# Occupational Safety and Health Standards Board

**Public Meeting and Business Meeting** 

March 16, 2023

Ronald Reagan State Building
Auditorium
300 South Spring Street
Los Angeles, California

**AND** 

Via teleconference / videoconference

# Occupational Safety and Health Standards Board

Meeting Agenda

STATE OF CALIFORNIA GAVIN NEWSOM, Governor

DEPARTMENT OF INDUSTRIAL RELATIONS Occupational Safety and Health Standards Board 2520 Venture Oaks Way, Suite 350 Sacramento, CA 95833

Tel: (916) 274-5721 www.dir.ca.gov/oshsb



#### **MISSION STATEMENT**

The mission of the Occupational Safety and Health Standards Board is to promote, adopt, and maintain reasonable and enforceable standards that will ensure a safe and healthful workplace for California workers.

#### **AGENDA**

PUBLIC MEETING AND BUSINESS MEETING
OF THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

March 16, 2023 at 10:00 a.m.

#### Attend the meeting in person:

Ronald Reagan State Building Auditorium 300 South Spring Street Los Angeles, CA 90013

#### **Attend the meeting via Video-conference:**

- 1. Go to www.webex.com
- 2. Select "Join"
- 3. Enter the meeting information: 268 984 996
- 4. Enter your name and email address then click "Join Meeting"
- 5. Video-conference will be opened to the public at 9:50 a.m.

#### Attend the meeting via Teleconference:

- 1. Dial (844) 992-4726
- 2. When prompted, enter 268-984-996
- 3. When prompted for an Attendee ID, press #
- 4. Teleconference will be opened to the public at 9:50 a.m.

#### Live video stream and audio stream (English and Spanish):

- 1. Go to https://videobookcase.com/california/oshsb/
- 2. Video stream and audio stream will launch as the meeting starts at 10:00 a.m.

#### **Public Comment Queue:**

Those attending the meeting in person will be added to the public comment queue on the day of the meeting.

March 2023 Agenda Page 2 of 5

Those attending the meeting remotely who wish to comment on agenda items may submit a request to be added to the public comment queue either in advance of or during the meeting through one of the following methods:

**ONLINE:** Provide your information through the online comment queue portal at <a href="https://videobookcase.org/oshsb/public-comment-queue-form/">https://videobookcase.org/oshsb/public-comment-queue-form/</a>

**PHONE:** Call **510-868-2730** to access the automated comment queue voicemail and provide\*: 1) your name as you would like it listed; 2) your affiliation or organization; and 3) the topic you would like to comment on.

\*Information requested is voluntary and not required to address the Board.

#### I. CALL TO ORDER AND INTRODUCTIONS

#### II. PUBLIC MEETING (Open for Public Comment)

This portion of the Public Meeting is open to any interested person to propose new or revised standards to the Board or to make any comment concerning occupational safety and health (Labor Code section 142.2). The Board is not permitted to take action on items that are not on the noticed agenda, but may refer items to staff for future consideration.

This portion of the meeting is also open to any person who wishes to address the Board on any item on today's Business Meeting Agenda (Government Code (GC) section 11125.7).

Any individual or group wishing to make a presentation during the Public Meeting is requested to contact Sarah Money, Executive Assistant, at (916) 274-5721 in advance of the meeting so that any logistical concerns can be addressed.

- A. PUBLIC COMMENT
- B. ADJOURNMENT OF THE PUBLIC MEETING
- III. <u>BUSINESS MEETING All matters on this Business Meeting agenda are subject to such discussion and action as the Board determines to be appropriate.</u>

The purpose of the Business Meeting is for the Board to conduct its monthly business.

- A. PROPOSED VARIANCE DECISIONS FOR ADOPTION
  - 1. Consent Calendar
- B. REPORTS
  - Division Update

March 2023 Agenda Page 3 of 5

- 2. Legislative Update
- 3. Executive Officer's Report

#### C. PANEL DISCUSSION (Automated Agricultural Equipment)

- 1. The Board will hold a discussion with invited panel members regarding automated agricultural equipment
- 2. Public Comment on Panel Discussion

#### D. NEW BUSINESS

1. Future Agenda Items

Although any Board Member may identify a topic of interest, the Board may not substantially discuss or take action on any matter raised during the meeting that is not included on this agenda, except to decide to place the matter on the agenda of a future meeting. (GC sections 11125 & 11125.7(a).).

#### E. CLOSED SESSION

#### **Matters Pending Litigation**

- Western States Petroleum Association (WSPA) v. California Occupational Safety and Health Standards Board (OSHSB), et al. United States District Court (Eastern District of California) Case No. 2:19-CV-01270
- 2. WSPA v. OSHSB, et al., County of Sacramento, CA Superior Court Case No. 34-2019-00260210

#### <u>Personnel</u>

- F. RETURN TO OPEN SESSION
  - 1. Report from Closed Session
- G. ADJOURNMENT OF BUSINESS MEETING

Next Meeting: April 20, 2023

Cal/EPA Building

Byron Sher Auditorium

1001 | Street

Sacramento, CA 95814

10:00 a.m.

March 2023 Agenda Page 4 of 5

#### **CLOSED SESSION**

- 1. If necessary, consideration of personnel matters. (GC section 11126(a)(1)).
- 2. If necessary, consideration of pending litigation pursuant to GC section 11126(e)(1).

#### **PUBLIC COMMENT**

Efforts will be made to accommodate each individual who has signed up to speak. However, given time constraints, there is no guarantee that all who have signed up will be able to address the State body.

Each speaker is invited to speak for up to two minutes. The Board Chair may extend the speaking time allotted where practicable.

The total time for public comment is 120 minutes, unless extended by the Board Chair.

The public can speak/participate at the meetings before items that involve decisions.

In addition to public comment during Public Hearings, the Occupational Safety and Health Standards Board (Board) affords an opportunity to members of the public to address the Board on items of interest that are either on the Business Meeting agenda, or within the Board's jurisdiction but are not on the noticed agenda, during the Public Meeting. The Board is not permitted to take action on items that are not on the noticed agenda, but may refer items to staff for future consideration. The Board reserves the right to limit the time for speakers.

#### **DISABILITY ACCOMMODATION NOTICE**

Disability accommodation is available upon request. Any person with a disability requiring an accommodation, auxiliary aid or service, or a modification of policies or procedures to ensure effective communication and access to the public hearings/meetings of the Occupational Safety and Health Standards Board should contact the Disability Accommodation Coordinator at (916) 274-5721 or the state-wide Disability Accommodation Coordinator at 1-866-326-1616 (toll free). The state-wide Coordinator can also be reached through the California Relay Service, by dialing 711 or 1-800-735-2929 (TTY) or 1-800-855-3000 (TTY-Spanish).

Accommodations can include modifications of policies or procedures or provision of auxiliary aids or services. Accommodations include, but are not limited to, an Assistive Listening System (ALS), a Computer-Aided Transcription System or Communication Access Realtime Translation (CART), a sign-language interpreter, documents in Braille, large print or on computer disk, and audio cassette recording. Accommodation requests should be made as soon as possible. Requests for an ALS or CART should be made no later than five (5) days before the meeting.

March 2023 Agenda Page 5 of 5

#### **TRANSLATION**

Requests for translation services should be made no later than five (5) days before the meeting.

NOTE: Written comments may be emailed directly to oshsb@dir.ca.gov no later than 5:00 p.m. on the Tuesday prior to a scheduled Board Meeting.

Under GC section 11123, subdivision (a), all meetings of a state body are open and public, and all persons are permitted to attend any meeting of a state body, except as otherwise provided in that article. The Board Chair may adopt reasonable time limits for public comments in order to ensure that the purpose of public discussion is carried out. (GC section 11125.7, subd. (b).)

Members of the public who wish to participate in the meeting may do so via livestream on our website at <a href="https://videobookcase.com/california/oshsb/">https://videobookcase.com/california/oshsb/</a>. The video recording and transcript of this meeting will be posted on our website as soon as practicable.

For questions regarding this meeting, please call (916) 274-5721.

# Occupational Safety and Health Standards Board

**Meeting Notice** 

STATE OF CALIFORNIA GAVIN NEWSOM, Governor

DEPARTMENT OF INDUSTRIAL RELATIONS
Occupational Safety and Health Standards Board
2520 Venture Oaks Way, Suite 350
Sacramento, CA 95833

Tel: (916) 274-5721 www.dir.ca.gov/oshsb



#### NOTICE OF PUBLIC MEETING AND BUSINESS MEETING OF THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

Pursuant to Government Code Section 11346.4 and the provisions of Labor Code Sections 142.1, 142.2, 142.3, 142.4, and 144.6, the Occupational Safety and Health Standards Board of the State of California has set the time and place for a Public Meeting and Business Meeting:

PUBLIC MEETING: On March 16, 2023, at 10:00 a.m.

in the Auditorium of the Ronald Reagan State Building 300 South Spring Street, Los Angeles, California

as well as via the following:

- Video-conference at <u>www.webex.com</u> (meeting ID 268 984 996)
- Teleconference at (844) 992-4726 (Access code 268 984 996)
- Live video stream and audio stream (English and Spanish) at https://videobookcase.com/california/oshsb/

At the Public Meeting, the Board will make time available to receive comments or proposals from interested persons on any item concerning occupational safety and health.

**BUSINESS MEETING:** 

On **March 16, 2023,** at 10:00 a.m. in the Auditorium of the Ronald Reagan State Building 300 South Spring Street, Los Angeles, California

as well as via the following:

- Video-conference at www.webex.com (meeting ID 268 984 996)
- Teleconference at (844) 992-4726 (Access code 268 984 996)
- Live video stream and audio stream (English and Spanish) at <a href="https://videobookcase.com/california/oshsb/">https://videobookcase.com/california/oshsb/</a>

At the Business Meeting, the Board will conduct its monthly business.

**DISABILITY ACCOMMODATION NOTICE**: Disability accommodation is available upon request. Any person with a disability requiring an accommodation, auxiliary aid or service, or a modification of policies or procedures to ensure effective communication and access to the public hearings/meetings of the Occupational Safety and Health Standards Board should contact the Disability Accommodation Coordinator at (916) 274-5721 or the state-wide Disability Accommodation Coordinator at 1-866-326-1616 (toll free). The state-wide Coordinator can also be reached through the California Relay Service, by dialing 711 or 1-800-735-2929 (TTY) or 1-800-855-3000 (TTY-Spanish).

Accommodations can include modifications of policies or procedures or provision of auxiliary aids or services. Accommodations include, but are not limited to, an Assistive Listening System (ALS), a Computer-Aided Transcription System or Communication Access Realtime Translation (CART), a

| sign-language interpreter, documents in Braille, large print or on computer disk, an | d audio      |
|--|--------------|
| cassette recording. Accommodation requests should be made as soon as possible.       | Requests for |
| an ALS or CART should be made no later than five (5) days before the hearing.        |              |

| OCCUPATIONAL SAFETY AND HEALTH |  |
|--------------------------------|--|
| STANDARDS BOARD                |  |
|                                |  |
|                                |  |
| DAVE THOMAS, Chairman          |  |

# Occupational Safety and Health Standards Board

**Business Meeting** 

# Occupational Safety and Health Standards Board

# Business Meeting Proposed Variance Decisions

## CONSENT CALENDAR—PROPOSED VARIANCE DECISIONS MARCH 16, 2023, MONTHLY BUSINESS MEETING OF THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

#### PROPOSED DECISIONS FOR BOARD CONSIDERATION, HEARD ON February 22, 2023

| Docket<br>Number | Applicant Name                          | Safety<br>Order(s) at<br>Issue | Proposed<br>Decision<br>Recommendation |
|------------------|---|--------------------------------|--|
| 1. 16-V-076M1    | Santa Clara Campus Owners' Association  | Elevator                       | GRANT                                  |
| 2. 17-V-040M1    | Santa Clara Campus Owners' Association  | Elevator                       | GRANT                                  |
| 3. 18-V-562M1    | GW4, LLC                                | Elevator                       | GRANT                                  |
| 4. 21-V-129M1    | Jefferson Centerpointe, LLC             | Elevator                       | GRANT                                  |
| 5. 21-V-130M1    | Jefferson Centerpointe, LLC             | Elevator                       | GRANT                                  |
| 6. 21-V-291M1    | Granite Ridge Investors, L.P.           | Elevator                       | GRANT                                  |
| 7. 21-V-379M1    | Complex Therapeutics LLC                | Elevator                       | GRANT                                  |
| 8. 21-V-577M1    | Onni Broadway Block Long Beach LLC      | Elevator                       | GRANT                                  |
| 9. 22-V-494      | San Francisco Public Utility Commission | Elevator                       | GRANT                                  |
| 10. 22-V-557     | Montage Health                          | Elevator                       | GRANT                                  |
| 11. 22-V-598     | TB Milano Apartments, LLC               | Elevator                       | GRANT                                  |
| 12. 22-V-641     | 1633 Camden Holdings, LLC.              | Elevator                       | GRANT                                  |
| 13. 22-V-642     | 5201 W. Adams (LA) OZ, LLC              | Elevator                       | GRANT                                  |
| 14. 22-V-643     | MVSA Venture LLC                        | Elevator                       | GRANT                                  |
| 15. 22-V-644     | Portola Senior Housing Associates, LP   | Elevator                       | GRANT                                  |
| 16. 22-V-645     | Miramar Development, LP                 | Elevator                       | GRANT                                  |
| 17. 22-V-646     | Beech Street Housing Associates, L.P.   | Elevator                       | GRANT                                  |
| 18. 22-V-648     | Manthey Road Investments, LLC           | Elevator                       | GRANT                                  |
| 19. 22-V-649     | California State University Northridge  | Elevator                       | GRANT                                  |
| 20. 22-V-650     | California State University Northridge  | Elevator                       | GRANT                                  |
| 21. 22-V-651     | DPRE BayRock I, LLC                     | Elevator                       | GRANT                                  |

| Docket<br>Number | Applicant Name                                     | Safety<br>Order(s) at<br>Issue | Proposed<br>Decision<br>Recommendation |
|------------------|--|--------------------------------|--|
| 22. 22-V-652     | Anton Santa Cruz LLC                               | Elevator                       | GRANT                                  |
| 23. 22-V-653     | Griffith Sunset Partners, L.P.                     | Elevator                       | GRANT                                  |
| 24. 22-V-654     | Griffith Sunset Partners, L.P.                     | Elevator                       | GRANT                                  |
| 25. 22-V-655     | Central Avenue Housing, L.P.                       | Elevator                       | GRANT                                  |
| 26. 22-V-656     | 8811 Sepulveda, L.P.                               | Elevator                       | GRANT                                  |
| 27. 22-V-658     | Swish Province II, LLC                             | Elevator                       | GRANT                                  |
| 28. 22-V-659     | Miramar Gold, L.P.                                 | Elevator                       | GRANT                                  |
| 29. 22-V-660     | City of San Rafael                                 | Elevator                       | GRANT                                  |
|                  | Department of Public Works                         |                                |  |
| 30. 22-V-661     | HSRE - MPCCA Oakland MOB, LLC                      | Elevator                       | GRANT                                  |
| 31. 22-V-662     | RTB Management LLC                                 | Elevator                       | GRANT                                  |
| 32. 22-V-663     | JS Pacific Street Partners LLC                     | Elevator                       | GRANT                                  |
| 33. 22-V-664     | MBC BioLabs@1030, a California Limited Partnership | Elevator                       | GRANT                                  |
| 34. 22-V-665     | 1st Place Village LLC                              | Elevator                       | GRANT                                  |
| 35. 22-V-666     | 116 East Cota Street Associates, L.P.              | Elevator                       | GRANT                                  |
| 36. 22-V-667     | Cross Creek Ranch Malibu, LLC                      | Elevator                       | GRANT                                  |
| 37. 22-V-668     | Disney Vacation Development, Inc.                  | Elevator                       | GRANT                                  |
| 38. 22-V-669     | Fortinet   | Elevator                       | GRANT                                  |
| 39. 22-V-670     | FSP-South Flower Street Assoc., LLC                | Elevator                       | GRANT                                  |
| 40. 22-V-671     | Wakeland Price UB LP                               | Elevator                       | GRANT                                  |
| 41. 22-V-672     | 4233 Kansas St LP, LLC                             | Elevator                       | GRANT                                  |
| 42. 22-V-674     | CDC Mar East Campus 1, LLC                         | Elevator                       | GRANT                                  |

### STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify Permanent Variance by: | OSHSB File No.: 16-V-076M1 Proposed Decision Dated: February 28, 2023 |
|---|---|
| Santa Clara Campus Owners' Association                        | DECISION  |

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

|                            | OCCUPATIONAL SAFETY AND HEALTH          |
|----------------------------|---|
| DAVID THOMAS, Chairman     | STANDARDS BOARD                         |
|                            | Date of Adoption: March 16, 2023        |
| BARBARA BURGEL, Member     |   |
|                            | THE FOREGOING VARIANCE DECISION WAS     |
|                            | ADOPTED ON THE DATE INDICATED ABOVE.    |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE        |
|                            | DECISION, A PETITION FOR REHEARING      |
|                            | MAY BE FILED BY ANY PARTY WITH THE      |
| DAVID HARRISON, Member     | STANDARDS BOARD WITHIN TWENTY (20)      |
|                            | DAYS AFTER SERVICE OF THE DECISION.     |
|                            | YOUR PETITION FOR REHEARING MUST        |
| NOLA KENNEDY, Member       | FULLY COMPLY WITH THE REQUIREMENTS      |
|                            | OF CALIFORNIA CODE OF REGULATIONS,      |
| CHRIS LASZCZ-DAVIS, Member | TITLE 8, SECTIONS 427, 427.1 AND 427.2. |
|                            | Note: A convert this Desirion must be   |
|                            | Note: A copy of this Decision must be   |
| LAURA STOCK, Member        | posted for the Applicant's employees to |
|                            | read, and/or a copy thereof must be     |
|                            | provided to the employees' Authorized   |
|                            | Representatives.                        |

## BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:

PROPOSED DECISION

Santa Clara Campus Owners' Association

Hearing Date: February 22, 2023

OSHSB File No.: 16-V-076M1

#### A. Subject Matter and Jurisdiction:

1. The above named person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations. The subject permanent variance file, and preexisting variance holder of record therein, are as follows:

| Preexisting<br>OSHSB File No. | Preexisting Variance Holder of Record |
|-------------------------------|---------------------------------------|
| 16-V-076                      | Santa Clara Property Owner 1 LLC      |

B. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. Procedural Matters:

- This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Fuei Saetern, with KONE, Inc., appeared on behalf of the Applicant, Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                             |
|----------------|--|
| PD-1           | Application for modification of Permanent Variance |
| PD-2           | OSHSB Notice of Hearing                            |
| PD-3           | Board Staff Review of Variance Application         |
| PD-4           | Division Review of Variance Application            |
| PD-5           | Review Draft-1 Proposed Decision                   |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
  - The Applicant requests modification of the variance holder specified within Board records for each elevator the subject of previously granted Permanent Variance No. 16-V-076.
  - 2. Application Section E, declared to be wholly truthful under penalty of perjury by Application signatory, states that the person or entity named in Application Section A, Santa Clara Campus Owners' Association, became the owner of the conveyance(s) subject to the existing variance referenced in Application Section E, as the term conveyance owner is defined per California Code of Regulations, title 8, section 403(o).
  - 3. The Division has evaluated the request for modification of person or entity of record holding Permanent Variance No. 16-V-076, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 16-V-076.
  - 4. The Board finds the Application Section E, declaratory statements of the Applicant signatory to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which, in substantial part, grant of preexisting Permanent Variance No. 16-V-076.
  - 5. The Board finds the current person or entity having custody of each elevator the subject of Permanent Variance No. 16-V-076, to be in fact:

Santa Clara Property Owner 1 LLC

#### E. <u>Decision and Order:</u>

1. Variance application 16-V-076M1 is conditionally GRANTED, as specified below, such that, within Board records, the person or entity holding Permanent Variance No. 16-V-076 and Permanent Variance No. 16-V-076M1, shall be:

#### Santa Clara Campus Owners' Association

2. Permanent Variance No. 16-V-076, being only modified as specified in above Decision and Order section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 16-V-076M1.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez/Hearing Office

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify Permanent Variance by:                | OSHSB File No.: 17-V-040M1 Proposed Decision Dated: February 28, 2023 |
|--|---|
| Santa Clara Campus Owners' Association                                       | DECISION  |
| The Occupational Safety and Health S PROPOSED DECISION by Autumn Gonzalez, H | Standards Board hereby adopts the attached<br>Hearing Officer.        |

|                            | OCCUPATIONAL SAFETY AND HEALTH  |
|----------------------------|---|
| DAVID THOMAS, Chairman     | STANDARDS BOARD   |
|                            | Date of Adoption: March 16, 2023  |
| BARBARA BURGEL, Member     | THE FORECOING VARIANCE RECICION WAS   |
|                            | THE FOREGOING VARIANCE DECISION WAS   |
|                            | ADOPTED ON THE DATE INDICATED ABOVE.  |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE  |
|                            | DECISION, A PETITION FOR REHEARING  |
|                            | MAY BE FILED BY ANY PARTY WITH THE  |
| DAVID HARRISON, Member     | STANDARDS BOARD WITHIN TWENTY (20)  |
|                            | DAYS AFTER SERVICE OF THE DECISION.   |
|                            | YOUR PETITION FOR REHEARING MUST  |
| NOLA KENNEDY, Member       | FULLY COMPLY WITH THE REQUIREMENTS  |
|                            | OF CALIFORNIA CODE OF REGULATIONS,  |
|                            | TITLE 8, SECTIONS 427, 427.1 AND 427.2.                                     |
| CHRIS LASZCZ-DAVIS, Member | -, ,  |
|                            | Note: A copy of this Decision must be                                       |
| LAURA STOCK, Member        | posted for the Applicant's employees to read, and/or a copy thereof must be |

provided to the employees' Authorized

Representatives.

## BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify Permanent Variance by:

OSHSB File No.: 17-V-040M1

Santa Clara Campus Owners' Association

**PROPOSED DECISION** 

Hearing Date: February 23, 2023

#### A. Subject Matter and Jurisdiction:

1. The above named person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations. The subject permanent variance file, and preexisting variance holder of record therein, are as follows:

| Preexisting<br>OSHSB File No. | Preexisting Variance Holder of Record |
|-------------------------------|---------------------------------------|
| 17-V-040                      | Santa Clara Property Owner 1 LLC      |

B. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. Procedural Matters:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Fuei Saetern, with KONE, Inc., appeared on behalf of the Applicant, Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                             |
|----------------|--|
| PD-1           | Application for modification of Permanent Variance |
| PD-2           | OSHSB Notice of Hearing                            |
| PD-3           | Board Staff Review of Variance Application         |
| PD-4           | Division Review of Variance Application            |
| PD-5           | Review Draft-1 Proposed Decision                   |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
  - The Applicant requests modification of the variance holder specified within Board records for each elevator the subject of previously granted Permanent Variance No. 17-V-040.
  - 2. Application Section E, declared to be wholly truthful under penalty of perjury by Application signatory, states that the person or entity named in Application Section A, Santa Clara Campus Owners' Association, became the owner of the conveyance(s) subject to the existing variance referenced in Application Section E, as the term conveyance owner is defined per California Code of Regulations, title 8, section 403(o).
  - 3. The Division has evaluated the request for modification of person or entity of record holding Permanent Variance No. 17-V-040M1, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 17-V-040.
  - 4. The Board finds the Application Section E, declaratory statements of the Applicant signatory to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which, in substantial part, grant of preexisting Permanent Variance No. 17-V-040.
  - 5. The Board finds the current person or entity having custody of each elevator the subject of Permanent Variance No. 17-V-040 to be in fact:

Santa Clara Property Owner 1 LLC

#### E. <u>Decision and Order:</u>

1. Variance application 17-V-040M1 is conditionally GRANTED, as specified below, such that, within Board records, the person or entity holding Permanent Variance No. 17-V-040 and Permanent Variance No. 17-V-040M1, shall be:

#### Santa Clara Campus Owners' Association

2. Permanent Variance No. 17-V-040, being only modified as specified in above Decision and Order section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 17-V-040M1.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Page 3 of 3

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify Permanent Variance by:            | OSHSB File No.: 18-V-562M1 Proposed Decision Dated: February 28, 2023 |
|--|---|
| GW4, LLC   | DECISION  |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.           |
|  | OCCUPATIONAL SAFETY AND HEALTH  |
| DAVID THOMAS, Chairman   | STANDARDS BOARD   |
| ·  | Date of Adoption: March 16, 2023                                      |
| BARBARA BURGEL, Member   | THE FOREGOING VARIANCE DECISION WAS                                   |
|  | ADOPTED ON THE DATE INDICATED ABOVE.                                  |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE                                      |
| ·  | DECISION, A PETITION FOR REHEARING                                    |
|  | MAY BE FILED BY ANY PARTY WITH THE                                    |
| DAVID HARRISON, Member   | STANDARDS BOARD WITHIN TWENTY (20)                                    |
|  | DAYS AFTER SERVICE OF THE DECISION.                                   |
| NOLA KENNEDY, Member   | YOUR PETITION FOR REHEARING MUST                                      |
| NOLA REINIEDT, WEITIGE   | FULLY COMPLY WITH THE REQUIREMENTS                                    |
|  | OF CALIFORNIA CODE OF REGULATIONS,                                    |
| CHRIS LASZCZ-DAVIS, Member   | TITLE 8, SECTIONS 427, 427.1 AND 427.2.                               |
|  | Note: A copy of this Decision must be                                 |
| LAUDA STOCK Mambar   | posted for the Applicant's employees to                               |
| LAURA STOCK, Member  | read, and/or a copy thereof must be                                   |
|  | provided to the employees' Authorized                                 |
|  | Representatives.  |

## BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

| In the Matter of Application for Permanent Variance Regarding: | OSHSB File Nos.: 18-V-562M1     |
|--|---------------------------------|
| GW4, LLC   | PROPOSED DECISION               |
|  | Hearing Date: February 22, 2023 |
|  |                                 |

#### A. Subject Matter

1. The following person or entity ("Applicant") has applied for a modification of permanent variance from provision of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for subject elevators identified herein:

| Preexisting OSHSB File No. | Preexisting Variance Holder of Record |
|----------------------------|---------------------------------------|
| 18-V-562                   | Gateway Four LP                       |

#### B. Jurisdiction

1. This proceeding is conducted in accordance with the Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. <u>Procedural</u>

- This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
- 2. At the hearing, Jennifer Linares, appeared on behalf of the Applicant's representative, the Schindler Elevator Corporation; Jose Ceja and Mark Wickens appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit   |
|----------------|--|
| PD-1           | Permanent variance modification applications per section A.1 table |
| PD-2           | OSHSB Notice of Hearing  |
| PD-3           | Board Staff Reviews of Variance Application                        |
| PD-4           | Division Reviews of Variance Application                           |
| PD-5           | Review Draft-1 Proposed Decision                                   |

Official notice is taken of the Board's rulemaking recordings and variance decisions concerning the safety order requirements at issue. At close of hearing on February 22, 2023, the record was closed, and the matter taken under submission by the Hearing Officer.

#### D. Findings of Fact

- 1. Based on the record of this hearing, the Board makes the following findings of fact:
  - a. The Applicant request modification of the Board's records to change from "Gateway Four LP" to "GW4, LLC", the variance holder of record previously granted Permanent Variance Nos. 18-V-562.
  - b. Application section 3, declared to be wholly truthful under penalty of perjury by the Applicant signatory, states facts upon which to reasonably find that presently GW4, LLC is the owner of the property at the variance location of record in Permanent Variance No. 18-V-562M1.
  - c. The Division has evaluated the request for modification (see Exhibit PD-4), finds no issue with it, and recommends the application for modification be granted subject to the same conditions of the Decision and Order in Permanent Variance Nos. 18-V-562.
  - d. The Board finds the above section D.1.b, referenced document to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing upon the findings of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 18-V-562 was, in significant part, based.

#### E. <u>Decision and Order</u>

1. Variance application 18-V-562M1 is conditionally GRANTED, as specified below, such that henceforth the permanent variance holder of record in Permanent Variance Nos. 18-V-562 and 18-V-562M1, shall be:

GW4, LLC

2. Permanent Variance No. 18-V-562 only being modified as to the variance holder of record, otherwise is unchanged and remaining in full force and effect, as hereby incorporated by reference into the present Decision and Order.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez, Hearing Office

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify  | OSHSB File No.: 21-V-129M1 Proposed Decision Dated: February 28, 2023 |
|---|---|
| Permanent Variance by:                  | Proposed Decision Dated. February 28, 2025                            |
| Jefferson Centerpointe, LLC             |   |
| ·                                       | DECISION  |
|   |   |
|   |   |
| The Occupational Safety and Health S    | tandards Board hereby adopts the attached                             |
| PROPOSED DECISION by Autumn Gonzalez, H | learing Officer.  |
|   | OCCUPATIONAL SAFETY AND HEALTH  |
| DAVID THOMAS, Chairman                  | STANDARDS BOARD   |
| ,                                       |   |
|   | Date of Adoption: March 16, 2023                                      |
| BARBARA BURGEL, Member                  | THE FOREGOING VARIANCE DECISION WAS                                   |
|   | ADOPTED ON THE DATE INDICATED ABOVE.                                  |
| KATHLEEN CRAWFORD, Member               | IF YOU ARE DISSATISFIED WITH THE                                      |
| KATTLLEIN CHAWT OND, MICHIGET           | DECISION, A PETITION FOR REHEARING                                    |
|   | MAY BE FILED BY ANY PARTY WITH THE                                    |
| DAVID HARRISON, Member                  | STANDARDS BOARD WITHIN TWENTY (20)                                    |
|   | DAYS AFTER SERVICE OF THE DECISION.                                   |
|   | YOUR PETITION FOR REHEARING MUST                                      |
| NOLA KENNEDY, Member                    | FULLY COMPLY WITH THE REQUIREMENTS                                    |
|   | OF CALIFORNIA CODE OF REGULATIONS,                                    |
| CHRIS LASZCZ-DAVIS, Member              | TITLE 8, SECTIONS 427, 427.1 AND 427.2.                               |
| CHRIS LASZCZ-DAVIS, Mellibel            |   |
|   | Note: A copy of this Decision must be                                 |
| LAURA STOCK, Member                     | posted for the Applicant's employees to                               |
| ·                                       | read, and/or a copy thereof must be                                   |
|   | provided to the employees' Authorized                                 |
|   | Representatives.  |

## BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

| In the Matter of Application to Modify Permanent Variance by: | OSHSB File No.: 21-V-129M1                         |
|---|--|
| Jefferson Centerpointe, LLC                                   | PROPOSED DECISION  Hearing Date: February 22, 2023 |

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator having the below specified preexisting variance location address of record:

| Preexisting<br>OSHSB File<br>No. | Applicant Name              | Variance Address of Record            | Preexisting<br>Number of<br>Elevators |
|----------------------------------|-----------------------------|---------------------------------------|---------------------------------------|
| 21-V-129                         | Jefferson Centerpointe, LLC | 501 W. Crowther Ave.<br>Placentia, CA | 4                                     |

B. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. <u>Procedural Matters</u>:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, and via teleconference, by Occupational Safety and Health Standards Board ("Board") with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- At the hearing, Jennifer Linares appeared on behalf of the Applicants' representative, the Schindler Elevator Corporation; Jose Ceja and Mark Wickens appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff.
- 3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence: the subject modification of

permanent variance application captioned above as Exhibit PD-1, Notice of Hearing as Exhibit PD-2, Board staff Pending Application(s) for Permanent Variance Opinion Letter as PD-3, Division evaluation as PD-4, Review Draft 1 & 2 Proposed Decision as PD-5, and official notice taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing was concluded, the record closed on February 24, 2023, and the matter was taken under submission by the Hearing Officer.

#### D. Findings and Basis:

#### Address Change

- The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 21-V-129.
- Application section 3, declared to be wholly truthful under penalty of perjury by
  Application signatory, states facts upon which reasonably may be based a finding that the
  address, specified in the records of the Board, at which Permanent Variance 21-V-129 is in
  effect, in fact is more completely, and correctly the address specified in below subsection
  D.5.
- The Division has evaluated the request for modification of variance location address, finds
  no issue with it, and recommends that the application for modification be granted subject
  to the same conditions of the Decision and Order in OSHSB Permanent Variance File No.
  21-V-129.
- 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 21-V-129 was, in part, based.
- 5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 21-V-129, to be:

503 W. Crowther Ave. Placentia, CA

#### **Quantity Change**

 The Applicant requests modification of the quantity of elevators the subject of previously granted Permanent Variance No. 21-V-129, to decrease the quantity of

- elevators from four (4) to one (1).
- 2. The Division has evaluated the immediate request for modification of variance, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 21-V-129.
- 3. The Board finds the subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and finds modification of Permanent Variance 21-V-129, decreasing the quantity of subject elevators from four (4) to one (1), to be of no bearing upon the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 21-V-129 was, in part, based.

#### E. <u>Decision and Order</u>:

- 1. Application for Modification of Permanent Variance, No. 21-V-129M1, is conditionally GRANTED, as specified below:
  - a. a total of one (1) elevator is the subject of Permanent Variance No. 21-V-129, as hereby modified.
  - without change in variance location, the elevator being the subject of Permanent Variance Nos. 21-V-129, and 21-V-129M1, shall have the following address designation:

### 503 W. Crowther Ave. Placentia, CA

- 2. Permanent Variance No. 21-V-129, being only modified as to the subject location address and quantity of elevators specified in above Decision and Order section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into Modification of Permanent Variance No. 21-V-129M1.
- 3. The applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way that the Applicant was required to notify them of the application for permanent variance, per California Code of Regulations, title 8, sections 411.2 and 411.3.
- 4. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in the manner prescribed for its issuance.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez, Wearing Officer

### STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify Permanent Variance by:           | OSHSB File No.: 21-V-130M1 Proposed Decision Dated: February 28, 2023 |
|---|---|
| Jefferson Centerpointe, LLC   | DECISION  |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez | n Standards Board hereby adopts the attached , Hearing Officer.       |
|   | OCCUPATIONAL SAFETY AND HEALTH  |
| DAVID THOMAS, Chairman  | STANDARDS BOARD   |
| PARRADA BURGEL AA   | Date of Adoption: March 16, 2023                                      |
| BARBARA BURGEL, Member  | THE FOREGOING VARIANCE DECISION WAS                                   |
|   | ADOPTED ON THE DATE INDICATED ABOVE.                                  |
| KATHLEEN CRAWFORD, Member   | IF YOU ARE DISSATISFIED WITH THE                                      |
|   | DECISION, A PETITION FOR REHEARING                                    |
|   | MAY BE FILED BY ANY PARTY WITH THE                                    |
| DAVID HARRISON, Member  | STANDARDS BOARD WITHIN TWENTY (20)                                    |
|   | DAYS AFTER SERVICE OF THE DECISION.                                   |

NOLA KENNEDY, Member

LAURA STOCK, Member

CHRIS LASZCZ-DAVIS, Member

Note: A copy of this Decision must be posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

YOUR PETITION FOR REHEARING MUST

FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS, TITLE 8, SECTIONS 427, 427.1 AND 427.2.

## BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify

Permanent Variance by:

OSHSB File No.: 21-V-130M1

Termanent variance by.

PROPOSED DECISION

Jefferson Centerpointe, LLC

Hearing Date: February 22, 2023

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

| Preexisting OSHSB File No. | Applicant Name              | Preexisting Variance Address of Record |
|----------------------------|-----------------------------|--|
| 21-V-130                   | Jefferson Centerpointe, LLC | 501 W. Crowther Ave.<br>Placentia, CA  |

B. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. Procedural Matters:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Jennifer Linares, appeared on behalf of the Applicant's representative, the Schindler Elevator Corporation; Jose Ceja and Mark Wickens appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| <b>Exhibit Number</b> | Description of Exhibit                             |
|-----------------------|--|
| PD-1                  | Application for modification of Permanent Variance |
| PD-2                  | OSHSB Notice of Hearing                            |
| PD-3                  | Board Staff Review of Variance Application         |
| PD-4                  | Division Review of Variance Application            |
| PD-5                  | Review Draft-1 Proposed Decision                   |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
  - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 21-V-130.
  - 2. Application section 3, declared to be wholly truthful under penalty of perjury by Application signatory, states facts upon which reasonably may be based a finding that the address, specified in the records of the Board, at which Permanent Variance 21-V-130 is in effect, in fact is more completely, and correctly the different combination of addresses specified in below subsection D.5.
  - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 21-V-130.
  - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 21-V-130 was, in part, based.
  - 5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 21-V-130, to be:

503 W. Crowther Ave. Placentia, CA

#### E. <u>Decision and Order:</u>

1. Permanent Variance Application No. 21-V-130M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each elevator being the subject of Permanent Variance Nos. 21-V-130, and 21-V-130M1, shall have the following address designation:

503 W. Crowther Ave. Placentia, CA

2. Permanent Variance No. 21-V-130, being only modified as to the subject location address specified in above Decision and Order section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 21-V-130M1.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez Hearing Officer

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify                                   | OSHSB File No.: 21-V-291M1                                  |
|--|---|
| Permanent Variance by:   | Proposed Decision Dated: February 28, 2023                  |
| Granite Ridge Investors, L.P.  | DECISION  |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer. |
|  | OCCUPATIONAL SAFETY AND HEALTH                              |
| DAVID THOMAS, Chairman   | STANDARDS BOARD   |
|  | Date of Adoption: March 16, 2023                            |
| BARBARA BURGEL, Member   |   |
|  | THE FOREGOING VARIANCE DECISION WAS                         |
|  | ADOPTED ON THE DATE INDICATED ABOVE.                        |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE                            |
|  | DECISION, A PETITION FOR REHEARING                          |
| DAVID HARRISON Momber  | MAY BE FILED BY ANY PARTY WITH THE                          |
| DAVID HARRISON, Member   | STANDARDS BOARD WITHIN TWENTY (20)                          |
|  | DAYS AFTER SERVICE OF THE DECISION.                         |
| NOLA KENNEDY, Member   | YOUR PETITION FOR REHEARING MUST                            |
| WOLK KENNED I, WEITIGE   | FULLY COMPLY WITH THE REQUIREMENTS                          |
|  | OF CALIFORNIA CODE OF REGULATIONS,                          |
| CHRIS LASZCZ-DAVIS, Member   | TITLE 8, SECTIONS 427, 427.1 AND 427.2.                     |
|  | Note: A copy of this Decision must be                       |
| LAURA STOCK, Member  | posted for the Applicant's employees to                     |
| LAUNA STOCK, MEITINET  | read, and/or a copy thereof must be                         |
|  | provided to the employees' Authorized                       |

Representatives.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify

OSHSB File No.: 21-V-291M1

Permanent Variance by:

PROPOSED DECISION

Granite Ridge Investors, L.P.

Hearing Date: February 22, 2023

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

| Preexisting OSHSB File No. | Applicant Name                | Preexisting Variance Address of Record |
|----------------------------|-------------------------------|--|
| 21-V-291                   | Granite Ridge Investors, L.P. | 37350 Sequoia Rd.                      |
| 21-4-531                   | Granite Muge Investors, E.P.  | Fremont, CA                            |

B. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. Procedural Matters:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Jennifer Linares, appeared on behalf of the Applicant's representative, the Schindler Elevator Corporation; Jose Ceja and Mark Wickens appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                             |
|----------------|--|
| PD-1           | Application for modification of Permanent Variance |
| PD-2           | OSHSB Notice of Hearing                            |
| PD-3           | Board Staff Review of Variance Application         |
| PD-4           | Division Review of Variance Application            |
| PD-5           | Review Draft-1 Proposed Decision                   |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
  - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 21-V-291.
  - 2. Application section 3, declared to be wholly truthful under penalty of perjury by Application signatory, states facts upon which reasonably may be based a finding that the address, specified in the records of the Board, at which Permanent Variance 21-V-291 is in effect, in fact is more completely, and correctly the different combination of addresses specified in below subsection D.5.
  - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 21-V-291.
  - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 21-V-291 was, in part, based.
  - 5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 21-V-291, to be:

3135 Medina Common Fremont, CA

#### E. <u>Decision and Order:</u>

1. Permanent Variance Application No. 21-V-291M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each elevator being the subject of Permanent Variance Nos. 21-V-291, and 21-V-291M1, shall have the following address designation:

3135 Medina Common Fremont, CA

2. Permanent Variance No. 21-V-291, being only modified as to the subject location address specified in above Decision and Order section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 21-V-291M1.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez/, Hearing Officer

# STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify  | OSHSB File No.: 21-V-379M1                 |  |  |
|---|--|--|--|
| Permanent Variance by:  | Proposed Decision Dated: February 28, 2023 |  |  |
| Complex Therapeutics LLC  | DECISION                                   |  |  |
|   |  |  |  |
| The Occupational Safety and Health S  | Standards Board hereby adopts the attached |  |  |
| PROPOSED DECISION by Autumn Gonzalez, H   | Hearing Officer.                           |  |  |
|   | OCCUPATIONAL SAFETY AND HEALTH             |  |  |
| DAVID THOMAS, Chairman  | STANDARDS BOARD                            |  |  |
|   | Date of Adoption: March 16, 2023           |  |  |
| BARBARA BURGEL, Member  | THE FOREGOING VARIANCE DECISION WAS        |  |  |
|   | ADOPTED ON THE DATE INDICATED ABOVE.       |  |  |
| KATHLEEN CRAWFORD, Member   | IF YOU ARE DISSATISFIED WITH THE           |  |  |
| William Control on the first of the control of the | DECISION, A PETITION FOR REHEARING         |  |  |
|   | MAY BE FILED BY ANY PARTY WITH THE         |  |  |
| DAVID HARRISON, Member  | STANDARDS BOARD WITHIN TWENTY (20)         |  |  |
|   | DAYS AFTER SERVICE OF THE DECISION.        |  |  |
| ·   | YOUR PETITION FOR REHEARING MUST           |  |  |

NOLA KENNEDY, Member

LAURA STOCK, Member

CHRIS LASZCZ-DAVIS, Member

Note: A copy of this Decision must be posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS, TITLE 8, SECTIONS 427, 427.1 AND 427.2.

#### **BEFORE THE** OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application to Modify

Permanent Variance by:

OSHSB File No.: 21-V-379M1

PROPOSED DECISION

Complex Therapeutics LLC

Hearing Date: February 22, 2023

A. The following person or entity ("Applicant") has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator having the specified preexisting variance location address of record:

| Preexisting OSHSB File No. | Applicant Name           | Preexisting Variance Address of Record |  |
|----------------------------|--------------------------|--|--|
| 21-V-379                   | Complex Therapeutics LLC | 18412 Oxnard St.                       |  |
| 21-4-2/9                   | complex merapeutics LLC  | Tarzana, CA                            |  |

B. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.

#### C. Procedural Matters:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Jennifer Linares, appeared on behalf of the Applicant's representative, the Schindler Elevator Corporation; Jose Ceja and Mark Wickens appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                             |
|----------------|--|
| PD-1           | Application for modification of Permanent Variance |
| PD-2           | OSHSB Notice of Hearing                            |
| PD-3           | Board Staff Review of Variance Application         |
| PD-4           | Division Review of Variance Application            |
| PD-5           | Review Draft-1 Proposed Decision                   |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- D. Based on the record of this hearing, the Board makes the following findings of fact:
  - The Applicant requests modification of the address of the unchanging variance location specified within Board records for each elevator the subject of previously granted Permanent Variance 21-V-379.
  - 2. Application section 3, declared to be wholly truthful under penalty of perjury by Application signatory, states facts upon which reasonably may be based a finding that the address, specified in the records of the Board, at which Permanent Variance 21-V-379 is in effect, in fact is more completely, and correctly the different combination of addresses specified in below subsection D.5.
  - 3. The Division has evaluated the request for modification of variance location address, finds no issue with it, and recommends that the application for modification be granted subject to the same conditions of the Decision and Order in OSHSB Permanent Variance File No. 21-V-379.
  - 4. The Board finds the above subpart D.2 referenced declaration to be credible, uncontroverted, and consistent with available, sufficient facts, and of no bearing as to the finding of equivalent occupational health and safety upon which Grant of preexisting Permanent Variance 21-V-379 was, in part, based.
  - 5. The Board finds the correct address by which to designate the location of each elevator the subject of Permanent Variance No. 21-V-379, to be:

18408 Oxnard St. Tarzana, CA

#### E. <u>Decision and Order:</u>

1. Permanent Variance Application No. 21-V-379M1 is conditionally GRANTED, thereby modifying Board records, such that, without change in variance location, each elevator being the subject of Permanent Variance Nos. 21-V-379, and 21-V-379M1, shall have the following address designation:

18408 Oxnard St. Tarzana, CA

2. Permanent Variance No. 21-V-379, being only modified as to the subject location address specified in above Decision and Order section 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance No. 21-V-379M1.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez Aearing Officer

# STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application to Modify<br>Permanent Variance by:         | OSHSB File No.: 21-V-577M1 Proposed Decision Dated: February 28, 2023 |
|--|---|
| Onni Broadway Block Long Beach LLC                                       | DECISION  |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.           |
| DAVID THOMAS Chairman  | OCCUPATIONAL SAFETY AND HEALTH  |

|                            | OCCUPATIONAL SAFETT AND HEALTH          |
|----------------------------|---|
| DAVID THOMAS, Chairman     | STANDARDS BOARD                         |
|                            | Date of Adoption: March 16, 2023        |
| BARBARA BURGEL, Member     |   |
|                            | THE FOREGOING VARIANCE DECISION WAS     |
|                            | ADOPTED ON THE DATE INDICATED ABOVE.    |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE        |
|                            | DECISION, A PETITION FOR REHEARING      |
|                            | MAY BE FILED BY ANY PARTY WITH THE      |
| DAVID HARRISON, Member     | STANDARDS BOARD WITHIN TWENTY (20)      |
|                            | DAYS AFTER SERVICE OF THE DECISION.     |
|                            | YOUR PETITION FOR REHEARING MUST        |
| NOLA KENNEDY, Member       | FULLY COMPLY WITH THE REQUIREMENTS      |
|                            | OF CALIFORNIA CODE OF REGULATIONS,      |
| CHRIS LASZCZ-DAVIS, Member | TITLE 8, SECTIONS 427, 427.1 AND 427.2. |
|                            | Note: A copy of this Decision must be   |
| LAURA STOCK, Member        | posted for the Applicant's employees to |

Note: A copy of this Decision must be posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

| In the Matter of Application to Modify Permanent Variance by: | OSHSB File No.: 21-V-577M1      |  |
|---|---------------------------------|--|
| Onni Broadway Block Long Beach LLC                            | PROPOSED DECISION               |  |
|   | Hearing Date: February 22, 2023 |  |

Onni Broadway Block Long Beach LLC, has applied for a modification of permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, for each elevator the subject of Variance No. 21-V-577, approved by the Board on February 17, 2022.<sup>1</sup>

- A. This proceeding is conducted in accordance with Labor Code section 143.
- B. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
  - 1. At the hearing, Fuei Saetern, with KONE, Inc., appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board.
  - 2. At the hearing, oral evidence was received and by stipulation of all parties, documents were accepted into evidence:

| <b>Exhibit Number</b> | Description of Exhibit                             |  |
|-----------------------|--|--|
| PD-1                  | Application for modification of Permanent Variance |  |
| PD-2                  | OSHSB Notice of Hearing                            |  |
| PD-3                  | Division Reviews of Variance Application           |  |
| PD-4                  | Board Staff Reviews of Variance Application        |  |
| PD-5                  | Review Draft-1 Proposed Decision                   |  |

Official notice taken of the Board's rulemaking records and variance decisions concerning the safety order requirements at issue in this matter. On February 22, 2023,

<sup>&</sup>lt;sup>1</sup> Unless otherwise noted, all references are to the California Code of Regulations, title 8.

the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. Based on the record of this hearing, the Board makes the following findings of fact:
  - 1. The Applicant requests modification of the variance location specified within Board records for a single elevator the subject of previously granted Permanent Variance No. 21-V-577.
  - 2. Application 21-V-577M1, declared to be wholly truthful under penalty of perjury by signatory, states that the maximum rated speed and maximum suspended load into Permanent Variance No. 21-V-577M1have been modified. Applicant requests modification to a rated speed of 200 fpm.
  - 3. The Board finds the Application Section 3, declaration to be credible, uncontroverted, and consistent with available, sufficient facts.
  - 4. The written Division evaluation of Application for Permanent Variance No. 21-V-577M1, dated December 27, 2022, (Exhibit PD-3, states in significant part):
    - Applicant is requesting to modify the permanent variance to revise the maximum rated speed of elevator numbers 6 and 7 to 200 fpm. Subsequent to a change in maximum rated speed, a change in the maximum suspended load is also required pursuant to information provided within the Kone MonoSpace 500 Master File and the Elevator Safety Orders.
  - 5. In its written evaluation (Exhibit PD-4), Division recommends grant of Application No. 21-V-577M1, subject to the same conditions stipulated in OSHSB File No.21-V-577, except as modified below;

Revisions exclusive to OSHSB File No. 21-V-577, Decision and Order, Appendix 1:

| Monospace 500 Suspension Ropes Appendix 1 Table       |          |                   |                  |                |
|---|----------|-------------------|------------------|----------------|
| OSHB  | Elevator | Minimum           | Maximum Speed in | Maximum        |
| File No.  | ID       | Quantity of Ropes | Feet Per Minute  | Suspended Load |
| (Per Condition 3) (Per Condition 6) (Per Condition 7) |          |                   |                  |                |
| 21-V-577  | 4        | 6                 | 200              | 9905           |
| 21-V-577  | 6        | 8                 | 350              | 11,706         |
| 21-V-577  | 7        | 8                 | 350              | 11,706         |

The Board finds the recommendation of Division, summarized in above subparts 4 and 5, to be the knowledgeable opinion of experienced and

competent elevator safety engineering professionals. The Board also finds persuasive the concurrence of Board staff engineering professionals, per Exhibit PD-3, in recommending grant of requested modification.

