

LCP-AR1

LABOR COMPLIANCE PROGRAM ANNUAL REPORT

Format for Awarding Body that enforces its own Labor Compliance Program for some but not all projects

Report for the reporting period 07/01/2013 to 06/30/2014  
(mm/dd/yyyy) (mm/dd/yyyy)

RECEIVED

AUG 25 2014

DEPT. OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

1. Name of Labor Compliance Program (LCP) :

University of California

2. LCP I.D. Number (assigned by DIR):

2003.00303

3. Date of Initial Approval:

08/11/2003

4. Contact person (include name, title, address, telephone, fax, and e-mail, if available):

Attn: Chris Hornbeck— Director- Construction Services  
University of California Office of the President  
1111 Franklin Street, 6<sup>th</sup> Floor  
Oakland, CA 94607  
Phone: (510) 987-0312 Fax: (510) 987-0752

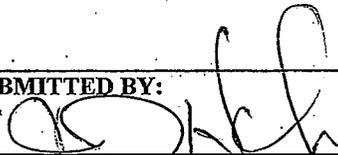
5. Did LCP perform any LC § 1771.5 enforcement activities during the 12 months in the reporting period?

Please check one:  Yes If Yes, proceed to item 6 on the next page

No If No, complete the information below, sign the form and submit to DIR, Office of the Director, Attn: LCP Special Assistant,  
455 Golden Gate Avenue, 10th Floor, San Francisco CA 94102

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary)

SUBMITTED BY:

  
Signature

Chris Hornbeck, Director – Construction Services  
Name and Title

8/20/14  
Date

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6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary).

A. List projects handled by LCP within the past 12 months.

| Project Name | Bid Advertisement Date | Prime Contractor | Contract Amount |
|--------------|------------------------|------------------|-----------------|
| See attached |                        |                  |                 |
|              |                        |                  |                 |
|              |                        |                  |                 |
|              |                        |                  |                 |
|              |                        |                  |                 |
|              |                        |                  |                 |
|              |                        |                  |                 |
|              |                        |                  |                 |
| Total        |                        |                  |                 |

B. Summary of all wages and penalties assessed and/or recovered.

| Project Name | Affected Contractor<br>(who directly employed the<br>worker) | Amount<br>Assessed | Amount<br>Recovered | Approval of<br>Forfeiture<br>Requested from<br>Labor<br>Commissioner? | Description of Violation |
|--------------|--|--------------------|---------------------|---|--------------------------|
| See attached |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
|              |  |                    |                     | <input type="checkbox"/> Yes <input type="checkbox"/> No              |                          |
| Total        |  |                    |                     |   |                          |

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C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

| Project Name | Amount Assessed | Amount Recovered | Explanation |
|--------------|-----------------|------------------|-------------|
| See attached |                 |                  |             |
|              |                 |                  |             |
|              |                 |                  |             |
| Total        |                 |                  |             |

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

| Project Name | Amount Assessed |           |           |       |       | Amount Recovered |           |           |       |       |
|--------------|-----------------|-----------|-----------|-------|-------|------------------|-----------|-----------|-------|-------|
|              | LC §1776(g)     | LC § 1775 | LC § 1813 | Wages | Total | LC § 1776(g)     | LC § 1775 | LC § 1813 | Wages | Total |
| See attached |                 |           |           |       |       |                  |           |           |       |       |
|              |                 |           |           |       |       |                  |           |           |       |       |
|              |                 |           |           |       |       |                  |           |           |       |       |
| Total        |                 |           |           |       |       |                  |           |           |       |       |

E. Identify cases that are or were the subject of LC § 1742 proceedings.

| Project Name | Contractor | Nature of Violation | ODL Case # | Current Status |
|--------------|------------|---------------------|------------|----------------|
| N/A          |            |                     |            |                |
|              |            |                     |            |                |
|              |            |                     |            |                |

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:  Yes  No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: \_\_\_\_\_ N/A \_\_\_\_\_

