



CONTRACTOR COMPLIANCE & MONITORING, INC.

www.ccmi-tpa.com

635 MARINERS ISLAND BLVD, STE. 200, SAN MATEO, CA 94404 - P 650-522-4403 - F 650-522-4402

August 2, 2011

Mr. Connor Schmeding
Office of the Director
Department of Industrial Relations
Transmitted by Facsimile Only: 415-703-5344

Re: **Annual Report July 1, 2010 through June 30, 2011** for Contractor Compliance and Monitoring Inc.

Dear Mr. Schmeding,

Contractor Compliance and Monitoring Inc, (CCMI) is submitting its Annual Report. CCMI provides services to public agencies which do not have their own LCP approved program (thus using CCMI's LCP) as well as contracting with public agencies to administer that agencies' LCP.

I am enclosing reports of the following Public Entities¹ which use CCMI's Labor Compliance Program:

Brannan-Andrus Levee Maintenance District
Burlingame School District
Calleguas Municipal Water District
Ceres Unified School District
Delano-Earlimart Irrigation District
City of Fremont
Kirkwood Elementary School District
Merced Union High School District
North Coast County Water District
Oroville Union High School District
Reclamation District #38
Reclamation District #800
Riverbank Unified School District
County of Santa Cruz Department of Public Works
Santa Cruz County Health Services Agency
Santa Cruz County Sanitation District
County of Santa Cruz Resource Conservation District
Soquel Creek Water District
Stanislaus County Office of Education
St. Helena Unified School District
Sutter Union High School District
County of Ventura
Ventura County Resource Conservation District
Ventura County Watershed Protection District
Ventura County Waterworks District
Whittier Union High School District

¹ In our prior Annual Report, we included Plumas Unified School District's Portola Junior/Senior High School Dining Area project because we were unsure of the funding. We have subsequently learned that the funding is Prop 1-D and therefore we have not included said project in this year's Annual Report.

For your information, CCMI assists the following public agencies in implementing their own LCP. This information is not included in CCMI's annual report and will be submitted separately by the appropriate awarding body:

El Dorado Union High School District
Hughson School District
Livermore Joint Unified School District
McSwain School District
University of California

Should you have any further questions or comments, please feel free to contact me directly.

Sincerely,



Deborah Wilder
President

1 State of California)
2 County of San Mateo)
3 _____)

ss.

4 I, Deborah E.G. Wilder, hereby declare and State:

5 1. I am the president and majority stockholder of Contractor Compliance and Monitoring,
6 Inc. (CCMI), a third party labor compliance program approved by the Department of Industrial
7 Relations. I submit this statement for the purpose of compliance with Title 8 CCR Sections
8 16431(5), 16426(a)(2), (3) and (5).

9 2. From November 1997 through December 5, 2005 I served as a member of the Foster
10 City Council, including two terms as Mayor. Each year in approximately April, I filed a Form
11 700 with the Fair Political Practices Committee (FPPC). In December 2005, I filed a "leaving
12 office form 700" with the FPPC. Neither I, nor any of the officers nor employees of CCMI are
13 elected or appointed officials. None of the officers or employees of CCMI participate in the
14 making of government decisions for any Public Agency within the State of California.

15 3. CCMI conducts and intends to continue to conduct Labor Compliance programs
16 throughout all of California. As provided in our Annual Report, we provide services as far north
17 as Yreka and as far south as Chula Vista. We have 3 statewide offices located in San Mateo, San
18 Diego and in the Central Valley (Tracy). We provide LCP services to School Districts, County
19 Offices of Education, Colleges, Cities, Water Districts and the University of California. A listing
20 of all such Public Entities is listed on the attached Annual Reporting form and attachments.

21 4. CCMI does share office space and has a close affiliation with the Law Office of
22 Deborah Wilder. I am the 100% owner of the Law Office of Deborah Wilder and a 52%
23 shareholder of CCMI, However, neither CCMI, nor the Law Firm nor myself have been awarded
24 a public works construction contract within the State of California, has been a surety of such a
25 contract, nor been involved in the establishment of a joint labor-management committee pursuant
26 to the Federal Labor Management Cooperation Act of 1978, nor have any of these entities
27 represented workers employed in the same or similar classifications of those employed for such a
28 contract or the other items set forth in 16426(a)(3).

