee.
- (B) Leave to care for a child, parent, grandparent, grandchild, sibling, spouse, domestic partner, or designated person who has a serious health condition.
- (C) Leave because of an employee's own serious health condition that makes the employee unable to perform the functions of the position of that employee, except for leave taken for disability on account of pregnancy, childbirth, or related medical conditions.
- (D) Leave because of a qualifying exigency related to the covered active duty or call to covered active duty of an employee's spouse, domestic partner, child, or parent in the Armed Forces of the United States, as specified in Section 3302.2 of the Unemployment Insurance Code.
- (6) "Employment in the same or a comparable position" means employment in a position that has the same or similar duties and pay that can be performed at the same or similar geographic location as the position held prior to the leave.
- (7) "FMLA" means the federal Family and Medical Leave Act of 1993 (P.L. 103-3).
- (8) "Grandchild" means a child of the employee's child.
- (9) "Grandparent" means a parent of the employee's parent.

- (10) "Health care provider" means any of the following:
- (A) An individual holding either a physician's and surgeon's certificate issued pursuant to Article 4 (commencing with Section 2080) of Chapter 5 of Division 2 of the Business and Professions Code, an osteopathic physician's and surgeon's certificate issued pursuant to Article 4.5 (commencing with Section 2099.5) of Chapter 5 of Division 2 of the Business and Professions Code, or an individual duly licensed as a physician, surgeon, or osteopathic physician or surgeon in another state or jurisdiction, who directly treats or supervises the treatment of the serious health condition.
- (B) Any other person determined by the United States Secretary of Labor to be capable of providing health care services under the FMLA.
- (11) "Parent" means a biological, foster, or adoptive parent, a parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.
- (12) "Parent-in-law" means the parent of a spouse or domestic partner.
- (13) "Serious health condition" means an illness, injury, impairment, or physical or mental condition that involves either of the following:
- (A) Inpatient care in a hospital, hospice, or residential health care facility.
- (B) Continuing treatment or continuing supervision by a health care provider.
- (14) "Sibling" means a person related to another person by blood, adoption, or affinity through a common legal or biological parent.
- (c) An employer shall not be required to pay an employee for any leave taken pursuant to subdivision (a), except as required by subdivision (d).
- (d) An employee taking a leave permitted by subdivision (a) may elect, or an employer may require the employee, to substitute, for leave allowed under subdivision (a), any of the employee's accrued vacation leave or other accrued time off during this period or any other paid or unpaid time off negotiated with the employer. If an employee takes a leave because of the employee's own serious health condition, the employee may also elect, or the employer may also require the employee, to substitute accrued sick leave during the period of the leave. However, an employee shall not use sick leave during a period of leave in connection with the birth, adoption, or foster care of a child, or to care for a child, parent, grandparent, grandchild, sibling, spouse, domestic partner, or designated person with a serious health condition, unless mutually agreed to by the employer and the employee.
- (e) (1) During any period that an eligible employee takes leave pursuant to subdivision (a) or takes leave that qualifies as leave taken under the FMLA, the employer shall maintain and pay for coverage under a "group health plan," as defined in Section 5000(b)(1) of the Internal Revenue Code, for the duration of the leave, not to exceed 12 workweeks in a 12-month

period, commencing on the date leave taken under the FMLA commences, at the level and under the conditions coverage would have been provided if the employee had continued in employment continuously for the duration of the leave. Nothing in the preceding sentence shall preclude an employer from maintaining and paying for coverage under a "group health plan" beyond 12 workweeks. An employer may recover the premium that the employer paid as required by this subdivision for maintaining coverage for the employee under the group health plan if both of the following conditions occur:

- (A) The employee fails to return from leave after the period of leave to which the employee is entitled has expired.
- (B) The employee's failure to return from leave is for a reason other than the continuation, recurrence, or onset of a serious health condition that entitles the employee to leave under subdivision (a) or other circumstances beyond the control of the employee.
- (2) Any employee taking leave pursuant to subdivision (a) shall continue to be entitled to participate in employee health plans for any period during which coverage is not provided by the employer under paragraph (1), employee benefit plans, including life insurance or shortterm or long-term disability or accident insurance, pension and retirement plans, and supplemental unemployment benefit plans to the same extent and under the same conditions as apply to an unpaid leave taken for any purpose other than those described in subdivision (a). In the absence of these conditions an employee shall continue to be entitled to participate in these plans and, in the case of health and welfare employee benefit plans, including life insurance or short-term or long-term disability or accident insurance, or other similar plans, the employer may, at the employer's discretion, require the employee to pay premiums, at the group rate, during the period of leave not covered by any accrued vacation leave, or other accrued time off, or any other paid or unpaid time off negotiated with the employer, as a condition of continued coverage during the leave period. However, the nonpayment of premiums by an employee shall not constitute a break in service, for purposes of longevity, seniority under any collective bargaining agreement, or any employee benefit plan.