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:  Yes  No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: \_\_\_\_\_ N/A \_\_\_\_\_

| School District                                | Project   | Bid Advertisement Date | Prime Contractor | Affected Contractor | Total Construction Cost | Amount Assessed |      |      |      |       | Amount Recovered |      |      |      |       | Did you send a Request for Approval of Forfeiture Form to the Labor Commissioner for this project/contractor? If not, why not? | Explanation of wage violation/penalties imposed |
|--|---|------------------------|------------------|---------------------|-------------------------|-----------------|------|------|------|-------|------------------|------|------|------|-------|--|---|
|  |   |                        |                  |                     |                         | Wages           | 1775 | 1776 | 1813 | Total | Wages            | 1775 | 1776 | 1813 | Total |  |   |
| Division of Agriculture and Natural Resources* | None—Agriculture and Natural Resources did not have any projects that were still open on last year's report, and did not have any new projects funded by state bonds. |                        |                  |                     |                         | N/A             | N/A  | N/A  | N/A  | N/A   | N/A              | N/A  | N/A  | N/A  | N/A   | N/A  | N/A   |

\*The Division of Agriculture and Natural Resources (ANR) is a statewide network of University of California researchers and educators dedicated to the creation, development and application of knowledge in agricultural, natural and human resources. They are not affiliated with any campus, although some of their administrative staff are physically located on the UC Davis campus. Their capital program involves the construction of facilities for their statewide programs, including Cooperative Extension, 4-H, Master Gardener and agricultural research and extension centers.



| School District                 | Project  | Bid Advertisement Date | Prime Contractor            | Affected Contractor | Total Construction Cost | Amount Assessed |      |      |      |        | Amount Recovered |      |      |      |        | (O) Did you send a Request for Approval of Forfeiture Form to the Labor Commissioner for this project/contractor? If not, why not? | (P) Explanation of wage violation/penalties imposed |
|---------------------------------|--|------------------------|-----------------------------|---------------------|-------------------------|-----------------|------|------|------|--------|------------------|------|------|------|--------|--|---|
|                                 |  |                        |                             |                     |                         | Wages           | 1775 | 1776 | 1813 | Total  | Wages            | 1775 | 1776 | 1813 | Total  |  |   |
| University of California, Davis | Vet Med 3B <sup>1</sup>                          | 3/5/2010               | Sundt Construction Inc.     | N/A                 | \$39,115,300            | \$0.00          | 0    | 0    | 0    | \$0.00 | \$0.00           | 0    | 0    | 0    | \$0.00 | NO   | None  |
| University of California, Davis | Vet Med 3B-Consultant (Kleinfelder) <sup>1</sup> | 11/10/2010             | Kleinfelder                 | N/A                 | \$200,000               | \$0.00          | 0    | 0    | 0    | \$0.00 | \$0.00           | 0    | 0    | 0    | \$0.00 | NO   | None  |
| University of California, Davis | Arboretum Southwest US Garden Irrigation         | 7/10/2013              | AJ's Landscape Construction | N/A                 | \$71,039                | \$0.00          | 0    | 0    | 0    | \$0.00 | \$0.00           | 0    | 0    | 0    | \$0.00 | NO   | None  |
| University of California, Davis | South Valley Animal Health Lab (Still Open)      | 7/17/2013              | Prowest Contractors         | N/A                 | \$36,900,000            | \$0.00          | 0    | 0    | 0    | \$0.00 | \$0.00           | 0    | 0    | 0    | \$0.00 | NO   | None  |
| Public Agency Totals:           |  |                        |                             |                     | 76,286,339.00           | 0.00            | 0.00 | 0.00 | 0.00 | 0.00   | 0.00             | 0.00 | 0.00 | 0.00 | 0.00   |  |   |

<sup>1</sup> These two projects had wage violations and penalties assessed in the prior fiscal year and those amounts were reported on the 2013 Annual Report. There were no wage violations or penalties assessed this fiscal year.