LABOR COMPLIANCE PROGRAM ANNUAL REPORT

Format for Approved Program that contracts with Awarding Bodies to provide labor compliance enforcement

Report for the reporting period 07/01/2010 to 06/30/2011
(mm/dd/yyyy) (mm/dd/yyyy)

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------|
| 1. Name of Labor Compliance Program (LCP) : Contractor Compliance and Monitoring, Inc. | | |
| 2. LCP I.D. Number (assigned by DIR): 2003.00050 | 3. Date of Initial Approval: 03/18/2003 | |
| 4. Contact person (include name, title, address, telephone, fax, and e-mail, if available): Deborah Wilder- President 635 Mariners Island Blvd. #200 San Mateo, CA 94404 Phone: (650) 522-4403 Fax: (650) 522-4402 | | |
| 5. List all Awarding Bodies covered by this report as well as any other Awarding Bodies with whom the LCP currently has a contract to provide compliance enforcement. If none, please proceed directly to item 7 and provide all requested information. Then complete the information below, and sign and submit this form to DIR, Office of the Director, Attn: LCP Special Assistant 455 Golden Gate Avenue, 10 th Floor, San Francisco, CA 94102. See attached | | |
| What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary): The DIR's approval of Third Party LCPs created a standard of compliance. CCMI urges the DIR to continue to approve companies with LCP expertise. Should the new regulations and the CMU program be implemented, the DIR should not punish Agency LCPs who also use outside consultants by also imposing CMU fees. So long as the agency meets the LCP requirements, how they do that (whether 100% in-house or through the use of a consultant) should be left to the agencies' discretion. | | |
| SUBMITTED BY: | | |
| <u>Deborah EG Wilder</u> Signature | <u>Deborah EG Wilder, President</u> Name and Title | <u>8/2/2011</u> Date |

LCP-AR3

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: See attached

A. List projects handled by LCP within the past 12 months.

| Project Name | Bid Advertisement Date | Prime Contractor | Contract Amount |
|--------------|------------------------|------------------|-----------------|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Total | | | |

B. Summary of all wages and penalties assessed and/or recovered.

| Project Name | Affected Contractor (who directly employed the worker) | Amount Assessed | Amount Recovered | Approval of Forfeiture Requested from Labor Commissioner? | Description of Violation |
|--------------|-----------------------------------------------------------|-----------------|------------------|-----------------------------------------------------------|--------------------------|
| See attached | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Total | | | | | |

LCP-AR3

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

| Project Name | Amount Assessed | Amount Recovered | Explanation |
|--------------|-----------------|------------------|-------------|
| See attached | | | |
| | | | |
| | | | |
| Total | | | |

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

| Project Name | Amount Assessed | | | | | Amount Recovered | | | | |
|--------------|-----------------|-----------|-----------|-------|-------|------------------|-----------|-----------|-------|-------|
| | LC §1776(g) | LC § 1775 | LC § 1813 | Wages | Total | LC § 1776(g) | LC § 1775 | LC § 1813 | Wages | Total |
| See attached | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| Total | | | | | | | | | | |

E. Identify cases that are or were the subject of LC § 1742 proceedings.

| Project Name | Contractor | Nature of Violation | ODL Case # | Current Status |
|--------------|------------|---------------------|------------|----------------|
| N/A | | | | |
| | | | | |
| | | | | |

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one: Yes No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one: Yes No

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____ See attached

LCP-AR3

7. On a separate sheet, provide a certificate of compliance with conflict of interest disclosure requirements by employees and consultants who participate in making governmental decisions (as defined under 2 CCR § 18701) along with the names of LCP personnel who are filing disclosure statements and the agencies with which those statements are being filed. **See attached declaration**

8. Please update the following information per 8 CCR § 16426(a)(2), (3) and (5) disclosure requirement.

A. Identify the geographical areas in which the program intends to operate and the identity of any public agencies not previously identified in this report with which the program intends to contract to provide labor compliance enforcement.