For purposes of pension and retirement plans, an employer shall not be required to make plan payments for an employee during the leave period, and the leave period shall not be required to be counted for purposes of time accrued under the plan. However, an employee covered by a pension plan may continue to make contributions in accordance with the terms of the plan during the period of the leave.

(f) During a family care and medical leave period, the employee shall retain employee status with the employer, and the leave shall not constitute a break in service, for purposes of longevity, seniority under any collective bargaining agreement, or any employee benefit plan. An employee returning from leave shall return with no less seniority than the employee had when the leave commenced, for purposes of layoff, recall, promotion, job assignment, and seniority-related benefits such as vacation.

- (g) If the employee's need for a leave pursuant to this section is foreseeable, the employee shall provide the employer with reasonable advance notice of the need for the leave.
- (h) If the employee's need for leave pursuant to this section is foreseeable due to a planned medical treatment or supervision, the employee shall make a reasonable effort to schedule the treatment or supervision to avoid disruption to the operations of the employer, subject to the approval of the health care provider of the individual requiring the treatment or supervision.
- (i) (1) An employer may require that an employee's request for leave to care for a child, parent, grandparent, grandchild, sibling, spouse, domestic partner, or designated person who has a serious health condition be supported by a certification issued by the health care provider of the individual requiring care. That certification shall be sufficient if it includes all of the following:
- (A) The date on which the serious health condition commenced.
- (B) The probable duration of the condition.
- (C) An estimate of the amount of time that the health care provider believes the employee needs to care for the individual requiring the care.
- (D) A statement that the serious health condition warrants the participation of a family member to provide care during a period of the treatment or supervision of the individual requiring care.
- (2) Upon expiration of the time estimated by the health care provider in subparagraph (C) of paragraph (1), the employer may require the employee to obtain recertification, in accordance with the procedure provided in paragraph (1), if additional leave is required.
- (j) (1) An employer may require that an employee's request for leave because of the employee's own serious health condition be supported by a certification issued by the employee's health care provider. That certification shall be sufficient if it includes all of the following:
- (A) The date on which the serious health condition commenced.
- (B) The probable duration of the condition.
- (C) A statement that, due to the serious health condition, the employee is unable to perform the function of the employee's position.
- (2) The employer may require that the employee obtain subsequent recertification regarding the employee's serious health condition on a reasonable basis, in accordance with the procedure provided in paragraph (1), if additional leave is required.
- (3) (A) In any case in which the employer has reason to doubt the validity of the certification provided pursuant to this section, the employer may require, at the employer's expense, that

the employee obtain the opinion of a second health care provider, designated or approved by the employer, concerning any information certified under paragraph (1).

- (B) The health care provider designated or approved under subparagraph (A) shall not be employed on a regular basis by the employer.
- (C) In any case in which the second opinion described in subparagraph (A) differs from the opinion in the original certification, the employer may require, at the employer's expense, that the employee obtain the opinion of a third health care provider, designated or approved jointly by the employer and the employee, concerning the information certified under paragraph (1).
- (D) The opinion of the third health care provider concerning the information certified under paragraph (1) shall be considered to be final and shall be binding on the employer and the employee.
- (4) As a condition of an employee's return from leave taken because of the employee's own serious health condition, the employer may have a uniformly applied practice or policy that requires the employee to obtain certification from the employee's health care provider that the employee is able to resume work. Nothing in this paragraph shall supersede a valid collective bargaining agreement that governs the return to work of that employee.
- (k) It shall be an unlawful employment practice for an employer to refuse to hire, or to discharge, fine, suspend, expel, or discriminate against, any individual because of any of the following:
- (1) An individual's exercise of the right to family care and medical leave provided by subdivision (a).
- (2) An individual's giving information or testimony as to the individual's own family care and medical leave, or another person's family care and medical leave, in any inquiry or proceeding related to rights guaranteed under this section.
- (I) This section shall not be construed to require any changes in existing collective bargaining agreements during the life of the contract, or until January 1, 1993, whichever occurs first.
- (m) The amendments made to this section by Chapter 827 of the Statutes of 1993 shall not be construed to require any changes in existing collective bargaining agreements during the life of the contract, or until February 5, 1994, whichever occurs first.
- (n) This section shall be construed as separate and distinct from Section 12945.
- (o) Leave provided for pursuant to this section may be taken in one or more periods. The 12-month period during which 12 workweeks of leave may be taken under this section shall run concurrently with the 12-month period under the FMLA, and shall commence the date leave taken under the FMLA commences.