6. The Board finds that modification of Permanent Variance No. 21-V-577M1, per above Section 5 specified technical conditions, will provide for safety and health equivalent to Elevator Safety Order requirements from which variance was granted under Permanent Variance No. 21-V-577M1.

#### D. <u>Decision and Order</u>:

Variance application 21-V-577M1, is conditionally GRANTED, to the limited extent specified below, with respect to two conveyances the subject of Permanent Variance No. 21-V-577M1, Decision and Order.

1. Permanent Variance File No. 21-V-577M1, Decision and Order, Appendix 1, is modified, with respect to the below specified conveyance, as follows:

| OSHB       | Elevator | Minimum           | Maximum Speed in  | Maximum Suspended |
|------------|----------|-------------------|-------------------|-------------------|
| File No.   | ID       | Quantity of Ropes | Feet Per Minute   | Load              |
|            |          | (Per Condition 3) | (Per Condition 6) | (Per Condition 7) |
| 21-V-577M1 | 4        | 6                 | 200               | 9905              |
| 21-V-577M1 | 6        | 8                 | 200               | 13,207            |
| 21-V-577M1 | 7        | 8                 | 200               | 13,207            |

- 2. Permanent Variance No. 21-V-577M1, only being modified as specified per above Decision and Order Condition No. 1, is otherwise unchanged and remaining in full force and effect, as hereby incorporated by reference into this Decision and Order of Permanent Variance File No. 21-V-577M1.
- 3. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to sections 411.2 and 411.3.
- 4. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with the Board's procedures.

«Hearing\_Date»

Pursuant to section 426, subdivision (b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

utumn Gonzalez, Hearing Off

# STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for Permanent Variance By:                  | OSHSB File No.: see grid below<br>Proposed Decision Dated: February 28, 2023                               |  |  |
|--|--|--|--|
| San Francisco Public Utilities Commission                                | DECISION   |  |  |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.  |  |  |
| DAVID THOMAS, Chairman   | OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD   |  |  |
| BARBARA BURGEL, Member   | Date of Adoption: March 16, 2023  THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE. |  |  |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING MAY BE FILED BY ANY PARTY WITH THE     |  |  |
| DAVID HARRISON, Member   | STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION.                                     |  |  |
| NOLA KENNEDY, Member   | YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS,     |  |  |
| CHRIS LASZCZ-DAVIS, Member   | TITLE 8, SECTIONS 427, 427.1 AND 427.2.  |  |  |

LAURA STOCK, Member

Note: A copy of this Decision must be posted for the Applicant's employees to

read, and/or a copy thereof must be provided to the employees' Authorized

Representatives.

#### **BEFORE THE**

# OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

|            | In the Matter of Application for | OSHSB File No.: 22-V-494        |
|------------|----------------------------------|---------------------------------|
|            | Permanent Variance By:           |                                 |
|            |                                  | PROPOSED DECISION               |
|            | San Francisco Public Utilities   |                                 |
| Commission | Commission                       | Hearing Date: February 22, 2023 |
|            |                                  |                                 |

#### A. <u>Procedural Matters</u>

- 1. The Applicant, San Francisco Public Utilities Commission, has applied for a permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations.<sup>1</sup>
- 2. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.

At the hearing, Fuei Saetern, with KONE elevator, appeared on behalf of Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"); and Senior Engineer Michael Nelmida appeared on behalf of Board staff in a technical advisory role apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence: permanent variance application as Exhibit PD-1, Notice of Hearing as Exhibit PD-2, Board staff Review of Application as PD-3, Division Review of Application as PD-4, Review Draft 1 Proposed Decision as PD-5, and official notice taken of the Board's rulemaking records and variance decisions concerning the Elevator Safety Order requirements from which variance is being requested. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

#### B. Based on the record of this hearing, the Board makes the following findings of fact:

1. Applicant requests a permanent variance from provisions of section 3141 [ASME A17.1-2004, Section 2.15.9.2(a) and 2.4.1.5] as each concerns, respectively, the

<sup>&</sup>lt;sup>1</sup> All references are to California Code of Regulations, title 8, unless otherwise specified.

- minimum length of the elevator platform guard (apron) and car mounted equipment striking the pit for one (1) elevator located at 2001 Kearny St., San Francisco, CA.
- 2. Applicant proposes to install a two-section retractable platform guard (apron) consisting of a stationary upper section guard plate and a moveable lower section guard plate. To monitor the retractable mechanism, an electrical switching system will be provided to monitor for malfunction.
- 3. Section 3141 [ASME A17.1-2004, Section 2.15.9.2] states, in part:
  - 2.15.9.2 The guard plate shall have a straight vertical face, extending below the floor surface of the platform, conforming to one of the following:
  - (a) where the elevator is required to conform to 2.19.2.2(b) the depth of the truck zone, where provided, plus 75 mm (3 in.), but in no case less than 1,220 mm (48 in.).

An intent of this code section is to guard a hazardous opening to the hoistway if the elevator car is intentionally or unintentionally positioned above the landing zone, by providing a guard that extends below the car platform to obstruct the opening.

- 4. Section 3141 [ASME A17.1-2004, Section 2.4.1.5] states, in part:
  - 2.4.1.5 When the car is resting on its fully compressed buffers or bumpers, no part of the car, or any equipment attached thereto or equipment traveling with the car, shall strike any part of the pit or any equipment mounted therein.
  - An intent of this code section is to prevent any equipment attached to the elevator car from striking any part of the pit. This could damage the elevator equipment, which may result in unsafe operation or injury.
- 5. Per Division's Review of Application (Exhibit PD-4) Applicant's proposed platform guard is similar in all material respects to installations for which a permanent variance previously has been granted. (e.g. 17-V-306M1).
- 6. Both Board staff and Division safety engineers, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and positions stated at hearing, are of the well informed opinion that grant of requested permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

#### C. Conclusive Findings:

The above procedural, legal, and factual matters adequately support the reasonable conclusion that: (1) the Applicant has complied with the statutory and regulatory requirements that must be met before an application for a permanent variance, or modification such variance, may be granted, and (2) a preponderance of the evidence establishes that the Applicant's proposals, combined with the conditions set forth in the Decision and Order, will provide employment and a place of employment that are as safe and healthful as those that would prevail if the Applicant complied with the safety orders at issue.

#### D. Decision and Order:

The application for permanent variance, in the matter of OSHSB File No. 22-V-494, is conditionally GRANTED, issuing the Applicant permanent variance from section 3141 [ASME A17.1-2004, Section 2.4.1.5 (insofar as necessary to allow the Applicant to utilize the proposed retractable toe guard), and 2.15.9.2 (insofar as is necessary to allow the Applicant to utilize the proposed retractable toe guard), for the specific conveyances, at the specific variance location.

- 1. In lieu of the straight vertical face (one-piece) platform guards (aprons) required by Section 3141 [ASME A17.1-2004, Section 2.15.9.2], a two-section retractable platform guard consisting of a stationary, upper-section guard plate and a moveable, lower-section guard plate shall be installed and conform to the following:
  - a. The stationary, upper-section guard plate shall have a straight vertical face, extending below the floor surface of the platform; the height shall be not less than 920 mm (36.2 in).
  - b. The movable, lower-section guard plate shall:
    - (i) Comply with ASME A17.1-2004, Section 2.15.9.3;
    - (ii) Be provided with a rubber bumper at the center of the bottom edge of the plate to absorb the impact when the toe guard strikes the concrete pit floor;
    - (iii) Be provided with an electrical switch that indicates to the control system that the retractable platform guard is in its extended position (when car is away from the bottom landing), and be provided with a second electrical switch that indicates to the control system that the moveable lower section is in its retracted position (when the car is at the bottom landing), thereby overriding the first switch. Failure of either of these electrical switches or of the mechanical parts that activate these electrical switches shall cause the controller to remove power from the driving machine and brake.

- c. The two-section retractable platform guard shall be provided with smooth metal guard plates of not less than 1.5 mm (0.059 in) thick steel, or material of equivalent strength and stiffness, adequately reinforced and braced to the car platform and conforming to ASME A17.1-2004, sections 2.15.9.1 and 2.15.9.4.
- d. The overall height of the two-section retractable platform guard shall be not less than 1220 mm (48 in) when the moveable lower section is in the fully extended (deployed) position.
- e. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 2. The Division shall be notified when the elevator is ready for inspection to confirm conformity with above specified conditions and limitations. No elevator shall be placed in service prior to it being inspected and issued a Permit to Operate by the Division.
- 3. Each Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way that the Applicant was required to notify them of the application for permanent variance per sections 411.2 and 411.3.
- 4. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with the Board's procedural regulations.
- E. Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

# STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance Regarding:

KONE Monospace 500 with Retractable Platform Guard (Group IV)

OSHSB File No.: see grid below

Proposed Decision Dated: February 28, 2023

**DECISION** 

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

|                            | OCCUPATIONAL SAFETY AND HEALTH          |
|----------------------------|---|
| DAVID THOMAS, Chairman     | STANDARDS BOARD                         |
|                            | Date of Adoption: March 16, 2023        |
| BARBARA BURGEL, Member     |   |
|                            | THE FOREGOING VARIANCE DECISION WAS     |
|                            | ADOPTED ON THE DATE INDICATED ABOVE.    |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE        |
|                            | DECISION, A PETITION FOR REHEARING      |
|                            | MAY BE FILED BY ANY PARTY WITH THE      |
| DAVID HARRISON, Member     | STANDARDS BOARD WITHIN TWENTY (20)      |
|                            | DAYS AFTER SERVICE OF THE DECISION.     |
|                            | YOUR PETITION FOR REHEARING MUST        |
| NOLA KENNEDY, Member       | FULLY COMPLY WITH THE REQUIREMENTS      |
|                            | OF CALIFORNIA CODE OF REGULATIONS,      |
| CUDIC LACZCZ DAVIC Marshar | TITLE 8, SECTIONS 427, 427.1 AND 427.2. |
| CHRIS LASZCZ-DAVIS, Member |   |
|                            | Note: A copy of this Decision must be   |
| LAURA STOCK, Member        | posted for the Applicant's employees to |
| LAURA STOCK, Welliber      | read, and/or a copy thereof must be     |
|                            | provided to the employees' Authorized   |
|                            | Representatives.                        |

#### **BEFORE THE** OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent

OSHSB File Nos.: See Section A.1 Table Below

Variance Regarding:

KONE Monospace 500 Elevators with Retractable Platform Guard (Group IV) PROPOSED DECISION

Hearing Date: February 22, 2023

#### A. <u>Subject Matter:</u>

1. Each below listed applicant ("Applicant") applied for a permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

| Variance No. | Applicant Name | Variance Location Address            | No. of<br>Elevators |
|--------------|----------------|--------------------------------------|---------------------|
| 22-V-557     | Montage Health | 6 Lower Ragsdale Dr.<br>Monterey, CA | 1                   |

2. The subject title 8, safety order requirements are set out within California Code of Regulations, title 8, section 3141 incorporated ASME A17.1-2004, Sections 2.18.5.1, 2.20.4, 2.4.1.5 and 2.15.9.2.

#### B. Procedural:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Fuei Saetern, with KONE, Inc., appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board.
- 3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                      |
|----------------|---|
| PD-1           | Application(s) for Permanent Variance per section A.1 table |
| PD-2           | OSHSB Notice of Hearing                                     |
| PD-3           | Board Staff Review of Variance Application                  |
| PD-4           | Division Review of Variance Application                     |
| PD-5           | Review Draft-1 Proposed Decision                            |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 23, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. <u>Findings of Fact</u>—Based on the record of this proceeding, the Board finds the following:
  - 1. Each respective Applicant intends to utilize the KONE Inc. Monospace 500 type elevator, in the quantity, at the location, specified per the above Section A.1 table.
  - 2. The installation contract for this elevator was or will be signed on or after May 1, 2008, thus making the elevator subject to the Group IV Elevator Safety Orders.
  - 3. Each Applicant proposes to use hoisting ropes that are 8 mm in diameter which also consist of 0.51 mm diameter outer wires, in variance from the express requirements of ASME A17.1-2004, Section 2.20.4.
  - 4. In relevant part, ASME A17.1-2004, Section 2.20.4 states:

#### 2.20.4 Minimum Number and Diameter of Suspension Ropes

...The minimum diameter of hoisting and counterweight ropes shall be 9.5 mm (0.375 in.). Outer wires of the ropes shall be not less than 0.56 mm (0.024 in.) in diameter.

- 5. An intent of the afore cited requirement of ASME A17.1-2004, Section 2.20.4, is to ensure that the number, diameter, and construction of suspension ropes are adequate to provided safely robust and durable suspension means over the course of the ropes' foreseen service life.
- 6. KONE has represented to Division and Board staff, having established an engineering practice for purposes of Monospace 500 elevator design, of meeting or exceeding the minimum factor of safety of 12 for 8 mm suspension members, as required in ASME A17.1-2010, Section 2.20.3—under which, given that factor of safety, supplemental broken suspension member protection is not required.
- 7. Also, each Applicant proposes as a further means of maintaining safety equivalence, monitoring the rope in conformity with the criteria specified within the *Inspector's*

Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators (per Application attachment "B", or as thereafter revised by KONE subject to Division approval).

- 8. In addition, each Applicant has proposed to utilize 6 mm diameter governor ropes in variance from title 8, section 3141, incorporated ASME A17.1-2004, Section 2.18.5.1.
- 9. ASME A17.1-2004, Section 2.18.5.1, specifies, in relevant part:
  - 2.18.5.1 Material and Factor of Safety.
  - ... [Governor ropes] not less than 9.5 mm (0.375 in.) in diameter. The factor of safety of governor ropes shall be not less than 5...
- 10. The Board takes notice of title 8, Elevator Safety Order Section 3141.7, subpart (a)(10):

A reduced diameter governor rope of equivalent construction and material to that required by ASME A17.1-2004, is permissible if the factor of safety as related to the strength necessary to activate the safety is 5 or greater;

- 11. Applicants propose use of 6mm governor rope having a safety factor of 5 or greater, in conformity with Section 3141.7(a)(10), the specific parameters of which, being expressly set out within title 8, Elevator Safety Orders, take precedence over more generally referenced governor rope diameter requirements per ASME A17.1-2004, Section 2.18.5.1. Accordingly, the governor rope specifications being presently proposed, inclusive of a factor of safety of 5 or greater, would comply with current title 8, Elevator Safety Orders requirements, and therefore not be subject to issuance of permanent variance.
- 12. Absent evident diminution in elevator safety, over the past decade the Board has issued numerous permanent variances for use in KONE (Ecospace) elevator systems of 8 mm diameter suspension rope materially similar to that presently proposed (e.g. OSHSB File Nos. 06-V-203, 08-V-245, and 13-V-303).
- 13. As noted by the Board in OSHSB File Nos. 18-V-044, and 18-V-045, Decision and Order Findings, subpart B.17 (hereby incorporated by reference), the strength of wire rope operating as an elevator's suspension means does not remain constant over its years of projected service life. With increasing usage cycles, a reduction in the cross-sectional area of the wire rope normally occurs, resulting in decreased residual strength. This characteristic is of particular relevance to the present matter because, as also noted by Board staff, decreasing wire rope diameter is associated with a higher rate of residual strength loss. This foreseeable reduction in cross-sectional area primarily results from elongation under sheave rounding load, as well as from wear, and wire or strand breaks. However, these characteristics need not compromise elevator safety when properly

- accounted for in the engineering of elevator suspension means, and associated components.
- 14. The presently proposed wire rope is Wuxi Universal steel rope Co LTD. 8 mm 8x19S+8x7+PP, with a manufacturer rated breaking strength of 35.8 kN, and an outer wire diameter of less than 0.56 mm, but not less than 0.51 mm. Both Board staff and Division safety engineers have scrutinized the material and structural specifications, and performance testing data, of this particular proposed rope, and conclude it will provide for safety equivalent to ESO compliant 9.5 mm wire rope, with 0.56 mm outer wire (under conditions of use included within the below Decision and Order).
- 15. The applicant supplies tabulated data regarding the "Maximum Static Load on All Suspension Ropes." To obtain the tabulated data, the applicant uses the following formula derived from ASME A17.1 2004, Section 2.20.3:

 $W = (S \times N)/f$ where

W = maximum static load imposed on all car ropes with the car and its rated load at any position in the hoistway
 N = number of runs of rope under load. For 2:1 roping,

 N shall be two times the number of ropes used, etc.

 S = manufacturer's rated breaking strength of one rope
 f = the factor of safety from Table 2.20.3

- 16. ASME A17.1-2010 Sections 2.20.3 and 2.20.4 utilize the same formula, but provide for use of suspension ropes having a diameter smaller than 9.5 mm, under specified conditions, key among them being that use of ropes having a diameter of between 8 mm to 9.5 mm be engineered with a factor of safety of 12 or higher. This is a higher minimum factor of safety than that proposed by Applicant, but a minimum recommended by both Board staff and Division as a condition of variance necessary to the achieving of safety equivalence to 9.5 mm rope.
- 17. Board staff and Division are in accord with Applicant, in proposing as a condition of safety equivalence, that periodic physical examination of the wire ropes be performed to confirm the ropes continue to meet the criteria set out in the (Application attachment) *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators.* Adherence to this condition will provide an additional assurance of safety equivalence, regarding smaller minimum diameter suspension rope outer wire performance over the course of its service life.
- 18. The Board incorporates by reference the following findings of fact: Subsections 5 through 9, set forth in the "Findings of Fact" Section of the Proposed Decision adopted by the Board on June 18, 2010 regarding OSHSB File No. 08-V-108M1.

- 19. Applicant proposes to install a two-section retractable platform guard (apron) consisting of a stationary upper section guard plate and a moveable lower section guard plate. To monitor the retractable mechanism, an electrical switching system will be provided to monitor for malfunction.
- 20. Section 3141 [ASME A17.1-2004, Section 2.15.9.2] states, in part:

2.15.9.2 The guard plate shall have a straight vertical face, extending below the floor surface of the platform, conforming to one of the following:

(a) where the elevator is required to conform to 2.19.2.2(b) the depth of the truck zone, where provided, plus 75 mm (3 in.), but in no case less than 1,220 mm (48 in.).

An intent of this code section is to guard a hazardous opening to the hoistway if the elevator car is intentionally or unintentionally positioned above the landing zone, by providing a guard that extends below the car platform to obstruct the opening.

- 21. Section 3141 [ASME A17.1-2004, Section 2.4.1.5] states, in part:
  - 2.4.1.5 When the car is resting on its fully compressed buffers or bumpers, no part of the car, or any equipment attached thereto or equipment traveling with the car, shall strike any part of the pit or any equipment mounted therein.
- 22. An intent of this code section is to prevent any equipment attached to the elevator car from striking any part of the pit. This could damage the elevator equipment, which may result in unsafe operation or injury.
- 23. Per Division's Review of Application (Exhibit PD-4) Applicant's proposed platform guard is similar in all material respects to installations for which a permanent variance previously has been granted. (e.g. 18-V-010M1).
- 24. Both Board staff, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and stated positions at hearing, are of the well informed opinion that grant of permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

#### D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with

the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, title 8, Elevator Safety Orders from which variance is being sought.

#### E. <u>Decision and Order:</u>

Each Application being the subject of this proceeding, per the table in Jurisdictional and Procedural Matters, section 1 above, is conditionally GRANTED, to the extent that each such Applicant shall be issued permanent variance from California Code of Regulations, title 8, section 3141 shall be GRANTED subject to the following conditions and limitations:

#### **Elevator Safety Orders:**

- Minimum Diameter of Suspension Ropes: 2.20.4 (Only to the extent necessary to permit the use of 8 mm [0.0315 in.] diameter suspension ropes, where the Elevator Safety Orders require a minimum diameter of 9.5 mm [0.375]);
- Platform Guard: 2.15.9.2 (Only to the extent necessary to permit the use of a two-section retractable platform guard (apron) where the depth of the pit is not sufficient enough to prevent the platform guard from contacting the floor when the car is resting on its fully compressed buffers or bumpers); and
- Bottom Car Clearances: 2.4.1.5 (Only to the extent necessary to permit the two-section retractable platform guard (apron) to contact the pit floor).

#### Conditions:

- 1. The diameter of the hoisting steel ropes shall be not less than 8 mm (0.315 in) diameter and the roping ratio shall be two to one (2:1).
- 2. The outer wires of the suspension ropes shall be not less than 0.51 mm (0.02 in.) in diameter.
- 3. The number of suspension ropes shall be not fewer than those specified per hereby incorporated Decision and Order Appendix 1 Table.
- 4. The ropes shall be inspected annually for wire damage (rouge, valley break etc.) in accordance with "KONE Inc. Inspector's Guide to 6 mm diameter and 8 mm diameter steel ropes for KONE Elevators" (per Application Exhibit B, or as thereafter amended by KONE subject to Division approval).
- 5. A rope inspection log shall be maintained and available in the elevator controller room / space at all times.