CCMI operates Labor Compliance Programs throughout the State of California. CCMI continues to solicit and bid work with cities, school districts, water districts, colleges, the University of California and special districts throughout California.

B. State whether the entity shares personnel, management, ownership or other close affiliation with any of the following: (1) any contractor or subcontractor that within the preceding five years has been awarded a public works contract within the geographical area in which the program operates or intends to operate or with any public agency with which the program has contracted or intends to contract to provide labor compliance enforcement; (2) any person or entity who has been the surety on such a contract; (3) any joint labor-management committee established pursuant to the Federal Labor Management Cooperation Act of 1978 (section 175a of Title 29 of the United States Code); or (4) any person or entity who has represented workers employed in the same or similar classifications as those employed for such a contract and who has been engaged in (i) an organizational campaign under the National Labor Relations Act with contractors competing for such contracts or (ii) a jurisdictional dispute with another collective bargaining representative of workers utilized for such contracts.

For each affiliation, please provide the name, address, telephone number, and principal contact person for the person or entity; please identify shared personnel, management, and ownership; and if applicable, please provide a short description of the nature and extent of any other close affiliation:

See attached declaration

C. Identify the attorney or law firm available to provide legal support for the LCP, and whether the persons or firms providing that support also represent any contractor, subcontractor, surety, or worker representative referred to in the preceding item.

Attorney/Law Firm Name (include address, contact person, and telephone number)

Law Office of Deborah Wilder

635 Mariners Island Blvd. #200

San Mateo, CA 94404

(650) 522-4403

| Public Agency | Project | Bid Advertisement Date | Prime Contractor | Affected Contractor | Total Construction Cost | Amount Assessed | | | | | Amount Recovered | | | | |
|-------------------------------------------|-------------------------------------------------------------|------------------------|---------------------------------|---------------------|-------------------------|-----------------|-------------|-------------|--------------|---------------|------------------|-------------|-------------|--------------|---------------|
| | | | | | | Wages | 1775 | 1776 | 1813 | Total | Wages | 1775 | 1776 | 1813 | Total |
| Brannan-Andrus Levee Maintenance District | Sacramento River Arundo Removal #7702.08 | 8/19/2009 | Hart Restoration, Inc. | | 258,395.00 | Still open | | | | | | | | | |
| Brannan-Andrus Levee Maintenance District | Sacramento River Spring 2010 Vegetation Management #7702.15 | 4/28/2010 | Sun Harvest, Inc. | | 49,950.00 | 40.94 | | | 75.00 | 115.94 | 58.07 | | | 75.00 | 133.07 |
| Brannan-Andrus Levee Maintenance District | Fall 2010 Revetment Rehabilitation #7002.94 | 10/6/2010 | San Rafael Rock Quarry, Inc. | | 91,250.00 | No penalties | | | | | | | | | |
| Brannan-Andrus Levee Maintenance District | Fall 2010 Vegetation Management #7702.19 | 10/6/2010 | Sun Harvest, Inc. | | 57,860.00 | No penalties | | | | | | | | | |
| Brannan-Andrus Levee Maintenance District | Sevenmile Slough Levee Crown Raising #7702.20 | 3/3/2011 | Asta Construction Company, Inc. | | 39,832.27 | Still open | | | | | | | | | |
| Brannan-Andrus Levee Maintenance District | Georgiana Slough levee Seepage Management #7702.03 | 5/25/2011 | San Rafael Rock Quarry, Inc. | | 175,028.00 | Still open | | | | | | | | | |
| Brannan-Andrus Levee Maintenance District | Spring 2011 Vegetation Management (Mowing) #7002.23 | 5/25/2011 | Sun Harvest, Inc. | | 57,860.00 | Still open | | | | | | | | | |
| Public Agency Totals: | | | | | 730,175.27 | 40.94 | 0.00 | 0.00 | 75.00 | 115.94 | 58.07 | 0.00 | 0.00 | 75.00 | 133.07 |