- (p) Leave taken by an employee pursuant to this section shall run concurrently with leave taken pursuant to the FMLA, except for any leave taken under the FMLA for disability on account of pregnancy, childbirth, or related medical conditions. The aggregate amount of leave taken under this section or the FMLA, or both, except for leave taken for disability on account of pregnancy, childbirth, or related medical conditions, shall not exceed 12 workweeks in a 12-month period. An employee is entitled to take, in addition to the leave provided for under this section and the FMLA, the leave provided for in Section 12945, if the employee is otherwise qualified for that leave.
- (q) It shall be an unlawful employment practice for an employer to interfere with, restrain, or deny the exercise of, or the attempt to exercise, any right provided under this section.
- (r) (1) An employee employed by an air carrier as a flight deck or cabin crew member meets the eligibility requirements specified in subdivision (a) if all of the following requirements are met:
- (A) The employee has 12 months or more of service with the employer.
- (B) The employee has worked or been paid for 60 percent of the applicable monthly guarantee, or the equivalent annualized over the preceding 12-month period.
- (C) The employee has worked or been paid for a minimum of 504 hours during the preceding 12-month period.
- (2) As used in this subdivision, the term "applicable monthly guarantee" means both of the following:
- (A) For employees described in this subdivision other than employees on reserve status, the minimum number of hours for which an employer has agreed to schedule those employees for any given month.
- (B) For employees described in this subdivision who are on reserve status, the number of hours for which an employer has agreed to pay those employees on reserve status for any given month, as established in the collective bargaining agreement or, if none exists, in the employer's policies.
- (3) The department may provide, by regulation, a method for calculating the leave described in subdivision (a) with respect to employees described in this subdivision.

SEC. 18.

Section 19242 of the Government Code, as amended by Section 6 of Chapter 367 of the Statutes of 2020, is amended to read:

19242.

(a) The department or its designee shall conduct competitive examinations to determine the qualifications and readiness of persons with disabilities for state employment. The

examinations may include an on-the-job-performance evaluation and any other selection techniques deemed appropriate.

- (b) (1) The department or its designee shall permit a person with a developmental disability to choose to complete a written examination or readiness evaluation, or to complete an internship, as described in this paragraph, in order to qualify for service in a position under the Limited Examination and Appointment Program. The use of an internship as a competitive examination of a person with a developmental disability shall consist of both of the following:
- (A) Successful completion of an internship with a state agency of at least 512 hours in duration.
- (B) Certification by the state agency that the employee has completed the internship and has demonstrated the skills, knowledge, and abilities necessary to successfully perform the requirements of the position.
- (2) A person with a developmental disability who successfully completes the examination or internship required by this subdivision is deemed to meet the minimum qualifications, as determined by the board, for the position in which the internship was performed.
- (c) Examination results may be ranked or unranked.
- (d) This section shall remain in effect only until January 1, 2023, and as of that date is repealed.

SEC. 19.

Section 19242 of the Government Code, as amended by Section 7 of Chapter 367 of the Statutes of 2020, is amended to read:

19242.

- (a) The department or its designee shall conduct competitive examinations to determine the qualifications and readiness of persons with disabilities for state employment. The examinations may include an on-the-job-performance evaluation and any other selection techniques deemed appropriate. Examination results may be ranked or unranked.
- (b) This section shall become operative on January 1, 2023.

SEC. 20.

Section 13009 of the Health and Safety Code is amended to read:

13009.