- 6. The elevator rated speed shall not exceed those speeds specified per the Decision and Order Appendix 1 Table.
- 7. The maximum suspended load shall not exceed those weights (plus 5%) specified per the Decision and Order Appendix 1 Table.
- 8. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of the elevator equipment in the hoistway is required. If the service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 9. The installation shall meet the suspension wire rope factor of safety requirements of ASME A17.1-2013 Section 2.20.3.
- 10. The Applicant shall comply with suspension means replacement reporting condition per hereby incorporated Decision and Order Appendix 2.
- 11. In lieu of the straight vertical face (one-piece) platform guards (aprons) required by Section 3141 [ASME A17.1-2004, Section 2.15.9.2], a two-section retractable platform guard consisting of a stationary, upper-section guard plate and a moveable, lower-section guard plate shall be installed to conform to the following:
  - a. The stationary, upper-section guard plate shall have a straight vertical face, extending below the floor surface of the platform; the height shall be not less than 920 mm (36.2 in).
  - b. The movable, lower-section guard plate shall:
    - i. Comply with ASME A17.1-2004, Section 2.15.9.3;
    - ii. Be provided a rubber bumper at the center of the bottom edge of the plate to absorb the impact when the toe guard strikes the concrete pit floor;
    - iii. Be provided with an electrical switch that indicates to the control system that the retractable platform guard is in its extended position (when car is away from the bottom landing), and be provided with a second electrical switch that indicates to the control system that the moveable lower section is in its retracted position (when the car is at the bottom landing), thereby overriding the first switch. Failure of either of these electrical switches or of the mechanical parts that activate these electrical switches shall cause the controller to remove power from the driving machine and brake.
  - c. The two-section retractable platform guard shall be provided with smooth metal guard plates of not less than 1.5 mm (0.059 in) thick steel, or material of equivalent strength and stiffness, adequately reinforced and braced to the car platform and conforming to ASME A17.1-2004, sections 2.15.9.1 and 2.15.9.4.

- d. The overall height of the two-section retractable platform guard shall be not less than 1220 mm (48 in) when the moveable lower section is in the fully extended (deployed) position.
- e. The elevator rated speed shall be equal to or less than 200 feet per minute.
- f. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of the elevator equipment in the hoistway is required. If the service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 12. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing or testing the elevators shall be provided a copy of this variance decision.
- 13. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division and a "Permit to Operate" issued before the elevator is placed in service.
- 14. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, title 8, sections 411.2 and 411.3.
- 15. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Page 8 of 11

#### Appendix 1

|                   | Monospace 500 Suspension Ropes Appendix 1 Table |   |  |   |
|-------------------|---|---|--|---|
| OSHSB<br>File No. | Elevator<br>ID                                  | Minimum Quantity of Ropes (per Condition 3) | Maximum Speed<br>in Feet per Minute<br>(per Condition 6) | Maximum Suspended Load<br>(per Condition 7) |
| 22-V-557          | 2   | 7   | 150  | 12,247                                      |

#### Appendix 2

#### **Suspension Means Replacement Reporting Condition**

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings. Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
  - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
  - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
  - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
  - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
  - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
  - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
  - g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.

- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in above Appendix 2, Section 2, Subsection (a), above.

# STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for Permanent Variance by:                | OSHSB File No.: 22-V-598<br>Proposed Decision Dated: February 28, 2023   |
|--|--|
| TB Milano Apartments, LLC  | DECISION   |
| The Occupational Safety and Healt PROPOSED DECISION by Autumn Gonzalez | h Standards Board hereby adopts the attached z, Hearing Officer.         |
| DAVID THOMAS, Chairman   | OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD                           |
| BARBARA BURGEL, Member   | Date of Adoption: March 16, 2023   |
| D, III D III C DONGEE, IVICINGE  | THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE. |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING      |
| DAVID HARRISON, Member   | MAY BE FILED BY ANY PARTY WITH THE STANDARDS BOARD WITHIN TWENTY (20)    |
| NOLA KENNEDY, Member   | DAYS AFTER SERVICE OF THE DECISION. YOUR PETITION FOR REHEARING MUST     |

CHRIS LASZCZ-DAVIS, Member

LAURA STOCK, Member

Note: A copy of this Decision must be posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS, TITLE 8, SECTIONS 427, 427.1 AND 427.2.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

| In the Matter of Application for Permanent Variance Regarding: | OSHSB File Nos.: See Section A.1 table below       |
|--|--|
| TB Milano Apartments, LLC                                      | PROPOSED DECISION  Hearing Date: February 22, 2023 |
|  |  |

#### A. Procedural Matters:

1. Each below listed applicant ("Applicant") has applied for permanent variances from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

| Variance<br>No. | Applicant Name            | Variance Location Address                              | No. of<br>Elevators |
|-----------------|---------------------------|--|---------------------|
| 22-V-598        | TB Milano Apartments, LLC | The Lindley Apartments 1331 Columbia St. San Diego, CA | 4                   |

- 2. The subject safety order requirements are specified in the portion of the below Decision and Order, preceding the variance conditions.
- 3. Jurisdiction: these proceedings are conducted in accordance with Labor Code Section 143, and California Code of Regulations, title 8, section 401, et. seq.
- 4. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 5. At the hearing, Dan Leacox of Leacox & Associates, and Wolter Geesink with Otis Elevator, appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.
- 6. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                      |
|----------------|---|
| PD-1           | Application(s) for Permanent Variance per section A.1 table |
| PD-2           | OSHSB Notice of Hearing                                     |
| PD-3           | Board Staff Review of Variance Application                  |
| PD-4           | Division Review of Variance Application                     |
| PD-5           | Review Draft-1 Proposed Decision                            |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- B. Findings of Fact—Based on the record of this proceeding, the Board finds the following:
  - The installation contracts for elevators, the subject of permanent variance application(s) specified per Section A.1 table, were signed on or after May 1, 2008, making the elevators subject to the Group IV Elevator Safety Orders ("ESO").
  - 2. Section 3141 [referencing ASME A17.1-2004, Section 2.14.1.7.1] states: "A standard railing conforming to 2.10.2 shall be provided on the outside perimeter of the car top on all sides where the perpendicular distance between the edges of the car top and the adjacent hoistway enclosure exceeds 300 mm (12 in.) horizontal clearance."
  - 3. A safety enhancing purpose of this code requirement is to provide fall protection from a potentially hazardous condition. The code requires the handrails to be installed at the perimeter of the car to prevent persons or objects from occupying the area beyond the handrail adjacent to an opening through which a person could fall a distance posing risk of serious injury or death.
  - 4. Each Applicant proposes to inset the car top railings in a manner consistent with previous permanent variances granted. (e.g. OSHSB File Nos. 14-V-375, 16-V-360)
  - 5. Use of inset car top railings as proposed by the Applicant, subject to conditions per below Section E, Decision and Order, will provide safety equivalent to that of ASME A17.1-2004, Section 2.14.1.7.1, requirements from which permanent variance is sought.
  - 6. Section 3141 [referencing ASME A17.1-2004, Section 2.18.7.4], as well as Section 3141.7(a)(10) specify the pitch diameter of governor sheaves and governor tension sheaves relative to the diameter of the governor rope, given certain rope construction and material.

- 7. A safety enhancing purpose of ASME A17.1-2004, Section 2.18.7.4, is to prevent the bending of the governor rope around a sheave of insufficient diameter, such that it could reduce the rope's life expectancy and working strength.
- 8. Each Applicant's proposed use of a governor with sheave pitch diameter of not less than the product of the governor rope diameter and a multiplier of 30, in conjunction with a steel governor rope with a diameter of 8 mm (0.315 in.), 8 strand construction, and a factor of safety of 8 or greater, subject to conditions per below Decision and Order, will provide safety equivalent to that of the subject ESO requirements from which permanent variance is sought.
- 9. In its evaluation of application for permanent variance, OSHSB 16-V-042, dated February 24, 2016, the Division states that the Occupational Safety and Health Standards Board has granted permanent variances for installations similar to those for which variance is now sought (e.g. OSHSB File No. 15-V-169).
- 10. Both by way of its written evaluation (Exhibit PD-4), and statements at hearing, Division has taken the position that each Applicant's proposal for permanent variance and means of safety equivalence, subject to Division recommended conditions (in substantial part incorporated into the below Decision and Order), will provide safety equivalent to the title 8 standards from which permanent variance is sought. Further, at hearing in the matter, Board staff stated full concurrence with the foregoing position of Division.

#### C. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted, and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, title 8, Elevator Safety Orders from which variance is being sought.

#### D. Decision and Order:

Each permanent variance application the subject of this proceeding is conditionally GRANTED as specified below, and to the extent, as of the date the Board adopts this Proposed Decision, each Applicant listed in the above section A table shall have permanent variances from California Code of Regulations, title 8, section 3141 and from the following sections of ASME A17.1-2004 that section 3141 makes applicable to the elevators the subject of those applications:

- <u>Car top railing</u>: sections 2.14.1.7.1 (only to the extent necessary to permit an inset car top railing, if, in fact, the car top railing is inset);
- <u>Governor rope diameter</u>: 2.18.5.1 (only to the extent necessary to allow the use of reduced diameter governor rope);
- <u>Pitch diameter</u>: 2.18.7.4 (to the extent necessary to use the pitch diameter specified in Condition No. 13.c);
- 1. If there is an inset car top railing:
  - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on railings to perform adjustment, maintenance, repairs or inspections. The applicant shall not permit anyone to stand on or climb over the car top railing.
  - b. The distance that the car top railing may be inset shall be limited to no more than 6 inches.
  - c. All exposed areas outside the car top railing shall preclude standing or placing objects or persons which may fall, and shall be beveled from the mid- or top rail to the outside of the car top.
  - d. The top of the beveled area and/or the car top area outside the railing, shall be clearly marked. The markings shall consist of alternating four-inch diagonal red and white stripes.
  - e. The applicant shall provide durable signs with lettering not less than ½ inch on a contrasting background on each inset railing; each sign shall state:

### CAUTION DO NOT STAND ON OR CLIMB OVER RAILING

- f. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing shall be measured from the car top and not from the required bevel).
- 2. The speed governor rope and sheaves shall comply with the following:
  - a. The governor shall be used in conjunction with a 8 mm (0.315 in.) diameter steel governor rope with 8-strand, regular lay construction.
  - b. The governor rope shall have a factor of safety of 8 or greater as related to the strength necessary to activate the safety.

- c. The governor sheaves shall have a pitch diameter of not less than 240 mm (9.45 in.).
- 3. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 4. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 5. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, title 8, sections 411.2 and 411.3.
- 6. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in the manner prescribed for its issuance.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez, Flearing Officer

# STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for Permanent Variance Regarding:           | OSHSB File No.: see grid below<br>Proposed Decision Dated: February 28, 2023                               |
|--|--|
| KONE Monospace 500 Elevators<br>(Group IV)                               | DECISION   |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.  |
| DAVID THOMAS, Chairman   | OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD   |
| BARBARA BURGEL, Member   | Date of Adoption: March 16, 2023  THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE. |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING MAY BE FILED BY ANY PARTY WITH THE     |
| DAVID HARRISON, Member   | STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION.                                     |
| NOLA KENNEDY, Member   | YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS,     |
| CHRIS LASZCZ-DAVIS, Member   | TITLE 8, SECTIONS 427, 427.1 AND 427.2.  Note: A copy of this Decision must be                             |

LAURA STOCK, Member

posted for the Applicant's employees to

read, and/or a copy thereof must be provided to the employees' Authorized

Representatives.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

| In the Matter of Application for Permanent Variance Regarding: | OSHSB File Nos.: See Section A.1 Table Below |  |
|--|--|--|
| KONE Monospace 500 Elevators (Group IV)                        | PROPOSED DECISION                            |  |
| (0.00)   | Hearing Date: February 22, 2023              |  |
|  |  |  |

### A. Subject Matter:

1. Each below listed applicant ("Applicant") applied for a permanent variance from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

| Variance No. | Applicant Name  | Variance Location Address                | No. of<br>Elevators |
|--------------|---|--|---------------------|
| 22-V-641     | 1633 Camden Holdings, LLC.                            | 1633 Camden Ave.<br>Los Angeles, CA      | 1                   |
| 22-V-642     | 5201 W. Adams (LA) OZ, LLC                            | 2599 S. Alsace Ave.<br>Los Angeles, CA   | 1                   |
| 22-V-645     | Miramar Development, LP                               | 1999 W. 3rd Street<br>Los Angeles, CA    | 2                   |
| 22-V-661     | HSRE - MPCCA Oakland MOB, LLC                         | 3903 Broadway<br>Oakland, CA             | 2                   |
| 22-V-663     | JS Pacific Street Partners LLC                        | 1580 Pacific Avenue<br>San Francisco, CA | 1                   |
| 22-V-664     | MBC BioLabs@1030, a California<br>Limited Partnership | 1030 Brittan Ave.<br>San Carlos, CA      | 2                   |
| 22-V-665     | 1st Place Village LLC                                 | 389 First St.<br>Los Altos, CA           | 1                   |
| 22-V-674     | CDC Mar East Campus 1, LLC                            | 300 S. Nash St.<br>El Segundo, CA        | 3                   |

2. The subject title 8, safety order requirements are set out within California Code of Regulations, title 8, section 3141 incorporated ASME A17.1-2004, Sections 2.18.5.1 and 2.20.4.

### B. Procedural:

- This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 2. At the hearing, Fuei Saetern, with KONE, Inc., appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board.
- 3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                      |  |
|----------------|---|--|
| PD-1           | Application(s) for Permanent Variance per section A.1 table |  |
| PD-2           | OSHSB Notice of Hearing                                     |  |
| PD-3           | Board Staff Review of Variance Application                  |  |
| PD-4           | Division Review of Variance Application                     |  |
| PD-5           | Review Draft-1 Proposed Decision                            |  |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. <u>Findings of Fact</u>—Based on the record of this proceeding, the Board finds the following:
  - 1. Each respective Applicant intends to utilize the KONE Inc. Monospace 500 type elevator, in the quantity, at the location, specified per the above Section A.1 table.
  - 2. The installation contract for this elevator was or will be signed on or after May 1, 2008, thus making the elevator subject to the Group IV Elevator Safety Orders.
  - 3. Each Applicant proposes to use hoisting ropes that are 8 mm in diameter which also consist of 0.51 mm diameter outer wires, in variance from the express requirements of ASME A17.1-2004, Section 2.20.4.
  - 4. In relevant part, ASME A17.1-2004, Section 2.20.4 states:
    - 2.20.4 Minimum Number and Diameter of Suspension Ropes

...The minimum diameter of hoisting and counterweight ropes shall be 9.5 mm (0.375 in.). Outer wires of the ropes shall be not less than 0.56 mm (0.024 in.) in diameter.

- 5. An intent of the afore cited requirement of ASME A17.1-2004, Section 2.20.4, is to ensure that the number, diameter, and construction of suspension ropes are adequate to provided safely robust and durable suspension means over the course of the ropes' foreseen service life.
- 6. KONE has represented to Division and Board staff, having established an engineering practice for purposes of Monospace 500 elevator design, of meeting or exceeding the minimum factor of safety of 12 for 8 mm suspension members, as required in ASME A17.1-2010, Section 2.20.3—under which, given that factor of safety, supplemental broken suspension member protection is not required.
- 7. Also, each Applicant proposes as a further means of maintaining safety equivalence, monitoring the rope in conformity with the criteria specified within the *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators* (per Application attachment "B", or as thereafter revised by KONE subject to Division approval).
- 8. In addition, each Applicant has proposed to utilize 6 mm diameter governor ropes in variance from title 8, section 3141, incorporated ASME A17.1-2004, Section 2.18.5.1.
- 9. ASME A17.1-2004, Section 2.18.5.1, specifies, in relevant part:
  - 2.18.5.1 Material and Factor of Safety.
  - ... [Governor ropes] not less than 9.5 mm (0.375 in.) in diameter. The factor of safety of governor ropes shall be not less than 5...
- 10. The Board takes notice of title 8, Elevator Safety Order Section 3141.7, subpart (a)(10):

A reduced diameter governor rope of equivalent construction and material to that required by ASME A17.1-2004, is permissible if the factor of safety as related to the strength necessary to activate the safety is 5 or greater;

11. Applicants propose use of 6mm governor rope having a safety factor of 5 or greater, in conformity with Section 3141.7(a)(10), the specific parameters of which, being expressly set out within title 8, Elevator Safety Orders, take precedence over more generally referenced governor rope diameter requirements per ASME A17.1-2004, Section 2.18.5.1. Accordingly, the governor rope specifications being presently proposed, inclusive of a factor of safety of 5 or greater, would comply with current title 8, Elevator Safety Orders requirements, and therefore not be subject to issuance of permanent variance.

- 12. Absent evident diminution in elevator safety, over the past decade the Board has issued numerous permanent variances for use in KONE (Ecospace) elevator systems of 8 mm diameter suspension rope materially similar to that presently proposed (e.g. OSHSB File Nos. 06-V-203, 08-V-245, and 13-V-303).
- 13. As noted by the Board in OSHSB File Nos. 18-V-044, and 18-V-045, Decision and Order Findings, subpart B.17 (hereby incorporated by reference), the strength of wire rope operating as an elevator's suspension means does not remain constant over its years of projected service life. With increasing usage cycles, a reduction in the cross-sectional area of the wire rope normally occurs, resulting in decreased residual strength. This characteristic is of particular relevance to the present matter because, as also noted by Board staff, decreasing wire rope diameter is associated with a higher rate of residual strength loss. This foreseeable reduction in cross-sectional area primarily results from elongation under sheave rounding load, as well as from wear, and wire or strand breaks. However, these characteristics need not compromise elevator safety when properly accounted for in the engineering of elevator suspension means, and associated components.
- 14. The presently proposed wire rope is Wuxi Universal steel rope Co LTD. 8 mm 8x19S+8x7+PP, with a manufacturer rated breaking strength of 35.8 kN, and an outer wire diameter of less than 0.56 mm, but not less than 0.51 mm. Both Board staff and Division safety engineers have scrutinized the material and structural specifications, and performance testing data, of this particular proposed rope, and conclude it will provide for safety equivalent to ESO compliant 9.5 mm wire rope, with 0.56 mm outer wire (under conditions of use included within the below Decision and Order).
- 15. The applicant supplies tabulated data regarding the "Maximum Static Load on All Suspension Ropes." To obtain the tabulated data, the applicant uses the following formula derived from ASME A17.1 2004, Section 2.20.3:

$$W = (S \times N)/f$$
  
where

W = maximum static load imposed on all car ropes with the car and its rated load at any position in the hoistway
 N = number of runs of rope under load. For 2:1 roping,

 N shall be two times the number of ropes used, etc.

 S = manufacturer's rated breaking strength of one rope
 f = the factor of safety from Table 2.20.3

16. ASME A17.1-2010 Sections 2.20.3 and 2.20.4 utilize the same formula, but provide for use of suspension ropes having a diameter smaller than 9.5 mm, under specified conditions, key among them being that use of ropes having a diameter of between 8 mm to 9.5 mm be engineered with a factor of safety of 12 or higher. This is a higher

minimum factor of safety than that proposed by Applicant, but a minimum recommended by both Board staff and Division as a condition of variance necessary to the achieving of safety equivalence to 9.5 mm rope.

- 17. Board staff and Division are in accord with Applicant, in proposing as a condition of safety equivalence, that periodic physical examination of the wire ropes be performed to confirm the ropes continue to meet the criteria set out in the (Application attachment) *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators.* Adherence to this condition will provide an additional assurance of safety equivalence, regarding smaller minimum diameter suspension rope outer wire performance over the course of its service life.
- 18. Both Board staff, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and stated positions at hearing, are of the well informed opinion that grant of permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

### D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, title 8, Elevator Safety Orders from which variance is being sought.

### E. Decision and Order:

Each Application being the subject of this proceeding, per above Section A.1 table, is conditionally GRANTED, to the extent that each such Applicant shall be issued permanent variance from California Code of Regulations, title 8, section 3141 incorporated ASME A17.1-2004, Section 2.20.4, in as much as it precludes use of suspension rope of between 8 mm and 9.5 mm, or outer wire of between 0.51 mm and 0.56 mm in diameter, at such locations and numbers of Group IV KONE Monospace 500 elevators identified in each respective Application, subject to the following conditions:

1. The diameter of the hoisting steel ropes shall be not less than 8 mm (0.315 in) diameter and the roping ratio shall be two to one (2:1).

- 2. The outer wires of the suspension ropes shall be not less than 0.51 mm (0.02 in.) in diameter.
- 3. The number of suspension ropes shall be not fewer than those specified per hereby incorporated Decision and Order Appendix 1 Table.
- 4. The ropes shall be inspected annually for wire damage (rouge, valley break etc.) in accordance with "KONE Inc. Inspector's Guide to 6 mm diameter and 8 mm diameter steel ropes for KONE Elevators" (per Application Exhibit B, or as thereafter amended by KONE subject to Division approval).
- 5. A rope inspection log shall be maintained and available in the elevator controller room / space at all times.
- 6. The elevator rated speed shall not exceed those speeds specified per the Decision and Order Appendix 1 Table.
- 7. The maximum suspended load shall not exceed those weights (plus 5%) specified per the Decision and Order Appendix 1 Table.
- 8. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of the elevator equipment in the hoistway is required. If the service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 9. The installation shall meet the suspension wire rope factor of safety requirements of ASME A17.1-2013 Section 2.20.3.
- 10. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing or testing the elevators shall be provided a copy of this variance decision.
- 11. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division and a "Permit to Operate" issued before the elevator is placed in service.
- 12. The Applicant shall comply with suspension means replacement reporting condition per hereby incorporated Decision and Order Appendix 2.
- 13. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, title 8, sections 411.2 and 411.3.
- 14. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety

and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez Hearing Offic

### Appendix 1 22-v-674

### Monospace 500 Suspension Appendix 1 Table.

| Variance Number | Elevator ID | Minimum           | Maximum Speed      | Maximum           |
|-----------------|-------------|-------------------|--------------------|-------------------|
|                 |             | Quantity of Ropes | in Feet per Minute | Suspended Load    |
|                 |             | (per Condition 3) | (per Condition 6)  | (per Condition 7) |
| 22-V-641        | 1           | 7                 | 200                | 11556             |
| 22-V-642        | 1           | 7                 | 200                | 11556             |
| 22-V-645        | 1           | 8                 | 200                | 13207             |
| 22-V-645        | 2           | 8                 | 200                | 13207             |
| 22-V-661        | 1           | 7                 | 200                | 11556             |
| 22-V-661        | 2           | 6                 | 200                | 9905              |
| 22-V-663        | 1           | 7                 | 200                | 11556             |
| 22-V-664        | 1           | 8                 | 350                | 11706             |
| 22-V-664        | 2           | 8                 | 350                | 11706             |
| 22-V-665        | 1           | 7                 | 150                | 12247             |
| 22-V-674        | 1           | 8                 | 150                | 13997             |
| 22-V-674        | 2           | 8                 | 150                | 13997             |
| 22-V-674        | 3           | 8                 | 150                | 13997             |

### Appendix 2

### **Suspension Means Replacement Reporting Condition**

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings. Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
  - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
  - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
  - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
  - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
  - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
  - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
  - g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.

- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in above Appendix 2, Section 2, Subsection (a), above.

