



LABOR COMPLIANCE PROGRAM ANNUAL REPORT

Reporting Period 3-1-10 to 6-30-10

1. Name of Labor Compliance Program (LCP) :

RGM and Associates - Administering Third Party Labor Compliance Program

2. LCP I.D. Number (assigned by DIR): **2003.00060**

3. Date of Initial Approval: **March 18, 2003 (Converted to "approved without expiration date" June 10, 2009)**

4. Contact person (include name, title, address, telephone, fax, and e-mail, if available):

Ralph J. Caputo, President, CEO, RGM and Associates, 3230 Monument Way, Concord, California 94518

Phone = (925) 671-7717 - FAX = (925) 671-7788 - E-mail = rgm@rgmassociates.com

5. List all Awarding Bodies covered by this report as well as any other Awarding Bodies with whom the LCP Third Party provider currently has a contract to provide compliance enforcement. If *none*, please proceed directly to item 7 and provide all requested information. Then complete the information below, and sign and submit this form to DIR, Office of the Director, Attn: LCP Special Assistant, 455 Golden Gate Avenue, 10th Floor, San Francisco, CA 94102.

See attached sheet for Awarding Bodies with whom the LCP Third Party provider has contracted to provide compliance enforcement activities.

What suggestions do you have for the Department of Industrial Relations to better assist you with your program in the coming year? (attach additional sheets if necessary): **NONE**

SUBMITTED BY:

Signature

Ralph J. Caputo - President, CEO

June 30, 2010

5. Awarding Bodies with Whom the LCP Third Party Provider has contracted to provide labor compliance enforcement:

Amador County Office of Education—not currently active
Amador Unified School District—not currently active
Antioch Unified School District—not currently active
Bellevue Union Elementary School District—not currently active
Benicia Unified School District—not currently active
Bennett Valley Union Elementary School Dist—not currently active
Berryessa Union School District—not currently active
Black Oak Mine Unified School District—not currently active
Buckeye Union School District—not currently active
Camino Union School District—not currently active
City of El Cerrito – not currently active
Dublin Unified School District
Contra Costa County Office of Education—not currently active
Eden Regional Occupational Program—not currently active
El Dorado County Office of Education—not currently active
El Dorado Union High School District
Forestville Union School District—not currently active
Fort Ross Elementary School District—not currently active
Georgetown Divide Public Utility Water Dist—not currently active
Guerneville Unified School District—not currently active
Hayward Unified School District—not currently active
Lake Berryessa Resort Improvement District
Martinez Unified School District—not currently active
Menlo Park City School District
Monterey Peninsula Unified School District
Mother Lode Union School District—not currently active
Mount Pleasant Elementary School District—not currently active

Napa County Department of Public Works
Napa Valley Unified School District
Orinda Union School District—not currently active
Petaluma City Elementary School District—not currently active
Piner-Olivet Union School District—not currently active
Pioneer Union Elementary School District—not currently active
Pittsburg Unified School District
Placerville Union School District—not currently active
Rescue Union Elementary School District—not currently active
Rincon Valley Union School District—not currently active
River Delta Unified School District—not currently active
San Lorenzo Valley Unified School District—not currently active
Sequoia Union High School District
Sonoma County Office of Education—not currently active
Sonoma Valley Unified School District—not currently active
Tracy Unified School District
Twin Hills Union School District—not currently active
West Sonoma Union High School District—not currently active
West Side Union School District—not currently active
Wilmar Union School District—not currently active
Winters Union School District—not currently active
Zone 7 Water Agency—not currently active

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: **Dublin Unified School District**