(a) Any person (1) who negligently, or in violation of the law, sets a fire, allows a fire to be set, or allows a fire kindled or attended by the person to escape onto any public or private property, (2) other than a mortgagee, who, being in actual possession of a structure, fails or refuses to correct, within the time allotted for correction, despite having the right to do so, a fire hazard prohibited by law, for which a public agency properly has issued a notice of violation respecting the hazard, or (3) including a mortgagee, who, having an obligation under

other provisions of law to correct a fire hazard prohibited by law, for which a public agency has properly issued a notice of violation respecting the hazard, fails or refuses to correct the hazard within the time allotted for correction, despite having the right to do so, is liable for the fire suppression costs incurred in fighting the fire and for the cost of providing rescue or emergency medical services, and those costs shall be a charge against that person. The charge shall constitute a debt of that person, and is collectible by the person, or by the federal, state, county, public, or private agency, incurring those costs in the same manner as in the case of an obligation under a contract, expressed or implied.

- (b) Public agencies participating in fire suppression, rescue, or emergency medical services as set forth in subdivision (a), may designate one or more of the participating agencies to bring an action to recover costs incurred by all of the participating agencies. An agency designated by the other participating agencies to bring an action pursuant to this section shall declare that authorization and its basis in the complaint, and shall itemize in the complaint the total amounts claimed under this section by each represented agency.
- (c) Any costs incurred by the Department of Forestry and Fire Protection in suppressing any wildland fire originating or spreading from a prescribed burning operation conducted by the department pursuant to a contract entered into pursuant to Article 2 (commencing with Section 4475) of Chapter 7 of Part 2 of Division 4 of the Public Resources Code shall not be collectible from any party to the contract as provided in subdivision (a), to the extent that those costs were not incurred as a result of a violation of any provision of the contract.
- (d) This section applies to all areas of the state, regardless of whether primarily wildlands, sparsely developed, or urban.
- (e) The statute of limitations applicable to an action brought pursuant to this section is that set forth in Section 338 of the Code of Civil Procedure.

SEC. 21.

Section 13009.1 of the Health and Safety Code is amended to read:

13009.1.

- (a) Any person (1) who negligently, or in violation of the law, sets a fire, allows a fire to be set, or allows a fire kindled or attended by the person to escape onto any public or private property, (2) other than a mortgagee, who, being in actual possession of a structure, fails or refuses to correct, within the time allotted for correction, despite having the right to do so, a fire hazard prohibited by law, for which a public agency properly has issued a notice of violation respecting the hazard, or (3) including a mortgagee, who, having an obligation under other provisions of law to correct a fire hazard prohibited by law, for which a public agency properly has issued a notice of violation respecting the hazard, fails or refuses to correct the hazard within the time allotted for correction, despite having the right to do so, is liable for both of the following:
- (1) The cost of investigating and making any reports with respect to the fire.

- (2) The costs relating to accounting for that fire and the collection of any funds pursuant to Section 13009, including, but not limited to, the administrative costs of operating a fire suppression cost recovery program. The liability imposed pursuant to this paragraph is limited to the actual amount expended that is attributable to the fire.
- (b) In any civil action brought for the recovery of costs provided in this section, the court in its discretion may impose the amount of liability for costs described in subdivision (a).
- (c) The burden of proof as to liability shall be on the plaintiff and shall be by a preponderance of the evidence in an action alleging that the defendant is liable for costs pursuant to this section. The burden of proof as to the amount of costs recoverable shall be on the plaintiff and shall be by a preponderance of the evidence in any action brought pursuant to this section.
- (d) Any testimony, admission, or any other statement made by the defendant in any proceeding brought pursuant to this section, or any evidence derived from the testimony, admission, or other statement, shall not be admitted or otherwise used in any criminal proceeding arising out of the same conduct.
- (e) The liability constitutes a debt of that person and is collectible by the person, or by the federal, state, county, public, or private agency, incurring those costs in the same manner as in the case of an obligation under a contract, expressed or implied.
- (f) This section applies in all areas of the state, regardless of whether primarily wildlands, sparsely developed, or urban.
- (g) The statute of limitations applicable to an action brought pursuant to this section is that set forth in Section 338 of the Code of Civil Procedure.

SEC. 22.

Section 103430 of the Health and Safety Code is amended to read:

103430.