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for |
|----------------------------------|
| Permanent Variance Regarding:    |

Otis Medical Emergency Elevator Car Dimensions (Group IV) OSHSB File No.: see grid below Proposed Decision Dated: February 28, 2023

**DECISION** 

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

|                            | OCCUPATIONAL SAFETY AND HEALTH          |
|----------------------------|---|
| DAVID THOMAS, Chairman     | STANDARDS BOARD                         |
|                            | Date of Adoption: March 16, 2023        |
| BARBARA BURGEL, Member     | THE FORESCHIO MARIANCE DE CICION MARIA  |
|                            | THE FOREGOING VARIANCE DECISION WAS     |
|                            | ADOPTED ON THE DATE INDICATED ABOVE.    |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE        |
|                            | DECISION, A PETITION FOR REHEARING      |
|                            | MAY BE FILED BY ANY PARTY WITH THE      |
| DAVID HARRISON, Member     | STANDARDS BOARD WITHIN TWENTY (20)      |
|                            | DAYS AFTER SERVICE OF THE DECISION.     |
|                            | YOUR PETITION FOR REHEARING MUST        |
| NOLA KENNEDY, Member       | FULLY COMPLY WITH THE REQUIREMENTS      |
|                            | OF CALIFORNIA CODE OF REGULATIONS,      |
| CUDIC LACZCZ DAVIC March   | TITLE 8, SECTIONS 427, 427.1 AND 427.2. |
| CHRIS LASZCZ-DAVIS, Member |   |
|                            | Note: A copy of this Decision must be   |
| LAURA STOCK, Member        | posted for the Applicant's employees to |
| LAURA STOCK, Member        | read, and/or a copy thereof must be     |
|                            | provided to the employees' Authorized   |
|                            | Representatives.                        |

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance regarding:

OSHSB File No.: see grid below

Otis Medical Emergency Elevator Car Dimensions (Group IV) PROPOSED DECISION

Hearing Date: February 22, 2023

### A. Jurisdictional and Procedural Matters

1. Each below listed applicant ("Applicant") has applied for permanent variances from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations<sup>1</sup>, with respect to the listed conveyance or conveyances, at the specified location:

| Variance<br>No. | Applicant Name                         | Variance Location Address  |
|-----------------|--|--|
| 22-V-643        | MVSA Venture LLC                       | 101 Lena Way<br>Mountain View, CA                                |
| 22-V-648        | Manthey Road Investments, LLC          | Fairfield Inn and Suites<br>17401 S. Manthey Road<br>Lathrop, CA |
| 22-V-650        | California State University Northridge | CSUN Sierra Annex<br>18111 Nordhoff St.<br>Northridge, CA        |
| 22-V-651        | DPRE BayRock I, LLC                    | One Piedmont<br>240 W. MacArthur Boulevard<br>Oakland, CA        |
| 22-V-652        | Anton Santa Cruz LLC                   | 100 Laurel Street<br>Santa Cruz, CA                              |
| 22-V-654        | Griffith Sunset Partners, L.P.         | 1629 N. Griffith Park Blvd.<br>Los Angeles, CA                   |
| 22-V-658        | Swish Province II, LLC                 | 400 W. Valley Blvd.<br>San Gabriel, CA                           |
| 22-V-667        | Cross Creek Ranch Malibu, LLC          | 23465 Civic Center Way<br>Malibu, CA                             |

<sup>&</sup>lt;sup>1</sup> Unless otherwise noted, all references are to the California Code of Regulations, title 8.

| 22-V-671 | Wakeland Price UB LP | City Heights Place<br>4020 44th St. |
|----------|----------------------|-------------------------------------|
|          |                      | San Diego, CA                       |

- 2. This proceeding is conducted in accordance with Labor Code section 143, and section 401, et. seq. of the Board's rules of practice and procedure.
- 3. This hearing was held on February 22, 2023, in Sacramento, California, and via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with section 426.
- 4. At the hearing, Dan Leacox of Leacox & Associates, and Wolter Geesink with Otis Elevator, appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of the Board.
- 5. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                |  |
|----------------|---|--|
| PD-1           | Permanent variance applications per Section A.1 table |  |
| PD-2           | OSHSB Notice of Hearing                               |  |
| PD-3           | Board Staff Reviews of Variance Application           |  |
| PD-4           | Division Reviews of Variance Application              |  |
| PD-5           | Review Draft-1 Proposed Decision                      |  |

Official notice is taken of the Board's rulemaking records, and variance files and decisions, concerning the Elevator Safety Order standards at issue. At close of hearing on February 22, 2023, the record was closed, and the matter taken under submission by the Hearing Officer.

### B. <u>Findings of Fact and Applicable Regulations</u>

Based upon the record of this proceeding, the Board finds the following:

- 1. Applicant requests a permanent variance from section 3041, subdivision (e)(1)(C), which states:
  - (1) All buildings and structures constructed after the effective date of this order that are provided with one or more passenger elevators shall be provided with not less than one passenger elevator designed and designated to accommodate the loading

and transport of an ambulance gurney or stretcher maximum size 22 ½ in. (572 mm) by 75 in. (1.90 m) in its horizontal position and arranged to serve all landings in conformance with the following:

•••

(C) The elevator car shall have a minimum inside car platform of 80 in. (2.03 m) wide by 51 in. (1.30 m) deep.

The intent of this language is to ensure that there is enough space to accommodate the access and egress of a gurney and medical personnel inside of a medical service elevator.

This standard is made applicable to Group IV by section 3141.7, subdivision (b), which reads, "Elevators utilized to provide medical emergency service shall comply with Group II, section 3041(e)."

2. Applicant proposes to comply with the requirements of the 2019 California Building Code, section 3002.4.1a in the design of its medical emergency service elevator. That section requires:

The medical emergency service elevator shall accommodate the loading and transport of two emergency personnel, each requiring a minimum clear 21-inch (533 mm) diameter circular area and an ambulance gurney or stretcher [minimum size 24 inches by 84 inches (610 mm by 2134 mm) with not less than 5-inch (127 mm) radius corners] in the horizontal, open position.

The purpose of this requirement is to ensure that an elevator designated for emergency medical service will accommodate a minimum of two emergency personnel with an ambulance gurney or stretcher.

### C. Conclusive Findings

The above-stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants' proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of the Elevator Safety Orders from which variance is being sought.

### D. <u>Decision and Order</u>

Each permanent variance application the subject of this proceeding is conditionally GRANTED as specified below, and to the extent, as of the date the Board adopts this Proposed Decision, each Applicant listed in the above section A.1 table shall have permanent variances from sections 3041, subdivision (e)(1)(C) and 3141.7, subdivision (b) subject of the following conditions:

1. All medical emergency service elevator(s) shall comply with the requirements of the 2019 California Building Code section 3002.4.1a:

The medical emergency service elevator shall accommodate the loading and transport of two emergency personnel, each requiring a minimum clear 21-inch (533 mm) diameter circular area and an ambulance gurney or stretcher [minimum size 24 inches by 84 inches (610 mm by 2134 mm) with not less than 5-inch (127 mm) radius corners] in the horizontal, open position.

- 2. All medical emergency service elevator(s) shall be identified in the building construction documents in accordance with the 2019 California Building Code, section 3002.4a.
- 3. Dimensional drawings and other information necessary to demonstrate compliance with the conditions of this permanent variance decision shall be provided to the Division, at the time of inspection, for all medical emergency service elevator(s).
- 4. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing the elevators shall be provided a copy of this variance decision.
- 5. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and all applicable requirements met, including conditions of this permanent variance, prior to a Permit to Operate the elevator being issued. The elevator shall not be placed in service prior to the Permit to Operate being issued by Division.
- Applicant shall notify its employees and their authorized representative, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to sections 411.2 and 411.3.
- 7. This Decision and Order shall remain in effect unless duly modified or revoked upon application by Applicant, affected employee(s), the Division, or by the Board on its own motion, in accordance with then in effect administrative procedures of the Board.

Pursuant to section 426, subdivision (b) of the Board's procedural regulations, the above, Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

DATED: February 28, 2023

Autumn Gonzalez, Hearing Off

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance Regarding:

Otis Gen2S/Gen3Edge Elevator & Medical Emergency Car Dimensions (Group IV)

OSHSB File No.: see grid below Proposed Decision Dated: February 28, 2023

**DECISION** 

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

. |

OSHSB File Nos.: See section A table below

Otis Gen2S/Gen3Edge Elevator & Medical Emergency Elevator Car Dimensions (Group IV) PROPOSED DECISION

Hearing Date: February 22, 2023

### A. <u>Subject Matter</u>

1. Each below listed applicant ("Applicant") has applied for permanent variances from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations<sup>1</sup>, with respect to the listed conveyance or conveyances, in the specified quantity, at the specified location:

| Variance<br>No. | Applicant Name                           | Variance Location Address  | No. of<br>Elevators |
|-----------------|--|--|---------------------|
| 22-V-644        | Portola Senior Housing Associates,<br>LP | 931 Portola Oaks Drive<br>Portola Hills, CA                          | 2                   |
| 22-V-666        | 116 East Cota Street Associates,<br>L.P. | 116 East Cota Street<br>Santa Barbara, CA                            | 1                   |
| 22-V-668        | Disney Vacation Development, Inc.        | The Villas at Disneyland Hotel<br>1150 W. Magic Way<br>Anaheim, CA   | 4                   |
| 22-V-669        | Fortinet                                 | Fortinet Parking Structure<br>169 Commerical Street<br>Sunnyvale, CA | 2                   |

2. The safety orders from which variance may issue, are enumerated in the portion of the below Decision and Order preceding the variance conditions.

### B. Procedural

- 1. This proceeding is conducted in accordance with Labor Code section 143.
- 2. This hearing was held on February 22, 2023, in Sacramento, California, and via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer

<sup>&</sup>lt;sup>1</sup> Unless otherwise noted, all references are to title 8, California Code of Regulations.

Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration.

- 3. At the hearing, Dan Leacox of Leacox & Associates, and Wolter Geesink with Otis Elevator, appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of the Board.
- 4. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                |  |
|----------------|---|--|
| PD-1           | Permanent variance applications per Section A.1 table |  |
| PD-2           | OSHSB Notice of Hearing                               |  |
| PD-3           | Board Staff Reviews of Variance Application           |  |
| PD-4           | Division Reviews of Variance Application              |  |
| PD-5           | Review Draft-1 Proposed Decision                      |  |

 Official notice is taken of the Board's rulemaking records, and variance files and decisions, concerning the Elevator Safety Order standards at issue. At close of hearing on February 22, 2023, the record was closed, and the matter taken under submission by the Hearing Officer.

### C. Findings and Basis:

Based on the record of this hearing, the Board makes the following findings of fact:

- 1. Each Applicant intends to utilize Otis Gen3 Edge/Gen2S elevators at the locations and in the numbers stated in the above section A table.
- 2. The installation contracts for these elevators were or will be signed on or after May 1, 2008, making the elevators subject to the Group IV Elevator Safety Orders.
- 3. The Board incorporates by reference the relevant findings in previous Board decisions:
  - a. Items D.3 through D.9 of the Proposed Decision adopted by the Board on July 18, 2013 for OSHSB File No. 12-V-093;
  - b. Item D.4 of the Proposed Decision adopted by the Board on September 25, 2014 for OSHSB File No. 14-V-206; and
  - c. Item B of the Proposed Decision adopted by the Board on September 15, 2022 for OSHSB File No. 22-V-302 regarding medical emergency car dimensions.

4. Both Board staff and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and positions stated at hearing, are of the well informed opinion that grant of requested permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

### D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that:

- 1. Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and
- 2. a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of Elevator Safety Orders from which variance is being sought.

### E. <u>Decision and Order:</u>

Each permanent variance application the subject of this proceeding is conditionally GRANTED as specified below, and to the extent, as of the date the Board adopts this Proposed Decision, each Applicant listed in the above section A table shall have permanent variances from the following sections of ASME A17.1-2004 that section 3141 makes applicable to the elevators the subject of those applications:

- <u>Car top railing</u>: sections 2.14.1.7.1 (only to the extent necessary to permit an inset car top railing, if, in fact, the car top railing is inset);
- <u>Speed governor over-speed switch</u>: 2.18.4.2.5(a) (only insofar as is necessary to permit the use of the speed reducing system proposed by the Applicants, where the speed reducing switch resides in the controller algorithms, rather than on the governor, with the necessary speed input supplied by the main encoder signal from the motor);
- <u>Governor rope diameter</u>: 2.18.5.1 (only to the extent necessary to allow the use of reduced diameter governor rope);
- <u>Pitch diameter</u>: 2.18.7.4 (to the extent necessary to use the pitch diameter specified in Condition No. 13.c);

- <u>Suspension means</u>: 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4 and 2.20.9.5.4—the variances from these "suspension means" provisions are only to the extent necessary to permit the use of Otis Gen2 flat coated steel suspension belts in lieu of conventional steel suspension ropes;
- <u>Inspection transfer switch</u>: 2.26.1.4.4(a) (only to the extent necessary to allow the inspection transfer switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room); and
- <u>Seismic reset switch</u>: 8.4.10.1.1(a)(2)(b) (only to the extent necessary to allow the seismic reset switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room).
- Minimum Inside Car Platform Dimensions: 3041(e)(1)(C) and 3141.7(b) (Only to the extent necessary to comply with the performance-based requirements of the 2019 California Building Code Section 3002.4.1a)

These variances apply to the locations and numbers of elevators stated in the section A table (so long as the elevators are Gen3 Edge/Gen2S Group IV devices that are designed, equipped, and installed in accordance with, and are otherwise consistent with, the representations made in the Otis Master File [referred to in previous proposed decisions as the "Gen2 Master File") maintained by the Board, as that file was constituted at the time of this hearing) and are subject to the following conditions:

- 1. The suspension system shall comply with the following:
  - a. The coated steel belt and connections shall have factors of safety equal to those permitted for use by section 3141 [ASME A17.1-2004, section 2.20.3] on wire rope suspended elevators.
  - b. Steel coated belts that have been installed and used on another installation shall not be reused.
  - c. The coated steel belt shall be fitted with a monitoring device which has been accepted by the Division and which will automatically stop the car if the residual strength of any single belt drops below 60 percent. If the residual strength of any single belt drops below 60 percent, the device shall prevent the elevator from restarting after a normal stop at a landing.
  - d. Upon initial inspection, the readings from the monitoring device shall be documented and submitted to the Division.

- e. A successful test of the monitoring device's functionality shall be conducted at least once a year (the record of the annual test of the monitoring device shall be a maintenance record subject to ASME A17.1-2004, section 8.6.1.4).
- f. The coated steel belts used shall be accepted by the Division.
- 2. With respect to each elevator subject to this variance, the applicant shall comply with Division Circular Letter E-10-04, the substance of which is attached hereto as Addendum 1 and incorporated herein by this reference.
- 3. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection, and testing of the belts and monitoring device and criteria for belt replacement, and the applicant shall make those procedures and criteria available to the Division upon request.
- 4. The flat coated steel belts shall be provided with a metal data tag that is securely attached to one of those belts. This data tag shall bear the following flat steel coated belt data:
  - a. The width and thickness in millimeters or inches;
  - b. The manufacturer's rated breaking strength in (kN) or (lbf);
  - c. The name of the person or organization that installed the flat coated steel belts;
  - d. The month and year the flat coated steel belts were installed;
  - e. The month and year the flat coated steel belts were first shortened;
  - f. The name or trademark of the manufacturer of the flat coated steel belts; and
  - g. Lubrication information.
- 5. There shall be a crosshead data plate of the sort required by section 2.20.2.1, and that plate shall bear the following flat steel coated belt data:
  - a. The number of belts;
  - b. The belt width and thickness in millimeters or inches; and
  - c. The manufacturer's rated breaking strength per belt in (kN) or (lbf).
- 6. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of elevator equipment in the hoistway is required. If service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.

- 7. If there is an inset car top railing:
  - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on railings to perform adjustment, maintenance, repairs or inspections. The applicant shall not permit anyone to stand on or climb over the car top railing.
  - b. The distance that the car top railing may be inset shall be limited to no more than 6 inches.
  - c. All exposed areas outside the car top railing shall preclude standing or placing objects or persons which may fall and shall be beveled from the mid- or top rail to the outside of the car top.
  - d. The top of the beveled area and/or car top outside the railing, shall be clearly marked. The markings shall consist of alternating 4 inch diagonal red and white stripes.
  - e. The applicant shall provide durable signs with lettering not less than ½ inch on a contrasting background on each inset railing; each sign shall state:

### CAUTION DO NOT STAND ON OR CLIMB OVER RAILING

- f. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing shall be measured from the car top and not from the required bevel).
- 8. If the seismic reset switch does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 9. If the inspection transfer switch required by ASME A17.1, rule 2.26.1.4.4(a) does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 10. When the inspection and testing panel is located in the hoistway door jamb, the inspection and test control panel shall be openable only by use of a Security Group I restricted key.
- 11. The governor speed-reducing switch function shall comply with the following:
  - a. It shall be used only with direct drive machines; i.e., no gear reduction is permitted between the drive motor and the suspension means.
  - b. The velocity encoder shall be coupled to the driving machine motor shaft. The "C" channel of the encoder shall be utilized for velocity measurements required by the speed reducing

- system. The signal from "C" channel of the encoder shall be verified with the "A" and "B" channels for failure. If a failure is detected then an emergency stop shall be initiated.
- c. Control system parameters utilized in the speed-reducing system shall be held in non-volatile memory.
- d. It shall be used in conjunction with approved car-mounted speed governors only.
- e. It shall be used in conjunction with an effective traction monitoring system that detects a loss of traction between the driving sheave and the suspension means. If a loss of traction is detected, then an emergency stop shall be initiated.
- f. A successful test of the speed-reducing switch system's functionality shall be conducted at least once a year (the record of the annual test of the speed-reducing switch system shall be a maintenance record subject to ASME A17.1-2004, section 8.6.1.4).
- g. A successful test of the traction monitoring system's functionality shall be conducted at least once a year (the record of the annual test of the traction monitoring system shall be a maintenance record subject to ASME A17.1-2004, section 8.6.1.4).
- h. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the maintenance, inspection, and testing of the speed-reducing switch and traction monitoring systems. The Applicant shall make the procedures available to the Division upon request.
- 12. The speed governor rope and sheaves shall comply with the following:
  - a. The governor shall be used in conjunction with a 6 mm (0.25 in.) diameter steel governor rope with 6-strand, regular lay construction.
  - b. The governor rope shall have a factor of safety of 8 or greater as related to the strength necessary to activate the safety.
  - c. The governor sheaves shall have a pitch diameter of not less than 180 mm (7.1 in.).
- 13. All medical emergency service elevators shall comply with the following:
  - a. The requirements of the 2019 California Building Code (CBC), Section 3002.4.1a;

The medical emergency service elevator shall accommodate the loading and transport of two emergency personnel, each requiring a minimum clear 21-inch (533 mm) diameter circular area and an ambulance gurney or stretcher [minimum size 24 inches by 84 inches (610 mm by 2134 mm)

with not less than 5-inch (127 mm) radius corners] in the horizontal, open position."

- b. All medical emergency service elevators shall be identified in the building construction documents in accordance with the 2019 CBC, Section 3002.4a.
- c. Dimensional drawings and other information necessary to demonstrate compliance with these conditions shall be provided to the Division, at the time of inspection, for all medical emergency service elevator(s).
- 14. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by Certified Competent Conveyance Mechanics who have been trained to, and are competent to, perform those tasks on the Gen3 Edge/Gen2S elevator system in accordance with the written procedures and criteria required by Condition No. 3 and in accordance with the terms of this permanent variance.
- 15. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 16. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 17. The Applicant shall be subject to the Suspension Means Replacement Reporting Condition stated in Addendum 2, as hereby incorporated by this reference.
- 18. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications.
- 19. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with the Board's procedural regulations at section 426, subdivision (b).

Pursuant to section 426(b) of the Board's procedural regulations, the above, Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

utumn Gonzalez/Hearing C

### **ADDENDUM 1**

October 6, 2010

### **CIRCULAR LETTER E-10-04**

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

**SUBJECT: Coated Steel Belt Monitoring** 

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

### **ADDENDUM 2**

### <u>Suspension Means – Replacement Reporting Condition</u>

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, section 8.6.3 involving the suspension means or suspension means fastenings.

### Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
  - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
  - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
  - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
  - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
  - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
  - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.

- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

In the Matter of Application for Permanent Variance regarding:

Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV)

OSHSB File No.: Per table, in Jurisdictional and Procedural Matters below Proposed Decision Dated: February 28, 2023

**DECISION** 

The Occupational Safety and Health Standards Board hereby adopts the attached PROPOSED DECISION by Autumn Gonzalez, Hearing Officer.

|                            | OCCUPATIONAL SAFETY AND HEALTH          |
|----------------------------|---|
| DAVID THOMAS, Chairman     | STANDARDS BOARD                         |
|                            | Date of Adoption: March 16, 2023        |
| BARBARA BURGEL, Member     |   |
|                            | THE FOREGOING VARIANCE DECISION WAS     |
|                            | ADOPTED ON THE DATE INDICATED ABOVE     |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE        |
|                            | DECISION, A PETITION FOR REHEARING      |
|                            | MAY BE FILED BY ANY PARTY WITH THE      |
| DAVID HARRISON, Member     | STANDARDS BOARD WITHIN TWENTY (20)      |
|                            | DAYS AFTER SERVICE OF THE DECISION.     |
|                            | YOUR PETITION FOR REHEARING MUST        |
| NOLA KENNEDY, Member       | FULLY COMPLY WITH THE REQUIREMENTS      |
|                            | OF CALIFORNIA CODE OF REGULATIONS,      |
|                            | TITLE 8, SECTIONS 427, 427.1 AND 427.2. |
| CHRIS LASZCZ-DAVIS, Member | ,                                       |
|                            | Note: A copy of this Decision must be   |
| LALIDA CTOCK Marshar       | posted for the Applicant's employees to |
| LAURA STOCK, Member        | read, and/or a copy thereof must be     |
|                            | provided to the employees' Authorized   |
|                            | Renresentatives                         |

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent

Variance Regarding:

)

OSHSB FIIE NOS.: P

OSHSB File Nos.: Per table, in Jurisdictional

and Procedural Matters below

Schindler 3300 with SIL-Rated Drive to De-energize Drive Motor (Group IV)

**PROPOSED DECISION** 

Hearing Date: February 22, 2023

### Jurisdictional and Procedural Matters

1. Each below listed applicant ("Applicant") has applied for permanent variance from certain provisions of the Elevator Safety Orders, found at title 8, of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

| Variance No. | Applicant Name                           | Variance Location Address               | No. of<br>Elevators |
|--------------|--|---|---------------------|
| 22-V-646     | Beech Street Housing Associates,<br>L.P. | 2601 Ulric Street<br>San Diego, CA      | 2                   |
| 22-V-655     | Central Avenue Housing, L.P.             | 2106 S. Central Ave.<br>Los Angeles, CA | 1                   |
| 22-V-656     | 8811 Sepulveda, L.P.                     | 8832 Langdon Ave.<br>North Hills, CA    | 2                   |
| 22-V-659     | Miramar Gold, L.P.                       | 1434 Miramar Street<br>Los Angeles, CA  | 2                   |
| 22-V-672     | 4233 Kansas St LP, LLC                   | 4233 Kansas St.<br>San Diego, CA        | 1                   |

- 2. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.
- 3. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 4. At the hearing, Jennifer Linares, with the Schindler Elevator Corporation, appeared on behalf of each Applicant; Jose Ceja and Mark Wickens appeared on behalf of the Division of

Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

5. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                |
|----------------|---|
| PD-1           | Permanent variance applications per section A.1 table |
| PD-2           | OSHSB Notice of Hearing                               |
| PD-3           | Board Staff Reviews of Variance Application           |
| PD-4           | Division Reviews of Variance Application              |
| PD-5           | Review Draft-1 Proposed Decision                      |

Official notice taken of the Board's rulemaking records, and variance decisions concerning the safety order requirements from which variance is requested. At close of hearing on February 22, 2023, the record was closed, and the matter taken under submission by the Hearing Officer.

### **Relevant Safety Order Provisions**

Applicant seeks a permanent variance from section 3141 [ASME A17.1-2004, sections 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.5.4, 2.26.1.4.4(a), 8.4.10.1.1(a)(2)(B), 2.14.1.7.1, and 2.26.9.6.1]. The relevant language of those sections are below.

### 1. Suspension Means

Section 3141 [ASME A17.1-2004, section 2.20.1, Suspension Means] states in part:

Elevator cars shall be suspended by steel wire ropes attached to the car frame or passing around sheaves attached to the car frame specified in 2.15.1. Ropes that have previously been installed and used on another installation shall not be reused. Only iron (low-carbon steel) or steel wire ropes, having the commercial classification "Elevator Wire Rope," or wire rope specifically constructed for elevator use, shall be used for the suspension of elevator cars and for the suspension of counterweights. The wire material for ropes shall be manufactured by the open-hearth or electric furnace process, or their equivalent.