| Public Agency | Project | Bid Advertisement Date | Prime Contractor | Affected Contractor | Total Construction Cost | Amount Assessed | | | | | Amount Recovered | | | | |
|------------------------------|------------------------------------|--------------------------|------------------------------|---------------------|-------------------------|-----------------|---------------|-------------|---------------|-----------------|------------------|---------------|-------------|---------------|-----------------|
| | | | | | | Wages | 1775 | 1776 | 1813 | Total | Wages | 1775 | 1776 | 1813 | Total |
| Reclamation District 38 | Levee Mowing | contract signed 9/9/2010 | Sun Harvest, Inc. | | \$24,500.00 | 2248.44 | 100.00 | | 200.00 | 2548.44 | 2437.45 | 100.00 | | 200.00 | 2737.45 |
| Reclamation District 38 | North Fork Mokulumne Levee Seepage | 12/22/2010 | San Rafael Rock Quarry-Dutra | | 912,120.00 | No penalties | | | | | | | | | |
| Reclamation District 38 | Vegetation Management | 11/17/2010 | Sun Harvest | | 79,290.00 | Still open | | | | | | | | | |
| Public Agency Totals: | | | | | 1,015,910.00 | 2,248.44 | 100.00 | 0.00 | 200.00 | 2,548.44 | 2,437.45 | 100.00 | 0.00 | 200.00 | 2,737.45 |

| Public Agency | Project | Bid Advertisement Date | Prime Contractor | Affected Contractor | Total Construction Cost | Amount Assessed | | | | | Amount Recovered | | | | | |
|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------|---------------------|-------------------------|-------------------|--------------|-------------|-------------|-------------|-------------------|-----------------|--------------|-------------|-------------|-----------------|
| | | | | | | Wages | 1775 | 1776 | 1813 | Total | Wages | 1775 | 1776 | 1813 | Total | |
| County of Santa Cruz Resource Conservation District | Upper San Vicente Backwater Complex Restoration | 2/29/2008 | Balance Hydrologies | | 10,540.00 | No penalties | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | Roads, Zayante Creek Drainage, SIRP 24b Gyeers Roads, Bean Creek Unnamed Drainage, SLRP 24c Geyer Roads Bean Creek Middle Drainage | 10/13/2010 | Monteith | | 38,000.00 | No penalties | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | Larkin Valley Gully Repair 2010 | 7/29/2010 | The Don Chapin Co. | The Don Chapin co. | 79,044.80 | \$1,505.68 | 80 | | | \$1,585.68 | \$1,719.12 | 80 | | | \$1,799.12 | |
| County of Santa Cruz Resource Conservation District | Lower DeLaveaga #1 & #3 | 9/14/2010 | Go Native, Inc. | | 93,311.00 | No penalties | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | Laguna Creek Floodplain Restoration Project | 8/16/2010 | California State Parks | Rain For Rent | 229,957.88 | \$1,359.99 waiver | | | | \$1,359.99 | \$1,751.14 waiver | | | | \$1,751.14 | |
| County of Santa Cruz Resource Conservation District | Geyer Quarry Analysis Action Plan | 10/31/2010 | Monteith | | 38,000.00 | No penalties | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | Upper San Vicente Phase II | 6/15/2010 | Finta Enterprises | | 22,349.00 | No penalties | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | California Red Legged Frog Pond Enhancement & Sediment Control Project | 7/21/2010 | Watsonville Wetlands Watch | | 23,730.00 | Still open | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | Koinonia Fish Passage Project | 10/1/2009 | Consolidated Engineering Labs | | 16,931.39 | Still open | | | | | | | | | | |
| County of Santa Cruz Resource Conservation District | Last Mile Phase II & III/Tarplant Hill/Watsonville Sloughs Ecological Reserve | 10/30/2008 | Watsonville Wetlands Watch | | 125,000.00 | Still open | | | | | | | | | | |
| Public Agency Totals: | | | | | 676,864.07 | 2,865.67 | 80.00 | 0.00 | 0.00 | 0.00 | 2,945.67 | 3,470.26 | 80.00 | 0.00 | 0.00 | 3,550.26 |