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
Dublin Elementary School Kindergarten 4, 5 Classroom Increment II	3/27/08	Bruns Belmont Construction	\$ 8,000,000.00
Dublin High School Phase 2A	10/31/08	Bobo Construction, Inc.	\$ 24,464,000.00
Dublin High School Phase 2B	6/16/09	Reeve-Knight Construction	\$ 8,000,000.00
Dublin Elementary School Kindergarten 4, 5 Classroom Increment I	8/27/09	Cal State Construction	\$ 1,341,666.00
Kolb Elementary School	3/4/10	Roebbelen Contracting	\$ 20,000,000.00
Frederiksen Renovations	5/11/10	Diede Construction	\$ 696,966.00
Wells Middle School Lunch Enclosure	6/1/10	Bay Valley Construction	\$ 815,531.00
Dublin Elementary School Alterations Walkway and Canopies	4/24/10	JD General	\$ 577,467.00
Murray Elementary School Reroof and HVAC	4/27/10	JD General	\$ 637,504.00
Total			\$ 64,533,134.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
Dublin High School Phase 2A	Bobo Construction	\$ 697.59	\$ 697.59	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Misclassification
Dublin High School Phase 2A	Diversified Power	\$ 58.54	\$ 58.54	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Travel not paid
Dublin High School Phase 2A	Ivie's Drywall	\$ 68,065.30	\$ 68,065.30	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Underpayment of wages
Dublin High School Phase 2B	Progressive Roofing	\$ 829.53	\$ 829.53	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Misclassification
Total		\$69,650.96	\$69,650.96		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
Dublin High School Phase 2A	\$ 697.59	\$ 697.59	Not willful and promptly corrected
Dublin High School Phase 2A	\$ 58.54	\$ 58.54	Not willful and promptly corrected
Dublin High School Phase 2A	\$ 68,065.30	\$ 68,065.30	Subcontractor closed business and GC promptly made restitution
Dublin High School Phase 2B	\$ 829.53	\$ 829.53	Not willful and promptly corrected
Total	\$ 69,650.96	\$ 69,650.96	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: Lake Berryessa Resort Improvement District

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
Water Treatment Plant Replacement	9/22/09	Arnold Construction	\$ 1,214,000.00
Total			\$ 1,214,000.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
N/A				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
Total		\$ 0.00	\$ 0.00		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
N/A			
Total	\$ 0.00	\$ 0.00	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: Menlo Park City School District

A. List projects handled by LCP within the past 12 months.

Project Name	Contract Date	Prime Contractor	Contract Amount
Encinal TERC	5/01/08	DL Falk Construction, Inc.	\$ 2,067,000.00
Encinal Increment II 4-5 Grade Classrooms & Multipurpose Facility	10/09/08	DL Falk Construction, Inc.	\$ 11,086,400.00
Oak Knoll 4-5 Grade Classroom & Multipurpose Facility	11/09/08	Gonsalves & Stronck	\$ 8,057,000.00
Encinal Modernization (Windows)	4/14/09	DL Falk Construction, Inc.	\$ 721,164.00
Laurel Elementary School New Classroom Bldg	4/22/09	DL Falk Construction, Inc.	\$ 4,382,000.00
Oak Knoll Elementary School Modernization	3/14/10	Ralph Larsen & Sons	\$ 2,763,000.00
Total			\$ 29,076,564.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
Laural Elem New Classrooms	Kingdom Pipelines	\$ 161.88	\$ 161.88	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Worker misclassification
Encinal TERC	Hazard Concrete	\$ 1,099.29	\$ 1,099.29	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Worker misclassification
Oak Knoll 4-5 Classroom & MP Facility	Fogg's Lath and Plaster	\$ 447.19	\$ 447.19	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Worker misclassification
Oak Knoll 4-5 Classroom & MP Facility	MK Pipelines	\$ 204.69	\$ 204.69	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Worker misclassification
Oak Knoll 4-5 Classroom & MP Facility	Sterling Roofing	\$ 100.93	\$ 100.93	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Underpayment of wages
Total		\$2,013.98	\$2,013.98		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
Laural Elem Modernization	\$ 161.88	\$ 161.88	Not willful and promptly corrected
Encinal TERC	\$ 1,099.29	\$ 1,099.29	Not willful and promptly corrected
Oak Knoll 4-5 Classroom & MP Facility	\$ 447.19	\$ 447.19	Not willful and promptly corrected
Oak Knoll 4-5 Classroom & MP Facility	\$ 204.69	\$ 204.69	Not willful and promptly corrected
Oak Knoll 4-5 Classroom & MP Facility	\$ 100.93	\$ 100.93	Not willful and promptly corrected
Total	\$ 2,013.98	\$ 2,013.98	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: Monterey Peninsula Unified School District