- (a) A petition for a court order to recognize a change in the petitioner's gender as female, male, or nonbinary shall be accompanied by an affidavit from the petitioner and a certified copy of the court order changing the petitioner's name, if applicable. The petitioner's affidavit shall be accepted as conclusive proof of gender change if it contains substantially the following language: "I, (petitioner's full name), hereby attest under penalty of perjury that the request for a change in gender to (female, male, or nonbinary) is to conform my legal gender to my gender identity and is not for any fraudulent purpose."
- (b) (1) Except as provided in subdivision (e), the court shall grant the petition without a hearing if no written objection is timely filed within 28 days of the filing of the petition.

- (2) (A) If an objection showing good cause is timely filed, the court may set a hearing at a time designated by the court. Objections based solely on concerns over the petitioner's actual gender identity or gender assigned at birth shall not constitute good cause.
- (B) At the hearing, the court may examine under oath the petitioner and any other person having knowledge of the facts relevant to the petition. At the conclusion of the hearing, the court shall grant the petition if the court determines that the petition is not made for any fraudulent purpose.
- (c) If the judgment includes an order for a new birth certificate and if the petitioner was born in this state, a certified copy of the decree of the court ordering the new birth certificate, shall, within 30 days from the date of the decree, be filed with the State Registrar. Upon receipt thereof together with the fee prescribed by Section 103725, the State Registrar shall establish a new birth certificate for the petitioner.
- (d) The new birth certificate shall reflect the gender of the petitioner, as specified in the judgment of the court, and shall reflect any change of name, as specified in the court order, as prescribed by Section 103425. No reference shall be made in the new birth certificate, nor shall its form in any way indicate, that it is not the original birth certificate of the petitioner.
- (e) (1) If the person whose gender is to be changed is under 18 years of age, the petition shall be signed either (i) by at least one of the minor's parents, any guardian of the minor, or a person specified in subdivision (f); or (ii) if both parents are deceased and there is no guardian of the minor, by either a near relative or friend of the minor. The affidavit pursuant to subdivision (a) may be signed by the minor.
- (A) A petition that does not include the signatures of both living parents shall be served on the parent who did not sign the petition with notice and an order to show cause pursuant to Section 413.10, 414.10, 415.10, or 415.40 of the Code of Civil Procedure at least 30 days before the date for hearing set in the order to show cause. If service cannot reasonably be accomplished pursuant to Section 415.10 or 415.40 of the Code of Civil Procedure, the court may order that service be accomplished in a manner that the court determines is reasonably calculated to give actual notice to the parent who did not sign the petition.
- (B) The order to show cause shall direct the living parent who did not sign the petition to appear before the court at a time and place specified, which shall be not less than 6 weeks nor more than 12 weeks from the time of making the order to show cause, unless the court orders a different time, to show cause why the petition for a court order to recognize a change in the petitioner's gender of a minor to female, male, or nonbinary should not be granted. The order to show cause shall direct the living parent who did not sign the petition to make known any objection to the granting of the petition by filing a written objection that includes the reasons for the objection with the court at least two court days before the matter is scheduled to be heard and by appearing in court at the hearing to show cause why the petition should not be granted. The order to show cause shall state that if the living parent

who did not sign the petition does not timely file a written objection and appear in the court hearing, the court shall grant the petition without a hearing.

- (2) The court shall grant the petition without a hearing, unless a living parent who was required to be served with notice and an order to show cause timely filed a written objection. Upon a timely objection, the court may hold a hearing on the matter and may deny the petition if it finds that the change of gender is not in the best interest of the minor. At the hearing, the court may examine under oath the minor and any other person having knowledge of the facts relevant to the petition.
- (f) (1) All petitions to recognize a change of the gender of a minor signed by a guardian appointed by the juvenile court or the probate court, by a court-appointed dependency attorney appointed as guardian ad litem pursuant to rules adopted under Section 326.5 of the Welfare and Institutions Code, or by an attorney for a minor who is alleged or adjudged to be a person described in Section 601 or 602 of the Welfare and Institutions Code shall be made in the court having jurisdiction over the minor. All petitions to recognize a change of the gender of a nonminor dependent may be made in the juvenile court.
- (2) For a petition filed under paragraph (1), if either or both parents are deceased or cannot be located, the guardian or guardian ad litem shall cause, not less than 30 days before the hearing, a notice of the time and place of the hearing or a copy of the order to show cause to be served on the child's grandparents, if living and if known to petitioner, pursuant to Section 413.10, 414.10, 415.10, or 415.40 of the Code of Civil Procedure.
- (g) (1) If the petition is signed by a guardian, the petition shall specify relevant information regarding the guardianship, the likelihood that the child will remain under the guardian's care until the child reaches the age of majority, and information suggesting that the child will not likely be returned to the custody of the child's parents.
- (2) Before granting such a petition, the court shall first find that the ward is likely to remain in the guardian's care until the age of majority and that the ward is not likely to be returned to the custody of the parents.