Section 3141 [ASME A17.1-2004, section 2.20.2.1(b), On Crosshead Data Plate] states in part:

The crosshead data plate required by 2.16.3 shall bear the following wire-rope data:

(b) the diameter in millimeters (mm) or inches (in.)

Section 3141 [ASME A17.1-2004, section 2.20.2.2(a) and (f) On Rope Data Tag] states in part:

A metal data tag shall be securely attached-to-one of the wire-rope fastenings. This data tag shall bear the following wire-rope data:

(a) the diameter in millimeters (mm) or inches (in.)

[...]

(f) whether the ropes were non preformed or preformed

Section 3141 [ASME A17.1-2004, section 2.20.3, Factor of Safety] states:

The factor of safety of the suspension wire ropes shall be not less than shown in Table 2.20.3. Figure 8.2.7 gives the minimum factor of safety for intermediate rope speeds. The factor of safety shall be based on the actual rope speed corresponding to the rated speed of the car.

The factor of safety shall be calculated by the following formula:

$$f = \frac{S \times N}{W}$$

where:

N= number of runs of rope under load. For 2:1 roping, N shall be two times the number of ropes used, etc.

S= manufacturer's rated breaking strength of one rope

W= maximum static load imposed on all car ropes with the car and its rated load at any position in the hoistway

Section 3141 [ASME A17.1-2004, section 2.20.4, Minimum Number and Diameter of Suspension Ropes] states:

The minimum number of hoisting ropes used shall be three for traction elevators and two for drum-type elevators.

Where a car counterweight is used, the number of counterweight ropes used shall be not less than two.

The term "diameter," where used in reference to ropes, shall refer to the nominal diameter as given by the rope manufacturer.

The minimum diameter of hoisting and counterweight ropes shall be 9.5 mm (0.375 in.). Outer wires of the ropes shall be not less than 0.56 mm (0.024 in.) in diameter.

Section 3141 [ASME A17.1-2004, section 2.20.9.3.4] states:

Cast or forged steel rope sockets, shackle rods, and their connections shall be made of unwelded steel, having an elongation of not less than 20% in a gauge length of 50 mm (2 in.), when measured in accordance with ASTM E 8, and conforming to ASTM A 668, Class B for forged steel, and ASTM A 27, Grade 60/30 for cast steel, and shall be stress relieved. Steels of greater strength shall be permitted, provided they have an elongation of not less than 20% in a length of 50 mm (2 in.).

Section 3141 [ASME A17.1-2004, section 2.20.9.5.4] states:

When the rope has been seated in the wedge socket by the load on the rope, the wedge shall be visible, and at least two wire-rope retaining clips shall be provided to attach the termination side to the load-carrying side of the rope (see Fig. 2.20.9.5). The first clip shall be placed a maximum of 4 times the rope diameter above the socket, and the second clip shall be located within 8 times the rope diameter above the first clip. The purpose of the two clips is to retain the wedge and prevent the rope from slipping in the socket should the load on the rope be removed for any reason. The clips shall be designed and installed so that they do not distort or damage the rope in any manner.

### 2. Inspection Transfer Switch

Section 3141[ASME A17.1-2004, section 2.26.1.4.4(a), Machine Room Inspection Operation] states:

When machine room inspection operation is provided, it shall conform to 2.26.1.4.1, and the transfer switch shall be

(a) located in the machine room[.]

### 3. Seismic Reset Switch

Section 3141[ASME A17.1-2004, section 8.4.10.1.1(a)(2)(b), Earthquake Equipment] states:

- (a) All traction elevators operating at a rated speed of 0.75 m/s (150 ft/min) or more and having counterweights located in the same hoistway shall be provided with the following:
- (1) seismic zone 3 or greater: a minimum of one seismic switch per building
- (2) seismic zone 2 or greater:
  - (a) a displacement switch for each elevator
  - (b) an identified momentary reset button or switch for each elevator, located in the control panel in the elevator machine room

### 4. Car-top Railings

Section 3141[ASME A17.1-2004, section 2.14.1.7.1] states:

A standard railing conforming to 2.10.2 shall be provided on the outside perimeter of the car top on all sides where the perpendicular distance between the edges of the car top and the adjacent hoistway enclosure exceeds 300 mm (12 in.) horizontal clearance.

5. SIL-Rated System to Inhibit Current Flow to AC Drive Motor

Section 3141[ASME A17.1-2004, section 2.26.9.6.1] states:

Two separate means shall be provided to independently inhibit the flow of alternating current through the solid state devices that connect the direct current power source to the alternating-current driving motor. At least one of the means shall be an electromechanical relay.

### **Findings of Fact**

Based on the record of this proceeding, the Board finds the following:

- 1. Applicant intends to utilize Schindler model 3300 MRL elevator cars at the locations listed in Jurisdictional and Procedural Matters, section 1.
- 2. The installation contract for these elevator was or will be signed on or after May 1, 2008, thus making the elevator subject to the Group IV Elevator Safety Orders.
- 3. The Schindler model 3300 MRL elevator cars are not supported by circular steel wire ropes, as required by the Elevator Safety Orders (ESO). They utilize non-circular elastomeric-coated steel belts and specialized suspension means fastenings.
- 4. No machine room is provided, preventing the inspection transfer switch from being located in the elevator machine room. The lack of machine room also prevents the seismic reset switch from being located in the elevator machine room.
- 5. Applicant proposes to relocate the inspection transfer switch and seismic reset switch in an alternative enclosure.
- 6. The driving machine and governor are positioned in the hoistway and restrict the required overhead clearance to the elevator car top.
- 7. Applicant proposes to insert the car-top railings at the perimeter of the car top.
- 8. Applicant intends to use an elevator control system, model CO NX100NA, with a standalone, solid-state motor control drive system that includes devices and circuits having a Safety Integrity Level (SIL) rating to execute specific elevator safety functions.

### Conclusive Findings:

The above-stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that Applicant's proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, title 8, Elevator Safety Orders from which variance is being sought.

### Decision and Order:

Each Application being the subject of this proceeding, per the table in Jurisdictional and Procedural Matters, section 1 above, is conditionally GRANTED, to the extent that each such Applicant shall be issued permanent variance from California Code of Regulations, title 8, section 3141 shall be GRANTED subject to the following conditions and limitations:

### **Elevator Safety Orders:**

- Suspension Means: 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4, and 2.20.9.5.4 (Only to the extent necessary to permit the use of the Elastomeric-coated Steel Belts proposed by the Applicant, in lieu of circular steel suspension ropes.);
- Inspection transfer switch: 2.26.1.4.4(a) (Only to the extent necessary to permit the inspection transfer switch to reside at a location other than the machine room);
- Seismic reset switch: 8.4.10.1.1(a)(2)(b) (Only to the extent necessary to permit the seismic reset switch to reside at a location other than the machine room. room);
- Car-Top Railing: 2.14.1.7.1 (Only to the extent necessary to permit the use of the car-top railing system proposed by the Applicant, where the railing system is located inset from the elevator car top perimeter);
- Means of Removing Power: 2.26.9.6.1 (Only to the extent necessary to permit the use of SIL-rated devices and circuits as a means to remove power from the AC driving motor, where the redundant monitoring of electrical protective devices is required by the Elevator Safety Orders).

### Conditions:

- 1. The elevator suspension system shall comply to the following:
  - a. The suspension traction media (STM) members and their associated fastenings shall conform to the applicable requirements of ASME A17.1-2013, sections:

- 2.20.4.3 Minimum Number of Suspension Members
- 2.20.3 Factor of Safety
- 2.20.9 Suspension Member Fastening
- b. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection and testing of the STM members and fastenings and related monitoring and detection systems and criteria for STM replacement, and the Applicant shall make those procedures and criteria available to the Certified Competent Conveyance Mechanic (CCCM) at the location of the elevator, and to the Division upon request.

STM member mandatory replacement criteria shall include:

- i. Any exposed wire, strand or cord;
- ii. Any wire, strand or cord breaks through the elastomeric coating;
- iii. Any evidence of rouging (steel tension element corrosion) on any part of the elastomeric-coated steel suspension member;
- iv. Any deformation in the elastomeric suspension member such as, but not limited to, kinks or bends;
- c. Traction drive sheaves must have a minimum diameter of 72 mm. The maximum speed of STM members running on 72 mm, 87 mm and 125 mm drive sheaves shall be no greater than 2.5 m/s, 6.0 m/s and 8.0 m/s respectively.
- d. If any one STM member needs replacement, the complete set of suspension members on the elevator shall be replaced. Exception: if a new suspension member is damaged during installation, and prior to any contemporaneously installed STM having been placed into service, it is permissible to replace the individual damaged suspension member. STM members that have been installed on another installation shall not be re-used.
- e. A traction loss detection means shall be provided that conforms to the requirements of ASME A17.1-2013, section 2.20.8.1. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.12.
- f. A broken suspension member detection means shall be provided that conforms to the requirements of ASME A17.1-2013, section 2.20.8.2. The means shall be tested for correct function annually in accordance with ASME A17.1-2013, section 8.6.4.19.13(a).
- g. An elevator controller integrated bend cycle monitoring system shall monitor actual STM bend cycles, by means of continuously counting, and storing in nonvolatile memory, the number of trips that the STM makes traveling, and thereby being bent, over the elevator sheaves. The bend cycle limit monitoring means shall automatically stop the car normally at the next available landing before the bend cycle correlated residual strength of any single STM member drops below 80 percent of full rated strength. The monitoring means shall prevent the car from restarting. The bend cycle

- monitoring system shall be tested annually in accordance with the procedures required by condition 1b above.
- h. The elevator shall be provided with a device to monitor the remaining residual strength of each STM member. The device shall conform to the requirements of Division Circular Letter E-10-04, a copy of which is attached hereto as Exhibit 1 and incorporated herein by reference.
- i. The elevator crosshead data plate shall comply with the requirements of ASME A17.1-2013, section 2.20.2.1.
- j. A suspension means data tag shall be provided that complies with the requirements of ASME A17.1-2013, section 2.20.2.2.
- k. Comprehensive visual inspections of the entire length of each and all installed suspension members, to the criteria developed in condition 1b, shall be conducted and documented every six months by a CCCM.
- I. The Applicant shall be subject to the requirements set out in Exhibit 2 of this Decision and Order, "Suspension Means Replacement Reporting Condition," Incorporated herein by this reference.
- m. Records of all tests and inspections shall be maintenance records subject to ASME A17.1-2004, sections 8.6.1.2 and 8.6.1.4, respectively.
- 2. If the inspection transfer switch required by ASME A17.1-2004, section 2.26.1.4.4 does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
- 3. If the seismic reset switch does not reside in the machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the control/machinery room/space containing the elevator's control equipment in an enclosure secured by a lock openable by a Group 1 security key. The enclosure is to remain locked at all times when not in use.
- 4. If there is an inset car-top railing:
  - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on the railings to perform adjustments, maintenance, repairs or inspections. The Applicant shall not permit anyone to stand or climb over the car-top railing.
  - b. The distance that the railing can be inset shall be limited to not more than 6 inches.
  - c. All exposed areas of the car top outside the car-top railing where the distance from the railing to the edge of the car top exceeds 2 inches, shall be beveled with metal, at an angle of not less than 75 degrees with the horizontal, from the mid or top rail to

- the outside of the car top, such that no person or object can stand, sit, kneel, rest, or be placed in the exposed areas.
- d. The top of the beveled area and/or car top outside the railing shall be clearly marked. The markings shall consist of alternating 4-inch diagonal red and white stripes.
- e. The applicant shall provide durable signs with lettering not less than 1/2 inch on a contrasting background on each inset railing. Each sign shall state:

# CAUTION STAY INSIDE RAILING NO LEANING BEYOND RAILING NO STEPPING ON, OR BEYOND, RAILING

- f. The Group IV requirements for car-top clearances shall be maintained (car-top clearances outside the railing will be measured from the car top and not from the required bevel).
- 5. The SIL-rated devices and circuits used to inhibit electrical current flow in accordance with ASME A17.1-2004, section 2.26.9.6.1 shall comply with the following:
  - a. The SIL-rated devices and circuits shall consist of a Variodyn SIL-3 rated Regenerative, Variable Voltage Variable Frequency (VVVF) motor drive unit, model VAF013 or VAF023, labeled or marked with the SIL rating (not less than SIL 3), the name or mark of the certifying organization, and the SIL certification number (968/FSP 1556.00), and followed by the applicable revision number (as in 968/FSP 1556.00/19).
  - b. The devices and circuits shall be certified for compliance with the applicable requirements of ASME A17.1-2013, section 2.26.4.3.2.
  - c. The access door or cover of the enclosures containing the SIL-rated components shall be clearly labeled or tagged on their exterior with the statement:

## Assembly contains SIL-rated devices. Refer to Maintenance Control Program and wiring diagrams prior to performing work.

- d. Unique maintenance procedures or methods required for the inspection, testing, or replacement of the SIL-rated circuits shall be developed and a copy maintained in the elevator machine/control room/space. The procedures or methods shall include clear color photographs of each SIL-rated component, with notations identifying parts and locations.
- e. Wiring diagrams that include part identification, SIL, and certification information shall be maintained in the elevator machine/control room/space.

- f. A successful test of the SIL-rated devices and circuits shall be conducted initially and not less than annually in accordance with the testing procedure. The test shall demonstrate that SIL-rated devices, safety functions, and related circuits operate as intended.
- g. Any alterations to the SIL-rated devices and circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the alteration of SIL-rated devices, the alterations shall be made in conformance with ASME A17.1-2013, section 8.7.1.9.
- h. Any replacement of the SIL-rated devices and circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the replacement of SIL-rated devices, the replacement shall be made in conformance with ASME A17.1-2013, section 8.6.3.14.
- i. Any repairs to the SIL-rated devices and circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the repair of SIL-rated devices, the repairs shall be made in conformance with ASME A17.1-2013, section 8.6.2.6.
- j. Any space containing SIL-rated devices and circuits shall be maintained within the temperature and humidity range specified by Schindler Elevator Corporation. The temperature and humidity range shall be posted on each enclosure containing SIL-rated devices and circuits.
- k. Field changes to the SIL-rated system are not permitted. Any changes to the SIL-rated system's devices and circuitry will require recertification and all necessary updates to the documentation and diagrams required by conditions d. and e. above.
- 6. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and all applicable requirements met, including conditions of this permanent variance, prior to a Permit to Operate the elevator being issued. The elevator shall not be placed in service prior to the Permit to Operate being issued by Division.
- 7. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way that the Applicant was required to notify them of the docketed application for permanent variance per California Code of Regulations, title 8, sections 411.2 and 411.3.
- 8. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in the procedural manner prescribed per title 8, Chapter 3.5, Subchapter 1.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

DATED: February 28, 2023

Autumn Gorzalez, Hearing Office

#### EXHIBIT 1

October 6, 2010

CIRCULAR LETTER E-10-04

TO: Installers, Manufacturers of Conveyances and Related Equipment and Other Interested Parties

SUBJECT: Coated Steel Belt Monitoring

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

### EXHIBIT 2

### Suspension Means – Replacement Reporting Condition

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, section 8.6.3 involving the suspension means or suspension means fastenings. Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Pl., Suite 700, Santa Ana, CA 92707, Attn: Engineering section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
  - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
  - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
  - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
  - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
  - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
  - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
  - g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
  - h. All information provided on the crosshead data plate per ASME AI7.I-2004, section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.

- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for<br>Permanent Variance Regarding:        | OSHSB File No.: see grid below Proposed Decision Dated: February 28, 2023 |
|--|---|
| Otis Gen2S/Gen3Edge Elevator (Group IV)                                  | DECISION  |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.               |
|  | OCCUPATIONAL SAFETY AND HEALTH  |
| DAVID THOMAS, Chairman   | STANDARDS BOARD   |
|  | Date of Adoption: March 16, 2023  |
| BARBARA BURGEL, Member   | ,   |
|  | THE FOREGOING VARIANCE DECISION WAS                                       |
|  | ADOPTED ON THE DATE INDICATED ABOVE.                                      |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE  |
|  | DECISION, A PETITION FOR REHEARING  |
| DAVID HADDICON Mombor  | MAY BE FILED BY ANY PARTY WITH THE  |
| DAVID HARRISON, Member   | STANDARDS BOARD WITHIN TWENTY (20)  |
|  | DAYS AFTER SERVICE OF THE DECISION.                                       |
| NOLA KENNEDY, Member   | YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS       |
|  | OF CALIFORNIA CODE OF REGULATIONS,  |
|  | TITLE 8, SECTIONS 427, 427.1 AND 427.2.                                   |
| CHRIS LASZCZ-DAVIS, Member   | 111LL 0, SECTIONS 427, 427.1 AND 427.2.                                   |
|  | Note: A copy of this Decision must be                                     |
| LAURA STOCK, Member  | posted for the Applicant's employees to                                   |
| LAUNA STOCK, METHDEI   | read, and/or a copy thereof must be                                       |
|  | provided to the employees' Authorized                                     |

Representatives.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

| In the Matter of Application for Permanent Variance Regarding: | OSHSB File Nos.: See section A table below |
|--|--|
|  | PROPOSED DECISION                          |
| Otis Gen2S/Gen3Edge Elevator (Group IV)                        | Hearing Date: February 22, 2023            |

### A. <u>Subject Matter</u>

1. Each below listed applicant ("Applicant") has applied for permanent variances from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, with respect to the listed conveyance or conveyances, in the specified quantity, at the specified location:

| Variance<br>No. | Applicant Name                            | Variance Location Address                                 | No. of<br>Elevators |
|-----------------|---|---|---------------------|
| 22-V-649        | California State University<br>Northridge | CSUN Sierra Annex<br>18111 Nordhoff St.<br>Northridge, CA | 2                   |
| 22-V-653        | Griffith Sunset Partners, L.P.            | 1629 N. Griffith Park Blvd.<br>Los Angeles, CA            | 1                   |

2. The safety orders from which variance may issue, are enumerated in the portion of the below Decision and Order preceding the variance conditions.

#### B. Procedural

- 1. This proceeding is conducted in accordance with Labor Code section 143, and California Code of Regulations, title 8, section 401, et. seq.
- 2. This hearing was held on February 22, 2023, in Sacramento, California, and via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 3. At the hearing, Dan Leacox of Leacox & Associates, and Wolter Geesink with Otis Elevator, appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.

4. Oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| Exhibit Number | Description of Exhibit                                |
|----------------|---|
| PD-1           | Permanent variance applications per Section A.1 table |
| PD-2           | OSHSB Notice of Hearing                               |
| PD-3           | Board Staff Reviews of Variance Application           |
| PD-4           | Division Reviews of Variance Application              |
| PD-5           | Review Draft-1 Proposed Decision                      |

Official notice is taken of the Board's rulemaking records, and variance files and decisions, concerning the Elevator Safety Order standards at issue. At close of hearing on February 22, 2023, the record was closed, and the matter taken under submission by the Hearing Officer.

### C. Findings and Basis:

Based on the record of this hearing, the Board makes the following findings of fact:

- 1. Each Applicant intends to utilize Otis Gen3 Edge/Gen2S elevators at the locations and in the numbers stated in the above section A table.
- 2. The installation contracts for these elevators were or will be signed on or after May 1, 2008, making the elevators subject to the Group IV Elevator Safety Orders.
- 3. The Board incorporates by reference Items (i.e. sections) D.3 through D.9 of the Proposed Decision adopted by the Board on July 18, 2013 regarding OSHSB File No. 12-V-093 and Item D.4 of the Proposed Decision adopted by the Board on September 25, 2014 in OSHSB File No. 14-V-206.
- 4. Both Board staff and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and positions stated at hearing, are of the well informed opinion that grant of requested permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

### D. <u>Conclusive Findings:</u>

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be

conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, title 8, Elevator Safety Orders from which variance is being sought.

### E. Decision and Order:

Each permanent variance application the subject of this proceeding is conditionally GRANTED as specified below, and to the extent, as of the date the Board adopts this Proposed Decision, each Applicant listed in the above section A table shall have permanent variances from California Code of Regulations, title 8, section 3141 and from the following sections of ASME A17.1-2004 that section 3141 makes applicable to the elevators the subject of those applications:

- <u>Car top railing</u>: sections 2.14.1.7.1 (only to the extent necessary to permit an inset car top railing, if, in fact, the car top railing is inset);
- <u>Speed governor over-speed switch</u>: 2.18.4.2.5(a) (only insofar as is necessary to permit the use of the speed reducing system proposed by the Applicants, where the speed reducing switch resides in the controller algorithms, rather than on the governor, with the necessary speed input supplied by the main encoder signal from the motor);
- <u>Governor rope diameter</u>: 2.18.5.1 (only to the extent necessary to allow the use of reduced diameter governor rope);
- <u>Pitch diameter</u>: 2.18.7.4 (to the extent necessary to use the pitch diameter specified in Condition No. 13.c);
- <u>Suspension means</u>: 2.20.1, 2.20.2.1, 2.20.2.2(a), 2.20.2.2(f), 2.20.3, 2.20.4, 2.20.9.3.4 and 2.20.9.5.4—the variances from these "suspension means" provisions are only to the extent necessary to permit the use of Otis Gen2 flat coated steel suspension belts in lieu of conventional steel suspension ropes;
- <u>Inspection transfer switch</u>: 2.26.1.4.4(a) (only to the extent necessary to allow the inspection transfer switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room); and
- <u>Seismic reset switch</u>: 8.4.10.1.1(a)(2)(b) (only to the extent necessary to allow the seismic reset switch to reside at a location other than a machine room, if, in fact, it does not reside in the machine room).