A. List projects handled by LCP within the past 12 months.

Project Name	Contract Date	Prime Contractor	Contract Amount
Instructional Materials Center	5/19/09	Granite Construction	\$ 1,029,366.00
Total			\$ 1,029,366.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
N/A				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
Total		\$ 0.00	\$ 0.00		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
N/A			
Total	\$ 0.00	\$ 0.00	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: Napa County Public Works

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Ad Date	Prime Contractor	Contract Amount
Rutherford Dust Restoration Project Reaches 1 & 2 East Bank	5/12/09	Site Works Construction, Inc.	\$ 447,078.00
Total			\$ 447,078.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
Rutherford Dust Restoration Project Reaches 1& 2 East Bank	Martinez Landscaping	\$ 1,356.18	\$ 1,356.18	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Underpayment of wages
Total		\$1,356.18	\$1,356.18		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
Rutherford Dust Restoration Project Reaches 1&2 East Bank	\$ 1,356.18	\$ 1,356.18	Not willful and promptly corrected
Total	\$ 1,356.18	\$ 1,356.18	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: Napa Unified School District

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
American Canyon High School	3/21/08	Lathrop Construction	\$ 28,708,779.00
Memorial Stadium Increment II	9/12/09	Flintco Construction	\$ 6,397,000.00
New Technology High School	9/13/09	Sausal Corporation	\$ 7,914,100.00
Total			\$ 43,019,879.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
American Canyon HS	Advanced Voice	\$ 96.07	\$ 96.07	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Underpayment of wages
Total		\$ 96.07	\$ 96.07		

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
American Canyon HS	\$ 96.07	\$ 96.07	Not willful and wage underpayments were promptly corrected.
Total	\$ 96.07	\$ 96.07	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: **Pittsburg Unified School District**

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
Marina Vista Elementary School New Construction	11/26/06	Arntz Builders, Inc.	\$ 18,802,000.00
Pittsburg High School Reconstruction	8/31/08	S. J. Amoroso	\$ 74,434,000.00
Foothill Elementary Modernization – Bid Pkg 1	4/4/10	Bay Cities Paving and Grading	\$ 127,950.00
Foothill Elementary Modernization – Bid Pkg 2	4/4/10	R.A. Jones Construction	\$ 299,398.00
Foothill Elementary Modernization – Bid Pkg 3	4/4/10	Bell Products, Inc.	\$ 135,391.00
Foothill Elementary Modernization – Bid Pkg 4	4/4/10	R.A.N. Electric, Inc.	\$ 103,000.00
Foothill Elementary Modernization – Bid Pkg 5	4/4/10	Bay Point Control, Inc. dba - Marina Mech.	\$ 296,120.00
Foothill Elementary Modernization – Bid Pkg 6	4/4/10	IMR Contractor Corp.	\$ 1,000,000.00
Foothill Elementary Modernization – Bid Pkg 7	4/4/10	Pinguelo Construction	\$ 60,900.00
Foothill Elementary Modernization – Bid Pkg 8	4/4/10	Color Chart, Inc.	\$ 87,000.00
Foothill Elementary Modernization – Bid Pkg 9	4/4/10	Alta Fence	\$ 82,550.00
Foothill Elementary Modernization – Bid Pkg 10	4/4/10	US Perma, Inc. dba - California Tile Installers	\$ 18,639.00
Foothill Elementary Modernization – Bid Pkg 11	4/4/10	FloorTec, Inc.	\$ 15,850.00
Foothill Elementary Modernization – Bid Pkg 12	4/4/10	T3, Inc.	\$ 54,034.00
Total			\$ 95,516,832.00

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
Marina Vista ES	Balfour Caulking	\$ 1,472.51	\$ 722.50	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	Underpayment
Marina Vista ES	GT Acoustics	\$ 61,821.84	\$ 12,194.15	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	CPRs were not received
Pittsburg High School Reconstruction	SJ Amoroso	\$ 74.25	\$ 74.25	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Misclassification
Pittsburg High School Reconstruction	O'Donnell Plastering	\$ 365.28	\$ 365.28	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	Paid wrong county rate
Total		\$63,733.88	\$13,356.18		