SEC. 23.

Section 10139 of the Insurance Code is amended to read:

10139.

The transferee shall retain, for three years after the date of the last payment under the structured settlement agreement, or for five years after the date of the transfer, whichever date is later, a copy of the transferee's petition for approval filed pursuant to Section 10139.5, a copy of the written disclosure statement required by subdivision (b) of Section 10136, a copy of the transfer agreement as defined in subdivision (o) of Section 10134, and, unless excepted pursuant to subparagraph (H) of paragraph (2) of subdivision (f) of Section 10139.5, a copy of the annuity contract, any qualified assignment agreement, the underlying structured settlement agreement, or any order or approval of any court or responsible

administrative authority authorizing or approving the structured settlement, and a copy and proof of notice to the interested parties, and a verified statement from the transferee stating that all of the conditions set forth in Sections 10136, 10137, and 10138 have been met.

SEC. 24.

Section 4712 of the Welfare and Institutions Code is amended to read:

4712.

- (a) The fair hearing shall be held within 50 days of the date the hearing request form is received by the service agency, unless a continuance based upon a showing of good cause has been granted to the claimant. The service agency may also request a continuance based upon a showing of good cause, provided that the granting of the continuance does not extend the time period for rendering a final administrative decision beyond the 90-day period provided for in this chapter. For purposes of this section, good cause includes, but is not limited to, the following circumstances:
- (1) Death of a spouse, parent, child, brother, sister, grandparent of the claimant or authorized representative, or legal guardian or conservator of the claimant.
- (2) Personal illness or injury of the claimant or authorized representative.
- (3) Sudden and unexpected emergencies, including, but not limited to, court appearances of the claimant or authorized representative, conflicting schedules of the authorized representative if the conflict is beyond the control of the authorized representative.
- (4) Unavailability of a witness or evidence, the absence of which would result in serious prejudice to the claimant.
- (5) An intervening request by the claimant or the claimant's authorized representative for mediation.
- (b) Notwithstanding Sections 19130, 19131, and 19132 of the Government Code, the department shall contract for the provision of independent hearing officers. Hearing officers shall have had at least two years of full-time legal training at a California or American Bar Association accredited law school or the equivalent in training and experience as established by regulations to be adopted by the department pursuant to Section 4705. These hearing officers shall receive training in the law and regulations governing services to developmentally disabled individuals and administrative hearings. Training shall include, but not be limited to, the Lanterman Developmental Disabilities Services Act and regulations adopted thereunder, relevant case law, information about services and supports available to persons with developmental disabilities, including innovative services and supports, the standard agreement contract between the department and regional centers and regional center purchase-of-service policies, and information and training on protecting the rights of consumers at administrative hearings, with emphasis on assisting, where appropriate, those consumers represented by themselves or an advocate inexperienced in administrative hearings in fully developing the administrative record. The State Department of

Developmental Services shall seek the advice of the State Council on Developmental Disabilities, the protection and advocacy agency designated by the Governor in this state to fulfill the requirements and assurances of the federal Developmental Disabilities Assistance and Bill of Rights Act of 2000, contained in Chapter 144 (commencing with Section 15001) of Title 42 of the United States Code, the Association of Regional Center Agencies, and other state agencies or organizations and consumers and family members as designated by the department in the development of standardized hearing procedures for hearing officers and training materials and the implementation of training procedures by the department. The department shall provide formal training for hearing officers on at least an annual basis. The training shall be developed and presented by the department, however, the department shall invite those agencies and organizations listed in this subdivision to participate.