| Public Agency | Project | Bid Advertisement Date | Prime Contractor | Affected Contractor | Total Construction Cost | Amount Assessed | | | | | Amount Recovered | | | | |
|------------------------------------|------------------------|------------------------|------------------|------------------------------|-------------------------|-----------------|-----------------|-------------|--------------|-----------------|------------------|-----------------|-------------|--------------|-----------------|
| | | | | | | Wages | 1775 | 1776 | 1813 | Total | Wages | 1775 | 1776 | 1813 | Total |
| Sutter Union High Sschool District | Multi Purpose Building | 4/16/2010 | Hilbers, Inc. | Allcom Plumbing | 6,171,294.00 | 2583.93 | 1540.00 | | | 4123.93 | 2583.93 | 1540.00 | | | 4123.93 |
| Sutter Union High Sschool District | Sutter 2-Story | 7/14/2008 | Hilbers, Inc. | Allcom Plumbing | 4,431,942.00 | 264.00 | 30.00 | | | 294.00 | 264.00 | 30.00 | | | 294.00 |
| | | | | BR Acoustical | | 31.92 | 20.00 | | | 51.92 | 31.92 | 20.00 | | | 51.92 |
| | | | | George Roofing | | 26.32 | | | 25.00 | 51.32 | 26.32 | | | 25.00 | 51.32 |
| | | | | Hilbers, Inc | | 2877.57 | 320.00 | | | 3197.57 | 2945.48 | 320.00 | | | 3265.48 |
| | | | | Immoos Fire | | 628.68 | 60.00 | | | 688.68 | 628.68 | 60.00 | | | 688.68 |
| | | | | Sutter 2-Story TOTALS | | 3828.49 | 430.00 | 0.00 | 25.00 | 4283.49 | 3896.40 | 430.00 | 0.00 | 25.00 | 4351.40 |
| | | | | Public Agency Totals: | 10,603,236.00 | 6,412.42 | 1,970.00 | 0.00 | 25.00 | 8,407.42 | 6,480.33 | 1,970.00 | 0.00 | 25.00 | 8,475.33 |

| Public Agency | Project | Bid Advertisement Date | Prime Contractor | Affected Contractor | Total Construction Cost | Amount Assessed | | | | | Amount Recovered | | | | |
|-------------------|----------------|------------------------|-----------------------------|------------------------------|-------------------------|-----------------|------------------|-------------|-------------|------------------|------------------|-----------------|-------------|-------------|-----------------|
| | | | | | | Wages | 1775 | 1776 | 1813 | Total | Wages | 1775 | 1776 | 1813 | Total |
| County of Ventura | El Rio Phase 6 | 12/6/2007 | Camarillo Engineering, Inc. | Camarillo Engineering, Inc. | 1,876,110.00 | 1,618.92 | 28,150.00 | | | 29,768.92 | 1,618.92 | 5,630.00 | | | 7,248.92 |
| | | | | Public Agency Totals: | 1,876,110.00 | 1,618.92 | 28,150.00 | 0.00 | 0.00 | 29,768.92 | 1,618.92 | 5,630.00 | 0.00 | 0.00 | 7,248.92 |

Apprenticeship Violations Referred to Division of Apprenticeship Standards

| Public Agency | Project | Bid Advertisement Date | Prime Contractor | Affected Contractor | Date Filed | Total Construction Cost | Amount Assessed | | | |
|--------------------------|---------------------------------------------------------|------------------------|------------------------|-----------------------------|------------|-------------------------|----------------------------------------|-------------------------------|--------------------------------------|-------|
| | | | | | | | Failure to provide Contract award info | Failure to request apprentice | Failed to make training contribution | Other |
| County of Santa Cruz DPW | Gold Gulch Culvert Replacement Project at Brookside Way | 7/1/2008 | Mountain Cascade, Inc. | Mountain Construction, Inc. | 7/19/10 | 849,655.00 | X | X | | |