These variances apply to the locations and numbers of elevators stated in the section A table (so long as the elevators are Gen3 Edge/Gen2S Group IV devices that are designed, equipped, and

installed in accordance with, and are otherwise consistent with, the representations made in the Otis Master File [referred to in previous proposed decisions as the "Gen2 Master File") maintained by the Board, as that file was constituted at the time of this hearing) and are subject to the following conditions:

- 1. The suspension system shall comply with the following:
  - a. The coated steel belt and connections shall have factors of safety equal to those permitted for use by section 3141 [ASME A17.1-2004, section 2.20.3] on wire rope suspended elevators.
  - b. Steel coated belts that have been installed and used on another installation shall not be reused.
  - c. The coated steel belt shall be fitted with a monitoring device which has been accepted by the Division and which will automatically stop the car if the residual strength of any single belt drops below 60 percent. If the residual strength of any single belt drops below 60 percent, the device shall prevent the elevator from restarting after a normal stop at a landing.
  - d. Upon initial inspection, the readings from the monitoring device shall be documented and submitted to the Division.
  - e. A successful test of the monitoring device's functionality shall be conducted at least once a year (the record of the annual test of the monitoring device shall be a maintenance record subject to ASME A17.1-2004, section 8.6.1.4).
  - f. The coated steel belts used shall be accepted by the Division.
- 2. With respect to each elevator subject to this variance, the applicant shall comply with Division Circular Letter E-10-04, the substance of which is attached hereto as Addendum 1 and incorporated herein by this reference.
- 3. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the installation, maintenance, inspection, and testing of the belts and monitoring device and criteria for belt replacement, and the applicant shall make those procedures and criteria available to the Division upon request.
- 4. The flat coated steel belts shall be provided with a metal data tag that is securely attached to one of those belts. This data tag shall bear the following flat steel coated belt data:
  - a. The width and thickness in millimeters or inches;
  - b. The manufacturer's rated breaking strength in (kN) or (lbf);

- c. The name of the person or organization that installed the flat coated steel belts;
- d. The month and year the flat coated steel belts were installed;
- e. The month and year the flat coated steel belts were first shortened;
- f. The name or trademark of the manufacturer of the flat coated steel belts; and
- g. Lubrication information.
- 5. There shall be a crosshead data plate of the sort required by section 2.20.2.1, and that plate shall bear the following flat steel coated belt data:
  - a. The number of belts;
  - b. The belt width and thickness in millimeters or inches; and
  - c. The manufacturer's rated breaking strength per belt in (kN) or (lbf).
- 6. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of elevator equipment in the hoistway is required. If service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 7. If there is an inset car top railing:
  - a. Serviceable equipment shall be positioned so that mechanics and inspectors do not have to climb on railings to perform adjustment, maintenance, repairs or inspections. The applicant shall not permit anyone to stand on or climb over the car top railing.
  - b. The distance that the car top railing may be inset shall be limited to no more than 6 inches.
  - c. All exposed areas outside the car top railing shall preclude standing or placing objects or persons which may fall and shall be beveled from the mid- or top rail to the outside of the car top.
  - d. The top of the beveled area and/or car top outside the railing, shall be clearly marked. The markings shall consist of alternating 4 inch diagonal red and white stripes.
  - e. The applicant shall provide durable signs with lettering not less than ½ inch on a contrasting background on each inset railing; each sign shall state:

### CAUTION DO NOT STAND ON OR CLIMB OVER RAILING

- f. The Group IV requirements for car top clearances shall be maintained (car top clearances outside the railing shall be measured from the car top and not from the required bevel).
- 8. If the seismic reset switch does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 9. If the inspection transfer switch required by ASME A17.1, rule 2.26.1.4.4(a) does not reside in a machine room, that switch shall not reside in the elevator hoistway. The switch shall reside in the inspection and test control panel located in one upper floor hoistway door jamb or in the control space (outside the hoistway) used by the motion controller.
- 10. When the inspection and testing panel is located in the hoistway door jamb, the inspection and test control panel shall be openable only by use of a Security Group I restricted key.
- 11. The governor speed-reducing switch function shall comply with the following:
  - a. It shall be used only with direct drive machines; i.e., no gear reduction is permitted between the drive motor and the suspension means.
  - b. The velocity encoder shall be coupled to the driving machine motor shaft. The "C" channel of the encoder shall be utilized for velocity measurements required by the speed reducing system. The signal from "C" channel of the encoder shall be verified with the "A" and "B" channels for failure. If a failure is detected then an emergency stop shall be initiated.
  - c. Control system parameters utilized in the speed-reducing system shall be held in non-volatile memory.
  - d. It shall be used in conjunction with approved car-mounted speed governors only.
  - e. It shall be used in conjunction with an effective traction monitoring system that detects a loss of traction between the driving sheave and the suspension means. If a loss of traction is detected, then an emergency stop shall be initiated.
  - f. A successful test of the speed-reducing switch system's functionality shall be conducted at least once a year (the record of the annual test of the speed-reducing switch system shall be a maintenance record subject to ASME A17.1-2004, section 8.6.1.4).
  - g. A successful test of the traction monitoring system's functionality shall be conducted at least once a year (the record of the annual test of the traction monitoring system shall be a maintenance record subject to ASME A17.1-2004, section 8.6.1.4).

- h. The Applicant shall not utilize the elevator unless the manufacturer has written procedures for the maintenance, inspection, and testing of the speed-reducing switch and traction monitoring systems. The Applicant shall make the procedures available to the Division upon request.
- 12. The speed governor rope and sheaves shall comply with the following:
  - a. The governor shall be used in conjunction with a 6 mm (0.25 in.) diameter steel governor rope with 6-strand, regular lay construction.
  - b. The governor rope shall have a factor of safety of 8 or greater as related to the strength necessary to activate the safety.
  - c. The governor sheaves shall have a pitch diameter of not less than 180 mm (7.1 in.).
- 13. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 14. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by Certified Competent Conveyance Mechanics who have been trained to, and are competent to, perform those tasks on the Gen3 Edge/Gen2S elevator system in accordance with the written procedures and criteria required by Condition No. 3 and in accordance with the terms of this permanent variance.
- 15. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 16. The Applicant shall be subject to the Suspension Means Replacement Reporting Condition stated in Addendum 2, as hereby incorporated by this reference.
- 17. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, title 8, sections 411.2 and 411.3.
- 18. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez, He

#### **ADDENDUM 1**

October 6, 2010

#### **CIRCULAR LETTER E-10-04**

TO: Installers, Manufacturers of Conveyances and Related Equipment and, Other Interested Parties

**SUBJECT: Coated Steel Belt Monitoring** 

The Elevator Safety Orders require routine inspection of the suspension means of an elevator to assure its safe operation.

The California Labor Code section 7318 allows the Division to promulgate special safety orders in the absence of regulation.

As it is not possible to see the steel cable suspension means of a Coated Steel Belt, a monitoring device which has been accepted by the Division is required on all Coated Steel Belts which will automatically stop the car if the residual strength of any belt drops below 60%. The Device shall prevent the elevator from restarting after a normal stop at a landing.

The monitoring device must be properly installed and functional. A functioning device may be removed only after a determination has been made that the residual strength of each belt exceeds 60%. These findings and the date of removal are to be conspicuously documented in the elevator machine room. The removed device must be replaced or returned to proper service within 30 days.

If upon routine inspection, the monitoring device is found to be in a non-functional state, the date and findings are to be conspicuously documented in the elevator machine room.

If upon inspection by the Division, the monitoring device is found to be non-functional or removed, and the required documentation is not in place, the elevator will be removed from service.

If the device is removed to facilitate belt replacement, it must be properly installed and functional before the elevator is returned to service.

A successful test of the device's functionality shall be conducted once a year.

This circular does not preempt the Division from adopting regulations in the future, which may address the monitoring of Coated Steel Belts or any other suspension means.

This circular does not create an obligation on the part of the Division to permit new conveyances utilizing Coated Steel Belts.

Debra Tudor
Principal Engineer
DOSH-Elevator Unit HQS

### **ADDENDUM 2**

### Suspension Means – Replacement Reporting Condition

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, section 8.6.3 involving the suspension means or suspension means fastenings.

#### Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
  - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
  - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
  - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
  - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
  - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
  - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.

- g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.
- h. All information provided on the crosshead data plate per ASME A17.1-2004, section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in item 2a above.

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for<br>Permanent Variance Regarding:        | OSHSB File No.: see grid below<br>Proposed Decision Dated: February 28, 2023 |
|--|--|
| KONE Monospace 300 (Group IV)  | DECISION   |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.                  |
|  | OCCUPATIONAL SAFETY AND HEALTH   |
| DAVID THOMAS, Chairman   | STANDARDS BOARD  |
|  | Date of Adoption: March 16, 2023   |
| BARBARA BURGEL, Member   | ·  |
|  | THE FOREGOING VARIANCE DECISION WAS  |
|  | ADOPTED ON THE DATE INDICATED ABOVE.   |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE   |
|  | DECISION, A PETITION FOR REHEARING   |
| DAVID HARRISON, Member   | MAY BE FILED BY ANY PARTY WITH THE   |
| DAVID HARRISON, Welliber   | STANDARDS BOARD WITHIN TWENTY (20)   |
|  | DAYS AFTER SERVICE OF THE DECISION. YOUR PETITION FOR REHEARING MUST         |
| NOLA KENNEDY, Member   | FULLY COMPLY WITH THE REQUIREMENTS   |
|  | OF CALIFORNIA CODE OF REGULATIONS,   |
|  | TITLE 8, SECTIONS 427, 427.1 AND 427.2.                                      |
| CHRIS LASZCZ-DAVIS, Member   | 11112 0, 320110113 427, 427.171110 427.2.                                    |
|  | Note: A copy of this Decision must be  |
| LAURA STOCK, Member  | posted for the Applicant's employees to                                      |
| LAGINA STOCK, INICIDES   | read, and/or a copy thereof must be  |
|  | provided to the employees' Authorized  |

Representatives.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent
Variance Regarding:

KONE Monospace 300 Elevators (Group IV)

OSHSB File Nos.: See Section A.1 Table Below
PROPOSED DECISION
Hearing Date: February 22, 2023

A. Subject Matter:

1. Each below listed applicant ("Applicant") applied for a permanent variance from provisions of the Elevator Safety Orders, found at Title 8 of the California Code of Regulations, with respect to a conveyance, or conveyances, in the listed quantity, at the listed location:

| Variance No. | Applicant Name                                   | Variance Location Address              | No. of<br>Elevators |
|--------------|--|--|---------------------|
| 22-V-660     | City of San Rafael<br>Department of Public Works | 46 Castro Ave<br>San Rafael, CA        | 1                   |
| 22-V-662     | RTB Management LLC                               | 3468 Silverado Trail<br>St. Helena, CA | 1                   |

2. The subject Title 8, safety order requirements are set out within California Code of Regulations, Title 8, Section 3141 incorporated ASME A17.1-2004, Sections 2.18.5.1 and 2.20.4.

### B. Procedural:

- 1. This hearing was held on February 22, 2023, in Sacramento, California, via teleconference, by delegation of the Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, Title 8, Section 426.
- 2. At the hearing, Fuei Saetern, with KONE, Inc., appeared on behalf of each Applicant; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff in a technical advisory capacity apart from the Board.

3. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| <b>Exhibit Number</b> | Description of Exhibit                                      |
|-----------------------|---|
| PD-1                  | Application(s) for Permanent Variance per section A.1 table |
| PD-2                  | OSHSB Notice of Hearing                                     |
| PD-3                  | Board Staff Review of Variance Application                  |
| PD-4                  | Division Review of Variance Application                     |
| PD-5                  | Review Draft-1 Proposed Decision                            |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

- C. <u>Findings of Fact</u>—Based on the record of this proceeding, the Board finds the following:
  - 1. Each respective Applicant intends to utilize the KONE Inc. Monospace 300 type elevator, in the quantity, at the location, specified per the above Section A.1 table.
  - 2. The installation contract for this elevator was or will be signed on or after May 1, 2008, thus making the elevator subject to the Group IV Elevator Safety Orders.
  - 3. Each Applicant proposes to use hoisting ropes that are 8 mm in diameter which also consist of 0.51 mm diameter outer wires, in variance from the express requirements of ASME A17.1-2004, Section 2.20.4.
  - 4. In relevant part, ASME A17.1-2004, Section 2.20.4 states:
    - 2.20.4 Minimum Number and Diameter of Suspension Ropes

...The minimum diameter of hoisting and counterweight ropes shall be 9.5 mm (0.375 in.). Outer wires of the ropes shall be not less than 0.56 mm (0.024 in.) in diameter.

- 5. An intent of the afore cited requirement of ASME A17.1-2004, Section 2.20.4, is to ensure that the number, diameter, and construction of suspension ropes are adequate to provided safely robust and durable suspension means over the course of the ropes' foreseen service life.
- 6. KONE has represented to Division and Board staff, having established an engineering practice for purposes of Monospace 300 elevator design, of meeting or exceeding the minimum factor of safety of 12 for 8 mm suspension members, as required in ASME A17.1-2010, Section 2.20.3—under which, given that factor of safety, supplemental broken suspension member protection is not required.

- 7. Also, each Applicant proposes as a further means of maintaining safety equivalence, monitoring the rope in conformity with the criteria specified within the *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators* (per Application attachment "B", or as thereafter revised by KONE subject to Division approval).
- 8. In addition, each Applicant has proposed to utilize 6 mm diameter governor ropes in variance from Title 8, Section 3141, incorporated ASME A17.1-2004, Section 2.18.5.1.
- 9. ASME A17.1-2004, Section 2.18.5.1, specifies, in relevant part:
  - 2.18.5.1 Material and Factor of Safety.
  - ... [Governor ropes] not less than 9.5 mm (0.375 in.) in diameter. The factor of safety of governor ropes shall be not less than 5...
- 10. The Board takes notice of Title 8, Elevator Safety Order Section 3141.7, subpart (a)(10):

A reduced diameter governor rope of equivalent construction and material to that required by ASME A17.1-2004, is permissible if the factor of safety as related to the strength necessary to activate the safety is 5 or greater;

- 11. Applicants propose use of 6mm governor rope having a safety factor of 5 or greater, in conformity with Section 3141.7(a)(10), the specific parameters of which, being expressly set out within Title 8, Elevator Safety Orders, take precedence over more generally referenced governor rope diameter requirements per ASME A17.1-2004, Section 2.18.5.1. Accordingly, the governor rope specifications being presently proposed, inclusive of a factor of safety of 5 or greater, would comply with current Title 8, Elevator Safety Orders requirements, and therefore not be subject to issuance of permanent variance.
- 12. Absent evident diminution in elevator safety, over the past decade the Board has issued numerous permanent variances for use in KONE (Ecospace) elevator systems of 8 mm diameter suspension rope materially similar to that presently proposed (e.g. OSHSB File Nos. 06-V-203, 08-V-245, and 13-V-303).
- 13. As noted by the Board in OSHSB File Nos. 18-V-044, and 18-V-045, Decision and Order Findings, subpart B.17 (hereby incorporated by reference), the strength of wire rope operating as an elevator's suspension means does not remain constant over its years of projected service life. With increasing usage cycles, a reduction in the cross-sectional area of the wire rope normally occurs, resulting in decreased residual strength. This characteristic is of particular relevance to the present matter because, as also noted by Board staff, decreasing wire rope diameter is associated with a higher rate of residual strength loss. This foreseeable reduction in cross-sectional area primarily results from elongation under sheave rounding load, as well as from wear, and wire or strand breaks.

However, these characteristics need not compromise elevator safety when properly accounted for in the engineering of elevator suspension means, and associated components.

- 14. The presently proposed wire rope is Wuxi Universal steel rope Co LTD. 8 mm 8x19S+8x7+PP, with a manufacturer rated breaking strength of 35.8 kN, and an outer wire diameter of less than 0.56 mm, but not less than 0.51 mm. Both Board staff and Division safety engineers have scrutinized the material and structural specifications, and performance testing data, of this particular proposed rope, and conclude it will provide for safety equivalent to ESO compliant 9.5 mm wire rope, with 0.56 mm outer wire (under conditions of use included within the below Decision and Order).
- 15. The applicant supplies tabulated data regarding the "Maximum Static Load on All Suspension Ropes." To obtain the tabulated data, the applicant uses the following formula derived from ASME A17.1 2004, Section 2.20.3:

$$W = (S \times N)/f$$
  
where

W = maximum static load imposed on all car ropes with the car and its rated load at any position in the hoistway
 N = number of runs of rope under load. For 2:1 roping,

 N shall be two times the number of ropes used, etc.

 S = manufacturer's rated breaking strength of one rope
 f = the factor of safety from Table 2.20.3

- 16. ASME A17.1-2010 Sections 2.20.3 and 2.20.4 utilize the same formula, but provide for use of suspension ropes having a diameter smaller than 9.5 mm, under specified conditions, key among them being that use of ropes having a diameter of between 8 mm to 9.5 mm be engineered with a factor of safety of 12 or higher. This is a higher minimum factor of safety than that proposed by Applicant, but a minimum recommended by both Board staff and Division as a condition of variance necessary to the achieving of safety equivalence to 9.5 mm rope.
- 17. Board staff and Division are in accord with Applicant, in proposing as a condition of safety equivalence, that periodic physical examination of the wire ropes be performed to confirm the ropes continue to meet the criteria set out in the (Application attachment) *Inspector's Guide to 6 mm Diameter Governor and 8 mm Diameter Suspension Ropes for KONE Elevators.* Adherence to this condition will provide an additional assurance of safety equivalence, regarding smaller minimum diameter suspension rope outer wire performance over the course of its service life.
- 18. Both Board staff, and Division, by way of written submissions to the record (Exhibits PD-3 and PD-4 respectively), and stated positions at hearing, are of the well informed

opinion that grant of permanent variance, as limited and conditioned per the below Decision and Order will provide employment, places of employment, and subject conveyances, as safe and healthful as would prevail given non-variant conformity with the Elevator Safety Order requirements from which variance has been requested.

### D. Conclusive Findings:

The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that: (1) Each Applicant has complied with the statutory and regulatory requirements that must be met before an application for permanent variance may be conditionally granted; and (2) a preponderance of the evidence establishes that each Applicants proposal, subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, Title 8, Elevator Safety Orders from which variance is being sought.

### E. <u>Decision and Order:</u>

Each Application being the subject of this proceeding, per above Section A.1 table, is conditionally GRANTED, to the extent that each such Applicant shall be issued permanent variance from California Code of Regulations, Title 8, Section 3141 incorporated ASME A17.1-2004, Section 2.20.4, in as much as it precludes use of suspension rope of between 8 mm and 9.5 mm, or outer wire of between 0.51 mm and 0.56 mm in diameter, at such locations and numbers of Group IV KONE Monospace 300 elevators identified in each respective Application, subject to the following conditions:

- 1. The diameter of the hoisting steel ropes shall be not less than 8 mm (0.315 in) diameter and the roping ratio shall be two to one (2:1).
- 2. The outer wires of the suspension ropes shall be not less than 0.51 mm (0.02 in.) in diameter.
- 3. The number of suspension ropes shall be not fewer than those specified per hereby incorporated Decision and Order Appendix 1 Table.
- 4. The ropes shall be inspected annually for wire damage (rouge, valley break etc.) in accordance with "KONE Inc. Inspector's Guide to 6 mm diameter and 8 mm diameter steel ropes for KONE Elevators" (per Application Exhibit B, or as thereafter amended by KONE subject to Division approval).
- 5. A rope inspection log shall be maintained and available in the elevator controller room / space at all times.
- 6. The elevator rated speed shall not exceed those speeds specified per the Decision and Order Appendix 1 Table.

- 7. The maximum suspended load shall not exceed those weights (plus 5%) specified per the Decision and Order Appendix 1 Table.
- 8. The opening to the hoistway shall be effectively barricaded when car top inspection, maintenance, servicing, or testing of the elevator equipment in the hoistway is required. If the service personnel must leave the area for any reason, the hoistway and control room doors shall be closed.
- 9. The installation shall meet the suspension wire rope factor of safety requirements of ASME A17.1-2013 Section 2.20.3.
- 10. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing or testing the elevators shall be provided a copy of this variance decision.
- 11. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division and a "Permit to Operate" issued before the elevator is placed in service.
- 12. The Applicant shall comply with suspension means replacement reporting condition per hereby incorporated Decision and Order Appendix 2.
- 13. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, Title 8, Sections 411.2 and 411.3.
- 14. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, Title 8, Section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez, Hearing Officer

### Appendix 1

### Monospace 300 Suspension Ropes Appendix 1 Table

| Variance Number | Elevator ID | Minimum           | Maximum Speed      | Maximum           |
|-----------------|-------------|-------------------|--------------------|-------------------|
|                 |             | Quantity of Ropes | in Feet per Minute | Suspended Load    |
|                 |             | (per Condition 3) | (per Condition 6)  | (per Condition 7) |
|                 |             |                   |                    |                   |
| 22-V-660        | 1           | 7                 | 150                | 12247             |
|                 |             |                   |                    |                   |
| 22-V-662        | 1           | 7                 | 150                | 12247             |
|                 |             |                   |                    |                   |

### Appendix 2

### **Suspension Means Replacement Reporting Condition**

Beginning on the date the Board adopts this Proposed Decision and continuing for a period of two years, the Applicant shall report to the Division within 30 days any and all replacement activity performed on the elevator(s) pursuant to the requirements of ASME A17.1-2004, Section 8.6.3 involving the suspension means or suspension means fastenings. Further:

- 1. A separate report for each elevator shall be submitted, in a manner acceptable to the Division, to the following address (or to such other address as the Division might specify in the future): DOSH Elevator Unit, 2 MacArthur Place, Suite 700, Santa Ana, CA 92707, Attn: Engineering Section.
- 2. Each such report shall contain, but not necessarily be limited to, the following information:
  - a. The State-issued conveyance number, complete address, and OSHSB file number that identifies the permanent variance.
  - b. The business name, complete address, telephone number, and contact person of the elevator responsible party (presumably the Applicant or the subsequent holder of this variance).
  - c. The business name, complete address, telephone number, and Certified Qualified Conveyance Company (CQCC) certification number of the firm performing the replacement work.
  - d. The name (as listed on certification), Certified Competent Conveyance Mechanic (CCCM) certification number, certification expiration date, and signature of each CCCM performing the replacement work.
  - e. The date and time the elevator was removed from normal service for suspension replacement, the date and time the replacement work commenced, the date and time the replacement work was completed, and the date and time the elevator was returned to normal service.
  - f. A detailed description of, and clear color photographs depicting, (1) all the conditions that existed in the suspension components requiring their replacement and (2) any conditions that existed to cause damage or distress to the suspension components being replaced.
  - g. A detailed list of all elevator components adjusted, repaired, or replaced in conjunction with the suspension component replacement.

- h. All information provided on the crosshead data plate per ASME A17.1-2004, Section 2.20.2.1, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- i. For the suspension means being replaced, all information provided on the data tag required per ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- j. For the replacement suspension means, all information provided on the data tag required by ASME A17.1-2004, Section 2.20.2.2, unless that ASME requirement is modified by the conditions of a variance that pertains to the elevator in question, in which case, the information to be reported shall be the information required by the ASME provision as modified by the variance.
- k. Any other information requested by the Division regarding the replacement of the suspension means or fastenings.
- 3. In addition to the submission of the report to the Division, the findings of any testing, failure analysis, or other engineering evaluations performed on any portion of the replaced suspension components, or other elevator components replaced in conjunction therewith, shall be submitted to the Division referencing the information contained in above Appendix 2, Section 2, Subsection (a), above.

## STATE OF CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD

2520 Venture Oaks Way, Suite 350 Sacramento, California 95833 (916) 274-5721

| In the Matter of Application for Permanent Variance Regarding:           | OSHSB File No.: see grid below<br>Proposed Decision Dated: February 28, 2023 |
|--|--|
| Otis Elevator Controller Alteration (Group IV)                           | DECISION   |
| The Occupational Safety and Health PROPOSED DECISION by Autumn Gonzalez, | Standards Board hereby adopts the attached Hearing Officer.                  |
| DAVID THOMAS, Chairman   | OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD                               |
| DADDADA BUDCEL Mombor  | Date of Adoption: March 16, 2023   |
| BARBARA BURGEL, Member   | THE FOREGOING VARIANCE DECISION WAS ADOPTED ON THE DATE INDICATED ABOVE.     |
| KATHLEEN CRAWFORD, Member  | IF YOU ARE DISSATISFIED WITH THE   |

DAVID HARRISON, Member

NOLA KENNEDY, Member

LAURA STOCK, Member

CHRIS LASZCZ-DAVIS, Member

IF YOU ARE DISSATISFIED WITH THE DECISION, A PETITION FOR REHEARING MAY BE FILED BY ANY PARTY WITH THE STANDARDS BOARD WITHIN TWENTY (20) DAYS AFTER SERVICE OF THE DECISION. YOUR PETITION FOR REHEARING MUST FULLY COMPLY WITH THE REQUIREMENTS OF CALIFORNIA CODE OF REGULATIONS,

Note: A copy of this Decision must be posted for the Applicant's employees to read, and/or a copy thereof must be provided to the employees' Authorized Representatives.

TITLE 8, SECTIONS 427, 427.1 AND 427.2.

# BEFORE THE OCCUPATIONAL SAFETY AND HEALTH STANDARDS BOARD DEPARTMENT OF INDUSTRIAL RELATIONS STATE OF CALIFORNIA

In the Matter of Application for Permanent Variance Regarding:

Otis Elevator Controller Alteration (Group IV)

OSHSB File Nos.: See Section A.1 table below

PROPOSED DECISION

Hearing Date: February 22, 2023

### **A.** Subject Matter

1. Each below listed applicant ("Applicant") has applied for permanent variances from provisions of the Elevator Safety Orders, found at title 8 of the California Code of Regulations, with respect to the listed conveyance or conveyances, in the specified quantity, at the specified location:

| Variance No. | Applicant Name                      | Variance Location Address   | No. of<br>Elevators |
|--------------|-------------------------------------|---|---------------------|
| 22-V-670     | FSP-South Flower Street Assoc., LLC | City National Plaza, Car 15<br>515 S. Flower St., Suite 3220<br>Los Angeles, CA | 1                   |

2. The safety orders at issue is California Code of Regulations, title 8, Elevator Safety Order (ESO), section 3141, incorporated ASME A17.1-2004, Section 2.26.9.4.