| School District                  | Project                                       | Bid Advertisement Date | Prime Contractor  | Affected Contractor                                       | Total Construction Cost | Amount Assessed |             |            |        |             | Amount Recovered |        |        |        |            | (O) Did you send a Request for Approval of Forfeiture Form to the Labor Commissioner for this project/contractor? If not, why not? | (P) Explanation of wage violation/penalties imposed  |
|----------------------------------|---|------------------------|---|---|-------------------------|-----------------|-------------|------------|--------|-------------|------------------|--------|--------|--------|------------|--|--|
|                                  |   |                        |   |   |                         | Wages           | 1775        | 1776       | 1813   | Total       | Wages            | 1775   | 1776   | 1813   | Total      |  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | D. Batty, Inc.  | D. Batty, Inc.  | 60,034,799.00           | \$2,325.00      | \$12,000.00 | \$1,000.00 | \$0.00 | \$15,325.10 | \$2,325.00       | \$0.00 | \$0.00 | \$0.00 | \$2,325.00 | Yes, a Request for Approval of Forfeiture was sent to the Labor Commissioner.  | The contractor did not recognize a wage increase and was unable to locate a former employee to pay restitution. The contractor finally was able to pay and show proof of payment of wages. |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Helix Electric  | Helix Electric  |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | A. M. Stephens Construction Company, Inc.                 | A. M. Stephens Construction Company, Inc.                 |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Alcal Specialty Contracting, Inc.                         | Alcal Specialty Contracting, Inc.                         |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Alcal Specialty Contracting, Inc.                         | Alcal Specialty Contracting, Inc.                         |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Acosta & Sons, Inc.                                       | Acosta & Sons, Inc.                                       |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Boyett Doors  | Boyett Doors  |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Beck Steel, Inc.  | Beck Steel, Inc.  |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Braden Shielding Systems, LLC                             | Braden Shielding Systems, LLC                             |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | B.T. Mancini Co., Inc.                                    | B.T. Mancini Co., Inc.                                    |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Component West, Inc.                                      | Component West, Inc.                                      |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Estes Commercial Refrigeration, Inc.                      | Estes Commercial Refrigeration, Inc.                      |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Elite Landscaping, Inc.                                   | Elite Landscaping, Inc.                                   |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | EMS Construction, Inc.                                    | EMS Construction, Inc.                                    |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Frank M. Booth, Inc.                                      | Frank M. Booth, Inc.                                      |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Gonsalves & Santucci, Inc. dba Conco                      | Gonsalves & Santucci, Inc. dba Conco                      |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Graham Prewett, Inc.                                      | Graham Prewett, Inc.                                      |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | HPS Mechanical  | HPS Mechanical  |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | ISEC Incorporated   | ISEC Incorporated   |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | J. Dean Ballard & Sons Tile and Marble Co.                | J. Dean Ballard & Sons Tile and Marble Co.                |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Joseph J. Albanese, Inc.                                  | Joseph J. Albanese, Inc.                                  |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | Linden Steel & Construction, Inc.                         | Linden Steel & Construction, Inc.                         |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011              | LVI Environmental Services Inc. dba LVI Facility Services | LVI Environmental Services Inc. dba LVI Facility Services |                         | \$0.00          | \$0.00      | \$0.00     | \$0.00 | \$0.00      | \$0.00           | \$0.00 | \$0.00 | \$0.00 | \$0.00     | No violations  |  |

