

**LABOR COMPLIANCE PROGRAM ANNUAL REPORT**

*Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects*

Report for the reporting period **07/01/2013** to **06/30/2014**  
(mm/dd/yyyy) (mm/dd/yyyy)

1. Name of Labor Compliance Program (LCP) : <b>Regional Water Authority (RWA), LCP Administrator DCM Group</b>	
2. LCP I.D. Number (assigned by DIR): <b>2012-01118</b>	3. Date of Initial Approval: <b>July 20, 2012</b>
4. Contact person (include name, title, address, telephone, fax, and e-mail, if available): <b>Robert J. Swartz, Manager of Technical Services</b> <b>Regional Water Authority</b> <b>5620 Birdcage Street, Suite 180</b> <b>Citrus Heights, CA 95610</b> <b>Phone: (916) 967-7692</b> <b>Fax: (916) 967-7322</b>  <b>Victoria Castaneda, Principal</b> <b>DCM Group</b> <b>1720 Capitol Ave.</b> <b>Sacramento, CA 95811</b> <b>Phone: (916) 443-2100</b> <b>Fax: (916) 443-2130</b> <b>Direct: (916) 837-8111</b>	
5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period?  Please check one: <input checked="" type="checkbox"/> Yes      If Yes, proceed to item 6 on the next page  <input type="checkbox"/> No      If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant, 455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102	

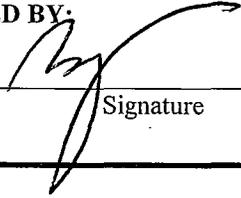
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DEPT. OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

**LCP-AR1**

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary)

**We suggest that a section within the LCP-AR1 report include a space to note the notice to proceed (NTP) date, actual start date, notice of completion date and actual completion date. Currently the report does not reflect the actual working progress of a project during the specified reporting period.**

SUBMITTED BY:



Signature

Victoria Castaneda Principal  
Name and Title

August 25, 2014  
Date

**LCP-AR1**

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
<b>2013 Water Meter Retrofit Project</b>	<b>11/14/2012</b>	<b>GM Construction &amp; Developers Inc.</b>	<b>\$1,005,925.00</b>
<b>Integrated Regional Water Management (IRWM) Grant Project Blue Oaks Well Drilling &amp; Hayden Pkwy Well Assessment</b>	<b>7/3/2013</b>	<b>Roadrunner Drilling &amp; Pump Company Inc.</b>	<b>\$31,440.00</b>
<b>2014 Monitoring Well Construction Project</b>	<b>1/10/2014</b>	<b>Tri-County Drilling, Inc.</b>	<b>\$169,640.00</b>
<b>Integrated Regional Water Management (IRWM) Grant Project – Blue Oaks Well Drilling &amp; Hayden Pkwy Well Pump Station</b>	<b>12/9/2013</b>	<b>Sierra National Construction, Inc.</b>	<b>\$3,546,150.00</b>
<b>Shasta Park Well Project</b>	<b>7/29/2013</b>	<b>Hydro Resources – West, Inc.</b>	<b>\$573,411.00</b>
<b>Madison Well Project</b>	<b>2/11/2014</b>	<b>Zim Industries Inc.</b>	<b>\$424,178.00</b>
<b>Nelson Well Submersible Pump Project</b>	<b>6/1/2014</b>	<b>Kirby’s Pump and Mechanical Inc.</b>	<b>\$237,250.00</b>
<b>Total</b>			<b>\$5,987,994.00</b>

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (who directly employed the worker)	Amount Assessed	Amount Recovered	Approval of Forfeiture Requested from Labor Commissioner?	Description of Violation
<b>Shasta Park Well Project</b>	<b>Hydro Resources – West, Inc.</b>	<b>Still in review, amount pending.</b>	<b>Still in review, amount pending.</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>Wage underpayments and failure to pay overtime for all hours worked to employees is noted on the project. Performing full audit of all employee record to confirm amount that will be assessed.</b>
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Total</b>		<b>Pending.</b>	<b>Pending.</b>		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
2013 Water Meter Retrofit Project	N/A	N/A	Notice of Temporary Withholding of Contract Payments due to Delinquent or Inadequate Payroll Records pursuant to the Labor Code §1776 and California Code of Regulations Section 216400(d) has been issued one time for the duration of the project. Contractor provided the requested document(s) and/or revisions immediately and voluntarily remedied the non-compliance therefore no request for forfeitures was sent to the Labor Commissioner.
Shasta Park Well Project	Still in review, amount pending.	Still in review, amount pending.	Notice of Temporary Withholding of Contract Payments due to Delinquent or Inadequate Payroll Records pursuant to the Labor Code §1776 and California Code of Regulations Section 216400(d) has been issued one time for the duration of the project. Contractor has not provided the requested document(s) and/or revisions to date. Audit of all documentation for project underway pending potential referral to DLSE for forfeitures.
<b>Total</b>	<b>Pending.</b>	<b>Pending.</b>	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC § 1776(g)	LC § 1775	LC § 1813	Wages	Total
<b>None applicable to date.</b>										
<b>Total</b>										

**LCP-AR1**

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
<b>No cases were applicable to date.</b>				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:  Yes  No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: \_\_\_\_\_

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:  Yes  No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: DCM Group sent a Referral of Violation for GM Construction that worked on the 2013 Water Meter Retrofit project to the DLSE – BOFE Public Works Complaints Unit on August 26, 2014. Referral was sent for failure to comply with Apprenticeship Standards pursuant to California Labor Code § 1777.5 and 1777.7 and California Code of Regulations 230 & 230.2. Referral was sent, but no reply from the DLSE has been received to date.