C. For any amount identified in item B for which approval of forfeiture was not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
Pittsburg High School Reconstruction	\$ 74.25	\$ 74.25	Not willful and wage underpayments were promptly corrected.
Pittsburg High School Reconstruction	\$ 365.28	\$ 365.28	Not willful and wage underpayments were promptly corrected.
Total	\$ 439.53	\$ 439.53	

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
Marina Vista ES	\$ 0.00	\$ 0.00	\$ 0.00	\$ 722.50	\$ 722.50	Marina Vista ES	\$ 0.00	\$ 0.00	\$ 722.50	\$ 722.50
Marina Vista ES	\$ 0.00	\$ 4,080.00	\$ 175.00	\$ 57,099.00	\$ 61,354.00	Marina Vista ES	\$ 0.00	\$ 0.00	\$ 12,194.15	\$ 12,194.15
Total	\$ 0.00	\$4,080.00	\$175.00	\$ 57,821.50	\$ 62,076.50	Total	\$0.00	\$ 0.00	\$12,916.65	\$ 12,916.65

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
Marina Vista Elementary	Artnz Builders	Underpayment of prevailing wages	10-0108-PWH	Settled
Marina Vista Elementary	Artnz Builders	Underpayment of prevailing wages	09-0218-PWH	Settled

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

6. LC § 1771.5 enforcement activities (provide all information requested, attaching as many sheets as necessary, and *please complete separate forms for each Awarding Body covered in this report*).

Awarding Body: Sequoia Union High School District

A. List projects handled by LCP within the past 12 months.

Project Name	Bid Advertisement Date	Prime Contractor	Contract Amount
Carlmont High School Music and Arts Bldg	11/21/08	Bay City Mechanical	\$ 1,598,880.00
Carlmont High School Music and Arts Bldg	11/21/08	Cupertino Electric	\$ 816,015.22
Carlmont High School Music and Arts Bldg	11/21/08	Real Good Solar	\$ 27,777.00
Carlmont High School Music and Arts Bldg	11/21/08	Roebbelen Contracting Inc.	\$ 7,374,668.76
Carlmont High School Music and Arts Bldg	11/21/08	SCAPES	\$ 43,558.75
Carlmont High School Music and Arts Bldg	2/12/09	Superior Auto Sprinkler	\$ 167,600.00
Total			\$ 10,028,499.73

B. Summary of all wages and penalties assessed and/or recovered.

Project Name	Affected Contractor (worker's employer)	Amount Assessed	Amount Recovered	Forfeiture Approval Requested from Labor Commissioner?	Description of Violation
N/A				<input type="checkbox"/> YES <input type="checkbox"/> NO	
				<input type="checkbox"/> YES <input type="checkbox"/> NO	
Total					

C. For any amount identified in item B for which approval of forfeiture not requested from the Labor Commissioner, please explain below.

Project Name	Amount Assessed	Amount Recovered	Explanation
N/A			
Total			

D. For any amount identified in item B for which approval of forfeiture was requested from the Labor Commissioner, please provide the following:

Project Name	Amount Assessed					Amount Recovered				
	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total	LC §1776(g)	LC § 1775	LC § 1813	Wages	Total
N/A										
Total										

E. Identify cases that are or were the subject of LC § 1742 proceedings.

Project Name	Contractor	Nature of Violation	ODL Case #	Current Status
N/A				

F. Did you refer any contractor to the Labor Commissioner for debarment per LC § 1777.1?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

G. Did you refer any apprenticeship violation to the Division of Apprenticeship Standards (DAS)?

Please check one:

YES NO

If yes, identify affected contractor(s) or subcontractor(s) and date(s) of referral: _____

7. On a separate sheet, provide a certificate of compliance with the conflict of interest disclosure requirements per 2 CCR § 18701 along with the names of LCP personnel who are filing disclosure statements and the agencies with which those statements are being filed.**(see attached separate sheet).

8. Please update the following information per 8 CCR § 16426(a)(2), (3) and (5) disclosure requirement.

A. Identify the geographical areas in which the program intends to operate and the identity of any public agencies not previously identified in this report with which the program intends to contract to provide labor compliance enforcement.

RGM intends to provide LCP services to public school districts throughout California but primarily focuses on school districts in the Northern California region north, west and east of Fresno, California. RGM is also providing LCP services to public utilities.