|                                  |   |            |  |  |   |             |              |             |            |              |          |        |        |        |          |        |   |  |
|----------------------------------|---|------------|--|--|---|-------------|--------------|-------------|------------|--------------|----------|--------|--------|--------|----------|--------|---|--|
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | Mitsubishi Electric & Electronics USA, Inc.        | Mitsubishi Electric & Electronics USA, Inc.        |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | Mid-State Precast, L. P.                           | Mid-State Precast, L. P.                           |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | National Air Balance Company, Inc.                 | National Air Balance Company, Inc.                 |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | Pacific West Controls                              | Pacific West Controls                              |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | River City Painting, Inc.                          | River City Painting, Inc.                          |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | Schollenbarger-Borello Inc. dba Golden State Steel | Schollenbarger-Borello Inc. dba Golden State Steel |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | Western Building Materials Co.                     | Western Building Materials Co.                     |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Science & Engineering Building 2 (Still Open) | 11/1/2011  | Western States Fire Protection Company             | Western States Fire Protection Company             |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
|                                  |   |            |  |  | Science & Engineering Building 2 TOTALS | 2,325.00    | 12,000.00    | 1,000.00    | 0.00       | 15,325.10    | 2,325.00 | 0.00   | 0.00   | 0.00   | 2,325.00 |        | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 10/20/2011 | Mass X   | Mass X   | 36,250,864.00                           | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 10/20/2011 | Mid Cal Pipeline                                   | Mid Cal Pipeline                                   |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 11/29/2011 | Dream Ride Engineering                             | Dream Ride Engineering                             |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 10/20/2011 | Bockmon & Woody                                    | Bockmon & Woody                                    |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 11/29/2011 | Sheedy Hoist                                       | Decker Landscaping                                 |   | \$55.36     | \$0.00       | \$0.00      | \$0.00     | \$55.36      | \$55.36  | \$0.00 | \$0.00 | \$0.00 | \$55.36  |        | No, this was a small amount and the contractor was able to prove payment  | The fringe benefits did not match for an employee, contractor was able to provide revised FB statement and cancelled checks.   |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 11/29/2011 | CPE Construction, Inc.                             | CPE Construction, Inc.                             |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 11/29/2011 | Bragg Investment                                   | Bragg Investment                                   |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 11/29/2011 | DK Enterpris, Inc.                                 | DK Enterpris, Inc.                                 |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 11/29/2011 | Bay City, Inc.                                     | Bay City, Inc.                                     |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 12/9/2011  | Kinetics Mechanical Services                       | Kinetics Mechanical Services                       |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 12/9/2011  | Cosco Fire Protection                              | Cosco Fire Protection                              |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 12/9/2011  | DDK Mechanical                                     | DDK Mechanical                                     |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 12/9/2011  | Collins Electric                                   | Collins Electric                                   |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | George Reed  | George Reed  |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | Iron Knob  | Iron Knob  |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | FNG Construction                                   | FNG Construction                                   |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | Acosta & Sons, Inc.                                | Acosta & Sons, Inc.                                |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 3/15/2012  | Graham Prewett, Inc.                               | Graham Prewett, Inc.                               |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | Modern Air Mechanical                              | Modern Air Mechanical                              |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | Bobo Construction                                  | Bobo Construction                                  |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 3/15/2012  | North State Specialty                              | North State Specialty                              |   | \$64,460.80 | \$106,000.00 | \$64,460.80 | \$1,500.00 | \$236,421.60 | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   |        | Yes, a Request for Forfeiture was filed as well as a Notice to Withhold. We are currently working with OGC and possibly going to court Aug 2014 for resolution. | Wage violations, misclassification, underpayment. UC is requesting liquidated damages equal to wages due for the employees as well as full penalties. This contractor has since filed bankruptcy and we are dealing with the surety. |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | Tariton & Sons                                     | Tariton & Sons                                     |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |
| University of California, Merced | Housing Phase 4 (Still Open)                  | 2/2/2012   | Standard Drywall                                   | Standard Drywall                                   |   | \$0.00      | \$0.00       | \$0.00      | \$0.00     | \$0.00       | \$0.00   | \$0.00 | \$0.00 | \$0.00 | \$0.00   | \$0.00 | No violations   |  |

