PROCESS OVERVIEW DESCRIPTION

1)   **Subscriber/service provider prepares SSNWatch, CaseWatch or Inquiry.**

The Client identifies the need to file an SSNWatch, CaseWatch or inquiry against a specific existing Claims Adjudication (ADJ) case or SSN.

The transaction is prepared thru either a self-constructed application designed for interface with EDEX or an application built by an approved EDEX software vendor of the service provider/subscriber's choosing. Such an application will need to format a transaction record according to EDEX specifications for storage on disk. Each Watch or Inquiry filing will represent a transaction record (004 or 006, respectively).

2)   **Subscriber/service provider accumulates the Watches/inquiries in a sequential file.**

As the subscriber/service provider prepares individual transaction records on their processor, they will be stored on disk in a sequential file awaiting electronic transfer to EDEX.

3)   **SFT: Subscriber/service provider combines all transaction record types (004/006) into a single sequential file stored on their server to be uploaded to DWC's SFT site.**

The Subscriber/service provider will upload the data file containing all of the transaction records (004/006) to be processed during that run time sometime prior to the blackout period for a transmission cycle (0800, 1000, 1430, 1600 or 2030). DWC will process all transactions and provide a response transaction (005/007) for each inbound 004/005 transaction.

NOTE: The following requirements are necessary to allow transactions to EDEX via SFT.

    A) The file to be sent to DWC should not be compressed.
    B) The response file retrieved from DWC will be compressed by GZIP and your decompression software must be able to uncompress this file.
    C) Files sent to DWC must not contain any carriage-return or line feed (CR/LF) characters, however, files received from DWC will contain CR/LF characters and will be compressed using GZIP and will contain a ".gz" extension.

4a)   **VAN: Subscriber transmits sequential file to GXS EDI Services.**

The electronic transfer will be initiated by the subscriber on a frequency convenient to them. There is a limit to the number of transactions that can be accumulated prior to electronic transfer. DWC limits the number of transactions a Subscriber may submit during any single day time processing cycle to 200 records for each Subscriber account and 5,000 to each night time (20:30) process per Subscriber account.

Transfer will be accomplished via dial-up or dedicated line utilizing GXS EDI Services EXPEDITE software residing on the subscribers host system (i.e. PC/Expedite for PC's, MVS/Expedite for large mainframe systems. Local GXS EDI Services dial-up phone numbers are available or 800 numbers for those areas not serviced by local dial-up.

NOTE: The following parameters must be provided to "Expedite" (GXS EDI Services communications software) when sending EDEX transactions to DWC:

send

account(IR00)   **NOTE:** IR zero zero.
userid(CALEDEX)   **NOTE:** CALEDEX is our production userid. TSTEDEX for testing
fileid(xxxx.xxx)   **NOTE:** This is the internal name of the file you are sending.
class(E3PUT)   **NOTE:** This msgclass is used for both testing and production
datatype(A)
delimited(N)
verify(Y)

NOTE: The file must be a string of characters, with no delimiters. i.e. - no CRLF combinations.

The sequential file will be transferred to DWC's mailbox residing on the GXS EDI Services network and will wait batch processing initiated on a published schedule by DWC.

NOTE: GXS EDI Services' network access and use assistance can be arranged and is supported thru GXS EDI Services or one of its business partners.

The DWC fee associated with each transaction includes only charges associated with DWC's processing of the request. For VAN customers: All actual costs of the data transfer both "to" and "from" the GXS EDI Services network will be borne by the Subscriber.

4b)   VAN: DWC initiates batch file transfer from GXS EDI Services.

A day time process (08:00 thru 16:00 PT - Prime Time) and nightly (20:30 non-Prime Time) basis, DWC will initiate receipt of filings from SFT or its GXS EDI Services mailbox down to its host server for processing. Processing will be performed on a daily basis of Monday thru Friday with the exception of the overnight 009/011/ROG007 responses. They are scheduled Monday thru Saturday at approximately 06:00am.   This time may vary to a later time, depending on the volume of transactions processed by EDEX.   On occasion, all responses have not been completely delivered until noon.

5)   DWC processes incoming data against WCAB database.

Incoming data will be edited and processed against the DWC EAMS database. Successfully processed "Watch" transactions will be electronically added to the EDEX for case activity monitoring.

All transactions will receive an electronic acknowledgement response of one or more records. Successful transactions will result in a "zero" error code and will be accompanied by the current official ADJ address record. Those transactions that do not pass DWC edits will be returned with a "non-zero" error code and will contain only an "AA" record with no associated address records. **NOTE:**   There will be a DWC transaction charge associated with all incoming transactions regardless of whether they contain errors except for "withdrawal" transactions (service level 3). Withdrawals have no DWC transaction charge.   However, VAN customers will still pay message and character charges per their agreement with the VAN.

It will be necessary for the subscriber to identify each EDEX Client (end user) individually with each transaction.

An electronic transaction (011) will be initiated during each processing period to be returned to the subscriber in the event that a C&R/Stip or other significant event is posted to EAMS or a hearing is set, cancelled or rescheduled (009) on an ADJ case having a CaseWatch on file. In addition, Official Address Records (007) will be provided for any case that has a change to the OAR. These responses are provided once per day (Monday thru Saturday) at the 06:00 cycle.

6)   SFT: DWC makes available all response transaction type files for the user to retrieve after blackout period for each cycle provided the cycle completes in a timely manner.   Cycles

that exceed to normal threshold for delivery will be delivered during the next successful EDEX cycle. It is recommended that this process be automated by the subscriber/service provider.

7a)   DWC transmits responses to subscriber's GXS EDI Services mailbox.

The acknowledgement response will be transmitted back to the subscribers GXS EDI Services mailbox during each processing cycle that completes in a timely manner into message class 'E3GET'.   Cycles that exceed the normal processing threshold will be delayed until the next successful EDEX cycle.

7b)   Subscriber downloads responses from GXS EDI Services.

Responses from DWC to a subscriber will reside in the subscriber's GXS EDI Services mailbox until downloaded.   The download will occur on a schedule convenient to the subscriber's processing.   Multiple cycle responses can reside in the Subscriber's mailbox until processed by the Subscriber.

8)   Service Provider/Subscriber processes responses.

The service provider/subscriber must use DWC approved software that is capable of processing the responses (acknowledgement, address records and error messages) and, if servicing multiple clients, will need to maintain their own charge-back system to track charges to their individual clients. DWC invoices at the Subscriber/service provider level only.

Such approved software will likely need to be capable of determining whether all transactions that were thought to have been transmitted were processed successfully. It will need to identify those that were not processed successfully and once corrected, re-transmit for complete DWC processing.

The Division of Workers' Compensation has established a list of those approved software vendors who have developed software that interacts with EDEX. DWC does not endorse one software package over another and only evaluates the software for approval based on the passage of minimal functionality. This approved list of software vendors is available on DWC's website.