- (c) The hearing officer shall not be an employee, agent, board member, or contractor of the service agency against whose action the appeal has been filed, or a spouse, parent, child, brother, sister, grandparent, legal guardian, or conservator of the claimant, or any person who has a direct financial interest in the outcome of the fair hearing, or any other interest which would preclude a fair and impartial hearing.
- (d) The claimant and the service agency shall exchange a list of potential witnesses, the general subject of the testimony of each witness, and copies of all potential documentary evidence at least five calendar days prior to the hearing. The hearing officer may prohibit testimony of a witness that is not disclosed and may prohibit the introduction of documents that have not been disclosed. However, the hearing officer may allow introduction of the testimony or witness in the interest of justice.
- (e) (1) The fair hearing shall be held at a time and place reasonably convenient to the claimant and the authorized representative. The claimant or the authorized representative of the claimant and the regional center shall agree on the location of the fair hearing.
- (2) A location pursuant to paragraph (1) may include an agreement to conduct the hearing by telephone, videoconference, or other electronic means.
- (f) Merits of a pending fair hearing shall not be discussed between the hearing officer and a party outside the presence of the other party.
- (g) The hearing officer shall voluntarily disqualify themselves and withdraw from any case in which the hearing officer cannot accord a fair and impartial hearing or consideration. Any party may request the disqualification of the hearing officer by filing an affidavit, prior to the taking of evidence at a hearing, stating with particularity the grounds upon which it is claimed that a fair and impartial hearing cannot be accorded. The issue shall be decided by the hearing officer.
- (h) Both parties to the fair hearing shall have the rights specified in subdivision (f) of Section 4701.

- (i) The fair hearing need not be conducted according to the technical rules of evidence and those related to witnesses. Any relevant evidence shall be admitted. Both parties shall be allowed to submit documents into evidence at the beginning of the hearing. No party shall be required to formally authenticate any document unless the hearing officer determines the necessity to do so in the interest of justice. All testimony shall be under oath or affirmation which the hearing officer is empowered to administer.
- (j) A service agency shall present its witnesses and all other evidence before the claimant presents the claimant's case unless the parties agree otherwise or the hearing officer determines that there exists good cause for a witness to be heard out of order. This section does not alter the burden of proof.
- (k) A recording shall be made of the proceedings before the hearing officer. Any cost of recording shall be borne by the responsible state agency.
- (I) The fair hearing shall be conducted in the English language. However, if the claimant, the claimant's guardian or conservator, parent of a minor claimant, or authorized representative does not understand English, an interpreter shall be provided by the responsible state agency.
- (m) The fair hearing shall be open to the public except at the request of the claimant or authorized representative or when personnel matters are being reviewed.
- (n) The agency awarded the contract for independent hearing officers shall biennially conduct, or cause to be conducted, an evaluation of the hearing officers who conduct hearings under this part. The department shall approve the methodology used to conduct the evaluation. Information and data for this evaluation shall be solicited from consumers who were claimants in an administrative hearing over the past two years, their family members or authorized representative if involved in the hearing, regional centers, and nonattorney advocates, attorneys who represented either party in an administrative hearing over the past two years, and the organizations identified in subdivision (b). Regional centers shall forward copies of administrative decisions reviewed by the superior court to the department. The areas of evaluation shall include, but not be limited to, the hearing officers' demeanor toward parties and witnesses, conduct of the hearing in accord with fairness and standards of due process, ability to fairly develop the record in cases where consumers represent themselves or are represented by an advocate that does not have significant experience in administrative hearings, use of legal authority, clarity of written decisions, and adherence to the requirements of subdivision (b) of Section 4712.5. The department shall be provided with a copy of the evaluation and shall use the evaluation in partial fulfillment of its evaluation of the contract for the provision of independent hearing officers. A summary of the data collected shall be made available to the public upon request, provided that the names of individual hearing officers and consumers shall not be disclosed.

SEC. 25.

Section 1.5 of this bill incorporates amendments to Section 52.1 of the Civil Code proposed by both this bill and Senate Bill 2. That section of this bill shall only become operative if (1) both bills are enacted and become effective on or before January 1, 2022, (2) each bill amends Section 52.1 of the Civil Code, and (3) this bill is enacted after Senate Bill 2, in which case Section 1 of this bill shall not become operative.

SEC. 26.

Section 7.5 of this bill incorporates amendments to Section 1276 of the Code of Civil Procedure proposed by both this bill and Assembly Bill 218. That section of this bill shall only become operative if (1) both bills are enacted and become effective on or before January 1, 2022, (2) each bill amends Section 1276 of the Code of Civil Procedure, and (3) this bill is enacted after Assembly Bill 218, in which case Section 7 of this bill shall not become operative.

SEC. 27.