|                                  |   |           |  |  |                        |                  |                   |                  |                 |                   |                 |             |             |             |                 |               |               |
|----------------------------------|---|-----------|--|--|------------------------|------------------|-------------------|------------------|-----------------|-------------------|-----------------|-------------|-------------|-------------|-----------------|---------------|---------------|
| University of California, Merced | Housing Phase 4 (Still Open)                        | 2/2/2012  | Western Building Materials Co.             | Western Building Materials Co.             |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
| University of California, Merced | Housing Phase 4 (Still Open)                        | 3/15/2012 | J. Dean Ballard & Sons Tile and Marble Co. | J. Dean Ballard & Sons Tile and Marble Co. |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
| University of California, Merced | Housing Phase 4 (Still Open)                        | 3/15/2012 | B.T. Mancini Co., Inc.                     | B.T. Mancini Co., Inc.                     |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
| University of California, Merced | Housing Phase 4 (Still Open)                        | 2/2/2012  | Nelsons Painting                           | Nelsons Painting                           |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
| University of California, Merced | Housing Phase 4 (Still Open)                        | 2/2/2012  | Acosta & Sons, Inc.                        | Acosta & Sons, Inc.                        |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
| University of California, Merced | Housing Phase 4 (Still Open)                        | 2/2/2012  | Decorator Draperies                        | Decorator Draperies                        |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
| University of California, Merced | Housing Phase 4 (Still Open)                        | 10/3/2011 | Iomian Construction                        | Iomian Construction                        |                        | \$0.00           | \$0.00            | \$0.00           | \$0.00          | \$0.00            | \$0.00          | \$0.00      | \$0.00      | \$0.00      | \$0.00          | \$0.00        | No violations |
|                                  |   |           |  |  | Housing Phase 4 TOTALS | \$64,516.16      | \$106,000.00      | \$64,460.80      | \$1,500.00      | \$236,476.96      | \$55.36         | \$0.00      | \$0.00      | \$0.00      | \$55.36         |               |               |
| University of California, Merced | Site & Infrastructure Phase 4                       | 7/10/2012 | McCarthy Building Companies                | McCarthy Building Companies                | 3,364,273.00           | 0                | 0                 | 0                | 0               | \$0.00            | 0               | 0           | 0           | 0           | \$0.00          | No violations |               |
| University of California, Merced | Classroom & Academic Office Building 2 (Still Open) | 2/5/2014  | Sundt Construction, Inc.                   | Sundt Construction, Inc.                   | 53,973,000.00          | 0                | 0                 | 0                | 0               | \$0.00            | 0               | 0           | 0           | 0           | \$0.00          | No Violations |               |
|                                  |   |           | <b>Public Agency Totals:</b>               |  | <b>153,622,936.00</b>  | <b>66,841.16</b> | <b>118,000.00</b> | <b>65,460.80</b> | <b>1,500.00</b> | <b>251,802.06</b> | <b>2,380.36</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>2,380.36</b> |               |               |



| School District                         | (A) Project Name                                 | (B) Bid Advertisement Date | (C) Prime Contractor                  | (D) Affected Contractor | (E) Total Construction Cost | Amount Assessed |          |          |          |          | Amount Recovered |          |          |          |  | (O) Did you send a Request for Approval of Forfeiture Form to the Labor Commissioner for this project/contractor? If not, why not? | (P) Explanation of wage violation/penalties imposed |
|---|--|----------------------------|---------------------------------------|-------------------------|-----------------------------|-----------------|----------|----------|----------|----------|------------------|----------|----------|----------|--|--|---|
|   |  |                            |                                       |                         |                             | (F) Wages       | (G) 1775 | (H) 1776 | (I) 1813 | Total    | (J) Wages        | (K) 1775 | (L) 1776 | (M) 1813 | Total                                      |  |   |
| University of California, Santa Barbara | Davidson Library Addition & Renewal (Still Open) | 12/13/2012                 | C.W. Driver                           | Conoco                  | 53,000,000.00               | \$34.24         |          |          |          | \$34.24  |                  |          |          |          |  | To be determined at the end of the project   | Training Due  |
| University of California, Santa Barbara |  |                            |                                       | D-KAL Engineering       |                             | \$277.30        |          |          |          | \$277.30 |                  |          |          |          | To be determined at the end of the project | Worked Sat. at straight time pay   |   |
| University of California, Santa Barbara |  |                            |                                       | Taft Electric           |                             | \$43.20         |          |          |          | \$43.20  |                  |          |          |          | To be determined at the end of the project | Paid Less than Basic Hourly Rate   |   |
| University of California, Santa Barbara | Snarl Classroom & Lecture Hall (Still Open)      | 2/27/2014                  | Peter Vander Werff Construction, Inc. | N/A                     | 1,248,000.00                |                 |          |          |          | \$0.00   |                  |          |          |          | To be determined at the end of the project | Project just now starting. No Violations.  |   |
|   |  |                            |                                       | Public Agency Totals:   | 54,248,000.00               | 354.74          | 0.00     | 0.00     | 0.00     | 354.74   | 0.00             | 0.00     | 0.00     | 0.00     |  |  |   |