### **B.** Procedural

- 1. This proceeding is conducted in accordance with Labor Code Section 143, and California Code of Regulations, title 8, section 401, et. seq.
- 2. This hearing was held on February 22, 2023, in Sacramento, California, and via teleconference, by Occupational Safety and Health Standards Board ("Board"), with Hearing Officer Autumn Gonzalez, both presiding and hearing the matter on its merit, as a basis of proposed decision to be advanced to the Board for its consideration, in accordance with California Code of Regulations, title 8, section 426.
- 3. At the hearing, Dan Leacox of Leacox & Associates, and Wolter Geesink with Otis Elevator, appeared on behalf of the Applicants' representative, the Otis Elevator Company; Mark Wickens and Jose Ceja appeared on behalf of the Division of Occupational Safety and Health ("Division"), and Michael Nelmida appeared on behalf of Board staff, in a technical advisory role apart from the Board.
- 4. Documentary and oral evidence was received at the hearing, and by stipulation of all parties, documents were admitted into evidence:

| <b>Exhibit Number</b> | Description of Exhibit                                      |
|-----------------------|---|
| PD-1                  | Application(s) for Permanent Variance per section A.1 table |
| PD-2                  | OSHSB Notice of Hearing                                     |
| PD-3                  | Board Staff Review of Variance Application                  |
| PD-4                  | Division Review of Variance Application                     |
| PD-5                  | Review Draft-1 Proposed Decision                            |

Official notice is taken of the Board's files, records, recordings and decisions concerning the Elevator Safety Order requirements from which variance shall issue. On February 22, 2023, the hearing and record closed, and the matter was taken under submission by the Hearing Officer.

### C. Findings of Fact

- 1. Respecting, and for the purpose of alteration to, each above Section A.1 table listed conveyance at the specified variance locations, in the specified quantities, each Section A.1 table listed Applicant has applied for a permanent variance from California Code of Regulations, title 8, section 3141 incorporated ASME A17.1-2004, Section 2.26.9.4, requirements (per Section 8.7.2.27.4(a)).
- 2. ASME A17.1-2004, Section 2.26.9.4, states:
  - **"2.26.9.4** Redundant devices used to satisfy 2.26.9.3 in the determination of the occurrence of a single ground, or the failure of any single magnetically operated switch, contactor or relay, or of any single solid state device, or any single device that limits the leveling or truck zone, or a software system failure, shall be checked prior to each start of the elevator from a landing, when on automatic operation. When a single ground or failure, as specified in 2.26.9.3, occurs, the car shall not be permitted to restart. Implementation of redundancy by a software system is permitted, provided that the removal of power from the driving-machine motor and brake shall not be solely dependent on software-controlled means."
- 3. A principal intent of this code requirement is to avoid hazards that would be created by the failure of critical elevator safety circuits. Toward this purpose, use of software as the sole method of controlling such critical elevator safety circuits is prohibited.

- 4. Each Applicant proposes the use of a SIL rated software system and circuits consisting of three computer control boards that communicate on a Control Area Network (CAN) to monitor elevator safety devices and perform certain safety functions. Elevator electrical protective devices (EPDs) and other control devices are connected to these control boards. Software specifically designed for this SIL system would continuously monitor these devices and performs certain elevator safety functions. The design of this SIL rated software system and its related circuits includes a redundant (software) means to remove the power from the driving machine motor and brake under certain conditions.
- 5. The proposed Otis E2 elevator control system is to interface with a software system and related circuits having a certain Safety Integrity Level (SIL) rating, to monitor, process, and execute certain safety functions of the elevator, in a manner and configuration noncompliant with California ESO incorporated ASME A17.1-2004, Section 2.26.9.4, preclusion of safety system redundancy solely dependent upon a software controlled means.
- The Applicant contends that the proposed SIL rated software system and its circuits conform to the relevant newer ASME A17.1 provisions—namely ASME A17.1-2013, Section 2.26.9.3.2.
- 7. ASME A17.1-2013, Section 2.26.9.3.2, states:
  - **"2.26.9.3.2** Methods used to satisfy 2.26.9.3.1 using software systems are permitted, provided that (a) a non-software-controlled means is also used to remove power from the driving-machine motor and brake, or (b) the software system and related circuits are listed/certified to a SIL rating that is in accordance with the applicable requirements of IEC 61508-2 and IEC 61508-3. This software system and its related circuits shall have a SIL of not less than the highest SIL value of the safety function(s) in Table 2.26.4.3.2 used in the circuit. The software system and related circuits shall be identifiable on wiring diagrams (see 8.6.1.6.3) with part identification, SIL, and certification identification information that shall be in accordance with the certifying organization's requirements."
- 8. The Division has performed a safety analyzes of the proposed SIL rated software system and its related circuits, and determined the proposed system to be in conformity with relevant requirements of ASME A17.1-2013, Section 2.26.9.3.2, addressing safety issues associated with the proposed use of such a software system.

- 9. The equivalence of ASME A17.1-2013, Section 2.26.9.3.2, compliant control systems of the proposed type, with the safety of ASME A17.1-2004, Section 2.26.9.4, systems controlling the same critical safety functions, has been the subject of previous Division analyses, and Board decisions, concerning Otis Skyrise Elevators. In each of these prior matters, it was the recommendation of Division, with concurrence of Board engineering staff, and conclusion of the Board, that the type of ASME A17.1-2013, Section 2.26.9.3.2, compliant control system (as proposed in the present matter), subject to conditions in material conformity with those of the present Decision and Order, would provide for safety equivalent of superior to that of a ASME A17.1-2004, Section 2.26.9.4, compliant control system.
- 10. As provided per title 8, section 424.1, and as stipulated by the parties (see above Section B.4) The Board takes Official Notice of its decision, and respective Division and Board staff review of application, in the matters of OSHSB Permanent Variance File Nos. 14-V-090, 17-V-064, and 18-V-303. The permanent variances conditionally issued in the afore cited matters, exemplify numerous such previously issued variances providing for utilization of ASME A17.1-2013, Section 2.26.9.3.2, compliant control systems of the type presently proposed—absent known diminution in passenger or worker safety to date.
- 11. As to additional foundational evidence and findings concerning the essential safety rating and its indicative nomenclature to be labeled or marked on the subject software system and related circuits, as specified in the below Decision and Order, the Board also takes Official Notice of its Decision, and therein referenced exhibits, in OSHSB Permanent Variance File No. 15-V-397M1.
- 12. Both by way of its written evaluation (Exhibit PD-4), and statements at hearing, Division has taken the position that each Applicant's proposal for permanent variance and means of safety equivalence, subject to conditions in material conformity with those found in the below Decision and Order, will provide safety equivalent to the Title 8 standards from which permanent variance is sought. Further, by way of written evaluation (Exhibit PD-3), and statements at hearing, Board staff concurs with Division in recommending that such conditional grant will provide for safety equivalence.
- **D.** <u>Conclusive Findings</u>—The above stated procedural prerequisites, legal authority, and factual findings, as further supported by the documentary record, and hearing testimony in this matter, provide a substantive and reasonable basis of conclusion that:
  - (1) each Applicant has complied with the statutory and regulatory requirements that must be met before an application for modification of permanent variance may be conditionally granted, and
  - (2) a preponderance of the evidence establishes that Applicant's proposal, as below revised and subject to all conditions and limitations set forth in the below Decision and Order, will provide equivalent safety and health to that which would prevail upon full compliance with the requirements of California Code of Regulation, title 8,

Elevator Safety Orders from which modified variance is being sought.

### E. Decision and Order

Upon adoption of this Decision and Order by the Board, each above Section A.1 table listed Applicant, with respect to the corresponding listed number of conveyances and variance location, is conditionally Granted permanent variance from California Code of Regulations, title 8, Elevator Safety Order (ESO), section 3141, incorporated ASME A17.1-2004, Section 2.26.9.4, as per Section 8.7.2.27.4(a), subject to all below enumerated limitations and conditions:

- 1. The SIL rated software system and its related circuits shall comply with the following:
  - a. The SIL-rated software system and related circuits shall consist of three circuit board components (SSIB, KSIB, and HSIB), each labeled or marked with the SIL rating (not less than SIL 3), the name or mark of the certifying organization, and the SIL certification number (AEB 012, EU-ESD 012 or both) followed by the applicable revision number (as in AEB 012/2, EU-ESD 012/1).
  - b. The software system and related circuits shall be certified for compliance with the applicable requirements of ASME A17.1-2013 Section 2.26.4.3.2.
  - c. The access doors or covers of the enclosures containing the SIL rated components shall be clearly labeled or tagged on their exteriors with the statement:

## Assembly contains SIL rated devices. Refer to Maintenance Control Program and wiring diagrams prior to performing work.

- d. Unique maintenance procedures or methods required for the inspection, tests and replacement of the SIL rated circuits shall be developed and a copy maintained in the elevator machine room. The procedures or methods shall include clear color photographs of each SIL rated component, with notations indicating part identification and location installed.
- e. Wiring diagrams that include part identification, SIL, and certification information, shall be maintained in the elevator machine room.
- f. A successful test of the SIL rated software system and its related circuits shall be conducted initially and not less than annually in accordance with the testing procedure. The test shall demonstrate that SIL rated devices, safety functions, and related circuits operate as intended.
- g. Alterations to the SIL rated software system and its related circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the alteration of SIL rated devices, the alterations shall be made in conformance with ASME A17.1-2013, Section 8.7.1.9.

- h. Replacement of the SIL rated software system or its related circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the replacement of SIL rated devices, the replacement shall be made in conformance with ASME A17.1-2013, Section 8.6.3.14.
- i. Repairs to the SIL rated software system and its related circuits shall be made in compliance with the Elevator Safety Orders. If the Elevator Safety Orders do not contain specific provisions for the repair of SIL rated devices, the repairs shall be made in conformance with ASME A17.1-2013, Section 8.6.2.6.
- j. Any space containing SIL rated software or circuits shall be maintained within the temperature and humidity range specified by Otis Elevator Company. The temperature and humidity range shall be posted on each enclosure containing SIL rated software or circuits.
- k. Field software changes are not permitted. Any changes to the TUV certified SIL rated software will require updated documentation and recertification.
- 2. The elevator shall be serviced, maintained, adjusted, tested, and inspected only by Certified Competent Conveyance Mechanics who have been trained to, and are competent to, perform those tasks on the elevator system (including SIL 3-rated devices) in accordance with the written procedures and criteria required by Condition D.1(d), and other terms of this permanent variance.
- 3. Any Certified Qualified Conveyance Company performing inspections, maintenance, servicing, or testing of the elevators shall be provided a copy of this variance decision.
- 4. The Division shall be notified when the elevator is ready for inspection. The elevator shall be inspected by the Division, and a Permit to Operate shall be issued before the elevator is placed in service.
- 5. The Applicant shall notify its employees or their authorized representative(s), or both, of this order in the same way and to the same extent that employees and authorized representatives are to be notified of docketed permanent variance applications pursuant to California Code of Regulations, title 8, sections 411.2 and 411.3.
- 6. This Decision and Order shall remain in effect unless modified or revoked upon application by the Applicant, affected employee(s), the Division of Occupational Safety and Health, or by the Board on its own motion, in accordance with procedures per Title 8, Division 1, Chapter 3.5.

Pursuant to California Code of Regulations, title 8, section 426(b), the above, duly completed Proposed Decision, is hereby submitted to the Occupational Safety and Health Standards Board for consideration of adoption.

Dated: February 28, 2023

Autumn Gonzalez, Hearing Offic

# Occupational Safety and Health Standards Board

Business Meeting Legislative Update

AB-1 Oil refineries: maintenance.(2023-2024) - Updated

| AB-1 Oil refineries: maintenance.(2023-202 |
|--|
|--|

(Ting)

| Date     | Action   |
|----------|--|
| 01/26/23 | Referred to Com. on P.E. & R.                      |
| 12/06/22 | From printer. May be heard in committee January 5. |
| 12/05/22 | Read first time. To print.                         |

### Summary:

#### AB-1

AB 1, as introduced, Ting. Oil refineries: maintenance.

The California Refinery and Chemical Plant Worker Safety Act of 1990 requires, among other things, every petroleum refinery employer to submit to the Division of Occupational Safety and Health a full schedule of planned turnarounds, meaning a planned, periodic shutdown of a refinery process unit or plant to perform maintenance, overhaul, and repair operations and to inspect, test, and replace process materials and equipment, as provided.

This bill would express the intent of the Legislature to enact subsequent legislation to ensure that only one oil refinery in the state is undergoing scheduled maintenance at a time.

AB-316 Vehicles: autonomous vehicles.(2023-2024) - Updated

AB-316 Vehicles: autonomous vehicles.(2023-2024)

(Kalra and Lackey)

| Date     | Action   |
|----------|--|
| 02/09/23 | Referred to Coms. on TRANS. and C. & C.              |
| 01/27/23 | From printer. May be heard in committee February 26. |
| 01/26/23 | Read first time. To print.                           |

#### Summary:

#### **AB-316**

AB 316, as introduced, Aguiar-Curry. Vehicles: autonomous vehicles.

Existing law authorizes the operation of an autonomous vehicle on public roads for testing purposes by a driver who possesses the proper class of license for the type of vehicle operated if specified requirements are satisfied. Existing law prohibits the operation of an autonomous vehicle on public roads until the manufacturer submits an application to the Department of Motor Vehicles, as specified, and that application is approved.

This bill would prohibit the operation of an autonomous vehicle with a gross vehicle weight of 10,000 pounds or more on public roads for testing purposes, transporting goods, or transporting passengers without a human safety operator physically present in the autonomous vehicle at the time of operation.

AB-521 Occupational safety and health standards: restrooms.(2023-2024) - NEW

### AB-521 Occupational safety and health standards: restrooms.(2023-2024)

(Bauer-Kahan)

| Date     | Action  |
|----------|---|
| 02/17/23 | Referred to Com. on L. & E.                       |
| 02/08/23 | From printer. May be heard in committee March 10. |
| 02/07/23 | Read first time. To print.                        |

#### Summary:

AB 521, as introduced, Bauer-Kahan. Occupational safety and health standards: restrooms.

#### AB-521

Existing law grants the Division of Occupational Safety and Health, which is within the Department of Industrial Relations, jurisdiction over all employment and places of employment, with the power necessary to enforce and administer all occupational health and safety laws and standards. The Occupational Safety and Health Standards Board, an independent entity within the department, has the exclusive authority to adopt occupational safety and health standards within the state. Existing law, the California Occupational Safety and Health Act of 1973 (OSHA), requires employers to comply with certain safety and health standards, as specified, and charges the division with enforcement of the act.

Existing law requires the division, before December 1, 2025, to submit to the standards board a rulemaking proposal to consider revising the heat illness standard and wildfire smoke standard. Existing law also requires the standards board to review the proposed changes and consider adopting revised standards on or before December 31, 2025.

This bill would also require the division, before December 1, 2025, to submit to the standards board a rulemaking proposal to consider revising a regulation on jobsite restrooms to require at least one women's designated restroom for jobsites with 2 or more required water closets. The bill would require the standards board to review the proposed changes and consider adopting revised standards for the standards described above on or before December 31, 2025. The bill would include related legislative findings.

AB-1007 Occupational safety and health standards: plume.(2023-2024) - NEW

AB-1007 Occupational safety and health standards: plume.(2023-2024)

(Ortega)

| Date     | Action  |
|----------|---|
| 02/23/23 | Referred to Com. on L. & E.                       |
| 02/16/23 | From printer. May be heard in committee March 18. |
| 02/15/23 | Read first time. To print.                        |

#### <u>Summary:</u>

AB 1007, as introduced, Ortega. Occupational safety and health standards: plume.

AB-1007

Under existing law, the Occupational Safety and Health Standards Board within the Department of Industrial Relations promulgates and enforces occupational safety and health standards for the state, including standards dealing with toxic materials and harmful physical agents. Under existing law, the Division of Occupational Safety and Health is required to enforce all occupational safety and health standards, as specified. A violation of these standards and regulations under specific circumstances is a crime.

This bill would, by June 1, 2024, require the division to submit to the board a proposed regulation requiring a health facility to evacuate or remove plume through the use of a plume scavenging system in all settings that employ techniques that involve the creation of plume. The bill would require the division, when developing regulations, to consider, among other things, recommendations on the evacuation of plume from the federal Occupational Safety and Health Administration and National Institute for Occupational Safety and Health. The bill would require the board to adopt a proposed regulation by January 1, 2025.

This bill would provide that compliance with general room ventilation standards or the use of surgical masks does not satisfy the requirements for protection from surgical plumes under these provisions. The bill would provide that the use of respirators does not satisfy the requirements for protection from surgical plumes under these provisions, except as specified. The bill would require the manufacturer of a plume scavenging system to provide evidence that the system meets specified minimum requirements when installed, operated, and maintained in accordance with the manufacturer's instructions.

This bill would specify that these provisions do not limit the authority of the division to develop, or limit the authority of the board to adopt, a regulation with a broader scope or broader application than required by these provisions.

By expanding the definition of an existing crime, this bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

SB-553 Occupational safety: workplace violence. (2023-2024) - NEW

SB-553 Occupational safety: workplace violence. (2023-2024)

(Cortese)

| Date     | Action   |
|----------|--|
| 02/22/23 | Referred to Com. on L., P.E. & R.                                      |
| 02/16/23 | From printer. May be acted upon on or after March 18.                  |
| 02/15/23 | Introduced. Read first time. To Com. on RLS. for assignment. To print. |

#### Summary:

SB 553, as introduced, Cortese. Occupational safety: workplace violence.

SB-553

Existing law, the California Occupational Safety and Health Act of 1973, imposes safety responsibilities on employers and employees, including the requirement that an employer establish, implement, and maintain an effective injury prevention program, and makes specified violations of these provisions a crime. The act is enforced by the Division of Occupational Safety and Health within the Department of Industrial Relations, including the enforcement of standards adopted by the Occupational Safety and Health Standards Board. The act requires the standards board to adopt standards developed by the division that require specified types of hospitals to adopt a workplace violence prevention plan as a part of the hospital's injury and illness prevention plan to protect health care workers and other facility personnel from aggressive and violent behavior, as prescribed (hospital standards).

This bill would require the division, by an unspecified date, to adopt standards that require an employer that is not subject to the hospital standards to adopt a workplace violence prevention plan as a part of the employer's injury and illness prevention plan to protect employees from aggressive and violent behavior, as prescribed. The bill would require the standards adopted by the division to be consistent with the hospital standards, except as the division determines to be necessary to apply to the employers covered under the new standards.

Because this bill would expand the scope of a crime, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

SB-686 Domestic workers: occupational safety.(2023-2024) - NEW

SB-686 Domestic workers: occupational safety.(2023-2024)

(Durazo)

| Date     | Action   |
|----------|--|
| 03/01/23 | Referred to Com. on L., P.E. & R.                                      |
| 02/17/23 | From printer. May be acted upon on or after March 19.                  |
| 02/16/23 | Introduced. Read first time. To Com. on RLS. for assignment. To print. |

### Summary:

SB 686, as introduced, Durazo. Domestic workers: occupational safety.

Existing law establishes within the Department of Industrial Relations the Division of Labor Standards Enforcement and the Division of Occupational Safety and Health, with duties and powers, as prescribed.

#### SB-686

Existing law, the California Occupational Safety and Health Act of 1973, requires employers to comply with certain standards ensuring healthy and safe working conditions, as specified. The act charges the Division of Occupational Safety and Health with enforcement of the act, subject to oversight by the Chief of the Division of Occupational Safety and Health. The act excludes household domestic service from the definition of "employment." The act requires the chief, or a representative of the chief, to convene an advisory committee for the purposes of creating voluntary guidance and making recommendations to the department and the Legislature on policies the state may adopt to protect the health and safety of privately funded household domestic service employees, except publicly funded household domestic service and family daycare homes, as specified. The act requires the advisory committee to develop voluntary industry-specific occupational health and safety guidance relating to workplace hazards and the prevention or minimization of work-related injuries and illnesses. The act requires the advisory committee to make recommendations, as specified, on additional policies to protect the health and safety of household domestic service employees. Under specified circumstances, a violation of the act is a crime.

Existing law, until July 1, 2024, requires the Division of Labor Standards Enforcement, upon appropriation of funding for this purpose, to establish and maintain an outreach and education program for the purpose of promoting awareness of, and compliance with, labor protections that affect the domestic work industry and fair and dignified labor standards in

this industry and other low-wage industries. Existing law requires the Division of Labor Standards Enforcement to issue a competitive request to community-based organizations (CBOs) to provide education and outreach services in this connection and prescribes requirements for these organizations. Existing law makes CBOs responsible for developing and consulting with the Division of Labor Standards Enforcement regarding the core education and outreach materials, as specified. Existing law requires the Division of Labor Standards Enforcement and CBOs to meet at least biannually to coordinate efforts around outreach, education, and enforcement, including sharing information, in accordance with applicable privacy and confidentiality laws, that will shape and inform the overall enforcement strategy of the division regarding low-wage industries, including the domestic work industry. Existing law prohibits the Division of Labor Standards Enforcement from expending more than 5% of the budget allocation on the administration of the program.

This bill would make CBOs responsible for developing and consulting with the Division of Occupational Safety and Health regarding the core education and outreach materials regarding health and safety standards, retaliation, and the division's workplace safety complaint and retaliation process, including specific issues that affect the domestic work industry differently. The bill would make CBOs responsible for all costs related to the development, printing, advertising, or distribution of the education and outreach materials. The bill, on and after July 1, 2024, would require the chief, representatives of the consultation services and enforcement branches of the Division of Occupational Safety and Health, and CBOs to meet periodically, as specified, to coordinate efforts around outreach, education, and enforcement. The bill would prohibit the Division of Labor Standards Enforcement and the Division of Occupational Safety and Health from expending more than 5% of the budget allocation on the administration of the program. The bill would remove the repeal date, thereby making these provisions operative indefinitely.

This bill, for purposes of the California Occupational Safety and Health Act of 1973, would narrow the exclusion of household domestic service from the definition of "employment" to exclude only publicly funded household domestic service and family daycare homes, as specified. The bill would require the Division of Occupational Safety and Health, by July 1, 2024, to adopt industry guidance to assist household domestic service employers on their legal obligations under existing occupational safety and health laws and regulations that apply to the work activity of household domestic service employees. The bill would require the guidance to be consistent with the voluntary industry guidelines established by the advisory committee. The bill would require a household domestic services employer, by January 1, 2025, to comply with, and adhere to, all applicable occupational safety and health regulations. The bill would require the Division of Occupational Safety and Health, if the division determines that additional industry-specific regulations are necessary, to propose those regulations to the standards board for its review, and would require the standards board to adopt regulations by January 1, 2026.

The bill would require the Division of Occupational Safety and Health, upon appropriation of funds by the Legislature to the division for the specified purpose, to establish and administer the Household Domestic Services Employment Safety and Technical Assistance Program for the purpose of providing one-time grants and technical assistance to household domestic service employers, as prescribed. The bill would prohibit the Division of Occupational Safety and Health from expending more than 5% of the budget allocation on the administration of the program. The bill would require the program to commence by July 1, 2024, and continue until July 1, 2029, with an opportunity to expand or renew contingent on the additional allocation of state funds or identification of other revenue sources.

By expanding the application of criminal penalties under the act to household domestic service employers, this bill would impose a state-mandated local program.

The bill would make related legislative findings and declarations.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

# Occupational Safety and Health Standards Board

Business Meeting
Executive Officer's Report