Section 8.5 of this bill incorporates amendments to Section 1277 of the Code of Civil Procedure proposed by both this bill and Assembly Bill 218. That section of this bill shall only become operative if (1) both bills are enacted and become effective on or before January 1, 2022, (2) each bill amends Section 1277 of the Code of Civil Procedure, and (3) this bill is enacted after Assembly Bill 218, in which case Section 8 of this bill shall not become operative.

SEC. 28.

Section 17.5 of this bill incorporates amendments to Section 12945.2 of the Government Code proposed by both this bill and Assembly Bill 1041. That section of this bill shall only become operative if (1) both bills are enacted and become effective on or before January 1, 2022, (2) each bill amends Section 12945.2 of the Government Code, and (3) this bill is enacted after Assembly Bill 1041, in which case Section 17 of this bill shall not become operative.

SEC. 29.

No reimbursement is required by this act pursuant to Section 6 of Article XIII B of the California Constitution because the only costs that may be incurred by a local agency or school district will be incurred because this act creates a new crime or infraction, eliminates a crime or infraction, or changes the penalty for a crime or infraction, within the meaning of Section 17556 of the Government Code, or changes the definition of a crime within the meaning of Section 6 of Article XIII B of the California Constitution.

Board staff are monitoring this legislation for cost and impacts to its meeting requirments.

SB-321 Employment safety standards: household domestic services. (2021-2022) (Durazo)

Date	Action
08/30/21	Ordered to third reading.
08/30/21	Read third time and amended.
08/30/21	Read second time. Ordered to third reading.
08/26/21	From committee: Do pass. (Ayes 13. Noes 0.) (August 26).
08/19/21	August 19 set for first hearing. Placed on suspense file.

Summary:

SB 321, as amended, Durazo. Employment safety standards: advisory committee: household **SB-321** domestic services.

Existing law, the California Occupational Safety and Health Act of 1973, requires employers to comply with certain standards ensuring healthy and safe working conditions, as specified. Existing law charges the Division of Occupational Safety and Health within the Department of Industrial Relations with enforcement of the act, subject to oversight by the Chief of the Division of Occupational Safety and Health (chief).

The This bill would require the chief or a representative of the chief to convene an advisory committee committee, comprised of no fewer than 13 and no more than 18 individuals, including representatives from specified groups, to make recommendations recommendations, in consultation with other specified divisions and entities, to the department or Legislature to-ensure protect the health and safety of household domestic service employees, and develop voluntary industry-specific occupational health and safety guidance for the purpose of educating household domestic service employees and employers, as specified. The bill would require the Division of Occupational Safety and Health to post the report to its internet website and submit a copy to the Legislature, as specified, no later than January 1, 2023.

Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

SB-410 Occupational safety and health: regulations. (2021-2022)
(Leyva)

Date	Action
07/08/21	Read second time. Ordered to third reading.
07/07/21	From committee: Do pass. (Ayes 9. Noes 4.) (July 7).

Summary:

SB 410, as amended, Leyva. Occupational safety and health: regulations.

Existing law establishes the Occupational Safety and Health Standards Board within the Department of Industrial Relations. Existing law authorizes the standards board to adopt, amend, or repeal occupational safety and health standards and orders, as defined, and requires the adoption of standards at least as effective as the federal standards for all issues for which federal standards have been promulgated under provisions of the federal Occupational Safety and Health Act of 1970. Existing law generally requires the adoption, amendment, or repeal of standards and orders by the standards board to comply with the rulemaking provisions of the Administrative Procedure Act (APA), but exempts from provisions of the APA relating to public participation and review of proposed regulations a standard or amendment to any standard adopted by the standards board that is substantially the same as a federal standard, including existing APA requirements, for a proposed nonmajor regulation, to prepare a prescribed economic impact assessment and, for a proposed major regulation, to prepare a standardized regulatory impact analysis in a manner prescribed by the Department of Finance.

This bill would exempt any occupational safety and health standard and order from the standardized regulatory impact analysis requirement.

The bill would also require an economic impact assessment to be prepared for the adoption, amendment, or repeal of any occupational safety and health standard and order, including for any such standard and order that is a major regulation proposed after January 1, 2022.

Board staff are monitoring this legislation to determine if regulatory action by the Board is called for.

SB-410

Occupational Safety and Health Standards Board

Business Meeting
Executive Officer's Report