B. State whether the entity shares personnel, management, ownership or other close affiliation with any of the following: (1) any contractor or subcontractor that within the preceding five years has been awarded a public works contract within the geographical area in which the program operates or intends to operate or with any public agency with which the program has contracted or intends to contract to provide labor compliance enforcement; (2) any person or entity who has been the surety on such a contract; (3) any joint labor-management committee established pursuant to the Federal Labor Management Cooperation Act of 1978 (section 175a of Title 29 of the United States Code); or (4) any person or entity who has represented workers employed in the same or similar classifications as those employed for such a contract and who has been engaged in (i) an organizational campaign under the National Labor Relations Act with contractors competing for such contracts or (ii) a jurisdictional dispute with another collective bargaining representative of workers utilized for such contracts.

For each affiliation, please provide the name, address, telephone number, and principal contact person for the person or entity; please identify shared personnel, management, and ownership; and if applicable, please provide a short description of the nature and extent of any other close affiliation:

RGM and Associates does not share personnel, management, ownership or other close affiliation with any contractor or subcontractor that within the preceding five years has been awarded a public works contract within the geographical area with any Awarding Body or Joint Powers Authority identified with whom RGM contracts for operation of the LCP; any person or entity who has been the surety on such a contract, any joint labor-management committee established pursuant to the Federal Labor Management Cooperation Act of 1978 (section 175a of Title 29 of the United States Code), or any person or entity who has represented workers employed in the same or similar classifications as those employed for such a contract and who has been engaged in (i) an organizational campaign under the National Labor Relations Act with contractors competing for such contracts or (ii) a jurisdictional dispute with another collective bargaining representative of workers utilized for such contracts.

C. Identify the attorney or law firm available to provide legal support for the LCP, and whether the persons or firms providing that support also represent any contractor, subcontractor, surety, or worker representative referred to in the preceding item. Attorney/Law Firm Name (include address, contact person and telephone number).

Law Office of Brian A. Noble, 420 Aviation Boulevard, Suite 205, Santa Rosa, California 95403 - Mr. Brian A. Noble, Attorney at Law - Phone (707) 237-0064.

This firm does not represent any contractor, subcontractor, surety, or worker representative referred to in the preceding item.

7. **Certificate of Compliance with Conflict of Interest Disclosure Requirement per 2 CCR § 18701.

I certify that RGM and Associates have complied with the conflict of interest disclosure requirements as outlined in the California Code of Regulations Section 16426(a)(2), (3), and (5) and Section 16431(a) (5) (A)&(B) and do not share personnel, management or ownership in the areas of jurisdiction. Neither does RGM participate in general contracting or alternative delivery systems pursuant to AB 414.

LCP Personnel who are filing Disclosure Statements and the Agencies with which those Statements have been or are being filed.

**Ralph Caputo
Susan Matsumoto**

Amador County Office of Education
Amador Unified School District
Antioch Unified School District
Bellevue Union Elementary School District
Benicia Unified School District
Bennett Valley Union Elementary School District
Berryessa Union School District
Black Oak Mine Unified School District
Buckeye Union School District
Camion Union School District
City of El Cerrito
Contra Costa County Office of Education
Dublin Unified School District
Eden Regional Occupational Program
El Dorado County Office of Education
El Dorado Union High School District
Forestville Union School District
Fort Ross Elementary School District
Georgetown Divide Public Utility Water District
Guerneville Unified School District
Hayward Unified School District
Lake Berryessa Resort Improvement District
Martinez Unified School District
Menlo Park City School District

Monterey Peninsula Unified School District
Mother Lode Union School District
Mount Pleasant Elementary School District
Napa County Department of Public Works
Napa Valley Unified School District
Orinda Union School District
Petaluma City Elementary School District
Piner-Olivet Union School District
Pioneer Union Elementary School District
Pittsburg Unified School District
Placerville Union School District
Rescue Union Elementary School District
Rincon Valley Union School District
River Delta Unified School District
San Lorenzo Valley Unified school District
Sonoma County Office of Education
Sonoma Valley Unified School District
Tracy Unified School District
Twin Hills Union School District
West Sonoma Union High School District
West Side Union School District
Wilmar Union School District
Winters Union School District
Zone 7 Water Agency